

Influence of Ball-Milling Atmosphere on Decrease of Molecular Weight of Polyvinylpyrrolidone Powders

NOBUYOSHI KANENIWA and AKIKO IKEKAWA

School of Pharmaceutical Sciences, Showa University¹⁾

(Received February 2, 1972)

The rate of a decrease of mean molecular weight and molecular weight distribution of polyvinylpyrrolidone (PVP) powders changed by ball-milling in nitrogen, air or oxygen were investigated, and influence of a ball-milling atmosphere on the probability in which a PVP molecule was broken in a unit time and molecular size distribution of the polymers formed by the break of a PVP molecule in a unit time was discussed.

If the function representing the probability in which a PVP molecule was cut off in a unit time, $S(M)$, was proportional to $(M - M_\infty)^a$, a was considered to be between 1.0 and 1.5, and to be 1.0 for the case of ball-milling in nitrogen or air and to be larger than 1.0 for the case of ball-milling in oxygen.

It was considered to be much probable that a PVP molecule with molecular weight of 1.6×10^6 was broken both at the center and at the molecular weight between 6×10^3 and 1.1×10^4 from the end of a main chain by ball-milling in nitrogen, and at the molecular weight of 3.6×10^4 from the end by ball-milling in air or oxygen. It seemed to be possible that a PVP molecule with molecular weight of 1.6×10^6 was broken at the molecular weight between 5.0×10^4 and 3.7×10^5 from the end by ball-milling in oxygen.

It was reported in the previous paper that the rate of a decrease of molecular weight of polyvinylpyrrolidone (PVP) powders by ball-milling was influenced by the atmosphere.²⁾ The rate of a decrease of molecular weight of PVP by ball-milling is controlled by the probability in which a PVP molecule is cut off by impact stress of balls in a unit time and by molecular weight distribution of the polymers formed by the break of a main chain of a PVP molecule under ball-milling in a unit time.

In this work, influence of a ball-milling atmosphere on these two factors controlling the rate of a decrease of molecular weight of PVP was investigated.

Experimental

The material used in this work was PVP K90 purchased from Wako Junyaku Kogyo Co. Monobasic potassium phosphate (KH_2PO_4) and dibasic sodium phosphate (Na_2HPO_4) were of special grade.

The method of ball-milling PVP K90 was the same as reported in the previous paper, with the exception of the revolving velocity of the mill of 120 r.p.m.²⁾ A viscosity of the KH_2PO_4 - Na_2HPO_4 buffer solution (PH: 6.3, ionic intensity: 0.05) of the material was measured by the method reported in the previous paper.²⁾ Molecular weight distribution of the sample was also investigated according to the same method as referred to the previous paper, by eluting with the KH_2PO_4 - Na_2HPO_4 buffer solution.²⁾

Result

1) Rate of a Decrease of mean Molecular Weight

In our another experiment, it was suggested that conformation of a PVP molecule in an aqueous solution was influenced by molecular weight and the chemical structure partially changed by ball-milling, and that of a molecule in an acidic solution was hardly influenced by them. Then, a viscosity of the KH_2PO_4 - Na_2HPO_4 buffer solution of ball-milled PVP K90 was measured and molecular weight of the sample, M , was obtained by equation (1), which was wade

1) Location, *Hatanodai, 1-5-8, Shinagawa-ku, Tokyo.*

2) N. Kaneniwa and A. Ikekawa, *Yakuzaigaku*, 31, 201 (1971); *idem*, *Zairyo*, 20, 720 (1971).

from our results on molecular weight of PVP obtained by application of Frank's equation.^{2,3)}

$$[\mu] = K_i M^{\alpha_i} \tag{1}$$

$$K_i = 5.5 \times 10^{-4} \text{ (100 ml/g), } \alpha_i = 0.58$$

$[\mu]$: Intrinsic viscosity of the $\text{KH}_2\text{PO}_4\text{-Na}_2\text{HPO}_4$ buffer solution of PVP

In Fig. 1, molecular weight of ball-milled PVP K90 was plotted versus ball-milling time. At the first stage of ball-milling, the rate of a decrease of molecular weight of PVP was the largest in oxygen and the smallest in nitrogen, which was the same results obtained by the measurement of a viscosity of the aqueous solution of the sample in the previous paper.²⁾ The relation between the tangent of the "molecular weight-ball-milling time" curve, $-dM/dt$, and molecular weight of ball-milled PVP, M , was investigated. Then, Eqs. (2), (3) and (4) were found to be applicable to a decrease of molecular weight of PVP under ball-milling in nitrogen, air and oxygen, respectively, as shown in Fig. 2, 3 and 4.

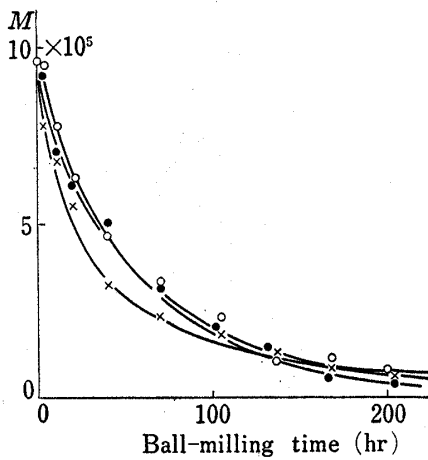


Fig. 1. Decrease of Molecular Weight, M , of PVP Powders by Ball-Milling

atmosphere ○: in nitrogen
●: in air
×: in oxygen

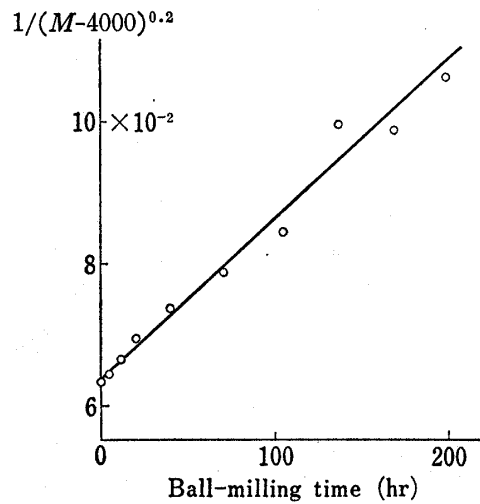


Fig. 2. Rate of Decrease of Molecular Weight of PVP Powders under Ball-Milling in Nitrogen

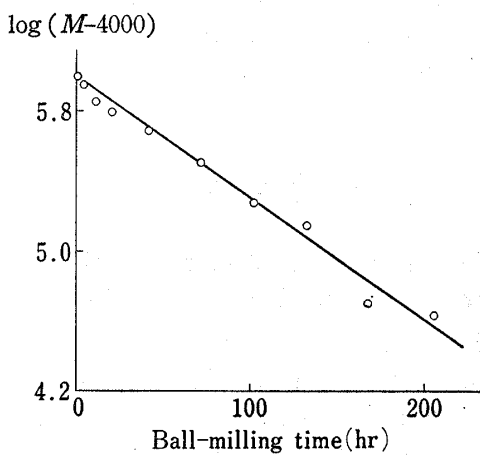


Fig. 3. Rate of Decrease of Molecular Weight of PVP Powders under Ball-Milling in Air

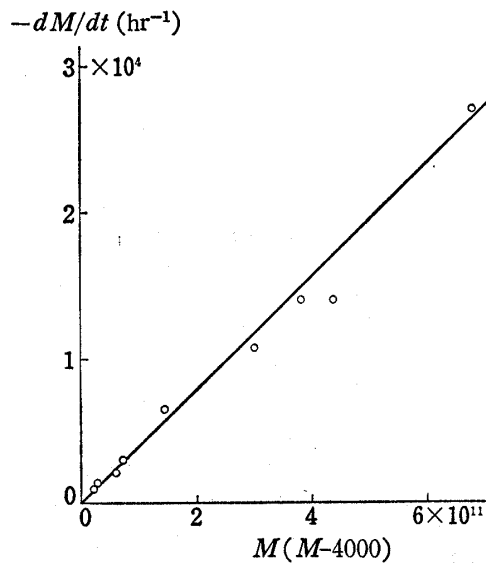


Fig. 4. Rate of Decrease of Molecular Weight of PVP Powders under Ball-Milling in Oxygen

3) H. Frank and G. Levy, *J. Polymer Sci.*, 10, 371 (1953).

$$\begin{aligned}
 -dM/dt &= k_n(M-4000)^{1.2} & (2) \\
 -dM/dt &= k_a(M-4000) & (3) \\
 -dM/dt &= k_oM(M-4000) & (4) \\
 k_n, k_a, k_o &: \text{constants}
 \end{aligned}$$

2) Molecular Weight Distribution of PVP changed by Ball-Milling

Fig. 5, 6 and 7 show molecular weight distribution of PVP changed by ball-milling in nitrogen, air and oxygen, respectively. In these cases, two peaks were observed in molecular

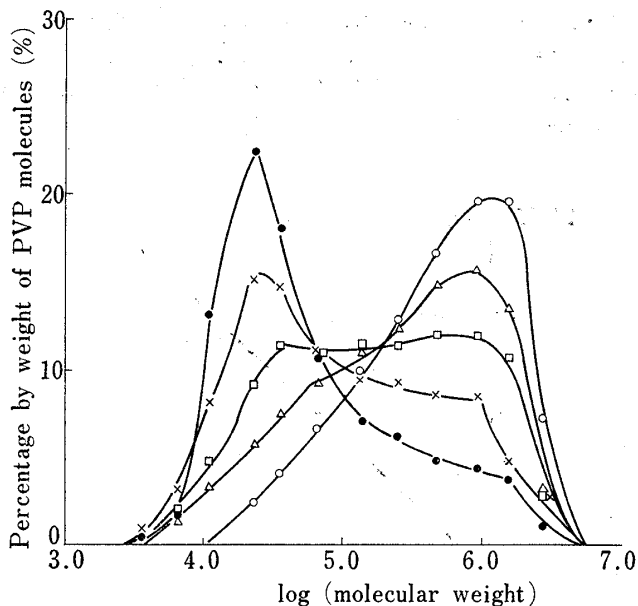


Fig. 5. Molecular Weight Distribution of PVP Powders Changed by Ball-Milling in Nitrogen

ball-milling time (hr)
 ○: 0 △: 30 □: 67 ×: 124 ●: 200

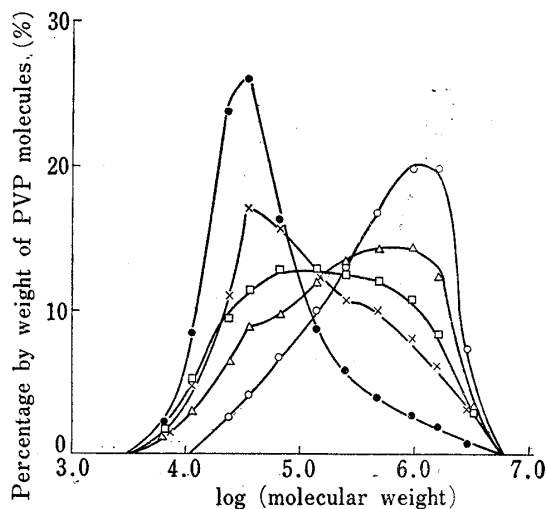


Fig. 6. Molecular Weight Distribution of PVP Powders Changed by Ball-Milling in Air

ball-milling time (hr)
 ○: 0 △: 21 □: 54 ×: 103 ●: 205

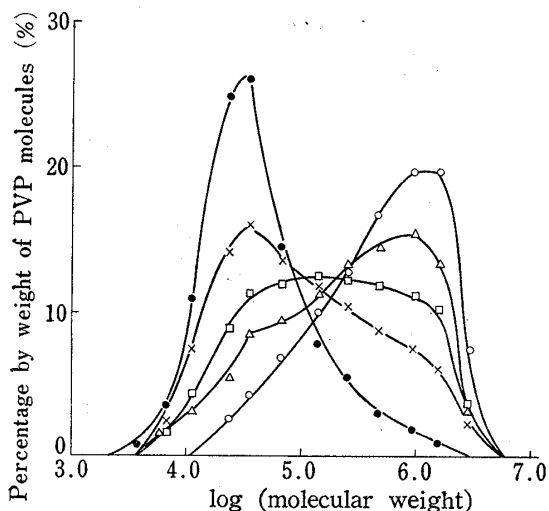


Fig. 7. Molecular Weight Distribution of PVP Powders Changed by Ball-Milling in Oxygen

ball-milling time (hr)
 ○: 0 △: 21 □: 54 ×: 104 ●: 204

weight distribution curves of the samples, and the peak at the molecular weight of approximately 10^6 decreased and the peak at the molecular weight between 2×10^4 and 4×10^4 increased with an increase of ball-milling time.

Discussion

1) Molecular Size Distribution of the Polymers formed by the Break of a PVP Molecule

Each sample was fractionated into eleven fractions by Sepharose 6B. Molecular weight distribution of the sample can be represented by a vector obtained by arranging the numerical values of the percentage by weight of PVP in each fraction in order of high molecular weight. When $(x_1, x_2, \dots, x_{11})$ is the vector

of molecular weight distribution of the original PVP and $(x'_1, x'_2, \dots, x'_{11})$ is that of the ball-milled PVP, Eq. (5) is applied.

$$\sum_{i=1}^{11} x_i = \sum_{i=1}^{11} x_i' = 1 \quad (5)$$

Here, A_{ij} is defined as Eq. (6), where SF_{ij} is the weight of PVP removed from the j th fraction to the i th fraction by ball-milling and SD_j is the weight of PVP in the j th fraction before ball-milling.

$$A_{ij} = SF_{ij}/SD_j \quad (1 \leq i, j \leq 11) \quad (6)$$

If it is assumed that ball-milling causes only a decrease of molecular weight of PVP, Eqs. (7) and (8- i) ($i=1-11$) are applied.

$$i < j \quad A_{ij} = 0, \quad i \geq j \quad 0 \leq A_{ij} \leq 1, \quad \sum_{i=1}^{11} A_{ij} = 1 \quad (7)$$

$$x_1' = A_{11}x_1 \quad (8-1)$$

$$x_2' = A_{21}x_1 + A_{22}x_2 \quad (8-2)$$

$$\vdots \quad \vdots \quad \vdots$$

$$x_{11}' = A_{111}x_1 + A_{112}x_2 + \dots + A_{1111}x_{11} \quad (8-11)$$

Equation (8- i) ($i=1-11$) is represented by Eq. (9).

$$\begin{vmatrix} A_{11} & 0 & 0 & 0 \\ A_{21} & A_{22} & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ A_{111} & A_{112} & \dots & \dots & A_{1111} \end{vmatrix} \begin{vmatrix} x_1 \\ x_2 \\ \vdots \\ x_{11} \end{vmatrix} = \begin{vmatrix} x_1' \\ x_2' \\ \vdots \\ x_{11}' \end{vmatrix} \quad (9)$$

In this work, the matrix in Eq. (9) with the element of A_{ij} is called a degradation matrix.

Probability in which a PVP molecule is cut off under ball-milling in a unit time is considered to be represented by a function of molecular weight, $S(M)$. Baramboim reported that the rate of a decrease of molecular weight of some polymers by ball-milling was proportional to $(M/M_\infty - 1)$, that is, to the number of the sections broken by the impact stress of balls, where M_∞ was the lowest molecular weight obtained by ball-milling.⁴⁾ Therefore, in this work, $S(M)$ was assumed to be represented by Eq. (10).

$$S(M) = (K'/M_\infty^\alpha)(M - M_\infty)^\alpha = K(M - M_\infty)^\alpha \quad (10)$$

$$K = K'/M_\infty^\alpha, \quad K, K': \text{constants}$$

α : A parameter dependent on a ball-milling atmosphere and so on

The element of the degradation matrix, A_{jj} , is equal to the percentage by weight of PVP which was not broken by ball-milling in the j th fraction. Accordingly, $(1 - A_{jj})$ is equal to the percentage by weight of PVP which was broken by ball-milling in the j th fraction. Equation (11) is applicable, if probability in which PVP molecules in the j th fraction are cut off is proportional to the number of the molecules in the fraction.

$$1 - A_{jj} = K''(M_j - M_\infty)^\alpha / M_j \quad (11)$$

M_j : Mean molecular weight of PVP in the j th fraction

K'' : A parameter dependent on a ball-milling time and so on

The numerical value of A_{11} can be obtained from Eq. (8-1). Therefore, all the values of A_{jj} can be obtained by Eq. (11). Then, the numerical value of A_{21} can also be obtained by

4) N.K. Baramboim, *Zh. Fiz. Khim.*, **32**, 432 (1958); *idem*, *Dokl. Akad. Nauk SSSR*, **114**, 568 (1957).

Eq. (8-2). If probability in which a PVP molecule with the molecular weight of M_i is broken at the molecular weight of M_x from the end of a main chain is assumed to depend on $(M_x - M_\infty)/M_i$ and to be independent of M_j , Eq. (12) is applicable between A_{ij} ($i \neq j$, $i > 1$) and A_{i1} , where k' is the largest of the integers which are equal to or smaller than $(n-i)(i-j)/(n-j)$ and k'' is the largest of the integers which are equal to or smaller than $(n-i)(i-j-1)/(n-j)$.

$$A_{ij} = S(M_j)/S(M_1) \left[\sum_{k=2}^{k'} A_{k1} + \{(n-i)(i-j)/(n-j) - k'\} A_{k'+1} \right. \\ \left. - \sum_{k=2}^{k''} A_{k1} - \{(n-i)(i-j-1)/(n-j) - k''\} A_{k''+1} \right] \quad (12)$$

Under the assumptions mentioned above, the values of A_{ij} were calculated in five cases that α is equal to 0, 0.5, 1.0, 1.5, and 2.0. Abnormally large values of $\sum_{i=1}^{11} A_{ij}$ were obtained in cases that α is equal to 0, 0.5, and 2.0, respectively. Reasonable values were obtained for all the elements in a degradation matrix, when α is assumed to be between 1.0 and 1.5. Negative values larger than -1.0 were obtained for some of the elements. These negative values were considered to be due to the experimental errors included in x_i and x_i' , and these elements were regarded as zero. The obtained values of $\sum_{i=1}^{11} A_{ij}$ were between 0.9 and 1.1, and each of the elements was corrected by proportional calculation so that the values of $\sum_{i=1}^{11} A_{ij}$ were 1.0. In Table I is tabulated an example of a degradation matrix with the corrected values of A_{ij} .

TABLE I. Degradation Matrix for Ball-Milling PVP Powders in Nitrogen for 11 hours ($\alpha=1.0$)

0.510	0	0	0	0	0	0	0	0	0	0
0.317	0.565	0	0	0	0	0	0	0	0	0
0	0.280	0.606	0	0	0	0	0	0	0	0
0.070	0.014	0.254	0.658	0	0	0	0	0	0	0
0	0.048	0.028	0.221	0.695	0	0	0	0	0	0
0.007	0.003	0.028	0.042	0.195	0.730	0	0	0	0	0
0.064	0.034	0.006	0.008	0.043	0.174	0.765	0	0	0	0
0	0.025	0.051	0.036	0.005	0.039	0.166	0.795	0	0	0
0	0	0	0.013	0.040	0.039	0.020	0.162	0.810	0	0
0.031	0.025	0.019	0.013	0.007	0	0.031	0.030	0.152	0.842	0
0.003	0.006	0.009	0.011	0.015	0.020	0.017	0.014	0.038	0.158	1.000

Molecular size distribution of the polymers formed by the break of a PVP molecule with molecular weight of 1.6×10^6 was calculated from the values of A_{ij} . As shown in Fig. 8, three peaks were observed in molecular size distribution curves, the first peak at the molecular weight between 6.3×10^3 and 1.1×10^4 , the second peak at molecular weight of 3.6×10^4 and the third peak at molecular weight between 10^6 and 2.5×10^5 . It is considered to be much probable that a PVP molecule with molecular weight of 1.6×10^6 is cut off at the molecular weight of 6.3×10^3 — 1.1×10^4 and 3.6×10^4 from the end, and at the center of a main chain.

Molecular size distribution of the polymers formed by the break of a PVP molecule with molecular weight of 1.6×10^6 by ball-milling for infinitely short time was obtained by extrapolating the "percentage by number of the polymers in each fraction formed by the break of a PVP molecule with molecular weight of 1.6×10^6 —ball-milling time" curve (See Fig. 9).

The polymers formed by a break of a PVP molecule with molecular weight of 1.6×10^6 were fractionated into four fractions, as shown in Table II. Table II shows the numerical values of the percentage by number of the molecules in each fraction formed by ball-milling

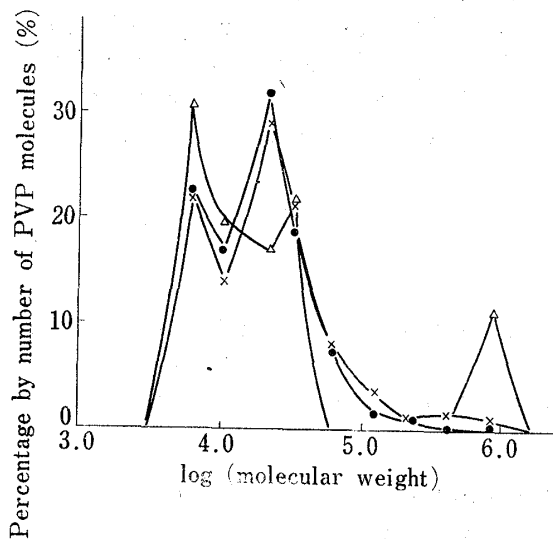


Fig. 8. Molecular Size Distribution of the Polymers Formed by the Break of a PVP Molecule with Molecular Weight of 1.6×10^6 by Ball-Milling in Nitrogen

(calculated under the assumption that α is 1.0)
 ball-milling time (hr)
 Δ : 30 \times : 124 \bullet : 200

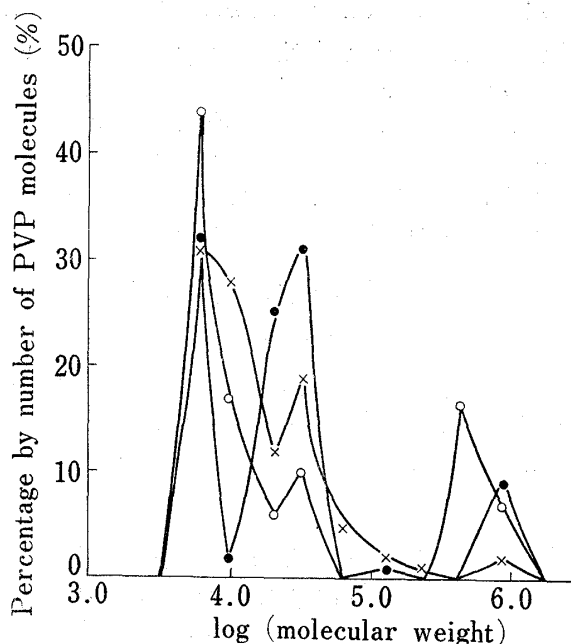


Fig. 9. Molecular Size Distribution of the Polymers formed by the Break of a PVP Molecule with Molecular Weight of 1.6×10^6 after Ball-Milling for Infinitely Short Time

atmosphere assumption
 \circ : in nitrogen $\alpha=1.0$
 \bullet : in air $\alpha=1.0$
 \times : in oxygen $\alpha=1.5$

a PVP molecule with molecular weight of 1.6×10^6 for infinitely short time, obtained by extrapolating the "percentage by number of the molecules in each fraction-ball-milling time" curve. From Fig. 9 and Table II, it seems to be confirmed as follows, without concern with the value of α . It is much probable that a PVP molecule is cut off at the molecular weight of 3.6×10^4 from the end of a main chain under ball-milling in an oxygen-containing atmosphere, and at the molecular weight of $6 \times 10^3 - 1.1 \times 10^4$ from the end and at the center under ball-milling in nitrogen.

TABLE II. Molecular Size Distribution of the Polymers Formed by the Break of a PVP Molecule with Molecular Weight of 1.6×10^6 by Ball-Milling for an Infinitely Short Time

α	Molecular weight	Ball-milling atmosphere		
		Nitrogen	Air	Oxygen
1.0	$1.6 \times 10^6 - 3.7 \times 10^5$	23 n/n %	9 n/n %	10 n/n ₁ %
	$3.7 \times 10^5 - 5.0 \times 10^4$	0	1	0
	$5.0 \times 10^4 - 1.8 \times 10^4$	16	56	52
	$1.8 \times 10^4 - 4.0 \times 10^3$	61	34	38
1.2	$1.6 \times 10^6 - 3.7 \times 10^5$	8		
	$3.7 \times 10^5 - 5.0 \times 10^4$	14		
	$5.0 \times 10^4 - 1.8 \times 10^4$	12		
	$1.8 \times 10^4 - 4.0 \times 10^3$	66		
1.5	$1.6 \times 10^6 - 3.7 \times 10^5$	8	1	2
	$3.7 \times 10^5 - 5.0 \times 10^4$	5	14	8
	$5.0 \times 10^4 - 1.8 \times 10^4$	15	34	31
	$1.8 \times 10^4 - 4.0 \times 10^3$	72	51	59

2) Rate of a Decrease of Mean Molecular Weight

It is now assumed that only the polymers with molecular weight of M_j are selectively broken by ball-milling, though the original PVP has wide molecular weight distribution. Then, the rate of a decrease of molecular weight of PVP by ball-milling is expressed by Eq. (13), where ϕ_j is the percentage by weight of PVP in the fraction of molecular weight of M_j and $B(M_j)$ is weight mean molecular weight of the polymers formed by the break of a PVP molecule with molecular weight of M_j .

$$-dM/dt = k_m(\phi_j/M_j)S(M_j)\{M_j - B(M_j)\} \quad (13)$$

k_m : a constant

In case that α is equal to 1.0, $[M_j - B(M_j)]$ is considered approximately to be inversely proportional to M_j when a PVP molecule is broken at the end, and to be constant when a PVP molecule is broken at the center. For these cases, Eq. (13) is expressed by Eqs. (14) and (15), respectively.

$$-dM/dt = k_m'(\phi_j/M_j)(M_j - M_\infty)\{M_j - B(M_j)\} \approx k_m''\phi_j \quad (14)$$

$$-dM/dt = k_m'(\phi_j/M_j)(M_j - M_\infty)\{M_j - B(M_j)\} \approx k_m'''\phi_j(M_j - M_\infty) \quad (15)$$

k_m, k_m', k_m'', k_m''' : constants

A molecular weight distribution curve is now assumed to bear a close resemblance to stairs where a percentage by weight of PVP, with molecular weight between M_j and $M_j + \Delta M_j$ is constant. In fact, all the molecules in a mill have probability to be broken by impact stress of balls. Therefore, the real rate of a decrease of molecular weight of PVP by ball-milling is expressed by Eq. (16) in case that a PVP molecule is broken at the end, and by Eq. (17) in case that a PVP molecule is broken at the center.

$$\begin{aligned} -dM/dt &\approx k_m'' \sum_j \phi_j \int_{M=M_j}^{M_j + \Delta M_j} dM / \sum_j \phi_j \\ &\approx k_m'' (\bar{M} - M_\infty) \end{aligned} \quad (16)$$

$$\begin{aligned} -dM/dt &\approx k_m''' \sum_j \phi_j \int_{M=M_j}^{M_j + \Delta M_j} (M - M_\infty) dM / \sum_j \phi_j \\ &\approx k_m''' \bar{M} (\bar{M} - M_\infty) \end{aligned} \quad (17)$$

\bar{M} : weight mean molecular weight of PVP

Equation (16) is identical with Eq. (3) for the case of ball-milling in air. Good applicability of Eq. (2) to ball-milling in nitrogen will be due to high probability in which a PVP molecule is broken both at the center and at the molecular weight of $6 \times 10^3 - 1.1 \times 10^4$ from the end.

It is not considered to be much probable, from Fig. 9 and Table II, that a PVP molecule is cut off at the center in oxygen, though Eq. (17) is identical with Eq. (4). This fact shows that α is larger than 1.0 for the case of ball-milling in oxygen. It seems to be possible from Table II that a PVP molecule is cut off at the molecular weight between 3.7×10^5 and 5.0×10^4 by ball-milling in oxygen. If α is 1.5 and $[M_j - B(M_j)]/M_j$ is inversely proportional to the square root of M_j , Eq. (18) is applicable under the same assumption as that for Eq. (13).

$$\begin{aligned} -dM/dt &= k_m(\phi_j/M_j)(M_j - M_\infty)^{1.5}\{M_j - B(M_j)\} \\ &\approx k_m'''\phi_j(M_j - M_\infty) \end{aligned} \quad (18)$$

k_m''' : a constant

Accordingly, in this case, a real rate of a decrease of molecular weight of PVP with wide molecular weight distribution by ball-milling is expressed by Eq. (19), under the assumption that a molecular weight distribution curve has a strong resemblance to stairs.

$$\begin{aligned}
 -dM/dt &\approx k_m'''' \sum_j \phi_j \int_{M=M_j}^{M_j+AM_j} (M-M_\infty) dM / \sum_j \phi_j \\
 &\approx k_m'''' \bar{M} (\bar{M} - M_\infty)
 \end{aligned}
 \tag{19}$$

Equation (19) is identical with Eq. (4).

From the above facts, it was clarified that a ball-milling atmosphere influenced both the probability in which a PVP molecule is broken and molecular size distribution of the polymers formed by the break of a PVP molecule in a unit time. The parameter α in Eq. (10) is considered to be between 1.0 and 1.5, and to be 1.0 for the case of ball-milling in nitrogen or air and to be larger than 1.0 for the case of ball-milling in oxygen. It is considered to be much probable that a PVP molecule is broken both at the center and at the molecular weight between 6×10^3 and 1.1×10^4 from the end by ball-milling in nitrogen, and at the molecular weight of 3.6×10^4 from the end by ball-milling in air or oxygen. It seems to be possible that a PVP molecule is broken at the molecular weight between 3.7×10^5 and 5.0×10^4 from the end by ball-milling in oxygen.