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1,6-Dihydro-3(2*H*)-pyridinones. III.¹⁾ A Formal Synthesis of (\pm)-Catharanthine²⁾

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On treatment with ethyl vinyl ether containing mercuric acetate, the allylic alcohol (5) afforded the aldehyde (7), which was acetalized to 8. Hydroboration-oxidation of 8 was followed by pyridinium chlorochromate (PCC) oxidation to yield two isomeric ketones (11 and 12), of which the former was subjected to acidic hydrolysis to afford the 2-azabicyclo[2.2.2]octanone (3b) in excellent yield. On the other hand, the diketal (22) derived from 11 also gave the *N*-benzyloxycarbonyl analogue (3c) on acidic treatment. Ketalization of 3c and subsequent oxidation provided the ketone (27), which was transformed into the amide (29) *via* the amine (28). Cyclization of 29 furnished the pentacyclic product (30), which has already been converted into (\pm)-catharanthine (4) and (\pm)-velbanamine (31).

Keywords—dihydropyridinone; Claisen rearrangement; hydroboration-oxidation; 2-azabicyclo[2.2.2]octane; homoconjugation; intramolecular aldol reaction; catharanthine; velbanamine

In the previous papers of this series, we reported the synthesis for the first time of the *N*-substituted 1,6-dihydro-3(2*H*)-pyridinones (1), and the formation of the 2-azabicyclo[2.2.2]octanes (2) by the reaction of 1 with some 1,3-dicarbonyl compounds in the presence of base.^{1,3)} The 2-azabicyclo[2.2.2]octane ring system is of great interest because it constitutes a partial structure of the Iboga alkaloids. The *N*-substituted 7-hydroxy-2-azabicyclo[2.2.2]octan-6-one (3) could serve as a potential key intermediate for synthesis of these alkaloids because 3 bears the requisite functionalities (hydroxy and ketonic groups), properly situated, for construction of new carbon-carbon bonds. Although the *N*-methanesulfonyl analogue (3a) was previously prepared *via* 2 (R=SO₂Me, R¹=H, R²=OEt),¹⁾ this route was found to be impractical for

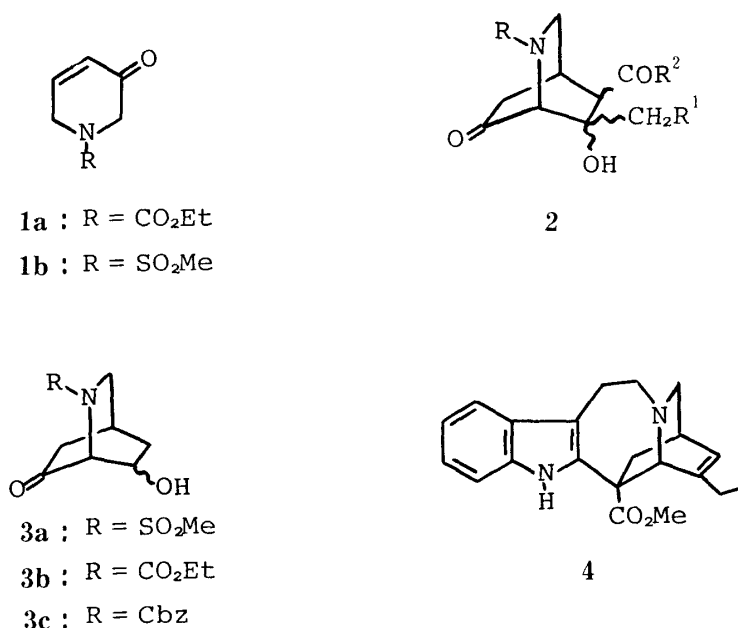


Chart 1

synthesis of the Iboga alkaloids because of the extended sequence required and the limitation on exchange of the *N*-protecting group. This paper describes a novel and efficient synthesis of *N*-substituted 7-hydroxy-2-azabicyclo[2.2.2]octan-6-ones (**3**) and a formal synthesis of (\pm)-catharanthine (**4**), one of the Iboga alkaloids, from **3c**.

The allylic alcohol (**5**), the synthetic precursor of **1a**,^{3,4} was treated with a large excess of ethyl vinyl ether in the presence of mercuric acetate⁵ at 200°C for 48 h to give the labile aldehyde (**7**) *via* the Claisen rearrangement of the ether (**6**). The aldehyde (**7**) was derived into the acetal (**8**) in 69% overall yield from **5** in a usual manner. Hydroboration of **8** with diborane and subsequent oxidation with alkaline hydrogen peroxide⁶ provided two regioisomeric alcohols (**9** and **10**) in 52 and 13% yields, respectively. These were oxidized to the corresponding ketones (**11** and **12**) with pyridinium chlorochromate (PCC) or by Jones oxidation. The structures of **11** and **12** were confirmed as follows. On reaction with vinylmagnesium bromide in tetrahydrofuran, the dihydropyridinone (**1a**) gave the 1,4-adduct (**13**; 23%) and the 1,2-adduct (**14**; 48%), of which the former was treated with ethylene glycol in the presence of *p*-toluenesulfonic acid to afford the ketal (**15**) in 69% yield. Hydration of **15** by the hydroboration-oxidation process was followed by PCC oxidation to yield the desired aldehyde (**16**) in 59% yield along with a trace amount of the ketone (**17**). Acetalization of **16** with ethylene glycol afforded the diketal (**18**; 86%), which was found to be identical with the product obtained by ketalization of **11** in the usual way.

Upon treatment with 10% hydrochloric acid in boiling acetone, either **11** or **16** provided the same product, ethyl 7-hydroxy-6-oxo-2-azabicyclo[2.2.2]octane-2-carboxylate (**3b**), in 98 or 70% yield, respectively. The formation of **3b** under the conditions employed can be

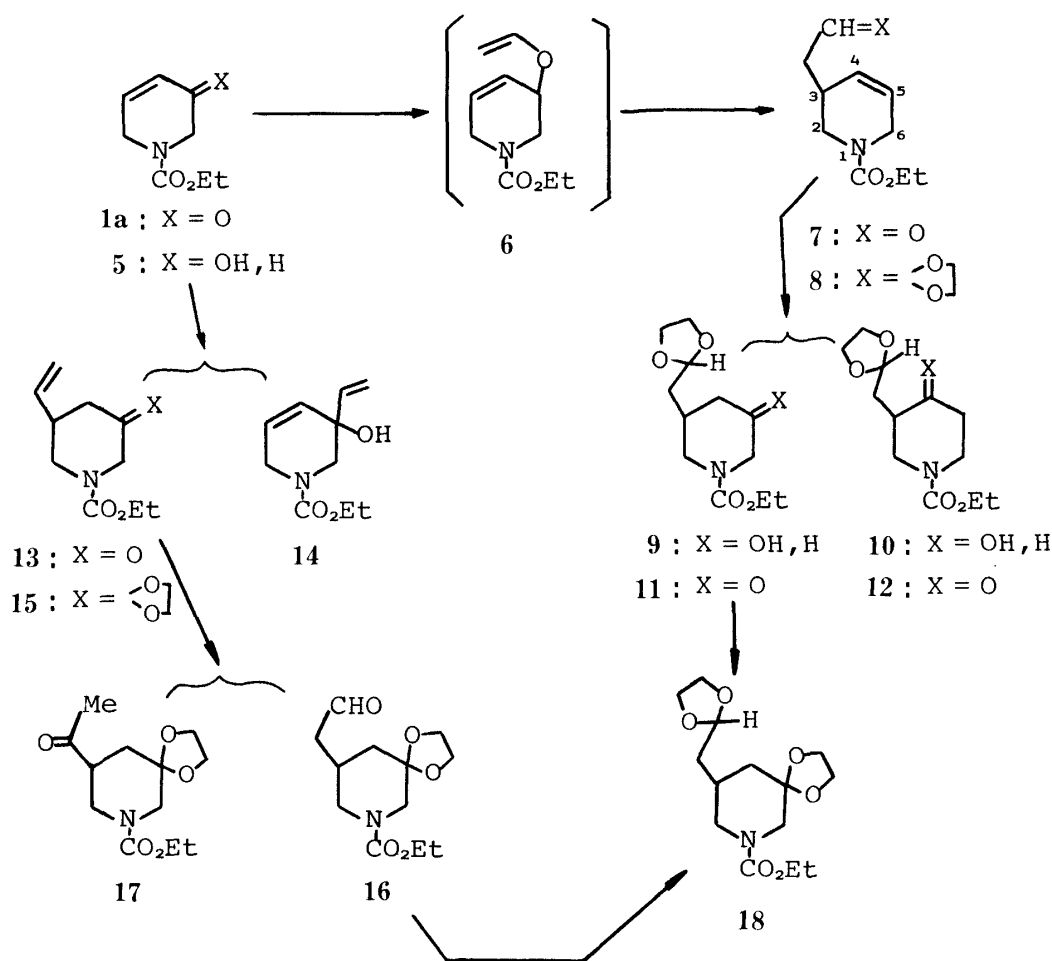


Chart 2

easily interpreted in terms of immediate cyclization of the initially formed intermediate (**19**) *via* an intramolecular aldol-type reaction even in the acidic medium.⁷⁾ The structure of **3b** was completely determined by spectral analysis of its benzoyl derivative (**20**), which was obtained from **3b** on treatment with benzoyl chloride in pyridine in 88% yield. The proton nuclear magnetic resonance (¹H-NMR) spectrum of **20** exhibited a broad signal at 4.58 ppm due to the C₁-proton and a doublet of doublets of doublets at 5.42 ppm (*J*=9, 4.5, and 3 Hz) attributable to the C₇-proton, and its infrared (IR) spectrum showed three carbonyl bands at 1738, 1715, and 1690 cm⁻¹ owing to the ketone,⁸⁾ ester, and urethane, respectively. On the other hand, the diketal (**18**) was hydrolyzed with potassium hydroxide in boiling aqueous ethanol to give the amine (**21**) in 79% yield, and this was acylated with carbobenzoxy chloride to afford the benzyl urethane (**22**) in 94% yield. Acidic hydrolysis of **22** also provided the 2-azabicyclo[2.2.2]octanone (**3c**), mp 95–96.5°C, in 89% yield.

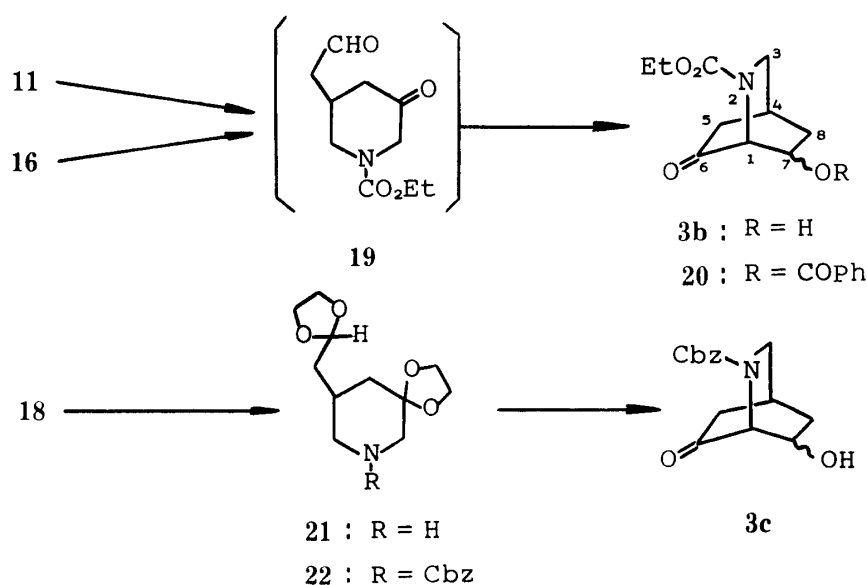


Chart 3

Thus, a new synthetic method for the 2-azabicyclo[2.2.2]octane ring system has been developed by utilizing an intramolecular aldol reaction as a key step. The present method seems to be of great value in that the produced azabicyclooctanone (**3**) bears the essential functional groups required for new carbon-carbon bond formation of the ring carbons and exchangeability of the *N*-substituent. As an application of this novel method to alkaloid syntheses, a formal synthesis of (±)-catharanthine (**4**) was investigated.

In order to prevent ring opening of the 2-azabicyclo[2.2.2]octane system *via* a retro-aldol process,⁹⁾ the alcohol (**3c**) was protected by acetylation to afford the ester (**23**) in 89% yield. On reaction with methyl orthoformate in methanol the ketone (**23**) was transformed into the dimethyl ketal (**24**), which was subjected to basic hydrolysis to give the alcohol (**25**) in 61% yield from **23**. Fortunately, direct ketalization of the ketone (**3c**) with methyl orthoformate gave the desired ketal (**25**) in 74% yield without any of the possible diketal (**26**). The successful formation of **25** can be attributed to the short reaction time (within 1 h). Oxidation of **25** with PCC in the presence of sodium acetate gave the ketone (**27**; 83%), the IR spectrum of which showed a ketone band at 1740 cm⁻¹. On hydrogenolysis over 5% palladium on carbon in methanol, the urethane (**27**) afforded the unstable amino ketone (**28**), which showed a carbonyl band at the rather lower frequency of 1720 cm⁻¹ in the IR spectrum, probably owing to participation of the homoconjugation depicted in **28'**. On treatment with β-indolylacetyl chloride,¹⁰⁾ the amine (**28**) was converted into the amide (**29**) in 88% yield calculated from **27**.

According to the known method,¹¹⁾ heating of **29** with *p*-toluenesulfonic acid in boiling benzene for a short time provided the pentacyclic compound, *rel*-(6*R*, 6*aS*, 9*R*)-6-methoxy-7,12-dioxo-6,6*a*,7,8,9,10,12,13-octahydro-6,9-methano-5*H*-pyrido[1', 2': 1, 2]azepino[4,5-*b*]indole (**30**), mp 281—282°C, in 69% yield. The product was proved by means of IR and ¹H-NMR spectroscopy to be identical with an authentic sample (**30**).¹¹⁾ Since the conversion of **30** into (±)-catharanthine (**4**) and (±)-velbanamine (**31**) has already been reported,¹¹⁾ our present synthesis of **30** represents a formal synthesis of **4** and **31**.

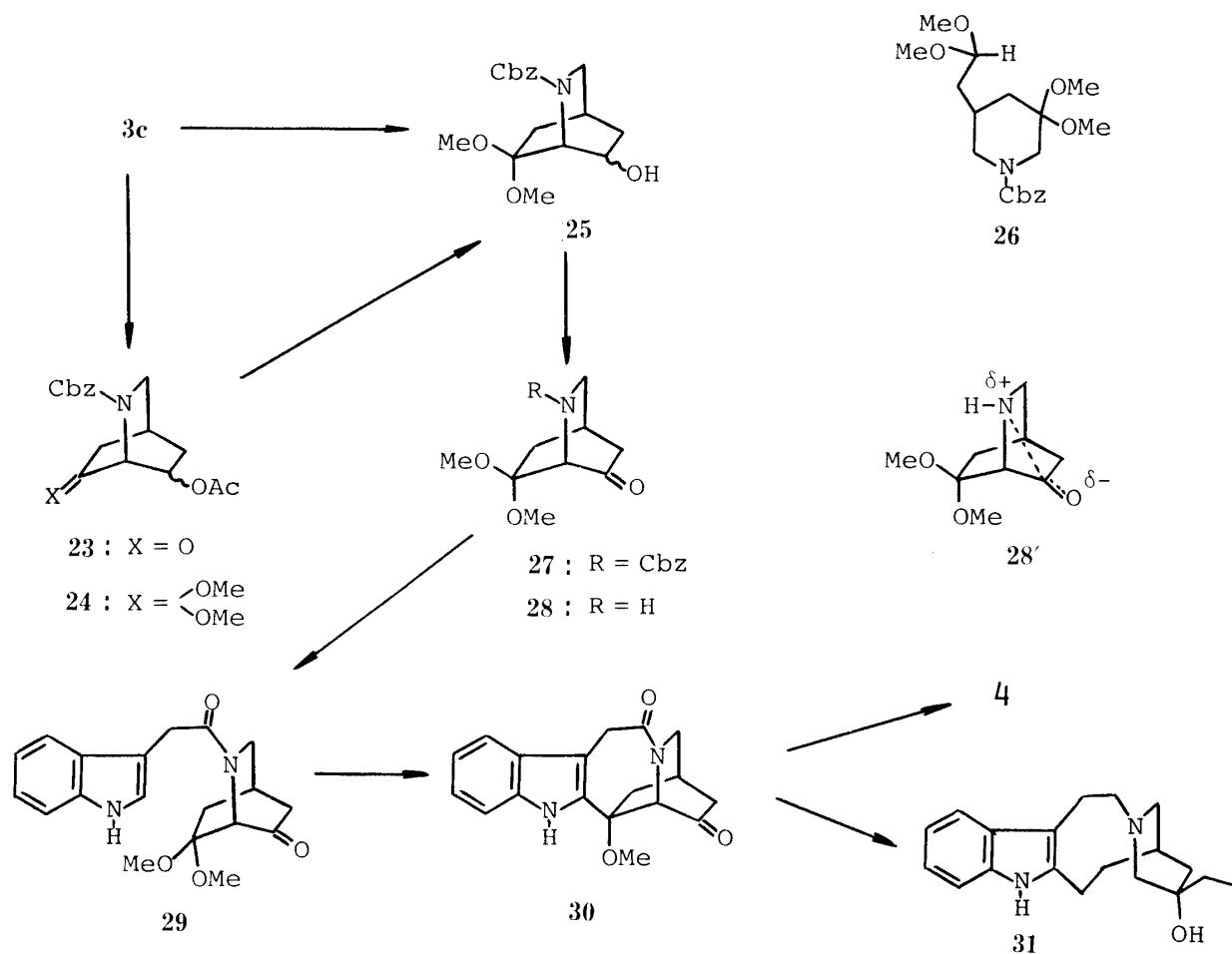


Chart 4

Experimental

All melting points are uncorrected. IR spectra were measured with a JASCO A-102 spectrometer. Mass spectra (MS) were taken with a Hitachi M-80 mass spectrometer (direct inlet, at 70 eV) and ultraviolet (UV) spectra with a Hitachi 323 spectrophotometer. NMR spectra were recorded with a JEOL PMX-60 or FX-100 spectrometer using tetramethylsilane as an internal standard. The following abbreviations are used: s=singlet, d=doublet, t=triplet, q=quartet, dd=doublet of doublets, ddd=doublet of doublets of doublets, br=broad, and m=multiplet. All organic extracts were dried over anhydrous sodium sulfate. Column chromatography was carried out with Kieselgel 60 (70—230 mesh, Merck) and Aluminiumoxid 90 (Aktivitätsstufe II—III, 70—230 mesh, Merck). Preparative thin-layer chromatography (TLC) was performed on Kieselgel 60 PF₂₅₄ (Merck).

Ethyl 3-(2-Ethylenedioxyethyl)-1,2,3,6-tetrahydropyridine-1-carboxylate (8)—A mixture of the alcohol (**5**; 3.0 g), Hg(OAc)₂ (2.0 g), and ethyl vinyl ether (20 ml) was heated in a sealed tube at 200°C for 48 h. The solvent was removed *in vacuo* and the residue was taken up in C₆H₆ (200 ml). The organic solution was washed with H₂O, 10% HCl, and H₂O and concentrated *in vacuo* to 3/4 of the original volume. Ethylene

glycol (3 ml) and *p*-TsOH (100 mg) were added to the remainder. The resulting mixture was heated under reflux for 1 h while water was removed with the aid of a Dean-Stark apparatus. After cooling, the mixture was washed with sat. NaHCO₃ and brine, and dried. Evaporation of the solvent left an oily residue, which was chromatographed on alumina with C₆H₆ to afford 2.9 g (69%) of the acetal (**8**) as a colorless oil. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm⁻¹: 1700–1680 (CO), 1655 (C=C). ¹H-NMR δ (CDCl₃): 1.23 (3H, t, *J*=7 Hz, OCH₂CH₃), 1.63 (2H, dd, *J*=7, 5 Hz, O>CH-CH₂-CH), 2.40 (1H, m, C₃-H), 3.17 (1H, dd, *J*=13, 6 Hz, C₂-H), 3.65 (1H, dd, *J*=13, 4 Hz, C₂-H), 3.7–4.0 (6H, m, OCH₂CH₂O, C₆-H), 4.03 (2H, q, *J*=7 Hz, OCH₂CH₃), 4.87 (1H, t, *J*=5 Hz, CH<O), 5.62 (2H, m, C₄- and C₅-H). MS *m/e*: 241 (M⁺), 153 (base). High resolution MS *m/e*: Calcd for C₁₂H₁₉NO₄: 241.131. Found: 241.134.

Ethyl 5-(2-Ethylenedioxyethyl)-3-hydroxypiperidine-1-carboxylate (9) and Ethyl 3-(2-Ethylenedioxyethyl)-4-hydroxypiperidine-1-carboxylate (10)—A solution of BF₃-etherate (44 mg) in abs. tetrahydrofuran (THF) (1 ml) was added dropwise to a stirred suspension of NaBH₄ (32 mg) in abs. THF (1 ml) under ice cooling. The mixture was stirred under cooling for 10 min, then a solution of the olefin (**8**; 110 mg) in abs. THF (1 ml) was added to the mixture and stirring was continued for another 3 h under cooling. Aq. 30% H₂O₂ (0.15 ml) and 3 N NaOH (0.3 ml) were added to the mixture and the resulting mixture was further stirred under cooling for 2 h. The organic solvent was removed *in vacuo* and the aqueous mixture was extracted with CHCl₃ (15 ml × 3). The extract was washed with brine, dried, and concentrated to leave an oily residue, which was chromatographed on silica gel with CHCl₃. The first fraction gave 15 mg (13%) of the C-4 hydroxy compound (**10**) as a colorless oil. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm⁻¹: 3440 (OH), 1680 (CO). ¹H-NMR δ (CDCl₃): 1.23 (3H, t, *J*=7 Hz, OCH₂CH₃), 3.90 (4H, m, OCH₂CH₂O), 4.07 (2H, q, *J*=7 Hz, OCH₂CH₃), 4.90 (1H, m, CH<O). High resolution MS *m/e*: Calcd for C₁₂H₂₁NO₅: 259.142. Found: 259.140. The second fraction gave 61 mg (52%) of the C-3 hydroxy compound (**9**) as a colorless oil. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm⁻¹: 3420 (OH), 1680 (CO). ¹H-NMR δ (CDCl₃): 1.23 (3H, t, *J*=7 Hz, OCH₂CH₃), 3.82 (4H, m, OCH₂CH₂O), 4.03 (2H, q, *J*=7 Hz, OCH₂CH₃), 4.83 (1H, t, *J*=4.5 Hz, CH<O). High resolution MS *m/e*: Calcd for C₁₂H₂₁NO₅: 259.142. Found: 259.145.

Ethyl 5-(2-Ethylenedioxyethyl)-3-oxopiperidine-1-carboxylate (11)—a) Jones Oxidation: Jones oxidant¹²⁾ (8 N; 0.5 ml) was added dropwise to a stirred solution of the alcohol (**9**; 330 mg) in purified acetone (4 ml) under ice cooling over a period of 20 min. Stirring was continued for a further 3 h under cooling and the mixture was diluted with 10 ml of water. The resulting mixture was extracted with CHCl₃ (20 ml × 3) and the extract was washed with brine and dried. Evaporation of the solvent left an oily residue, which was chromatographed on silica gel with CHCl₃ to give 260 mg (79%) of the ketone (**11**) as a colorless oil. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm⁻¹: 1720, 1685 (CO). ¹H-NMR δ (CDCl₃): 1.25 (3H, t, *J*=7 Hz, OCH₂CH₃), 3.90 (4H, m, OCH₂-CH₂O), 4.12 (2H, q, *J*=7 Hz, OCH₂CH₃), 4.87 (1H, t, *J*=4.5 Hz, CH<O). MS *m/e*: 257 (M⁺), 185 (base). High resolution MS *m/e*: Calcd for C₁₂H₁₉NO₅: 257.126. Found: 257.125.

b) PCC Oxidation: A solution of **9** (50 mg) in CH₂Cl₂ (2 ml) was added to a stirred suspension of PCC¹³⁾ (60 mg) and NaOAc (20 mg) in CH₂Cl₂ (5 ml) and the mixture was stirred at room temperature for 24 h. The reaction mixture was passed through a short column packed with Florisil and the column was thoroughly washed with ether. The combined eluates were concentrated *in vacuo* to leave an oily residue, which was chromatographed on silica gel with CHCl₃ to give 45 mg (91%) of **11**.

Ethyl 3-(2-Ethylenedioxyethyl)-4-oxopiperidine-1-carboxylate (12)—A solution of the alcohol (**10**; 60 mg) in CH₂Cl₂ (2 ml) was added to a stirred suspension of PCC (80 mg) and NaOAc (25 mg) in CH₂Cl₂ (5 ml), and the mixture was stirred at room temperature for 15 h. Work-up as usual gave 45 mg (75%) of the ketone (**12**) as a colorless oil. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm⁻¹: 1710, 1680 (CO). ¹H-NMR δ (CDCl₃): 1.27 (3H, t, *J*=7 Hz, OCH₂CH₃), 3.85 (4H, m, OCH₂CH₂O), 4.12 (2H, q, *J*=7 Hz, OCH₂CH₃), 4.90 (1H, t, *J*=4 Hz, CH<O). MS *m/e*: 257 (M⁺), 170 (base). High resolution MS *m/e*: Calcd for C₁₂H₁₉NO₅: 257.126. Found: 257.126.

Ethyl 9-(2-Ethylenedioxyethyl)-1,4-dioxo-7-azaspiro[4.5]decane-7-carboxylate (18)—a) From the Ketone (**11**): A mixture of the ketone (**11**; 470 mg), ethylene glycol (1.0 ml), *p*-TsOH (50 mg), and C₆H₆ (50 ml) was heated under reflux for 20 h while water was removed with the aid of a Dean-Stark apparatus. Work-up as usual gave an oily residue, which was chromatographed on alumina with C₆H₆ to afford 475 mg (86%) of **18** as a colorless oil. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm⁻¹: 1690 (CO). ¹H-NMR δ (CDCl₃): 1.23 (3H, t, *J*=7 Hz, OCH₂-CH₃), 3.8–4.0 (8H, m, OCH₂CH₂O × 2), 4.10 (2H, q, *J*=7 Hz, OCH₂CH₃), 4.88 (1H, t, *J*=4.5 Hz, CH<O). High resolution MS *m/e*: Calcd for C₁₄H₂₃NO₆: 301.152. Found: 301.153.

b) From the Dihydropyridinone (**1a**) through **15**: Cuprous chloride (30 mg) was added to a stirred solution of vinylmagnesium bromide in abs. THF [prepared from Mg (0.43 g) and vinyl bromide (1.3 ml) in abs. THF (30 ml) according to the literature¹⁴⁾] at 0°C. The mixture was cooled to -50°C and a solution of the dihydropyridinone (**1a**; 880 mg) in abs. THF (20 ml) was added to the stirred mixture over a period of 30 min. Stirring was continued for another 30 min at -50°C. Excess reagent and the complex were decomposed with sat. NH₄Cl solution and the organic layer was separated. The aqueous layer was extracted

with ether (30 ml \times 3). The combined organic layers were washed with brine and dried. Evaporation of the solvent *in vacuo* left an oily residue, which was chromatographed on silica gel with CHCl_3 . The first fraction afforded 223 mg (23%) of the 1,4-adduct (**13**) as a colorless oil. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm^{-1} : 1720, 1685 (CO). $^1\text{H-NMR}$ δ (CDCl_3): 1.24 (3H, t, $J=7$ Hz, OCH_2CH_3), 4.06 (2H, q, $J=7$ Hz, OCH_2CH_3), 5.01 (1H, d, $J=17$ Hz, $\text{H}_\alpha\text{C}=\text{C}\langle\text{H}_\beta$), 5.08 (1H, d, $J=9$ Hz, $\text{H}_\alpha\text{C}=\text{C}\langle\text{H}_\beta$), 5.74 (1H, ddd, $J=17, 9, 5$ Hz, $\text{H}_\alpha\text{C}=\text{C}\langle\text{H}_\beta$). The second fraction afforded 453 mg (48%) of the 1,2-adduct (**14**) as a colorless oil. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm^{-1} : 3400 (OH), 1680 (CO). $^1\text{H-NMR}$ δ (CDCl_3): 1.24 (3H, t, $J=7$ Hz, OCH_2CH_3), 2.91 (1H, s, OH), 3.46 (2H, s, $\text{C}_2\text{-H}$), 3.89 (2H, s, $\text{C}_6\text{-H}$), 4.06 (2H, q, $J=7$ Hz, OCH_2CH_3), 5.06 (1H, dd, $J=10, 2$ Hz, $\text{H}_\alpha\text{C}=\text{C}\langle\text{H}_\beta$), 5.19 (1H, dd, $J=18, 2$ Hz, $\text{H}_\alpha\text{C}=\text{C}\langle\text{H}_\beta$), 5.69 (2H, s, $\text{C}_4\text{-}$ and $\text{C}_5\text{-H}$), 5.93 (1H, dd, $J=18, 10$ Hz, $\text{H}_\alpha\text{C}=\text{C}\langle\text{H}_\beta$). A mixture of **13** (95 mg), ethylene glycol (1 ml), *p*-TsOH (trace), and C_6H_6 (30 ml) was treated as usual to afford an oily residue, which was chromatographed on silica gel with CHCl_3 to give 78 mg (69%) of the ketal (**15**) as a colorless oil. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm^{-1} : 1680 (CO). $^1\text{H-NMR}$ δ (CDCl_3): 1.26 (3H, t, $J=7$ Hz, OCH_2CH_3), 3.98 (4H, s, $\text{OCH}_2\text{CH}_2\text{O}$), 4.12 (2H, q, $J=7$ Hz, OCH_2CH_3), 5.02 (1H, d, $J=10$ Hz, $\text{H}_\alpha\text{C}=\text{C}\langle\text{H}_\beta$), 5.05 (1H, d, $J=18$ Hz, $\text{H}_\alpha\text{C}=\text{C}\langle\text{H}_\beta$), 5.71 (1H, ddd, $J=18, 10, 7$ Hz, $\text{H}_\alpha\text{C}=\text{C}\langle\text{H}_\beta$). Treatment of the ketal (**15**; 122 mg) with a mixture of NaBH_4 (64 mg), $\text{BF}_3\text{-etherate}$ (296 mg), and abs. THF (10 ml) was followed by oxidation with a mixture of 30% H_2O_2 (0.3 ml) and 10% NaOH (0.6 ml) in a usual manner to afford a crude hydroxy product, which was oxidized with PCC (150 mg) and NaOAc (45 mg) in CH_2Cl_2 (8 ml). Work-up as usual afforded an oily residue, which was chromatographed on silica gel with CHCl_3 . The first fraction gave 5 mg (4%) of the ketone (**17**) as a colorless oil. $^1\text{H-NMR}$ δ (CDCl_3): 1.27 (3H, t, $J=7$ Hz, OCH_2CH_3), 2.20 (3H, s, COCH_3), 4.01 (4H, s, $\text{OCH}_2\text{CH}_2\text{O}$), 4.16 (2H, q, $J=7$ Hz, OCH_2CH_3). The second fraction gave 77 mg (59%) of the aldehyde (**16**) as a colorless oil. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm^{-1} : 2720 (CHO), 1720, 1685 (CO). $^1\text{H-NMR}$ δ (CDCl_3): 1.25 (3H, t, $J=7$ Hz, OCH_2CH_3), 3.98 (4H, s, $\text{OCH}_2\text{CH}_2\text{O}$), 4.12 (2H, q, $J=7$ Hz, OCH_2CH_3), 9.76 (1H, s, CHO). A mixture of the aldehyde (**16**; 470 mg), ethylene glycol (1 ml), *p*-TsOH (50 mg), and C_6H_6 (30 ml) was heated under reflux for 20 h using a Dean-Stark apparatus. Work-up as usual afforded an oily residue, which was chromatographed on alumina with C_6H_6 to give 475 mg (86%) of **18**, which was identical with the sample obtained in a).

Ethyl 7-Hydroxy-6-oxo-2-azabicyclo[2.2.2]octane-2-carboxylate (3b)—a) From **11**: A mixture of **11** (260 mg), 10% HCl (1 ml), and acetone (20 ml) was heated under reflux for 45 min. The solvent was removed *in vacuo* and the residue was taken up in CHCl_3 (40 ml). The organic layer was washed with brine, dried, and concentrated *in vacuo* to leave an oily residue, which was chromatographed on silica gel with $\text{CHCl}_3\text{-EtOH}$ (20: 1) to afford 210 mg (98%) of **3b** as a colorless oil. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm^{-1} : 3420 (OH), 1735, 1685 (CO). $^1\text{H-NMR}$ δ (CDCl_3): 1.25 (3H, t, $J=7$ Hz, OCH_2CH_3), 2.38 (2H, br s, $\text{C}_5\text{-H}$), 3.28 (2H, br s, $\text{C}_3\text{-H}$), 4.10 (2H, q, $J=7$ Hz, OCH_2CH_3). High resolution MS *m/e*: Calcd for $\text{C}_{10}\text{H}_{15}\text{NO}_4$: 213.100. Found: 213.099.

b) From **16**: A mixture of **16** (36 mg), 10% HCl (0.3 ml), and acetone (5 ml) was heated under reflux for 17 h. Work-up as usual gave 20 mg (70%) of **3b**.

Ethyl 7-Benzoyloxy-6-oxo-2-azabicyclo[2.2.2]octane-2-carboxylate (20)—A mixture of **3b** (55 mg), benzoyl chloride (50 mg), and dry pyridine (0.5 ml) was allowed to stand at room temperature overnight. The reaction mixture was diluted with ice water and neutralized with 10% HCl. The resulting mixture was extracted with CHCl_3 (15 ml \times 3) and the extract was washed with water, dried, and concentrated *in vacuo*. The oily residue was chromatographed on silica gel with CHCl_3 to give 72 mg (88%) of **20** as a colorless viscous oil, which solidified on standing overnight. Recrystallization from hexane afforded colorless plates, mp 100–101°C. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm^{-1} : 1738, 1715, 1690 (CO), 1600, 1582 (aromatic). $^1\text{H-NMR}$ δ (CDCl_3): 1.28 (3H, t, $J=7$ Hz, OCH_2CH_3), 1.73 (1H, br d, $J=14$ Hz, $\text{C}_8\text{-H}$), 2.42 (1H, br dd, $J=14, 9$ Hz, $\text{C}_8\text{-H}$), 2.50 (3H, br s, $\text{C}_4\text{-}$ and $\text{C}_5\text{-H}$), 3.42 (2H, br s, $\text{C}_3\text{-H}$), 4.17 (2H, q, $J=7$ Hz, OCH_2CH_3), 4.58 (1H, br, $\text{C}_1\text{-H}$), 5.42 (1H, ddd, $J=9, 4.5, 3$ Hz, $\text{C}_7\text{-H}$), 7.3–7.7 (3H, m, Ar-H), 7.8–8.0 (2H, m, Ar-H). $^{13}\text{C-NMR}$ (CDCl_3): 14.6 (q, OCH_2CH_3), 27.5 (d, C_4), 33.1 (t, C_6), 42.6 (t, C_5), 47.6 (t, C_3), 56.7 and 56.8¹⁵⁾ (each d, C_1), 62.0 (t, $\text{OCH}_2\text{-CH}_3$), 69.3 (d, C_7), 128.4 (d, Ar), 129.2 (s, Ar), 129.6 (d, Ar), 133.4 (d, Ar), 155.1 (s, PhCO-O), 164.9 (s, NCO-O), 203.6 (s, C_6). MS *m/e*: 317 (M^+), 105 (base). Anal. Calcd for $\text{C}_{17}\text{H}_{19}\text{NO}_5$: C, 64.34; H, 6.04; N, 4.41. Found: C, 64.05; H, 5.95; N, 4.62.

9-(2-Ethylenedioxyethyl)-1,4-dioxo-7-azaspiro[4.5]decane (21)—A mixture of the urethane (**18**; 1.63 g), KOH (2.1 g), EtOH (60 ml), and H_2O (20 ml) was heated under reflux for 36 h. Ethanol was removed *in vacuo* and the residue was extracted with CHCl_3 (20 ml \times 3). The extract was washed with brine, dried, and concentrated *in vacuo* to leave an oily residue, which was chromatographed on alumina. The fraction eluted with C_6H_6 afforded the unchanged starting material (197 mg; 12%) and that eluted with CHCl_3 gave 978 mg (79%: 90% based on the consumed starting material) of the amine (**21**) as a colorless oil. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm^{-1} : 3310 (NH). $^1\text{H-NMR}$ δ (CDCl_3): 3.7–4.1 (8H, m, $\text{OCH}_2\text{CH}_2\text{O} \times 2$), 4.85 (1H, t, $J=5$ Hz, $\text{CH}\langle\text{O}$). MS *m/e*: 229 (M^+), 73 (base).

Benzyl 9-(2-Ethylenedioxyethyl)-1,4-dioxo-7-azaspiro[4.5]decane-7-carboxylate (22)—A solution of

carbobenzoxy chloride (0.70 ml) and Et₃N (0.8 ml) in abs. ether (5 ml) was added dropwise to a stirred solution of the amine (**21**; 978 mg) in abs. ether (80 ml) under ice cooling. Stirring was continued for 1 h at room temperature. The reaction mixture was washed with brine, 2% HCl, and then brine again. The dried ethereal solution was concentrated to leave an oily residue, which was chromatographed on silica gel with CHCl₃ to afford 1.45 g (94%) of the urethane (**22**) as a colorless oil. On standing overnight, the product solidified and recrystallization from hexane afforded colorless prisms, mp 57–59°C. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm⁻¹: 1685 (CO). ¹H-NMR δ (CDCl₃): 1.26 (2H, dd, $J=6, 4.5$ Hz, CH₂CH<O), 4.89 (1H, t, $J=4.5$ Hz, CH<O), 5.05 and 5.20 (2H, AB-q, $J=13$ Hz, CH₂-Ar), 7.33 (5H, s, Ar-H). Anal. Calcd for C₁₉H₂₅NO₆: C, 62.79; H, 6.93; N, 3.85. Found: C, 62.73; H, 6.93; N, 4.10.

Benzyl 7-Hydroxy-6-oxo-2-azabicyclo[2.2.2]octane-2-carboxylate (3c)—A mixture of **22** (1.46 g), 10% HCl (18 ml), and THF (60 ml) was heated under reflux for 18 h. The organic solvent was removed *in vacuo* and the residue was extracted with CHCl₃ (30 ml \times 3). The extract was washed with brine, dried, and concentrated *in vacuo* to leave an oily residue, which was chromatographed on silica gel with CHCl₃-MeOH (50:1) to afford 978 mg (89%) of **3c** as a solid. Recrystallization from C₆H₆-hexane gave a white powder, mp 95–96.5°C. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm⁻¹: 3400 (OH), 1735, 1682 (CO). ¹H-NMR δ (CDCl₃): 4.20 (1H, m, C₇-H), 4.30 (1H, br s, C₁-H), 5.03 (2H, s, CH₂-Ar), 7.20 (5H, s, Ar-H). Anal. Calcd for C₁₅H₁₇NO₄·1/5H₂O: C, 64.59; H, 6.29; N, 5.02. Found: C, 64.62; H, 6.14; N, 4.81.

Benzyl 7-Acetoxy-6-oxo-2-azabicyclo[2.2.2]octane-2-carboxylate (23)—A mixture of **3c** (320 mg), Ac₂O (3 ml), and dry pyridine (5 ml) was allowed to stand at room temperature overnight. The solvent was removed *in vacuo* and the residue was taken up in CHCl₃ (60 ml). The CHCl₃ solution was washed with sat. NaHCO₃, brine, 10% HCl, and then brine again. Concentration of the dried solution *in vacuo* left an oily residue, which was chromatographed on silica gel with CHCl₃ to give 330 mg (89%) of **23** as a colorless oil. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm⁻¹: 1740, 1690 (CO). ¹H-NMR δ (CDCl₃): 1.98 (3H, s, COCH₃), 4.44 (1H, d, $J=4.5$ Hz, C₁-H), 5.07 (2H, s, CH₂-Ar), 7.23 (5H, s, Ar-H). MS m/e : 317 (M⁺), 91 (base). High resolution MS m/e : Calcd for C₁₇H₁₉NO₅: 317.126. Found: 317.125.

Benzyl 7-Hydroxy-6,6-dimethoxy-2-azabicyclo[2.2.2]octane-2-carboxylate (25)—a) From **23**: A mixture of **23** (469 mg), methyl orthoformate (0.4 ml), *p*-TsOH (trace), and abs. MeOH (30 ml) was heated under reflux for 15 h. The solvent was removed *in vacuo* and the residue was taken in CHCl₃ (60 ml). The organic layer was washed with sat. NaHCO₃ and brine, and dried. Evaporation of the solvent left 510 mg of crude product (**24**), which was used for the next step without further purification. A mixture of the crude product (510 mg), 5% aq. NaOH (1 ml), and MeOH (10 ml) was stirred at room temperature for 1 h. The solvent was removed *in vacuo* and the residue was taken up in CHCl₃ (60 ml). The organic layer was washed with brine, dried, and concentrated to leave an oily residue, which was chromatographed on alumina with CHCl₃ to give 290 mg (61% from **23**) of **25** as a colorless oil. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm⁻¹: 3400 (OH), 1685 (CO). ¹H-NMR δ (CDCl₃): 3.1–3.4 (6H, OCH₃ \times 2), 3.69 and 3.75 (total 1H, each d, $J=6.5$ Hz, OH, disappeared with D₂O), 3.95 (1H, m, C₇-H), 4.21 and 4.35 (total 1H, each d, $J=3.5$ Hz, C₁-H), 5.15 (2H, s, CH₂-Ar), 7.35 (5H, s, Ar-H). MS m/e : 321 (M⁺).

b) From **3c**: A mixture of **3c** (978 mg), methyl orthoformate (1.3 ml), *p*-TsOH (trace), and abs. MeOH (20 ml) was heated under reflux for 50 min. Work-up as usual gave 840 mg (74%) of **25**.

Benzyl 7,7-Dimethoxy-6-oxo-2-azabicyclo[2.2.2]octane-2-carboxylate (27)—A solution of **25** (190 mg) in CH₂Cl₂ (5 ml) was added to a stirred suspension of PCC (200 mg) and NaOAc (70 mg) in CH₂Cl₂ (10 ml). The mixture was stirred at room temperature for 14 h and passed through a short column packed with Florisil. The column was washed thoroughly with ether and the combined eluates were concentrated *in vacuo* to leave an oily residue, which was chromatographed on alumina with CHCl₃ to give 156 mg (83%) of **27** as a colorless oil. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm⁻¹: 1740, 1690 (CO). ¹H-NMR δ (CDCl₃): 3.19 (6H, s, OCH₃ \times 2), 3.43 (2H, m, C₃-H), 4.54 (1H, br s, C₁-H), 5.14 and 5.17 (2H, AB-q, $J=14$ Hz, CH₂-Ar), 7.32 (5H, s, Ar-H). High resolution MS m/e : Calcd for C₁₇H₂₁NO₅: 319.142. Found: 319.143.

2-(β -Indolylacetyl)-7,7-dimethoxy-2-azabicyclo[2.2.2]octan-6-one (29)—The benzyl urethane (**27**; 114 mg) was hydrogenated in abs. MeOH (3 ml) over 5% Pd-C (100 mg) under 1 atm pressure of H₂ at room temperature for 4.5 h. The catalyst was filtered off and the filtrate was concentrated *in vacuo* to leave the crude amino ketone (**28**), which was used for the next step without further purification. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm⁻¹: 1720 (CO). A solution of β -indolylacetyl chloride¹⁰ (80 mg) in CH₂Cl₂ (2 ml) was added to a stirred solution of the amino ketone (**28**) in CH₂Cl₂ (10 ml) under ice cooling. After being stirred for 2 min under cooling the mixture was treated with a solution of K₂CO₃ (150 mg) in H₂O (2 ml). The organic layer was separated and the aqueous layer was extracted with CH₂Cl₂ (10 ml). The combined organic layers were washed with brine, dried, and concentrated to leave an oily residue, which was chromatographed on silica gel with CHCl₃ to give 108 mg (88% from **27**) of **29** as a yellow oil. On standing overnight, the product solidified and recrystallization from C₆H₆-hexane afforded yellow prisms, mp 90–94°C. IR $\nu_{\text{max}}^{\text{CHCl}_3}$ cm⁻¹: 3470 (NH), 1740, 1640 (CO). ¹H-NMR δ (CDCl₃): 3.18 (6H, br, OCH₃ \times 2), 3.78 (2H, m, Ar-CH₂), 4.39 and 5.15 (total 1H, each br s, C₁-H), 6.9–7.3 (4H, m, Ar-H), 7.55 (1H, m, Ar-H), 8.05 (1H, br s, NH). MS m/e : 342 (M⁺), 130 (base).

rel-(6*R*,6*aS*,9*R*)-6-Methoxy-7,12-dioxo-6,6*a*,7,8,9,10,12,13-octahydro-6,9-methano-5*H*-pyrido[1',2':1,2]-azepino[4,5-*b*]indole (30)—According to the method of Büchi *et al.*,¹¹ a solution of **29** (14 mg) in dry C₆H₆

(2 ml) was added to a boiling solution of anhydrous *p*-TsOH (7 mg) in dry C₆H₆ (10 ml) all at once. The resulting mixture was heated under stirring at boiling point for 7 min while C₆H₆ distilled off very slowly. The mixture was cooled and then concentrated *in vacuo* at room temperature. The residue was chromatographed on alumina with CHCl₃ to give 9.0 mg (69%) of **30** as colorless plates, mp 281—282°C (from CHCl₃) (lit.¹¹ mp 283—284°C). IR $\nu_{\text{max}}^{\text{Nujol}}$ cm⁻¹: 3130 (NH), 1742, 1640 (CO). ¹H-NMR δ (CDCl₃): 3.05 (3H, s, OCH₃), 3.74 and 4.02 (2H, AB-q, *J* = 16 Hz, Ar-CH₂), 4.79 (1H, s, C_{6a}-H), 7.00—7.60 (4H, m, Ar-H), 8.28 (1H, br s, NH). UV $\lambda_{\text{max}}^{\text{MeOH}}$ nm (ϵ): 221.5 (34000), 283.5 (7500), 292.5 (6500). MS *m/e*: 310 (M⁺), 170 (base).

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References and Notes

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