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SYNTHESIS OF USEFUL CHIRAL SYNTHONS (2R, 3R)-2-METHYLMALATE
AND ITS CONGENERS VIA MICROBIAL ASYMMETRIC REDUCTION

Hiroyuki Akita,* Hiroko Matsukura and Takeshi Oishi*
RIKEN (The Institute of Physical and Chemical Research),
2-1, Hirosawa, Wako-shi, Saitama 351-01, Japan

Asymmetric reduction of the commercially available diethyl 2-methyl-3-oxo-succinate **4** with *Candida albicans* gave a mixture of (3R)-methylmalates **5a** and **5b**. These were subjected to simple chemical processes and subsequent column chromatographic separation to provide the optically pure, useful chiral synthon **6a** or **7a** in good yield.

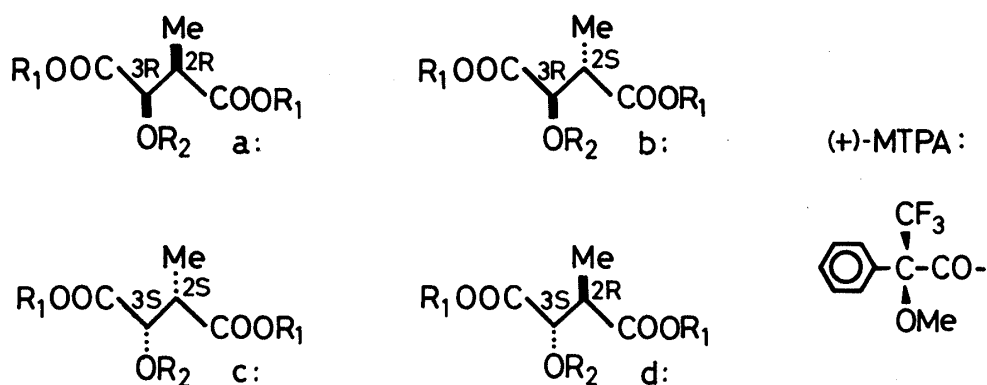
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To obtain a functionalized 2-methyl-3-hydroxy propionic acid, a useful building block for the synthesis of polyoxygenated natural products, we previously examined a microbiological reduction of dimethyl 2-methyl-3-oxosuccinate (**1**). We found that although the desired dimethyl (2R, 3R)-2-methylmalate **2a** was obtained in high optical purity (95% e.e.) along with (2S, 3R)-isomer **2b** (58% e.e.) by the use of *Candida albicans*, the total yield of the products was only 22% and a chromatographic separation of the isomer **2b** was quite difficult.¹⁾ These difficulties were partially overcome by replacing one of the methoxycarbonyl group in **1** with a furyl group which could be regarded as a carboxylic acid equivalent.²⁾ However, a large scale conversion of a furyl group into carboxylic acid by ozonolysis is difficult in practice. Therefore, a still better substrate was sought.

Meanwhile, in microbiological reduction of **3**, a great advantage of ethyl ester over methyl ester was observed.³⁾ Thus, expecting the same trends in the present case, we reduced diethyl 2-methyl-3-oxosuccinate **4** with a variety of yeasts. The selected results are shown in Table I. In all cases, the products were found to be mixtures of (2R, 3R)-*syn*-**5a**⁴⁾ and (2S, 3R)-*anti*-**5b**.⁴⁾ Determination of the absolute structure, product ratio and optical purity of each isomer was achieved in the same way as described for the reduction of dimethyl ester **1**.¹⁾ The desired (2R, 3R)-isomer **5a** was obtained with high optical purity (>99% e.e.). Moreover, a great increase in total yield of the mixture of **5a** and **5b** (more than 80% yield) was observed, particularly when *Candida albicans* was used. To examine the effect of the concentration of substrates, a series of the experiments were carried out using *Candida albicans*. The results are shown in Table II. The total yield and optical purity of **5a** were quite satisfactory in every case but the ratio of the desired **5a** decreased appreciably with the increase in substrate concentration, which shows that a 0.1% solution of **4** is optimal.

The remaining serious problem is the separation of *anti*-isomer **5b**, which was always produced. Presumably when configurational isomers are subjected to separation by column chromatography, the

effect of a configurational difference of substituents would appear more subtly in cyclic compounds than the corresponding acyclic compounds. Thus, a mixture of microbiological reduction products (Table II, entry 4: $\underline{5a}$, >99% e.e.; $\underline{5b}$, 33% e.e.) was treated with $\text{BH}_3\text{-Me}_2\text{S}$ in the presence of a trace amount of NaBH_4 ⁵⁾ and then lactonized with CF_3COOH , producing a mixture of the hydroxy lactones ($\underline{6a,b}$ and $\underline{7a,b}$). These could be separated as expected into a mixture (15%) of $\underline{7a}$ and $\underline{7b}$, $\underline{6a}$ ⁷⁾ (41%) and $\underline{6b}$ ⁷⁾ (28%) by the usual column chromatography. A mixture of $\underline{7a}$ and $\underline{7b}$ could also be separated into $\underline{7a}$ ⁷⁾ and $\underline{7b}$ ⁷⁾ by using lohar column chromatography. The structure of the isomeric lactones thus obtained were confirmed by converting the authentic syn-2a⁸⁾ and anti-2b⁸⁾ into ($\underline{6a}$, $\underline{7a}$) and ($\underline{6b}$, $\underline{7b}$), respectively, in the same way as above.



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|-------------|---|
| a: (2R, 3R) | $\underline{2}$ $R_1 = \text{Me}$ $R_2 = \text{H}$ |
| b: (2S, 3R) | $\underline{5}$ $R_1 = \text{Et}$ $R_2 = \text{H}$ |
| c: (2S, 3S) | |
| d: (2R, 3S) | $\underline{8}$ $R_1 = \text{Et}$ $R_2 = (+)\text{-MTPA}$ |

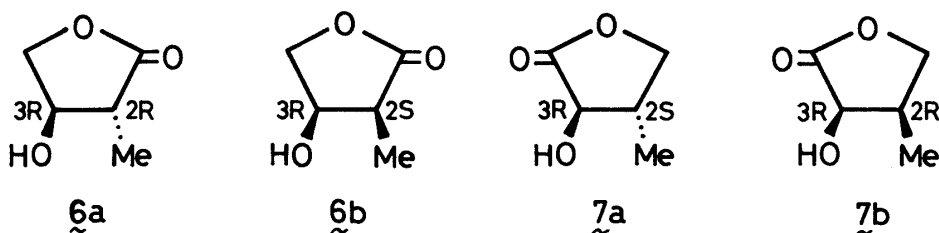
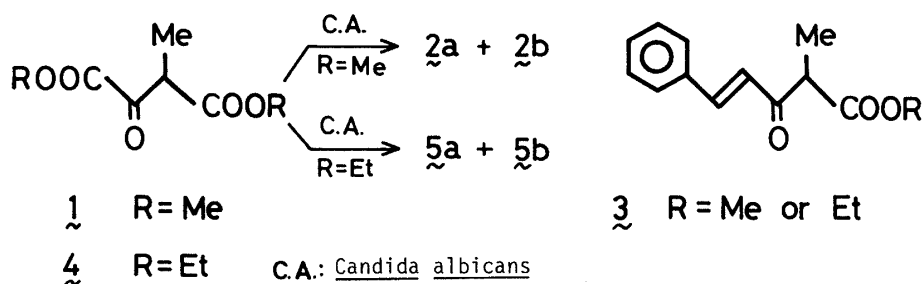


Table I

Entry	Microorganisms	Yield (%)	syn/anti	Optical purity (% e.e.)
1 ^{a)}	<u>Saccharomyces cerevisiae</u>	85 ^{d)}	43/57	<u>syn-5a</u> ; 79 <u>anti-5b</u> ; 31
2 ^{b)}	<u>Saccharomyces fermentati</u>	33 ^{e)}	44/56	<u>syn-5a</u> ; 82 <u>anti-5b</u> ; 44
3 ^{b)}	<u>Endomycopsis fibligera</u>	51 ^{e)}	68/32	<u>syn-5a</u> ; 90 <u>anti-5b</u> ; 46
4 ^{b)}	<u>Hansenula anomala</u>	50 ^{e)}	51/49	<u>syn-5a</u> ; 95 <u>anti-5b</u> ; 42
5 ^{b)}	<u>Hasenula anomala</u> NI-7572	47 ^{e)}	58/42	<u>syn-5a</u> ; 92 <u>anti-5b</u> ; 25
6 ^{b)}	<u>Saccharomyces acidifaciens</u>	42 ^{e)}	56/44	<u>syn-5a</u> ; 93 <u>anti-5b</u> ; 32
7 ^{c)}	<u>Candida albicans</u>	84 ^{d)}	55/45	<u>syn-5a</u> ; >99 <u>anti-5b</u> ; 77

a) A mixture of substrate 4 (2 g), sucrose (20 g), Baker's yeast (Saccharomyces cerevisiae, 20 g) in water (120 ml) was shaken for 24 h at 30°C.

b) Yeasts were incubated in 100 ml of liquid medium¹⁾ and the mixtures were shaken for 3 d at 30°C. Then ca. 50 mg of substrate 4 was added and the whole was again shaken for 3 d at 30°C.

c) A test tube containing 10 ml of the reported liquid medium¹⁾ was inoculated with Candida albicans and cultured at 30°C for 2 d with shaking. Then 1 ml of the seed culture was transferred to 450 ml of the same medium as mentioned above. After 2 d cultivation, 500 mg of 4 was added to the 450 ml of seed culture, then the whole was again shaken for 2 d at 30°C.

d) Isolated yield.

e) Yield as (+)-MTPA ester.⁶⁾

Table II

Entry	Concentration of substrate <u>4</u>	Yield (%)	syn/anti	Optical purity (% e.e.)
1	500 mg/ 450 ml	84	55/45	<u>syn-5a</u> ; >99 <u>anti-5b</u> ; 77
2	1.03 g/ 800 ml	83	52/48	<u>syn-5a</u> ; >99 <u>anti-5b</u> ; 25
3	1.27 g/ 800 ml	82	44/56	<u>syn-5a</u> ; >99 <u>anti-5b</u> ; 31
4	1.38 g/ 800 ml	90	47/53	<u>syn-5a</u> ; >99 <u>anti-5b</u> ; 33
5	1.563 g/ 800 ml	90	39/61	<u>syn-5a</u> ; >99 <u>anti-5b</u> ; 25
6	1.765 g/ 800 ml	90	32/68	<u>syn-5a</u> ; >99 <u>anti-5b</u> ; 24
7	2.196 g/ 800 ml	89	38/62	<u>syn-5a</u> ; >99 <u>anti-5b</u> ; 25

Thus, it became possible to obtain optically pure (2R, 3R)-6a and (2S, 3R)-7a corresponding to the (2R, 3R)-methylmalate in quantities from commercially available diethyl ester 4 by asymmetric reduction with Candida albicans, followed by simple chemical processes and column chromatographic separation.

Synthesis of the biologically active natural product is now being undertaken in this laboratory using the optically pure 6a or 7a obtained by the present method.

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- 4) The asymmetric reduction products 5a and 5b of 4 with Saccharomyces cerevisiae were separated by means of high pressure liquid chromatography to the less polar fraction anti-5b and the more polar fraction syn-5a, eluted with n-hexane-AcOEt (5:1). Among these, anti-5b was identical with an authentic sample in respect to $[\alpha]_D^{27}$ and $^{13}\text{C-NMR}$. 10 syn-5a; $[\alpha]_D^{27} +1.78^\circ$ (c=5, ether), IR (CCl_4): 1735, 3525 cm^{-1} , MS (as 3,5-dinitrobenzoate): Calcd for $\text{C}_{16}\text{H}_{18}\text{N}_2\text{O}_{10}$; 398.095. Found; 398.094, $^1\text{H-NMR}$ (CDCl_3) δ : 1.170 (d, J=7.2 Hz, 3H; $\text{C}_2\text{-Me}$), 4.604 (dd, J=4.6, 3.7 Hz, 1H; $\text{C}_3\text{-H}$), $^{13}\text{C-NMR}$ (CDCl_3) δ : 10.781 ($\text{C}_2\text{-Me}$), 43.290 (C_2), 71.627 ($\text{C}_3\text{-OH}$), anti-5b; $[\alpha]_D^{27} +4.54^\circ$ (c=5, ether), IR (CCl_4): 1735, 3525 cm^{-1} , $^1\text{H-NMR}$ (CDCl_3) δ : 1.298 (d, J=7.2 Hz, 3H; $\text{C}_2\text{-Me}$), 4.267 (dd, J=3.5, 6.4 Hz, 1H; $\text{C}_3\text{-H}$), $^{13}\text{C-NMR}$ (CDCl_3) δ : 12.840 ($\text{C}_2\text{-Me}$), 43.453 (C_2), 72.654 ($\text{C}_3\text{-OH}$). To determine optical purity, the relationship between the absolute structure of each isomer 5a-d and chemical shift due to $\text{C}_3\text{-H}$ of the corresponding (+)-MTPA esters 8a-d was determined. 8a; δ 5.770 (d, J=3.4 Hz), 8b; δ 5.401 (d, J=5.1 Hz), 8c; δ 5.795 (d, J=3.4 Hz), 8d; δ 5.418 (d, J=5.1 Hz)
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- 7) 6a; $[\alpha]_D^{20} +55.1^\circ$ (c=2, CHCl_3), IR (CCl_4): 1790, 3440 cm^{-1} , $^1\text{H-NMR}$ (CDCl_3) δ : 1.311 (d, J=7.3 Hz, 3H; $\text{C}_2\text{-Me}$), 2.530-2.600 (m, 1H; $\text{C}_2\text{-H}$), 6b; $[\alpha]_D^{20} +6.82^\circ$ (c=2.2, CHCl_3), IR (CCl_4): 1790, 3430 cm^{-1} , $^1\text{H-NMR}$ (CDCl_3) δ : 1.281 (d, J=7.2 Hz, 3H; $\text{C}_2\text{-Me}$), 2.617-2.685 (m, 1H; $\text{C}_2\text{-H}$), 7a; $[\alpha]_D^{20} +70.33^\circ$ (c=2.73, CHCl_3), IR (CCl_4): 1790, 3430 cm^{-1} , $^1\text{H-NMR}$ (CDCl_3) δ : 1.252 (d, J=6.6 Hz, 3H; $\text{C}_2\text{-Me}$), 2.485-2.606 (m, 1H; $\text{C}_2\text{-H}$), 4.047 (d, J=10.5 Hz, 1H; $\text{C}_3\text{-H}$), 7b; $[\alpha]_D^{20} +2.31^\circ$ (c=1.95, CHCl_3), IR (CCl_4): 1790, 3440 cm^{-1} , $^1\text{H-NMR}$ (CDCl_3) δ : 1.118 (d, J=7.1 Hz, 3H; $\text{C}_2\text{-Me}$), 2.724-2.813 (m, 1H; $\text{C}_2\text{-H}$), 4.503 (d, J=7.3 Hz, 1H; $\text{C}_3\text{-H}$)
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