Chem. Pharm. Bull. 32(6)2091—2099(1984)

Studies on ¹³C Magnetic Resonance Spectroscopy. XVII.¹⁾ Elucidation of Substituent-Induced Chemical Shifts of Substituted n-Alkane Series by Means of a Novel Substituent Entropy Constant σ_s .

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(Received July 27, 1983)

Substituent effects on the 13 C and 1 H nuclear magnetic resonance (NMR) chemical shifts of aliphatic compounds have been studied. A good agreement was found between chemical shifts in the gas phase and those in CCl₄ solution. Regression analyses of 13 C and 1 H chemical shifts in CCl₄ solution and in the gas phase were carried out by using σ_i , σ_s and σ_s as descriptors for α -substituted butane and propane derivatives. Linear combinations of σ_i , σ_s and/or (σ_s), where the superscript "+" indicates an electron-donating substituent, are necessary for all 13 C and α - 1 H chemical shifts of propane derivatives, but those of the β - and γ -positions are correlated with σ_i . Except for the β -position signals, a term expressed as σ_s and σ_s is needed to take account of the magnetic anisotropic effect of Cl, Br, I and CN groups on the σ_s -1 chemical shifts.

Keywords— 13 C NMR; 1 H NMR; substituent entropy constant $\sigma_{s^{\circ}}$; gas phase NMR; substituent constant; α -substituted propane; α -substituted butane; substituent effect

Three kinds of approaches, namely, empirical, semi-empirical and non-empirical, are generally used for the elucidation of the substituent-induced chemical shifts (SCS) of aliphatic, as well as aromatic series of compounds. Since the quantum chemical treatment of nuclear magnetic resonance (NMR) chemical shifts (CS) was established by Pople,²⁾ several kinds of theoretical molecular orbital calculation have been applied for estimation of chemical shifts,^{3,4)} but these non-empirical approaches are not always effective. Although the additivity rule was found to be useful to predict the SCS of some families such as substituted alkanes⁵⁾ and benzene derivatives,⁶⁾ this empirical method has limited applicability. In our previous report⁷⁾ on a semi-empirical derivation of the SCS of substituted benzene and naphthalene series, the total charge densities obtained by the MINDO/2 method could not be successfully correlated with the observed SCS of the *ipso*-position. These results prompted us to analyze this subject by using an empirical descriptor based on the thermodynamic concept, because the quantum chemical approach does not always represent the intrinsic thermodynamic information.

Recently, we proposed the novel substituent entropy constant $\sigma_s^{,8}$ defined as $\sigma_s^{,\circ} = \log(S_R^{\circ}/S_H^{\circ})$ for numerous kinds of substituent groups, and confirmed that this descriptor is very useful.⁹⁾ In this work, the regression analyses of ¹³C SCS of substituted *n*-butanes as well as those of ¹H SCS of substituted *n*-propanes were carried out by using the novel descriptor $\sigma_{s^{\circ}}$, ⁸⁾ together with the Hammett-type substituent constant σ_i , ¹⁰⁾ including the contributions of the magnetic anisotropy and electric field effects. The σ_i scale is related to the Taft scale by $\sigma_i = 0.74\sigma_I$.¹¹⁾

Experimental

¹³C CS of Substituted n-Propane (PrR) and n-Butane (BuR) Derivatives——¹³C SCS of PrR were measured in the

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vapor phase at $170\,^{\circ}\text{C}$ using a specially machined sample tube (Chart 1), by a substitution method. The resonance signal for $C_6H_5\cdot\text{Et}$ as a reference was obtained and then $C_6H_5\cdot\text{Et}$ was replaced by the sample. The resonance signal of the sample was obtained, then the reference was again placed in the probe to confirm the stability of the field. The SCS values of BuR were determined in CCl_4 (0.2 M) with Me_4Si as an internal reference. Observed values in the two series are summarized in Table I.

¹H CS and ¹H SCS of Substituted *n*-Propanes (PrR)—References used for determinations of PrR in the gas phase at 100 °C as well as in CCl₄ solution (0.1 M) were the same as described above, and the observed values are summarized in Table II.

Method of Regression Analysis ——Multiple regression analyses were carried out by using an ACOS 900 system

		0 000 01			PP)	
Substituent (R)	В	suR (In CCl	R (In CCl ₄)		R (Gas pha	se)
	α	β	γ	α	β	γ
NMe ₂	40.10	5.38	-4.12			
NH_2	27.84	10.89	-4.90	13.96	-3.30	-21.43
OEt	56.24	7.13	-5.39			
OMe	44.28	6.93	-5.48	26.09	-7.44	-22.00
OH	48.15	10.13	-5.87	34.07	-4.11	-22.42
<i>tert-</i> Bu	30.23	2.04	-1.20	17.16	-12.72	-17.35
iso-Pr	24.96	4.86	-1.89	11.77	-10.07	-18.13
Et	17.76	6.74	-2.19		-8.30	-18.61
Me	8.51	9.29	-2.51			
F	70.10	8.00	-6.70			-
Cl	29.87	9.80	-4.82	13.81	-4.20	-20.98
Br	18.40	9.89	-3.50	0.90	-3.81	- 19.36
I	-8.66	10.64	-1.20	-28.95	-3.15	-17.26
$H^{b)}$	0	0	0			
COPh	24.00	1.45	-2.33	_		
COEt	27.90	1.09	-2.45	13.42	-13.04	-18.55
COMe	. 29.20	0.95	-2.54	14.74	-13.04	-18.61
CHO	29.54	-0.67	-2.55	15.52	-14.78	-18.67
CONH ₂	22.05	3.00	-2.27			wheepolyses
COOEt	19.86	2.18	-2.56	5.82	-11.98	-18.70
COOMe	19.52	2.11	-2.59	5.33	-12.04	-18.76
CN	2.89	2.68	-3.02	-10.85	-12.23	-19.33
NO_2	61.00	4.44	-5.24		-9.53	-21.42

TABLE I. ¹³C SCS of BuR and ¹³C CS^{a)} of PrR (ppm)

b) ¹³C chemical shifts from internal TMS at α -, β - and γ -positions are 13.60, 24.62 and 24.62 ppm, respectively.

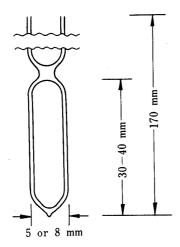


Chart 1. Specially Machined Sample Tube Used for Determination in the Gas Phase

a) 13 C chemical shift relative to CH₂ of neat $C_6H_5 \cdot Et$.

Substituent	Gas phase				In CCl ₄		
(R)	ά	β	γ	α	β	γ	
NH ₂	0.59	-0.64	-1.18	1.74	0.06	0.05	
OPr				2.43	0.18	0.06	
OEt				2.42	0.18	0.05	
OMe	1.18	-0.51	-1.14	2.37	0.18	0.05	
OH	1.46	-0.54	-1.15	2.64	0.18	0.08	
<i>tert</i> -Bu	-0.83	-0.96	-1.20	0.35	-0.20	0.04	
Et	-0.72	-0.72	-1.17	0.42	-0.09	0.03	
C1	1.21	-0.37	-1.10	2.57	0.46	0.19	
Br	1.12	-0.26	-1.08	2.45	0.52	0.19	
I	0.95	-0.30	-1.13	2.28	0.53	0.18	
$H^{b)}$				0	0	0	
COPh		-	·	2.02	0.40	0.15	
COEt	0.12	-0.44	-1.17	1.49	0.22	0.05	
COMe	0.17	-0.46	-1.19	1.48	0.25	0.07	
CHO	0.11	-0.43	-1.13	1.49	0.31	0.12	
CONH ₂				1.25	0.28	0.11	
COOEt	0.08	-0.43	-1.14	1.36	0.26	0.11	
COOMe	0.10	-0.43	-1.14	1.37	0.28	0.11	
CN	-0.05	-0.51	-1.05	1.43	0.36	0.26	
NO ₂	2.01	-0.13	-1.10	3.38	0.69	0.19	

TABLE II. ¹H CS^{a)} in Gas Phase and ¹H SCS in CCl_a of PrR (ppm)

computer at Osaka University Computer Center utilizing a library program, NEC TSS LIBRARY TSS/LIB-6. The standard deviation (SD) is given by $SD = [S_{se}/(n-k-1)]^{1/2}$, where n and k denote the number of observations and variables, and S_{se} is the sum of squares of residuals.

Substituent Entropy Constant σ_s —The substituent entropy constants of PrR and BuR¹³⁾ show good linear correlations with those of methane derivatives (MeR)⁸⁾ as follows.

$$\sigma_{s^{\circ}PrR} = 0.755\sigma_{s^{\circ}MeR} - 0.005$$
 $r = 0.996$, SD = 0.004, $n = 16$

(R = NH₂, OMe, OH, tert-Bu, iso-Pr, Et, Me, F, Cl, Br, I, H, Ph, COMe, CN, NO₂)

 $\sigma_{s^{\circ}BuR} = 0.668\sigma_{s^{\circ}MeR} - 0.005$
 $r = 0.996$, SD = 0.004, $n = 11$

(R = NH₂, OH, tert-Bu, iso-Pr, Et, Me, Cl, Br, H, Ph, NO₂)

The substituent entropy constants of MeR instead of those of PrR and BuR could be used in the regression analyses. By dividing by the factors 0.755 and 0.668, all the coefficients of the entropic terms written in the regression equations can be converted to $\sigma_{s^{\circ}PrR}$ and $\sigma_{s^{\circ}BuR}$.

Results and Discussion

Quadratic Equation of σ_s^+

In this work, the symbol $\sigma_{s^{\circ}}^{+}$ is used to express the substituent entropy constants of an electron-donating group (-R), and the numerical values of $\sigma_{s^{\circ}}^{+}$ are identical with the $\sigma_{s^{\circ}}$ of that group, but for the electron-attracting group (+R) those of $\sigma_{s^{\circ}}^{+}$ are zero. When the SCS of the -R group are plotted against $\sigma_{s^{\circ}}$, a quadratic curve (cf. Fig. 1) can be expected. In a regression analysis of the ¹³C SCS of the β -position of n-BuR, the correlation coefficient of

a) ¹H chemical shift relative to Me of neat C₆H₅·Et.

b) Chemical shifts from internal TMS at α -, β - and γ -positions are 0.85, 1.36 and 0.85 ppm, respectively.¹²⁾

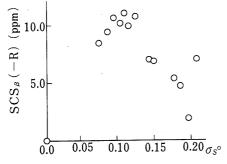


Fig. 1. Correlation between $\sigma_{s^{\circ}}$ and ¹³C SCS at the β -Position of BuR Bearing a -R Group

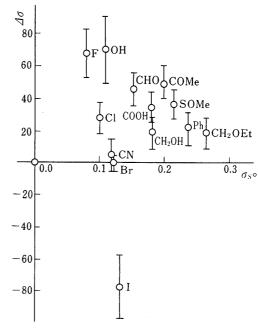


Fig. 2. Correlation between 13 C Shielding Anisotropies $\Delta\sigma$ and $\sigma_{s^{\circ}}$ of MeR

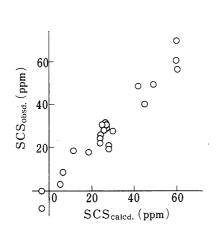


Fig. 3. Correlation between Observed and Calculated SCS of BuR(13 C- α)

SCS_{calcd.} = 138.12 σ_i + 175.53 σ_s^+ - 3510.98 $\sigma_{s_{MA}}^{2}$ $\beta^{2.16}$

r=0.674 obtained by using two descriptors, σ_i and $\sigma_{s^o}^+$, could be improved to 0.937 on addition of the $(\sigma_{s^o}^+)^2$ term as a descriptor.

The choice of a quadratic equation of $\sigma_{s^{\circ}}$ —in other words, the presence of entropy maxima of ${}^{13}\text{C SCS}_{\alpha}$ and ${}^{\text{SCS}}_{\beta}$, as well as an entropy minimum of ${}^{\text{SCS}}_{\gamma}$ — suggests the existence of a most favorable or unfavorable thermodynamic state. The ΔS° data given by Brown *et al.*¹⁴⁾ for the thermal dissociation of amine-boron adduct and those determined in the dissociation of R \cdot CH₂CO₂H in aqueous media¹⁵⁾ could be regarded as typical examples.

Magnetic Anisotropy Effect in Regression Analysis

To take account of the contribution of diamagnetic shielding to SCS, two parameters, namely, magnetic susceptibility χ and magnetic shielding σ , could be taken into account, where σ and χ are represented by Eqs. 1 and 2.¹⁶)

$$\sigma_{\rm avg}^{\rm d} = (1/3c^2)\langle 1/r \rangle \tag{1}$$

$$\chi_{\text{avg}}^{\text{d}} = (1/4c^2)\langle \mathbf{r}^2 \rangle \tag{2}$$

where c denotes the velocity of light, and $\langle r \rangle$ means the average value of molecular radius. In addition, the values of observed ¹³C shielding anisotropy $\Delta \sigma$ of monosubstituted methanes determined experimentally¹⁷ are assumed to be quadratic with respect to $\sigma_{s^{\circ}}$ as illustrated in Fig. 2, where the data for halogens (Cl, Br, I) and CN gave another line bisecting the parabola. Consequently, an equation containing $-a(\sigma_{s^{\circ}})^2 + b\sigma_{s^{\circ}} + c$ includes the anisotropy $\Delta \sigma$, except for the 4 kinds of substituents written above. In this work, the additional contribution due to these 4 groups is expressed by the symbol $\sigma_{s_{MA}}$, corresponding to the square term $(\sigma_{s^{\circ}})^2$ followed by Eq. 2. Namely, the numerical values of $\sigma_{s_{MA}}$ for halogens and

CN are the same as the $(\sigma_{s^{\circ}})^2$ values of the 4 groups.

Electric Field Effect in Regression Analysis

The component E_z of the electric field effect is given by Eq. 3,¹⁸⁾ having dimensions of $(erg \cdot cm^{-3})^{1/2}$

$$E_z = 2\mu \cos \theta / r^3 \tag{3}$$

Ez could be divided into two parts, namely an enthalpic term expressed by σ_i or σ_{π} , and an entropic term expressed by σ_s .

Results of Regression Analyses

The results of comparisons of the observed ¹³C and ¹H chemical shifts in the gas phase with those in CCl₄ solution are summarized in the following regression equations.

(-R): electron-donating group

(+R): electron-attracting group

(T): sum of electron-donating and electron-attracting group

I-1. 13 C SCS at α -Position of BuR (in CCl₄) (cf. Fig. 3)

$$(-R): SCS_{\alpha} = 143.09\sigma_{i} + 138.68\sigma_{s^{\circ}} - 3640.34\sigma_{s^{\circ}_{MA}} + 2.16 \\ (\pm 11.19) \quad (\pm 23.58) \quad (\pm 277.94) \quad (\pm 3.87)$$

$$r = 0.979, SD = 5.03, n = 14, F = 77.00 \\ (R = NMe_{2}, NH_{2}, OEt, OMe, OH, tert-Bu, iso-Pr, Et, Me, F, Cl, Br, I, H)$$

$$(+R): SCS_{\alpha} = 131.53\sigma_{i} - 3534.84\sigma_{s^{\circ}_{MA}} - 2.86 \\ (\pm 15.26) \quad (\pm 435.32) \quad (\pm 3.73)$$

$$r = 0.965, SD = 4.99, n = 10, F = 46.70$$

$$(R = H, COPh, COEt, COMe, CHO, CONH_{2}, COOEt, COOMe, CN, NO_{2})$$

(T):
$$SCS_{\alpha} = 138.12\sigma_{i} + 175.53\sigma_{s}^{+} - 3510.98\sigma_{s}_{\text{MA}} - 3.49$$
 (4)
 $(\pm 9.99) \quad (\pm 16.20) \quad (\pm 238.68) \quad (\pm 2.77)$

r = 0.967, SD = 5.23, n = 23, F = 91.46

I-2. 13 C CS at α -Position of PrR (Gas Phase)

(T):
$$CS_{\alpha} = 128.67\sigma_{i} + 178.32\sigma_{s}^{+} - 3564.78\sigma_{s_{MA}} - 16.89$$
 (5)
 (± 32.27) (± 41.92) (± 522.45) (± 8.11)
 $r = 0.928$, $SD = 6.52$, $n = 14$, $F = 20.68$
(R = NH₂, OMe, OH, tert-Bu, iso-Pr, Cl, Br, I, COEt, COMe, CHO, COOEt, COOMe, CN)

In our previous report¹⁹⁾ of this series, the torsion barrier V determined on the MeR series (R=electron-donating substituent) was found to be dependent on ΔS_+° . The relation expressed by Eq. 6 suggests that the torsion barrier is well defined by σ_s^+ .

$$V = 17.18\sigma_{s^{+}}^{+} + 0.42$$

$$(\pm 2.28)(\pm 0.29)$$

$$r = 0.915, SD = 0.67, n = 13, F = 56.92$$
(6)

I-3. ¹H SCS at α-Position of PrR (in CCl₄)

(-R):
$$SCS_{\alpha} = 8.30\sigma_{i} + 9.23\sigma_{s^{\circ}} - 22.98(\sigma_{s^{\circ}})^{2} - 68.51\sigma_{s_{MA}} + 0.02$$

 (± 0.77) (± 3.32) (± 11.35) (± 16.69) (± 0.24)
 $r = 0.983$, $SD = 0.24$, $n = 11$, $F = 42.21$
(R = NH₂, OPr, OEt, OMe, OH, tert-Bu, Et, Cl, Br, I, H)

(R = the same as those included in I-3)

 (± 0.20) (± 0.66) (± 0.05) r = 0.943, SD = 0.07, n = 10, F = 27.83

(+R): $SCS_{\beta} = 1.48\sigma_i - 1.97\sigma_{s_{MA}} - 0.02$

(R = the same as those included in I-3)

(T):
$$SCS_{\beta} = 1.63\sigma_i - 18.20\sigma_{s_{MA}} - 0.07$$
 (11)
(±0.12) (±5.07) (±0.03)

r = 0.959, SD = 0.06, n = 20, F = 96.90

II-4. ¹H CS at β -Position of PrR (Gas Phase)

(T):
$$CS_{\beta} = 1.40\sigma_i - 23.89\sigma_{s_{MA}} - 0.76$$
 (12)
 $(\pm 0.12) \quad (\pm 4.66) \quad (\pm 0.03)$

r = 0.962, SD = 0.06, n = 15, F = 73.88

(R = the same as those included in I-4)

III-1. ¹³C SCS at γ-Position of BuR (in CCl₄)

(-R):
$$SCS_{\gamma} = -13.54\sigma_i - 44.07\sigma_{s^{\circ}} + 154.71(\sigma_{s^{\circ}})^2 + 298.59\sigma_{s_{MA}} - 0.02$$

(±1.15) (±8.27) (±33.63) (±27.36) (±0.48)

r = 0.980, SD = 0.49, n = 14, F = 55.44

(R = the same as those included in I-1)

(+R):
$$SCS_y = -11.37\sigma_i + 126.60\sigma_{s_{MA}} -0.02$$

(±0.16) (±4.46) (±0.04)

r = 0.999, SD = 0.05, n = 10, F = 2686.77

(R = the same as those included in I-1)

(T):
$$SCS_{\gamma} = -12.82\sigma_i - 44.30\sigma_{s^{\circ}}^{+} + 151.84(\sigma_{s^{\circ}}^{+})^2 + 249.67\sigma_{s_{MA}} + 0.15$$
 (13)
 $(\pm 1.02) \ (\pm 5.02) \ (\pm 25.39) \ (\pm 25.20) \ (\pm 0.28)$

r = 0.960, SD = 0.53, n = 23, F = 53.09

III-2. 13 C CS at γ -Position of PrR (Gas Phase)

(T):
$$CS_{\gamma} = -12.43\sigma_i - 53.11\sigma_{s^*}^+ + 206.83(\sigma_{s^*}^+)^2 + 267.59$$
 (14)
 $(\pm 2.49) \quad (\pm 8.48) \quad (\pm 50.07) \quad (\pm 41.80)$

r = 0.930, SD = 0.70, n = 16, F = 17.63

(R=NH₂, OMe, OH, tert-Bu, iso-Pr, Et, Cl, Br, I, COEt, COMe, CHO, COOEt, COOMe, CN, NO₂)

III-3. ¹H SCS at γ-Position of PrR (in CCl₄)

(-R):
$$SCS_{\gamma} = 0.20\sigma_i + 6.20\sigma_{s_{MA}} + 0.03$$

(±0.06) (±1.33) (±0.01)

r = 0.964, SD = 0.02, n = 11, F = 52.32

(R = the same as those included in I-3)

(+R):
$$SCS_{\gamma} = 0.40\sigma_i + 5.56\sigma_{s_{MA}} + 0.01$$

(±0.10) (±2.82) (±0.02)

r = 0.919, SD = 0.03, n = 10, F = 19.10

(R = the same as those included in I-3)

(T):
$$SCS_{\gamma} = 0.34\sigma_i + 4.41\sigma_{s_{MA}} + 0.02$$
 (15)
 $(\pm 0.06) (\pm 1.32) (\pm 0.01)$

r = 0.915, SD = 0.03, n = 20, F = 43.44

III-4. ¹H CS at γ-Position of PrR (Gas Phase)

(T):
$$SC_{\gamma} = 0.16\sigma_i + 3.71\sigma_{s_{MA}} - 1.18$$
 (16)
 $(\pm 0.04) \quad (\pm 1.08) \quad (\pm 0.01)$

$$r = 0.920$$
, SD = 0.02, $n = 15$, $F = 30.33$

(R = the same as those included in I-4)

These results suggest that the inclusion of $\sigma_{s_{MA}}$ is indispensable. The correlation coefficient matrices of the 2 series in CCl₄ solution are as follows:

-	a. bux (n=25)				
	σ_i	$\sigma_{s^{\circ}}^{+}$	$(\sigma_{s^{\circ}}^{+})^2$	$\sigma_{s_{\mathbf{MA}}}$	
σ_i	1.000				
$\sigma_{s^{\circ}}^{+}$	-0.446	1.000			
$(\sigma_{s^{\circ}}^{+})^2$	-0.482	0.955	1.000	*	
$\sigma_{s_{\mathbf{MA}}}$	0.479	0.053	-0.049	1.000	

a. BuR (n=23)

where substituent R=NMe₂, NH₂, OEt, OMe, OH, tert-Bu, iso-Pr, Et, Me, F, Cl, Br, I, H, COPh, COEt, COMe, CHO, CONH₂, COOEt, COOMe, CN, NO₂.

	σ_i	$\sigma_{s^{\circ}}^{+}$	$(\sigma_{s^{\circ}}^{+})^{2}$	$\sigma_{\hat{s_{MA}}}$
σ_i	1.000			
$\sigma_{s^{\circ}}^{\;+}$	-0.349	1.000	•	
$(\sigma_{s^{\circ}}^{+})^2$	-0.336	0.943	1.000	
$\sigma_{ m s_{MA}}$	0.516	0.063	-0.071	1.000

b. PrR (n=20)

where substituent R=NH₂, OPr, OEt, OMe, OH, tert-Bu, Et, Cl, Br, I, H, COPh, COEt, COMe, CHO, CONH₂, COOEt, COOMe, CN, NO₂.

Conclusion

The results I-1—III-4 given above afford the following conclusions.

- a. Both ¹³C and ¹H SCS (or CS) can be expressed by linear combinations of the entropic and enthalpic contributions.
- b. The fall-off of the coefficients of σ_i at the α -, β and γ -positions are: 138.12, 4.18, -12.82 for ¹³C and 7.73, 1.63, 0.34 for ¹H SCS in CCl₄ solution; 128.67, 5.96, -12.43 for ¹³C and 7.59, 1.40, 0.16 for ¹H CS in the gas phase.
- c. The participation of an entropic term for electron-attracting substituent groups could be ruled out for all 13 C and 1 H SCS. In contrast, the participation of the entropic term affords a parabola with a maximum among electron-donating substituent groups for β - 13 C and α - 1 H SCS, but gave a minimum for γ - 13 C SCS. The inversion of the signs of coefficients observed between Eqs. 4 and 13, and between Eqs. 5 and 14 are consistent with the negative correlation between α - 13 C and γ - 13 C SCS.
- d. The estimations of chemical shifts at the α , β , γ positions in both ¹³C and ¹H NMR provided above suggest that the parameters governing chemical shifts of substituted *n*-alkanes in CCl₄ solution are the same as those in the vapor phase.

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