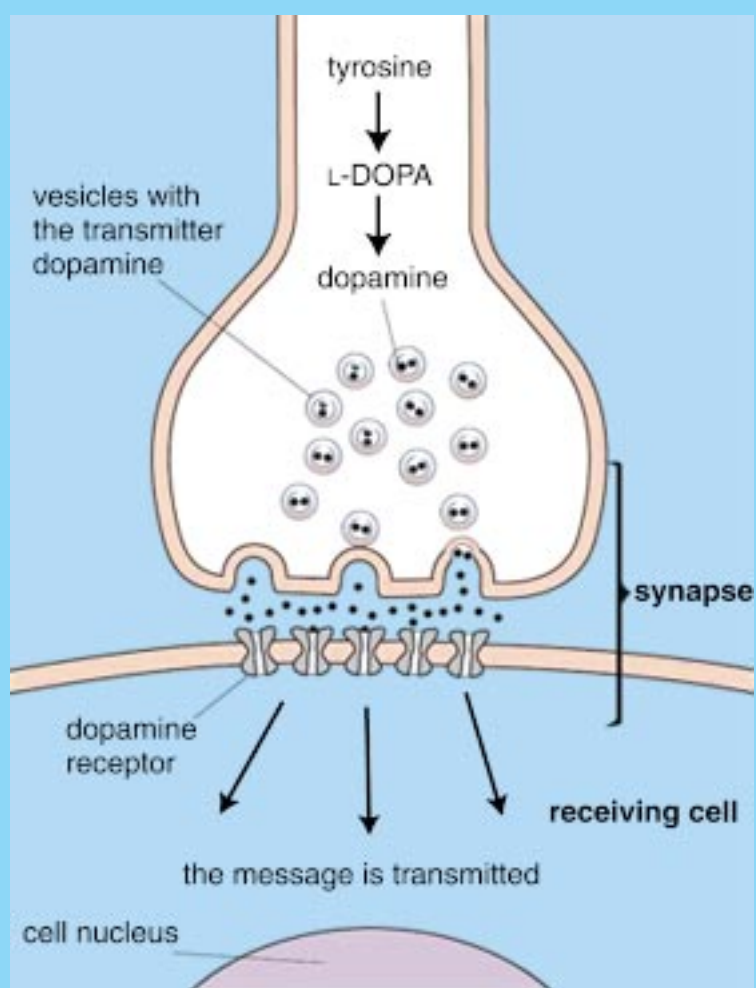


Parkinson's disease is characterized by degeneration of dopamine nerve pathways in the basal ganglia, which are involved in the control of movements.

Signal transduction by the chemical transmitter dopamine at a synapse between two nerve cells.

Administration of L-DOPA, the precursor of dopamine, to Parkinson patients restores their ability to move.



A Half-Century of Neurotransmitter Research: Impact on Neurology and Psychiatry (Nobel Lecture)**

Arvid Carlsson*[a]

KEYWORDS:

dopamines · neurotransmitters · Nobel lecture · Parkinson's disease · signal transduction

Beginnings

My encounter with dopamine followed an incredible sequence of fortunate events. I had been working on calcium metabolism using radioactive isotopes, which had then just become commercially available. This work had resulted in my doctoral thesis in 1951 and a series of subsequent papers, including two doctoral theses by students of mine. Our results had become somewhat visible internationally, resulting, for example, in an invitation to a Gordon Conference in New England in 1955. The reason why I left this field of research was that, in connection with a competition for an associate professorship in pharmacology, the expert committee let me know that in their opinion calcium metabolism did not occupy a central position in pharmacology. I therefore turned to Professor Sune Bergström (Figure 1), who was at that time head of the Department of Physiological Chemistry at the University of Lund, Sweden. This department was located in the same building as our Pharmacology Department. Professor Bergström had already been very helpful in several instances when I had had a professional problem of some kind. Incidentally, Dr. Bengt Samuelsson was at that time working with Professor Bergström in the same department. Thus, the three Swedes who were to become Nobel laureates in the period 1980–2000 happened to be working under the same roof for a few years.



Figure 1. Sune Bergström (1916).

I asked Sune Bergström if he could help me to get in touch with an outstanding American laboratory where they were working in the area of biochemical pharmacology, which I felt had a great future. He wrote to his friend Dr. Bernard Witkop, a highly talented chemist working at the National Institutes of Health in Bethesda, MD. This letter was forwarded via the late Dr. Sidney Udenfriend to his superior, the late Dr. Bernard B. Brodie (Figure 2), head of the famous Laboratory of Chemical Pharmacology of the National Heart Institute. That is how I came to work under Dr. Brodie for about five months, starting in August 1955. The timing of my arrival there was extremely fortunate. Brodie and his colleagues had just made a breakthrough discovery a few months before, namely that the administration of reserpine, a recently introduced antipsychotic and antihypertensive drug, caused the virtually complete disappearance of serotonin from the brain and other tissues^[1, 2] (Figure 3).



Figure 2. Bernard B. Brodie (1916–1989).

MD. This letter was forwarded via the late Dr. Sidney Udenfriend to his superior, the late Dr. Bernard B. Brodie (Figure 2), head of the famous Laboratory of Chemical Pharmacology of the National Heart Institute. That is how I came to work under Dr. Brodie for about five months, starting in August 1955. The timing of my arrival there was extremely fortunate. Brodie and his colleagues had just made a breakthrough discovery a few months before, namely that the administration of reserpine, a recently introduced antipsychotic and antihypertensive drug, caused the virtually complete disappearance of serotonin from the brain and other tissues^[1, 2] (Figure 3).

MD. This letter was forwarded via the late Dr. Sidney Udenfriend to his superior, the late Dr. Bernard B. Brodie (Figure 2), head of the famous Laboratory of Chemical Pharmacology of the National Heart Institute. That is how I came to work under Dr. Brodie for about five months, starting in August 1955. The timing of my arrival there was extremely fortunate. Brodie and his colleagues had just made a breakthrough discovery a few months before, namely that the administration of reserpine, a recently introduced antipsychotic and antihypertensive drug, caused the virtually complete disappearance of serotonin from the brain and other tissues^[1, 2] (Figure 3).

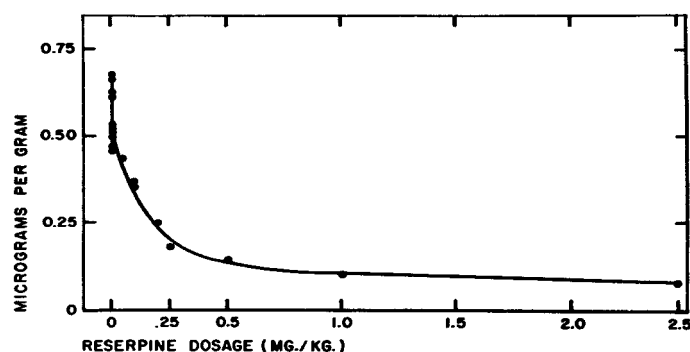


Figure 3. Brain level of serotonin four hours after the administration of various intravenous doses of reserpine. (Taken from ref. [2].)

“Apprentice to genius”

Brodie was a remarkably charismatic and intensive person. He was generally called Steve Brodie. This referred to a saloon keeper named Steve Brodie, who at the beginning of the

[a] Prof. Dr. A. Carlsson
Department of Pharmacology
Göteborg University
Medicinaregatan 7, Box 431, 405 30 Göteborg (Sweden)
Fax: (+46) 31-821795
E-mail: arvid.carlsson@pharm.gu.se

[**] Copyright © The Nobel Foundation, 2001. We thank the Nobel Foundation, Stockholm, for permission to print this lecture.

previous century had jumped off the Brooklyn Bridge in order to win a bet. Bernard Brodie, too, was a sensation seeker who in his youth had started on a career as a boxer, but later switched to become an organic chemist. He then confined his sensation seeking to nonphysical adventures. He liked to call himself a gambler. He had gained a tremendous reputation as a pioneer in the area of drug metabolism and should perhaps rightly be called the father of modern biochemical pharmacology. A large number of his apprentices, coming from various parts of the world, later became prominent figures in pharmacology (see ref. [3]). In the 1950s, after hearing about the sensational clinical actions of the new antipsychotic drugs and the ability of the hallucinogenic LSD to block the effects of serotonin on various peripheral organs, he decided to enter the field of psychopharmacology. While knowing very little about the brain, he had a tremendous trump card in being able to determine for the first time serotonin and similar molecules in the brain by using the prototype of a new instrument developed in his own lab together with Sidney Udenfriend and Dr. Robert Bowman. This instrument, the spectrophotofluorimeter, was to replace previous bioassays and to revolutionize drug research and neurotransmitter pharmacology for several decades.

This research soon led to the breakthrough discovery just mentioned, that is, the depletion of serotonin stores by reserpine treatment. For the first time a bridge seemed to have been built between the biochemistry of the brain and some important brain functions, with some obvious neuropsychiatric implications.

Brodie and his colleagues, especially Dr. Parkhurst Shore, generously introduced me to the new analytical methods and the use of the new instrument. I proposed to Brodie that we investigate the effect of reserpine on the catecholamines in view

of their chemical similarity to serotonin. But Brodie thought this would be waste of time. He was so sure that serotonin was the target to focus upon.

A "Rosetta stone"?

But I felt that a look at the catecholamines might be worthwhile. To get started quickly I would then need a partner specialized in the catecholamine field. Again I was incredibly lucky. Of all the people working in that field at the time, the most clever partner in such a project was located at my home university, the University of Lund: Professor Nils-Åke Hillarp (Figure 4). I wrote to him from Bethesda and proposed a collaboration, and he agreed. Thus, a most fruitful collaboration started, lasting until his untimely death in 1965. Hillarp's personality was different from that of Brodie in many respects, but they were similar in terms of brilliance, charisma, and intensity. His background was histology and histochemistry, but his knowledge extended far into physiology and biochemistry.

In the spring of the following year Hillarp and I got the first results. We demonstrated the depletion of catecholamines from the adrenal medulla of rabbits following treatment with reserpine.^[4] This was before I had acquired my own miraculous instrument, the so-called Aminco-Bowman spectrophotofluorimeter. The only instrument we had for the determination of catecholamines was a colorimeter, using the method of von Euler and Hamberg.^[5] But we did not need any instrument, because the absence of a color development in the samples from reserpine-treated rabbits could be seen with the naked eye.

The same results were obtained when we analyzed heart and brain, in the latter case using our new instrument. We also found that sympathetic nerves no longer responded to nerve stimulation following reserpine treatment, apparently due to depletion of transmitter.^[6] Thus, depletion of catecholamines could be the cause of the behavioral inhibition induced by reserpine. To investigate this we gave 3,4-dihydroxyphenylalanine (DOPA) to reserpine-treated animals and thus discovered the dramatic reversal of the reserpine-induced syndrome by this catecholamine precursor^[7] (Figure 5). The reason we used the precursor was that the catecholamines are not capable of penetrating from the blood into the brain because of the blood-brain barrier.

We then analyzed the brains of DOPA-treated animals, and much to our disappointment we were unable to detect any restoration of noradrenaline levels. Experiments with monoamine oxidase (MAO) inhibitors clearly showed that a monoamine rather than DOPA itself was responsible for the behavioral response, and thus we were forced to look for the intermediate in the conversion of DOPA to noradrenaline: dopamine (Scheme 1).

At that time dopamine was considered to be of no interest at all because of its low physiological activity when tested on



Figure 4. Nils-Åke Hillarp (1916–1965). (Photograph by Georg Thieme.)

Arvid Carlsson,

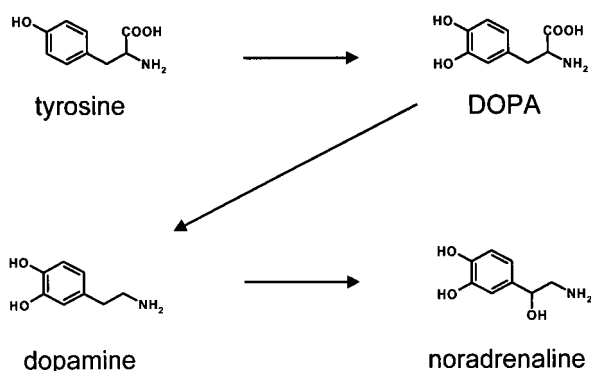
born in Uppsala (Sweden) in 1923, studied medicine and received his doctoral degree at the University of Lund (Sweden) in 1951 with a thesis on the use of radioactive isotopes in calcium metabolism. In the same year, he was appointed Assistant Professor at this university. In 1955, he went to the National Institutes of Health in Bethesda (USA) for a five-month period of research with Bernard B. Brodie



at the Laboratory of Chemical Pharmacology of the National Heart Institute. He returned to the University of Lund in 1956 and was appointed Associate Professor. In 1959, he moved to the University of Göteborg (Sweden) where he was Professor of Pharmacology until his retirement in 1989. His many outstanding contributions to the field of neurotransmitter research have received recognition through numerous awards, including the Wolf Prize in Medicine (1979) and the Japan Prize in Psychology and Psychiatry (1994), culminating in the 2000 Nobel Prize in Physiology or Medicine.



Figure 5. Rabbits treated with reserpine (5 mg kg^{-1} intravenously), before (top) and after administration of DL-DOPA (200 mg kg^{-1} intravenously, bottom). (Taken from ref. [49], photograph by Tor Magnusson.)



Scheme 1. Biosynthetic pathway for noradrenaline.

various smooth-muscle preparations. We had to develop a method for determining dopamine because no such method was available at the time.^[8] We could then show that dopamine normally occurs in the brain in an amount somewhat higher than that of noradrenaline, that it disappears on reserpine treatment, and that the antireserpine action of DOPA is closely correlated to the restoration of dopamine levels in the brain. We also showed that the restoration of serotonin levels by treatment with its precursor 5-hydroxytryptophan did not lead to any reversal of the reserpine syndrome.^[9]

The classical method in physiology to prove a function of a natural constituent is to remove the constituent in question and demonstrate a loss of function, and then to reintroduce the constituent and demonstrate a restoration of the same function. We thought we had done this in the case of dopamine. We could easily exclude possible alternative explanations, such as a role of noradrenaline and serotonin and a direct action of L-DOPA. In fact, our enthusiasm made us think that now we had found the Rosetta stone that would give us access to the chemical language of the brain.

Later we found the unique distribution of dopamine in the brain, with an accumulation in the basal ganglia, that is, structures known to be involved in motor functions. This, taken

together with the fact that a characteristic side effect of reserpine is to mimic very faithfully the syndrome of Parkinsonism and to induce a similar symptomatology in animals, led us to conclude that depletion of dopamine will induce the Parkinson syndrome and that treatment with L-DOPA will alleviate that syndrome by restoring the dopamine level. All this I presented at the First International Symposium on Catecholamines, held at the National Institutes of Health (Bethesda, MD) in October 1958.^[10, 11]

A battle in London

A year and a half later, in March 1960, a Ciba Foundation Symposium on Adrenergic Mechanisms was held in London.^[12] I then presented the same data and some additional support obtained from studies on the action of monoamine oxidase inhibitors. At this meeting practically all of the most eminent experts in this area participated. The central figure was Sir Henry Dale, a Nobel Laureate aged 85 but still remarkably vital (Figure 6). He dominated the scene, and the participants, many of whom were his former students, treated him with enormous respect, like school children treat their headmaster, although many of them had indeed reached a mature age.

To better understand how our dopamine story was received at this meeting it may be useful to recapitulate briefly the development following Otto Loewi's discovery of chemical transmission in the frog heart.^[13] During the following decades evidence accumulated, supporting the existence of chemical transmission in various parts of the peripheral nervous system. Dale and his collaborators played an important role here. They had, however, been fiercely attacked by a number of neurophysiologists, who argued in favor of an electrical transmission across the synapses. The most eminent proponent of this view was Sir John Eccles. The debates between Dale and Eccles had been quite vivid, as witnessed by several attendants of these debates between what was called the "sparks" and the "soup". Despite the sometimes harsh wordings, the debates between Dale and Eccles over the years ended in mutual respect and admiration.^[14] Doubts about a chemical transmission were particularly strongly expressed concerning the central nervous system. In the mid 1950s, however, Eccles had placed one foot in the "soup" camp, based on his own observation that a recurrent collateral of the motor neurone, impinging on the so-called Renshaw cells, seemed to operate by cholinergic transmission. This was, however, a very special case, given the fact that motor neurons are cholinergic. Apart from this finding, as pointed out by McLennan^[15] in his monograph on "Synaptic Transmission", there was no evidence in favor of chemical transmission in the central nervous system.

At this meeting in London, the debate that followed upon our paper entitled "On the biochemistry and possible functions of



Figure 6. Sir Henry Dale (1875–1968).

dopamine and noradrenaline in the brain" and a subsequent special discussion session, revealed a profound and nearly unanimous skepticism regarding our points of view. Our data as such were not questioned. Actually, some confirmatory animal experiments were reported at the meeting, and I referred to a paper by Degkwitz et al.,^[16] in which an anti-reserpine action of DOPA in humans was reported. Dale expressed the view that L-DOPA is a poison, which he found remarkable for an amino acid. Marthe Vogt concluded that the views expressed by Brodie and us regarding a function of serotonin and catecholamines, respectively, in the brain would not have a long life. W. D. M. Paton referred to some unpublished experiments indicating that the catecholamines are located in glia. In his concluding remarks John Gaddum stated that at this meeting nobody had ventured to speculate on the relation between catecholamines and the function of the brain. But this was what I had insisted upon throughout the meeting, so the clear message to me was that I was nobody!

In retrospect, I believe almost everybody would agree that our story and its implications were straightforward and obvious. How was it possible that these eminent experts rejected the whole concept? I have no definite answer. Clearly, the pharmacologists had great difficulty in accepting that dopamine could be an agonist in its own right, given its poor physiological effect on smooth-muscle preparations. The idea of DOPA being a mysterious poison probably came out of some experiments, reported at the meeting, in which large doses of this amino acid, given to laboratory animals together with a monoamine oxidase inhibitor, could cause paralysis, convulsions, and death. In addition, I believe that the previous "sparks-and-soup" debates still had some impact. In these debates, some elaborate criteria for a neurotransmitter had been formulated. Our data were of a different kind and these criteria were not applicable. In this regard I and my collaborators, like my mentor Steve Brodie, simply had the advantage of being ignorant and not so much burdened by dogma.

A paradigm shift

But it would not be long until the scene changed dramatically. Hillarp also attended the London meeting. On our trip back to Sweden, we agreed we should increase our efforts to convince the world that chemical transmission does indeed exist in the brain. Our idea was that Hillarp join me to work full-time on research in our new and well-equipped Department of Pharmacology of the University of Göteborg, where I had been appointed Professor and Chairman the year before. We managed to obtain a grant from the Swedish Medical Research Council to set Hillarp free from his teaching duties in Lund. He could start full-time research in Göteborg already in the autumn of 1960.

We felt that the ability of catecholamines to yield fluorescent conversion products might be useful for their visualization under the microscope. We first tried a modification of the trihydroxy-indole method.^[17] It worked beautifully for the adrenal medulla, but not in other tissues. Hillarp then turned to another reaction that had been used for the quantitative assay of indoleamines, using formaldehyde as a reagent. Together with his skillful

research assistant, the late George Thieme (see Figure 11), he worked out a model system in which they managed to optimize the reaction conditions.^[18] Subsequently, together with his former student Bengt Falck, Hillarp used air-dried preparations of iris and mesenterium and discovered that the reaction worked beautifully, thus permitting the visualization of noradrenaline in adrenergic nerves and serotonin in mast cells under the fluorescence microscope. This led to an intense collaboration between our Department of Pharmacology in Göteborg and Hillarp's original Department of Histology in Lund, and finally, after Hillarp's move to take over the chair of the Histology Department at the Karolinska Institute in 1963, with an enthusiastic group of young students in his new department (Figure 7). Thus, within a few years the neuronal localization of dopamine, noradrenaline, and serotonin in the central and peripheral nervous system was clearly established (Figure 8). Moreover, the major monoaminergic pathways could be mapped (Figure 9), and the site of action of the major psychotropic drugs clarified (Figure 10).^[19, 20]



Figure 7. Group picture, taken in January 1965, showing the group of young researchers recruited by Hillarp after his move to the Karolinska Institute in 1962. (Taken from ref. [19], photograph by Lennart Nilsson.)

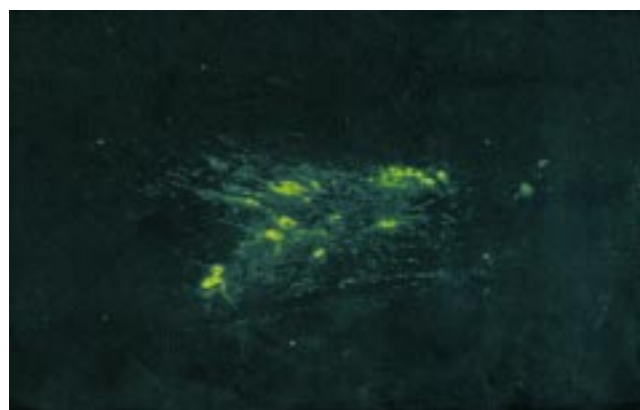


Figure 8. Dopaminergic cell bodies in rat substantia nigra. Green fluorescence developed following treatment with formaldehyde vapour. (By courtesy of Annica Dahlström.)

As mentioned, a large number of people were engaged in this effort. Sadly, many of these people have passed away already, in many cases prematurely. Among these Georg Thieme (Figure 11) has already been mentioned. Margit Lindqvist (Figure 12), a very skillful laboratory assistant, who matured to become a qualified

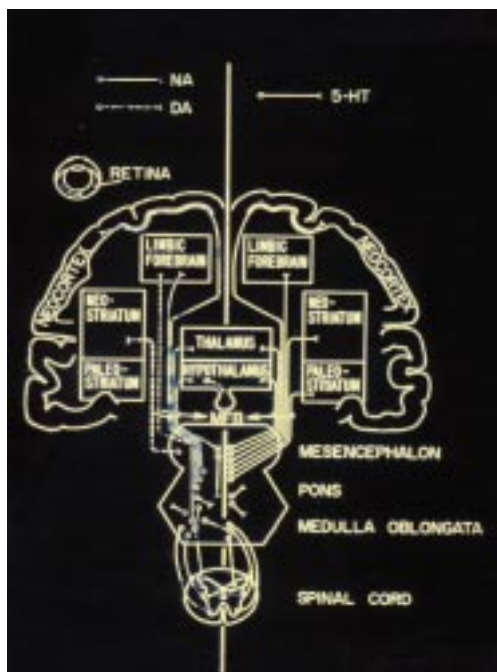


Figure 9. Monoaminergic pathways in the brain. (Taken from ref. [50].) DA = dopamine, 5-HT = 5-hydroxytryptamine (serotonin), MFB = medial fore-brain bundle, NA = noradrenaline.

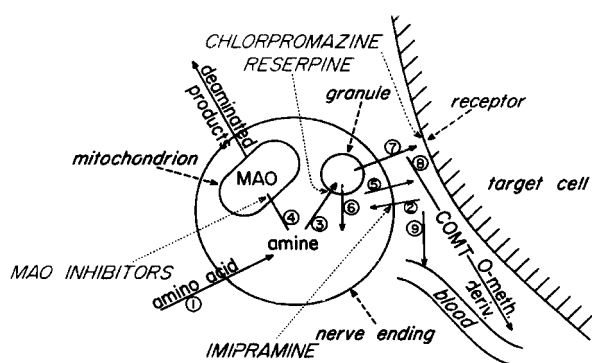


Figure 10. Scheme of a monoaminergic synapse, with the sites of action of major classes of psychotropic drugs indicated. (Taken from ref. [20].) COMT = catechol-O-methyltransferase, MAO = monoamine oxidase.



Figure 11. Georg Thieme (1926–1996).

research worker, played an enormous role already from the outset of my scientific career. Nils-Erik Andén (Figure 13) and Jan Häggendal (Figure 14) were originally students of mine who became outstanding pharmacologists and largely contributed to characterizing both central and peripheral monoaminergic transmission (for some of their early work see ref. [21]). Hans Corrodi (Figure 15), a very skillful organic chemist who moved to Sweden because of his love for the mountains in Northern

Sweden, contributed much to clarify the chemistry of the formaldehyde histofluorescence method and to many other projects, especially the development of the first selective serotonin reuptake inhibitor (SSRI; see below).

In February 1965, an international symposium entitled “Mechanisms of Release of Biogenic Amines” was held in Stockholm,^[22] with most of the major figures of that research field participating. In his introductory remarks Professor Uvnäs mentioned that “... these amines play an important role as chemical mediators in the peripheral and central nervous system ...”. None of the participants of this symposium expressed any doubt about this point. It looks as though a paradigm shift had taken place between 1960 and 1965.

It goes without saying that the concept of chemical transmission has had a profound impact on practically every aspect of brain research. In so far as neurology and psychiatry are concerned, a couple of examples are summarized below.

“Awakenings”

Following our above-mentioned proposal of a role of dopamine in Parkinsonism, some important parallel and apparently independent developments took place in Austria, Canada, and Japan. These will now be briefly commented upon, starting with Austria.

Later in the same year as the Symposium on Adrenergic Mechanisms, there appeared in *Klinische Wochenschrift* a paper in German, describing a marked reduction of dopamine in the brains of deceased patients who had suffered from Parkinson’s disease and post-encephalitic Parkinsonism.^[23] This was soon followed by a paper by Birkmayer and Hornykiewicz,^[24] in which a temporary improvement of akinesia was reported following a single intravenous dose of L-DOPA to Parkinson patients.



Figure 12. Margit Lindqvist (1924–1978).



Figure 13. Nils-Erik Andén (1937–1990).



Figure 14. Jan Häggendal (1932–1992).



Figure 15. Hans Corrodi (1929–1974).

As far as I can gather from an autobiography of Hornykiewicz^[25] as well as a personal communication from him, the following had happened. I wish to mention this in some detail, because it illustrates how the interaction of different minds can lead to important progress. In 1958, Hornykiewicz was approached by his mentor Professor Lindner or, according to a different version, by his superior, Professor Brücke, who tried to persuade him to analyze the brain of a Parkinson patient, which the neurologist Walter Birkmayer wanted to be analyzed for serotonin. Presumably Birkmayer had been impressed by Brodie's already mentioned discovery in 1955 of the depletion of this compound by reserpine, and in contrast to many neurologists at that time, he was aware of its possible implications. Shortly afterwards, in 1959, Hornykiewicz read about our work on dopamine and its role in the Parkinson syndrome. He then decided to include dopamine and noradrenaline in the study. In fact, in the subsequent work serotonin had to be left out initially because of some technical problems.

Hornykiewicz and his postdoctoral fellow Ehringer were now facing a challenge, because they had no adequate equipment to measure dopamine. But they managed to overcome this problem by using the purification of the brain extracts by ion exchange chromatography that our research group had worked out. The subsequent measurement was performed by using the colorimetric method of Euler and Hamberg.^[5] Although this method by itself is highly unspecific, specificity could be obtained by using our purification step together with our finding that dopamine is by far the dominating catecholamine in the basal ganglia, where it occurred in high concentrations. They had to work up several grams of tissue and concentrate the extracts by evacuation to dryness. Following this heroic procedure they were richly rewarded, because the samples from the Parkinsonian brains, in contrast to the controls, turned out to be colorless, as revealed by the naked eye!

The corresponding development of Parkinson research in Canada is summarized in a paper by Barbeau et al.^[26] presented at a meeting in Geneva in September of the previous year. The main findings of the Canadian workers was a reduction of the urinary excretion of dopamine in Parkinson patients and an alleviation of the rigidity of such patients following oral treatment with L-DOPA.

In Japan some remarkable progress was made, which has not been adequately paid attention to in the Western countries.^[27, 28] In a lecture on the 5th of August, 1959, less than a year after my lecture at the International Catecholamine Symposium mentioned above, the basic concept regarding the role of dopamine in the basal ganglia in Parkinson's disease was presented by I. Sano.^[29] In this lecture data on the distribution of dopamine in the human brain were presented for the first time. In a lecture in Tokyo on the 6th of February, 1960, Sano reported on reduced amounts of dopamine in the basal ganglia of a Parkinson patient, analyzed post mortem, and in the same year he published a paper describing alleviation of rigidity in a Parkinson patient following intravenous administration of DL-DOPA.^[30]

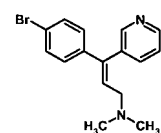
Thus treatment of Parkinson patients with DOPA was initiated simultaneously in three different countries only a few years after the discovery of the anti-reserpine action of this agent and the

subsequent formulation of the concept of a role of dopamine in extrapyramidal functions. While this treatment led to results of great scientific interest, it took several years until it could be implemented as routine treatment of Parkinson patients. The reason was that the treatment regimens used initially were inadequate and led to but marginal improvement of questionable therapeutic value.^[31] It remained for George Cotzias^[32] to develop an adequate dose regimen. After that, L-DOPA treatment rapidly became the golden standard for the treatment of Parkinson's disease.

When I had seen Cotzias' impressive film demonstrating the effect of escalating oral doses of L-DOPA at a meeting in Canada, I hastened back to Göteborg and initiated studies together with Drs. Svanborg, Steg, and others, which quickly confirmed Cotzias' observations,^[33] like in many other places at the same time. This success story was soon afterwards told to the general public by Oliver Sacks in "Awakenings",^[34] which became a bestseller and was also made into a movie.

Role of serotonin in depression: zimelidine, the first SSRI

The so-called tricyclic antidepressants, with imipramine as the prototype, were serendipitously discovered in the late 1950s, thanks to Kuhn, a psychiatrist and a keen clinical observer. In the early 1960s, these agents were found to block the reuptake of noradrenaline by nerve terminals, thus enhancing the adrenergic transmission mechanism. In 1968 we discovered that many antidepressants also could block the reuptake of serotonin,^[35] and this prompted us to develop a compound that selectively blocked the reuptake of serotonin without acting on noradrenaline. Such agents are now known as selective serotonin reuptake inhibitors (SSRIs). This first agent was called zimelidine, whose



zimelidine

preclinical properties we first described.^[36] Zimelidine turned out to be an active antidepressant agent with a very favorable side effect profile,^[37] apart from a very rare, but serious side effect, presumably based on an immunological mechanism, that led to its withdrawal from the market. But zimelidine was followed by several other SSRIs, among which Prozac is especially well known, not least because of the bestseller titled "Listening to Prozac" by P. D. Kramer.^[38] In this book, Prozac is stated to be able to treat not only patients with depression and a variety of anxiety disorders, as had previously been amply demonstrated for many SSRIs, but also to be able to change the personality of people with psychological problems. Kramer was especially astonished by the fact that disturbances, which would have taken several months of psychotherapy to control, could be alleviated within a few days of treatment with Prozac. This

favorable action, making people feel and function better, even if they were not mentally ill in the conventional sense, is a fascinating but, needless to say, controversial issue. Less controversial is probably the 25% reduction in suicide rates in Sweden in the 1990s, apparently related to the introduction of the SSRIs.^[39] In any event, the SSRIs represent a major therapeutic advance as well as a milestone in rational drug development.^[40]

The development of zimelidine was based on our discovery that certain antihistamines are serotonin-reuptake-blocking agents, albeit nonselective. The most powerful agents among these were the pheniramines and diphenhydramine.^[41] We started out from the pheniramines and developed zimelidine. The scientists at Eli Lilly, the pharmaceutical company that markets Prozac, started out from our diphenhydramine data and developed prozac, which was found to act very much like zimelidine, though being devoid of its serious side effect.

Dopaminergic stabilizers—a novel pharmacologic principle

In 1963 Margit Lindqvist and I presented the first evidence supporting the view that the most important group of antipsychotic agents, represented by agents such as chlorpromazine and haloperidol, act by blocking receptors for dopamine, and to some extent also receptors for noradrenaline (Figure 16).^[42] This conclusion has later been confirmed and extended in numerous laboratories, and techniques have been developed to screen for such agents in test tube experiments. One might have expected then that this should have led to the development of drugs with stronger efficacy and less side effects. Unfortunately, this has not happened.

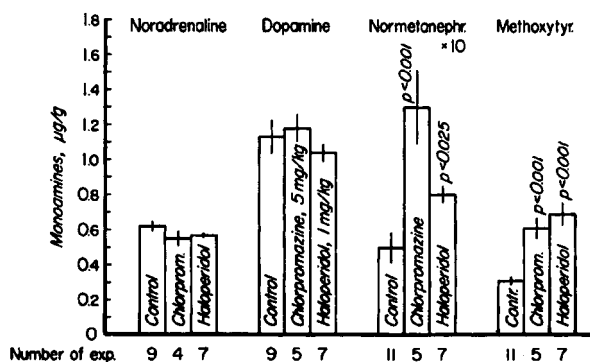


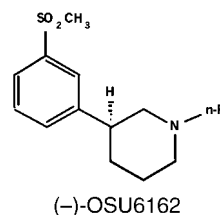
Figure 16. Accumulation of the basic catecholamine metabolites normetanephrine and 3-methoxytyramine, enhanced by treatment with major neuroleptic agents following monoamine oxidase inhibition. (Taken from ref. [42].)

We have hypothesized that the cause of this failure is that treatment with dopamine receptor antagonists can hardly avoid the serious and unpleasant side effects induced by dopamine hypofunction. Even though there is evidence of elevated dopaminergic activity in schizophrenia, this may be limited to psychotic episodes. In fact, we may be dealing with an instability of the dopamine release rather than a continuously elevated

baseline. Thus, between psychotic episodes, the patient would then suffer from a dopaminergic hypofunction, especially during treatment with the currently used antipsychotic agents, showing up as a severe disturbance of the reward system and of cognition, and also as motor disturbances. This may make it impossible to attain an adequate dose level (for discussion and references see ref. [43]).

We believe that we can now get around this problem by using a new principle of intervention that we call dopaminergic stabilization. The underlying mechanism is complicated, but in principle it rests on the existence of mutually antagonistic subpopulations of dopamine D2 receptors, as regards the final functional outcome. For example, the presynaptically located dopaminergic autoreceptors are inhibitory on the overall dopaminergic activity. Dopaminergic stabilizers are dopamine D2 antagonists or partial D2 agonists capable of occupying mutually opposing receptor subpopulations in such proportions as to leave the normal baseline dopaminergic activity level essentially unchanged. This leads to stabilization by dampening fluctuations of dopamine release, simply because fewer dopamine receptors are unoccupied and thus available for the endogenous neurotransmitter.

Using the dopaminergic stabilizer (–)-OSU6162 developed by our research group, partly in collaboration with Upjohn (now merged into Pharmacia Corporation), we have demonstrated the



stabilization phenomenon in experimental animals (Figure 17) and, in preliminary clinical studies, its pharmacotherapeutic potential in L-DOPA-induced dyskinesias in Parkinson patients, in Huntington's disease (Figure 18), and in schizophrenia.^[44–46]

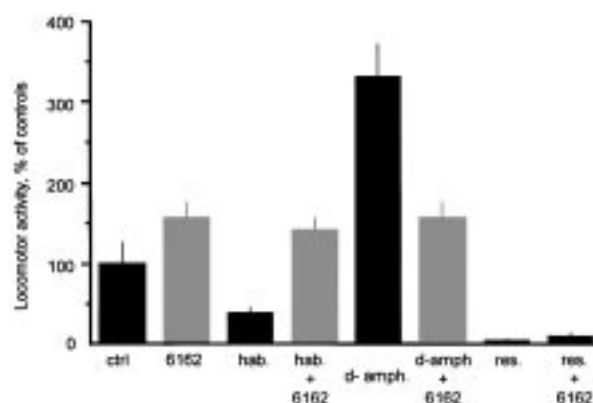


Figure 17. Stabilizing action of (–)-OSU6162 in rats. Black bars: no treatment with (–)-OSU6162; gray bars: treatment with (–)-OSU6162; "ctrl": actively exploring control rats; "hab.": rats habituated to their environment; "d-amph": rats treated with d-amphetamine; "res.": reserpine. Note: Treatment with one and the same dose of (–)-OSU6162 can induce stimulation of behavior when baseline activity is low (habituated rats) and inhibition when the activity is high (d-amphetamine pretreatment).

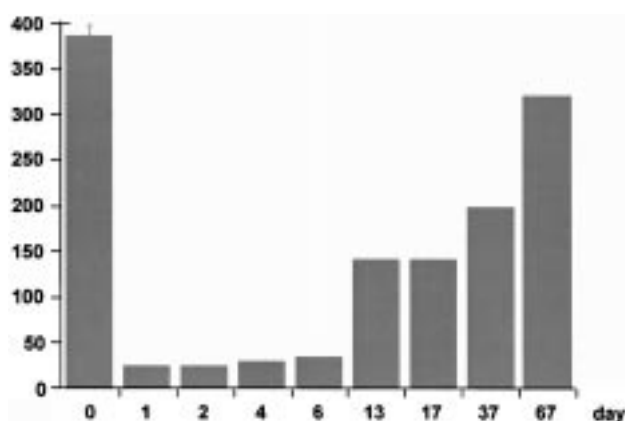


Figure 18. Choreatic events at baseline and following administration of 0.5 mg kg^{-1} (–)–OSU6162 as an intravenous infusion during 30 minutes to a patient with Huntington's disease. (Taken from ref. [44].)

The partial dopamine receptor agonist preclamol ((–)-3-PPP) has likewise a dopaminergic stabilizer profile. This agent was discovered by our research group and is under development in collaboration with Dr. Tamminga and her colleagues at the Maryland Psychiatric Research Center.^[47]

Our experience with dopaminergic stabilizers suggests that research into neurotransmitter pathophysiology has until now focused too much on the hyper- versus hypofunction dichotomy. Although the instability concept is by no means new, there has not been much of a goal-directed strategy aiming at stabilizing neurocircuits involved in neuropsychiatric disorders. Our preliminary data suggest that such an approach can lead to enormous gains in the treatment of a great variety of neurological and psychiatric disorders.

Outlook

During the past half-century, brain research has been dominated by biochemical approaches, in contrast to the previous half-century, which had a strong electrophysiological emphasis. This switch is understandable in view of the entrance of the neurohumoral transmission concept into brain research in conjunction with the spectacular progress of molecular biology. However, it must be recognized that the brain is not a chemical factory, but an extremely complicated survival machine. In order to bring all the forthcoming biochemical observations into a meaningful framework, it will prove necessary to emphasize more strongly aspects of neurocircuits and connectivity and to do so both at the microscopic and macroscopic levels. For example, the old questions dealing with neurocircuits within a cerebral region such as the cortex and those addressing the interaction between the different regions will in all probability come into focus more strongly in order to make full use of the new knowledge gained from neurotransmitter physiology and molecular biology. Here the new imaging techniques in conjunction with advanced computer-dependent statistics, involving pattern recognition derived from a wealth of data with great complexity, will probably prove extremely useful and

very much help to bridge the gap between animal and human observations. If nothing else, such approaches will help to reveal the enormous width of our present ignorance of the human brain.

During my scientific career I have had the privilege to work with hundreds of other research workers, highly qualified technicians, and other personnel, to whom I owe a lot. Only about forty of these people are mentioned in this text including the reference list. Sadly, a considerable number of these people have already passed away, in many cases prematurely. Some of these, to whom I have a special debt of gratitude, have been commemorated with pictures. Throughout my professional career I have enjoyed excellent working conditions, first for almost two decades at the University of Lund, Sweden, and thereafter, for four decades, at the University of Göteborg. My five-month visit to the National Institutes of Health had obviously a decisive and extremely positive impact on my career.

I have received generous support from numerous sources, among which the following need to be mentioned specially: The Swedish Medical Research Council, The Swedish Board of Technical Development, The Knut och Alice Wallenberg Foundation, and during the critical late 1950s and early 1960s, US Air Force and National Institutes of Health (USA), and more recently from the Theodore & Vada Stanley Foundation (USA). In addition, I have enjoyed a fruitful collaboration with generous financial support from several major pharmaceutical companies, especially Astra/Hässle (Sweden), The Upjohn Company (USA), Organon (The Netherlands), and Aventis (previously Hoechst Marion Roussel; Germany).

To express in a few words my debt of gratitude to my wife, Ulla-Lisa, and to the rest of my family is not possible. Here I wish to refer to my autobiography,^[48] in which I have also had the opportunity to go into further detail in several other respects.

- [1] "Serotonin Release as a Possible Mechanism of Reserpine Action": A. Pletscher, P. A. Shore, B. B. Brodie, *Science* **1955**, 122, 374–375.
- [2] "Serotonin as a Mediator of Reserpine Action in Brain": A. Pletscher, P. A. Shore, B. B. Brodie, *J. Pharmacol. Exp. Ther.* **1956**, 116, 84–89.
- [3] R. Kanigel, *Apprentice to Genius, The Making of a Scientific Dynasty*, Macmillan, New York, **1986**, pp. 1–271.
- [4] "Release of Adrenaline from the Adrenal Medulla of Rabbits Produced by Reserpine": A. Carlsson, N.-Å. Hillarp, *K. Fysiogr. Saellsk. Lund Foerh.* **1956**, 26, 8.
- [5] "Colorimetric Determination of Noradrenaline and Adrenaline": U. S. von Euler, U. Hamberg, *Acta Physiol. Scand.* **1949**, 19, 74–84.
- [6] "Effect of Reserpine on the Metabolism of Catecholamines": A. Carlsson, E. Rosengren, Å. Bertler, J. Nilsson in *Psychotropic Drugs* (Eds.: S. Garattini, V. Ghetti), Elsevier, Amsterdam, **1957**, pp. 363–372.
- [7] "3,4-Dihydroxyphenylalanine and 5-Hydroxytryptophan as Reserpine Antagonists": A. Carlsson, M. Lindqvist, T. Magnusson, *Nature* **1957**, 180, 1200.
- [8] "A Fluorimetric Method for the Determination of Dopamine (3-Hydroxytyramine)": A. Carlsson, B. Waldeck, *Acta Physiol. Scand.* **1958**, 44, 293–298.
- [9] "On the Presence of 3-Hydroxytyramine in Brain": A. Carlsson, M. Lindqvist, T. Magnusson, B. Waldeck, *Science* **1958**, 127, 471.
- [10] "The Occurrence, Distribution and Physiological Role of Catecholamines in the Nervous System": A. Carlsson, *Pharmacol. Rev.* **1959**, 11, 490–493.
- [11] "Occurrence and Distribution of Dopamine in Brain and Other Tissues": Å. Bertler, E. Rosengren, *Experientia* **1959**, 15, 10.

- [12] *Ciba Foundation Symposium on Adrenergic Mechanisms* (Eds.: G. E. W. Wolstenholme, M. O'Connor, J. R. Vane), Churchill Livingstone, Edinburgh, **1960**, pp. 1–632.
- [13] "Über humorale Übertragbarkeit der Herznervenwirkung (I. Mitteilung)": O. Loewi, *Pfluegers Arch. Gesamte Physiol. Menschen Tiere* **1921**, 189, 239–242.
- [14] B. Katz in *The History of Neuroscience in Autobiography, Vol. 1* (Ed.: L. R. Squire), Society for Neuroscience, Washington, **1996**, pp. 348–381.
- [15] H. McLennan, *Synaptic Transmission*, Saunders, Philadelphia, **1963**, pp. 1–134.
- [16] "Über die Wirkungen des L-DOPA beim Menschen und deren Beeinflussung durch Reserpin, Chlorpromazin, Iproniazid und Vitamin B₆": R. Degkwitz, R. Frowein, C. Kulenkampff, U. Mohs, *Klin. Wochenschr.* **1960**, 38, 120–123.
- [17] "A New Histochemical Method for Visualization of Tissue Catecholamines": A. Carlsson, B. Falck, N.-Å. Hillarp, G. Thieme, A. Torp, *Med. Exp.* **1961**, 4, 123–125.
- [18] "Fluorescence of Catecholamines and Related Compounds Condensed with Formaldehyde": B. Falck, N.-Å. Hillarp, G. Thieme, A. Torp, *J. Histochem. Cytochem.* **1962**, 10, 348–354.
- [19] "Making Visible the Invisible" (Recollections of the First Experiences with the Histochemical Fluorescence Method for Visualization of Tissue Monoamines): A. Dahlström, A. Carlsson in *Discoveries in Pharmacology, Vol. 3* (Eds.: M. J. Parnham, J. Bruinvels), Elsevier, Amsterdam, **1986**, pp. 97–128.
- [20] "Physiological and Pharmacological Release of Monoamines in the Central Nervous System": A. Carlsson in *Mechanisms of Release of Biogenic Amines* (Eds.: U. S. von Euler, S. Rosell, B. Uvnäs), Pergamon, Oxford, **1966**, pp. 331–346.
- [21] "Adrenergic Mechanisms": N.-E. Andén, A. Carlsson, J. Häggendal, *Annu. Rev. Pharmacol.* **1969**, 9, 119–134.
- [22] *Mechanisms of Release of Biogenic Amines* (Eds.: U. S. von Euler, S. Rosell, B. Uvnäs), Pergamon, Oxford, **1966**.
- [23] "Verteilung von Noradrenalin und Dopamin (3-Hydroxytyramin) im Gehirn des Menschen und ihr Verhalten bei Erkrankungen des extrapyramidalen Systems": H. Ehringer, O. Hornykiewicz, *Klin. Wochenschr.* **1960**, 38, 1236–1239.
- [24] "Der L-3,4-Dioxyphenylalanin (=L-DOPA)-Effekt bei der Parkinson-Akinese": W. Birkmayer, O. Hornykiewicz, *Wien. Klin. Wochenschr.* **1961**, 73, 787–788.
- [25] "From Dopamine to Parkinson's Disease: A Personal Research Record": O. Hornykiewicz in *The Neurosciences: Paths of Discovery II* (Eds.: F. Samson, G. Adelman), Birkhäuser, Boston, **1992**, pp. 125–148.
- [26] "Les catecholamines dans la maladie de Parkinson": A. Barbeau, T. L. Sourkes, G. F. Murphy in *Monoamines et système nerveux central*, Georg et C^{ie}, Geneva, **1962**, pp. 247–262.
- [27] "Discovery of Dopamine Deficiency and the Possibility of Dopa Therapy in Parkinsonism": T. Nakajima in *Parkinson's Disease. From Clinical Aspects to Molecular Basis* (Eds.: T. Nagatsu, H. Narabayashi, M. Yoshida), Springer, Wien, **1991**, pp. 13–18.
- [28] "The L-DOPA Story Revisited. Further Surprises to Be Expected? The Contribution of Isamo Sano to the Investigation of Parkinson's Disease": P. Foley, *Adv. Res. Neurodegener.* **2000**, 8, 1–20.
- [29] "Biochemical Studies of Aromatic Monoamines in the Brain": I. Sano in *Japanese Medicine in 1959. The Report on Scientific Meetings in the 15th General Assembly of the Japan Medical Congress, Vol. V*, **1959**, pp. 607–615.
- [30] "Biochemistry of Extrapyrmidal Motor System": I. Sano, *Shinkei Kenkyu no Shinpo (Advances in Neurological Sciences)* **1960**, 5, 42–48; English translation in *Parkinsonism and Related Disorders* **2000**, 6, 3–6.
- [31] "Metabolism of Brain Dopamine in Human Parkinsonism: Neurochemical and Clinical Aspects": O. Hornykiewicz in *Biochemistry and Pharmacology of the Basal Ganglia* (Eds.: E. Costa, L. K. J. Côté, M. D. Yahr), Raven, New York, **1966**, pp. 171–186.
- [32] "Aromatic Amino Acids and Modification of Parkinsonism": G. C. Cotzias, M. H. Van Woert, L. M. Schiffer, *N. Engl. J. Med.* **1967**, 276, 374–379.
- [33] "Oral L-DOPA Treatment of Parkinsonism": N.-E. Andén, A. Carlsson, J. Kerstell, T. Magnusson, R. Olsson, B.-E. Roos, B. Steen, G. Steg, A. Svanborg, G. Thieme, B. Werdinus, *Acta Med. Scand.* **1970**, 187, 247–255.
- [34] O. Sacks, *Awakenings*, Gerald Duckworth, London, **1973**, pp. 1–408.
- [35] "The Effect of Imipramine on Central 5-Hydroxytryptamine Neurons": A. Carlsson, K. Fuxe, U. Ungerstedt, *J. Pharm. Pharmacol.* **1968**, 20, 150–151.
- [36] P. B. Berntsson, P. A. E. Carlsson, H. R. Corrodi, *Belg. Pat. 781105*, **1972** (72-4-14).
- [37] "Recent Advances in the Treatment of Depression": *Acta Physiol. Scand., Suppl. 240* (Eds.: A. Carlsson, C.-G. Gottfries, G. Holmberg, K. Modigh, T. H. Svensson, S.-O. Ögren) **1981**, 63, 1–477.
- [38] P. D. Kramer, *Listening to Prozac*, Penguin, New York, **1993**.
- [39] "Suicide Prevention—a Medical Breakthrough?": G. Isacsson, *Acta Psychiatr. Scand.* **2000**, 102, 113–117.
- [40] "The Discovery of the SSRIs: A Milestone in Neuropsychopharmacology and Rational Drug Design": A. Carlsson in *Selective Serotonin Reuptake Inhibitors* (Ed.: S. C. Stanford), RG Landes Company, Austin, **1999**, pp. 1–8.
- [41] "Central and Peripheral Monoaminergic Membrane-Pump Blockade by Some Addictive Analgesics and Antihistamines": A. Carlsson, M. Lindqvist, *J. Pharm. Pharmacol.* **1969**, 21, 460–464.
- [42] "Effect of Chlorpromazine or Haloperidol on the Formation of 3-Methoxytyramine and Normetanephrine in Mouse Brain": A. Carlsson, M. Lindqvist, *Acta Pharmacol. Toxicol.* **1963**, 20, 140–144.
- [43] "Network Interactions in Schizophrenia—Therapeutic Implications": A. Carlsson, N. Waters, S. Waters, M. L. Carlsson, *Brain Res. Rev.* **2000**, 31, 342–349.
- [44] "Long-Lasting Improvement Following (–)-OSU6162 in a Patient With Huntington's Disease": J. Tedroff, A. Ekesbo, C. Sonesson, N. Waters, A. Carlsson, *Neurology* **1999**, 53, 1605–1606.
- [45] "Functional Consequences of Dopaminergic Degeneration": A. Ekesbo, PhD Thesis, Uppsala University, **1999**, pp. 1–59.
- [46] "(–)-OSU6162 Induces a Rapid Onset of Antipsychotic Effect after a Single Dose. A Double-Blind Placebo-Controlled Study" (Paper presented at the Scandinavian Society for Psychopharmacology 41st Annual Meeting, April 1999, Copenhagen): O. Gefvert, L. H. Lindström, O. Dahlbäck, C. Sonesson, N. Waters, A. Carlsson, J. Tedroff, *Nordic J. Psychiatr.* **2000**, 54 (2), 93–94.
- [47] "Antipsychotic Properties of the Partial Dopamine Agonist (–)-3-(3-Hydroxyphenyl)-N-n-propylpiperidine (Preclamol) in Schizophrenia": A. C. Lahti, M. A. Weiler, P. K. Corey, R. A. Lahti, A. Carlsson, C. A. Tamminga, *Biol. Psychiatry* **1998**, 43, 2–11.
- [48] A. Carlsson in *The History of Neuroscience in Autobiography, Vol. 2* (Ed.: L. R. Squire), Academic Press, San Diego, **1998**, pp. 28–66.
- [49] "Zur Frage der Wirkungsweise einiger Psychopharmaka": A. Carlsson, *Psychiatr. Neurol.* **1960**, 140, 220–222.
- [50] "Studies on central monoamine neurons with special reference to the nigro-neostriatal dopamine neuron system": K. Fuxe, N.-E. Andén in *Biochemistry and Pharmacology of the Basal Ganglia* (Eds.: E. Costa, L. C. Côté, M. D. Yahr) Raven, New York, **1966**, pp. 123–130.

Received: February 14, 2001 [A243]