

# Fuzzy Adaptive Least Squares and Its Use in Quantitative Structure–Activity Relationships

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**Fuzzy adaptive least squares (FALS), a pattern recognition method designed to correlate molecular structure with activity rating, has been developed. A novel feature of FALS is that the degree to which each sample belongs to an activity class is given using a membership function. The algorithm involves an iterative modification of forcing factors to maximize the sum of the membership function values over all samples. This paper first describes the method and calculation procedure of FALS89 (1989 version of FALS), and then shows its application to the correlation of structure with a potency rating of anticarcinogenic mitomycin derivatives and arginine-vasopressin antagonists. FALS89 applied to these samples showed considerably high reliability in both recognition and leave-one-out prediction.**

**Keywords** fuzzy set; adaptive least squares; activity rating discrimination; pattern recognition: QSAR; mitomycin; vasopressin antagonist

## Introduction

It is well known that structurally similar compounds exhibit similar biological activities. Discriminating between these similarities is a problem of pattern recognition. There are two aspects involved in pattern discrimination for structure–activity studies. One is the discrimination of the type of action from molecular structure. For this purpose, methods for independent-category discrimination such as linear discriminant analysis,<sup>1)</sup> SIMCA,<sup>2)</sup> and linear learning machine (LLM)<sup>3)</sup> are used. The other is the discrimination of activity ratings (–, +, ++, etc.), which are ordered categories. For this purpose, we developed adaptive least squares (ALS) in 1977.<sup>4)</sup> ALS is a nonparametric pattern classifier, and is devised to formulate a quantitative structure–activity relationships (QSAR) in a single mathematical equation, irrespective of the number of activity ratings by an error-correcting feedback adaptation. Because the adaptation is done on the forcing factors described later, the ALS calculation is efficient, and is applicable to linearly inseparable samples, unlike LLM.

Ordered categories comprise not only statistical vagueness, such as inaccuracy of measurement, but also intrinsic vagueness, such as subjective criteria for classification. Such indefiniteness can be grasped by the concepts of fuzzy variance.<sup>5)</sup> To ALS, therefore, we have introduced a membership function<sup>5)</sup> which is assumed to be a fuzzy degree of membership in classes. The product is fuzzy adaptive least-squares (FALS).<sup>6)</sup>

This paper first describes the method of the 1989 version of FALS (FALS89), and then shows its application to the correlation of structure with a potency rating of anticarcinogenic mitomycin derivatives and arginine-vasopressin antagonists.

**FALS89** Like ALS, FALS makes decisions for ordered  $m$ -class ( $m \geq 2$ ) discrimination by a single discriminant function as

$$Z = w_0 + w_1x_1 + w_2x_2 + \cdots + w_px_p \quad (1)$$

where  $x_k = k$ th descriptor ( $k = 1, 2, \cdots, p$ ) for structure;  $w_k =$  weight coefficient; and  $Z =$  discriminant score. For a set of  $n$  compounds, Eq. 1 can be rewritten as Eq. 2.

$$Z = XW \quad (2)$$

$$Z = \begin{bmatrix} Z_1 \\ Z_2 \\ \vdots \\ Z_n \end{bmatrix}, \quad X = \begin{bmatrix} 1 & x_{11} & \cdots & x_{p1} \\ 1 & x_{12} & \cdots & x_{p2} \\ \vdots & \vdots & & \vdots \\ 1 & x_{1n} & \cdots & x_{pn} \end{bmatrix}, \quad W = \begin{bmatrix} w_0 \\ w_1 \\ \vdots \\ w_p \end{bmatrix}$$

In the matrix  $X$ ,  $x_{ki}$  ( $k = 1, 2, \cdots, p$  and  $i = 1, 2, \cdots, n$ ) is the  $k$ th descriptor for the  $i$ th compound.

Starting scores,  $a_j$  ( $j = 1, 2, \cdots, m$ ), for the members of class  $j$  are assumed, and then class boundaries,  $b_j$  ( $j = 1, 2, \cdots, m-1$ ), are fixed in advance. In fuzzy ALS, as well as ALS,  $a_j$  is assumed by Eq. 3 where  $a_j$  is dependent on the size of classes, or by Eq. 4 where  $a_j$  is only dependent on the number of classes.  $b_j$  is taken as the midpoint between  $a_j$  and  $a_{j+1}$  as Eq. 5.

$$a_j = 4 \left( \sum_{g=1}^{j-1} n_g + n_j/2 \right) / (n-2) \quad (3)$$

where  $n_g =$  size of group  $g$  and  $n_j =$  size of group  $j$ .

$$a_j = (4j-2)/(m-2) \quad (4)$$

$$b_j = (a_j + a_{j+1})/2 \quad (5)$$

A membership function,  $M(Z)$ , is assumed to give the grade of membership of classes for compounds. The value of  $M(Z)$  (membership grade) ranges from 0 to 1, and is taken to be 0.5 at the class boundaries. Figure 1 shows the function used in FALS89. In Fig. 1, fuzzy level,  $Fl_j$ , is the parameter for fuzziness of the boundary between class  $j$  and class  $j+1$ . Two levels of slopes, 'steep' and 'gentle', are generally used.  $M(Z)$  for class  $j$  can be written as Eq. 6.

$$M(Z) = \begin{cases} 1/[1 + \{(Z - b_{j-1})/Fl_{j-1} - 1\}^4] & Z \leq b_{j-1} + Fl_{j-1} \\ 1 & b_{j-1} + Fl_{j-1} < Z \leq b_j - Fl_j \\ 1/[1 + \{(b_j - Z)/Fl_j - 1\}^4] & b_j - Fl_j < Z \end{cases} \quad (6)$$

The procedure is designed to maximize approximately

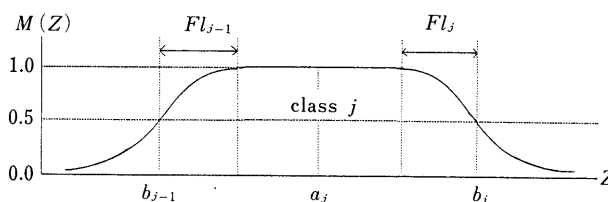


Fig. 1. Membership Function for Class  $j$

the total sum of the membership grade over all compounds in the set. The calculation begins by setting the initial forcing factors  $S_i^{(1)}$  ( $i = 1, 2, \dots, n$ ), which are taken to be

$$S_i^{(1)} = a_j \quad (7)$$

where  $a_j$  = the starting score for class  $j$  to which the  $i$ th compound was observed to belong. Generally, classes are numbered in ascending order of biological potency. By use of  $S_i^{(1)}$  in place of  $Z$  in Eq. 1 (or Eq. 2) as

$$S^{(1)} = XW \quad (8)$$

where  $S^{(1)} = (S_1^{(1)}, S_2^{(1)}, \dots, S_n^{(1)})'$  (the prime denotes the transposition), the least-squares estimate of the initial weight,  $W^{(1)}$ , is written as

$$W^{(1)} = (X'X)^{-1} X'S^{(1)} \quad (9)$$

$W^{(1)}$  is computed by ordinary least-squares. Then,  $Z_i^{(1)}$  for each substance is calculated from Eq. 1 (or Eq. 2) using  $W^{(1)}$  as

$$Z^{(1)} = XW^{(1)} \quad (10)$$

The membership grade,  $MG_i$ , is calculated based on  $Z_i^{(1)}$  for all the compounds.

At iteration 2 and thereafter, the forcing factor  $S_i^{(t+1)}$  ( $t \geq 1$ ) is adapted using the correction term  $C_i^{(t)}$  when compound  $i$  actually belongs to class  $j$ , as

$$S_i^{(t+1)} = Z_i^{(t)} + C_i^{(t)} \quad (11)$$

$$C_i^{(t)} = \begin{cases} \alpha \sqrt{(1 - MG_i) F_{j-1}} & Z_i^{(t)} \leq a_j \\ -\alpha \sqrt{(1 - MG_i) F_{j-1}} & Z_i^{(t)} > a_j \end{cases} \quad (12)$$

In Eq. 12,  $\alpha$  is the constant giving below.

Then, the least-squares estimate of  $W_k^{(t+1)}$  is computed from Eq. 13, and  $Z_i^{(t+1)}$  is calculated from Eq. 1 (or Eq. 2) using  $W^{(t+1)}$  for the evaluation of membership grade and classification.

$$W^{(t+1)} = (X'X)^{-1} X'S^{(t+1)} \quad (13)$$

The adaptive least-squares calculation is iteratively carried out so as to minimize  $\sum (S_i - Z_i)^2$ , or  $\sum C_i^2$ . Therefore, we can expect to obtain a discriminant function giving almost maximum  $MG_i$  for the set of compounds.

The iterative learning of the discriminant function is actually carried out in two steps. In step 1 ( $1 \leq t \leq 10$ ), a

weight vector giving a good discrimination result is roughly searched using all combinations of fuzzy levels and a greater  $\alpha$  value to avoid falling into a local optimum. Since there is some arbitrariness in the membership function, the product of mean membership grade ( $MMG$ ) and Spearman's rank correlation coefficient ( $R_s$ ) is used as the criterion for the best discrimination. Thus, the weight vector giving the greatest value of the product  $MMG \cdot R_s$  in step 1 is selected for the starting vector of step 2. In step 2 ( $t \geq 11$ ), the iterative calculation with the best combination of fuzzy levels chosen in step 1 and a smaller  $\alpha$  value is performed until the discrimination is no longer improved within a maximum of 20 times of iteration.

The results of FALS89 are validated by leave-one-out prediction.<sup>3)</sup> The discriminant function with a scientifically reasonable subset of descriptors giving the best leave-one-out prediction is finally adopted.

TABLE I. Structures and Descriptors of Mitomycin Derivatives

Compd.	Structure			Descriptors used in Eqs. 14 and 15					
	X	Y	Z	$\sigma_m(X)$	$V_w(X)$	$\sigma^*(Y)$	$B_1(Z)$	$B_4(Z)$	
1 <sup>a)</sup>	NH <sub>2</sub>	OMe	H	-0.16	0.177	1.81	1.00	1.00	
2	NHEt	OMe	H	-0.24	0.493	1.81	1.00	1.00	
3 <sup>b)</sup>	NH <sub>2</sub>	OMe	Me	-0.16	0.177	1.81	1.52	2.04	
4	NH <sub>2</sub>	OMe	Et	-0.16	0.177	1.81	1.52	2.97	
5	NH <sub>2</sub>	OMe	Ac	-0.16	0.177	1.81	1.90	2.93	
6	NH <sub>2</sub>	OH	Me	-0.16	0.177	1.55	1.52	2.04	
7	NMe <sub>2</sub>	OMe	H	-0.15	0.441	1.81	1.00	1.00	
8	NH <sub>2</sub>	OMe	COPh- <i>o</i> -Cl	-0.16	0.177	1.81	2.36	5.98	
9	NH <sub>2</sub>	OMe	COPh- <i>p</i> -Cl	-0.16	0.177	1.81	2.36	5.98	
10	NHPh	OMe	H	-0.12	0.892	1.81	1.00	1.00	
11 <sup>c)</sup>	OMe	OMe	H	0.12	0.304	1.81	1.00	1.00	
12	OMe	OMe	Me	0.12	0.304	1.81	1.52	2.04	
13 <sup>d)</sup>	OMe	OH	Me	0.12	0.304	1.55	1.52	2.04	
14	NH <sub>2</sub>	H	Me	-0.16	0.177	0.49	1.52	2.04	
15	NH <sub>2</sub>	OMe	SO <sub>2</sub> Me	-0.16	0.177	1.81	2.11	3.15	
16	OMe	H	Me	0.12	0.304	0.49	1.52	2.04	

a) Mitomycin C. b) Porfiromycin. c) Mitomycin A. d) Mitomycin B.

TABLE II. FALS89 Discriminant Functions and Their Reliability

Eq. No.		$N^{b)}$	Calcd			Predicted <sup>a)</sup>		
			$MMG^{c)}$	$N_{\text{mis}}^{d)}$	$R_s^{e)}$	$MMG^{c)}$	$N_{\text{mis}}^{d)}$	$R_s^{e)}$
14 <sup>f)</sup>	Solid sarcoma 180	16	0.925	1 (0)	0.969	0.883	2 (0)	0.901
	$Z = -5.654 \sigma_m(X) - 3.198 V_w(X) + 1.664 \sigma^*(Y)$							
	$\quad\quad\quad [0.70]^{g)} \quad\quad\quad [0.59] \quad\quad\quad [0.72]$							
	$\quad\quad\quad -1.638 B_1(Z) + 0.579$							
	$\quad\quad\quad [0.74]$							
15 <sup>h)</sup>	Ascites Hirosaki sarcoma	14	0.859	2 (0)	0.876	0.857	2 (0)	0.876
	$Z = -1.911 V_w(X) + 1.729 \sigma^*(Y) - 0.551 B_4(Z)$							
	$\quad\quad\quad [0.37]^{g)} \quad\quad\quad [0.79] \quad\quad\quad [0.41]$							
	$\quad\quad\quad -0.876$							

a) Using leave-one-out technique. b) Number of compounds. c) Mean membership grade. d) Number misclassified. The figure in parentheses is the number misclassified by two ratings. e) Spearman rank correlation coefficient. All the values are highly significant at the level of  $p < 0.001$ . f) Derived with fuzzy levels {0.5, 0.1}. g) Contribution index ( $= |\text{coef}| \cdot \text{SD of the descriptor}$ ), which is a measure of the contribution of the descriptor to discriminant score. h) Derived with fuzzy levels {0.1, 0.5}.

## Methods

In FALS89 calculation, two kinds of fuzzy levels ( $FI=0.1$  for 'steep' and  $FI=0.5$  for 'gentle') were used for the membership function. The  $\alpha$  value was taken to be 2.0 and 0.5 in step 1 and step 2, respectively. All calculations were carried out on a Sony NWS-830 computer and a Kobe Steel KTR-B08 transputer attached to an Epson PC-286VF micro-computer.

## Results and Discussion

**Structure Activity Correlation of Carcinogenic Mitomycin Derivatives** Mitomycins are antitumor antibiotics discovered by HATA *et al.* of the Kitasato Institute.<sup>7)</sup> The first example of the application of FALS89 is for mitomycin derivatives which have been well studied by ALS.<sup>8)</sup> Their structures and descriptors are shown in Table I. Five descriptors for the structural features were the Hammett constant,<sup>9)</sup>  $\sigma_m(X)$ , and van der Waals volume,<sup>10)</sup>  $V_w(X)$ , for the substituent  $X$ , polar effect,  $\sigma^*(Y)$ ,<sup>11)</sup> for  $Y$ , and Sterimol width parameters,<sup>12)</sup>  $B_1(Z)$  and  $B_4(Z)$ , for  $Z$ . The data set was the same as that used in the study by ALS.<sup>8)</sup>

Anticarcinogenic activity in mice was observed against a solid sarcoma 180 with 16 compounds and against an ascites Hirosaki sarcoma with 14 compounds, and was allotted three ratings for both subsets (See Table IV).

FALS89 calculation was effectively done using Eq. 4 for the starting scores. The resultant discriminant functions and their reliability are listed in Table II. The four-descriptor

equation (Eq. 14) and the three-descriptor equation (Eq. 15) were best for anticarcinogenic activity against the solid sarcoma 180 and ascites Hirosaki sarcoma, respectively. The squared correlation matrix for the descriptors included in Eqs. 14 and 15 appears in Table III. The combinations of descriptors were validated by the leave-one-out technique.

From the sign of the coefficients with these descriptors, it was concluded that electron-donating and less bulky substituents for  $X$ , electron-withdrawing groups for  $Y$ , and thin substituents for  $Z$  are favorable for higher potency against both solid sarcoma and ascites sarcoma. The findings seem to support the mechanism of action of mitomycin C postulated by Andrews *et al.*<sup>13)</sup> The mechanism involves A) enzymatic one-electron reduction of the quinone moiety to a semiquinone radical followed by B) elimination of the methoxy group to form a reactive planner intermediate, C) opening of the aziridine ring to cross-link deoxyribonucleic acid (DNA), and D) oxidation of the semiquinone radical to cause DNA strand cleavage. The descriptor  $V_w(X)$  may be related to step A),  $\sigma^*(Y)$  to B),  $B_1(Z)$  and  $B_4(Z)$  to C), and  $\sigma_m(X)$  to D).

The calculated and predicted membership grades and ratings in both activities for each compound using Eqs. 14 and 15 are listed in Table IV, along with observed ratings. The results from ALS81 analysis<sup>8)</sup> are also listed in Table IV. FALS89 and ALS81 gave the same calculated ratings for both activities. However, FALS89 was found to have better predictive ability of activity against solid sarcoma 180, despite the use of descriptor subsets selected by ALS81.

**Structure Activity Correlation of Vasopressin Antagonists** The second example of the application of FALS89 studied 33 antagonists of antidiuretic and vasopressor responses to arginine-vasopressin. Vasopressin acts on the membranes of the distal convoluted tubules and collecting ducts of the kidney, causing them to become water permeable. This permits reabsorption of water by osmosis. Vasopressin also acts as a vasoconstrictor of vascular

TABLE III. Squared Cross-Correlation Matrix of Descriptors

	$\sigma_m(X)$	$V_w(X)$	$\sigma^*(Y)$	$B_1(Z)$	$B_4(Z)$
$\sigma_m(X)$	1.000				
$V_w(X)$	0.001	1.000			
$\sigma^*(Y)$	0.056	0.029	1.000		
$B_1(Z)$	0.000	0.330	0.028	1.000	
$B_4(Z)$	0.004	0.346	0.011	0.898 <sup>a)</sup>	1.000

a)  $B_1(Z)$  and  $B_4(Z)$  were not used simultaneously in Eqs. 14 and 15.

TABLE IV. Observed and Calculated Activities of Mitomycin Derivatives

Compd.	Solid sarcoma 180								Ascites Hirosaki sarcoma							
	Obsd <sup>(a)</sup>	FALS89 (Eq. 14)				ALS81 <sup>(a)</sup>		Obsd <sup>(a)</sup>	FALS89 (Eq. 15)				ALS81 <sup>(a)</sup>			
		Calcd		Pred		Calcd	Pred		Calcd		Pred		Calcd	Pred		
		RT <sup>(b)</sup>	MG	RT	MG				RT	MG	RT	MG			RT	
<b>1</b>	3	1.000	3	1.000	3	3	3	3	1.000	3	1.000	3	3	3		
<b>2</b>	3	1.000	3	1.000	3	3	3	3	1.000	3	0.987	3	3	3		
<b>3</b>	3	1.000	3	1.000	3	3	3	3	1.000	3	0.994	3	3	3		
<b>4</b>	3	1.000	3	1.000	3	3	3	2	1.000	2	1.000	2	2	2		
<b>5</b>	3	0.809	3	0.356	2	3	2	2	1.000	2	1.000	2	2	2		
<b>6</b>	3	0.990	3	0.923	3	3	3	2	1.000	2	1.000	2	2	2		
<b>7</b>	3	1.000	3	1.000	3	3	3	3	1.000	3	1.000	3	3	3		
<b>8</b>	2	1.000	2	1.000	2	2	2									
<b>9</b>	2	1.000	2	1.000	2	2	2									
<b>10</b>	2	1.000	2	1.000	2	2	2	2	1.000	2	0.996	2	2	2		
<b>11</b>	2	0.994	2	0.856	2	2	2	3	1.000	3	1.000	3	3	3		
<b>12</b>	2	1.000	2	1.000	2	2	2	2	1.000	2	1.000	2	2	2		
<b>13</b>	1	1.000	1	1.000	1	1	2	1	0.024	2	0.015	2	2	2		
<b>14</b>	1	1.000	1	0.997	1	1	1	1	1.000	1	1.000	1	1	1		
<b>15</b>	1	0.000	2	0.000	2	2	2	3	0.001	2	0.000	2	2	2		
<b>16</b>	1	1.000	1	1.000	1	1	2	1	1.000	1	1.000	1	1	1		

a) Ref. 8. b) Rating.

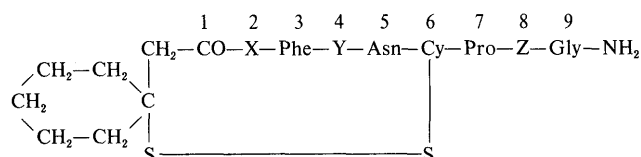
TABLE V. Structures and Descriptors of Arg-Vasopressin Antagonists

Compd.	Structure			Descriptors used in Eqs. 16–21 (Table VI)								
	X	Y	Z	<i>D</i> (X)	<i>Phe</i> (X)	<i>L</i> (X)	<i>HB</i> (Y)	<i>H</i> (Y)	<i>E</i> (Y)	<i>T</i> (Y)	<i>OMH</i> (Y)	<i>D</i> (Z)
1	D-Phe	Val	L-Arg	1	1	2.06	0	0	0.68	−0.62	0.91	0
2	D-Phe	Ile	L-Arg	1	1	2.06	0	0	0.67	−0.70	1.25	0
3	D-Phe	Thr	L-Arg	1	1	2.06	1	0	0.13	0.06	−0.28	0
4	D-Phe	Ala	L-Arg	1	1	2.06	0	1	−0.23	−0.47	−0.40	0
5	D-Phe	Gln	L-Arg	1	1	2.06	1	1	0.12	−0.20	−0.91	0
6	D-Phe	Lys	L-Arg	1	1	2.06	1	1	−0.33	0.28	−0.67	0
7	D-Phe	Phe	L-Arg	1	1	2.06	0	1	0.26	−0.37	1.92	0
8	D-Phe	Leu	L-Arg	1	1	2.06	0	1	0.23	−0.62	1.22	0
9	D-Phe	Gly	L-Arg	1	1	2.06	0	1	−0.42	0.57	−0.67	0
10	D-Phe	Tyr	L-Arg	1	1	2.06	1	1	0.40	0.38	1.67	0
11	D-Phe	Ser	L-Arg	1	1	2.06	1	0	−0.17	0.21	−0.55	0
12	L-Tyr (Me) <sup>a)</sup>	Val	D-Arg	0	0	3.98	0	0	0.68	−0.62	0.91	1
13	L-Tyr (Et)	Val	D-Arg	0	0	4.92	0	0	0.68	−0.62	0.91	1
14	L-Tyr (iso-Pr)	Val	D-Arg	0	0	4.92	0	0	0.68	−0.62	0.91	1
15	L-Tyr (Pr)	Val	D-Arg	0	0	5.03	0	0	0.68	−0.62	0.91	1
16	L-Tyr (Me)	Val	L-Arg	0	0	3.98	0	0	0.68	−0.62	0.91	0
17	L-Tyr (Et)	Val	L-Arg	0	0	4.92	0	0	0.68	−0.62	0.91	0
18	L-Tyr (iso-Pr)	Val	L-Arg	0	0	4.92	0	0	0.68	−0.62	0.91	0
19	L-Tyr (Pr)	Val	L-Arg	0	0	5.03	0	0	0.68	−0.62	0.91	0
20	D-Tyr (Me)	Val	D-Arg	1	0	3.98	0	0	0.68	−0.62	0.91	1
21	D-Tyr (Et)	Val	D-Arg	1	0	4.92	0	0	0.68	−0.62	0.91	1
22	D-Tyr (iso-Pr)	Val	D-Arg	1	0	4.92	0	0	0.68	−0.62	0.91	1
23	D-Tyr (Pr)	Val	D-Arg	1	0	5.03	0	0	0.68	−0.62	0.91	1
24	D-Tyr (Me)	Val	L-Arg	1	0	3.98	0	0	0.68	−0.62	0.91	0
25	D-Tyr (Et)	Val	L-Arg	1	0	4.92	0	0	0.68	−0.62	0.91	0
26	D-Tyr (iso-Pr)	Val	L-Arg	1	0	4.92	0	0	0.68	−0.62	0.91	0
27	D-Tyr (Pr)	Val	L-Arg	1	0	5.03	0	0	0.68	−0.62	0.91	0
28	D-Tyr	Val	D-Arg	1	0	2.74	0	0	0.68	−0.62	0.91	1
29	D-Tyr	Val	L-Arg	1	0	2.74	0	0	0.68	−0.62	0.91	0
30	D-Phe	Abu <sup>b)</sup>	L-Arg	1	1	2.06	0	1	—	—	—	0
31	D-Phe	Cha <sup>c)</sup>	L-Arg	1	1	2.06	0	1	—	—	—	0
32	D-Phe	Nle <sup>d)</sup>	L-Arg	1	1	2.06	0	1	—	—	—	0
33	D-Phe	Nva <sup>e)</sup>	L-Arg	1	1	2.06	0	1	—	—	—	0

a) Tyrosine alkyl ether. b)  $\alpha$ -Aminobutyric acid. c) Cyclohexyl alanine. d) Norleucine. e) Norvaline.

smooth muscle. Further, recent work has revealed that vasopressin acts as a neurohormone in the central nervous system controlling the cardiovascular, renal, and thermoregulatory systems.<sup>14)</sup> Vasopressin antagonists are considered valuable pharmacological tools for investigating physiological and behavioral functions of the posterior pituitary hormone.

Arginine-vasopressin antagonists with the following general structure were synthesized, and their anti-antidiuretic and anti-vasopressor activities were measured by Manning *et al.*<sup>15–18)</sup> The detailed structures are listed in Table V.



The biological activities were observed *in vivo* as an effective dose (ED) in rats, and all were not measured at the same time. Therefore, the potencies were allotted into three ratings (See Table IX) and were subjected to FALS89 analysis.

As to the structural descriptors in FALS89 analysis, those for X, Y, and Z in the general structure were considered. As for X, which included L-Tyr, D-Tyr, their alkyl ethers,

and D-Phe, two indicator variables *D*(X) for X=D-amino acid residue and *Phe*(X) for X=Phe as well as *L*(X) for the length of the side chain were investigated. As for Y, which included 11 natural amino acid residues and 4 artificial amino acid residues, descriptors for hydrogen bonding, hydrophobicity,<sup>19,20)</sup> and length of the side chain as well as several conformation parameters<sup>21–23)</sup> were studied. Among them, descriptors selected in the QSAR equations in Table VI are two indicator variables *HB*(Y) for hydrogen bonding ability of the side chain and *H*(Y) for helix making properties, the optimal matching hydrophobicity *OMH*(Y),<sup>20)</sup> and information measures for extended sheet conformation *E*(Y)<sup>23)</sup> and for turns defined as for middle residues *T*(Y).<sup>23)</sup> *H*(Y) is taken to be zero for amide-N having no hydrogen atom as Pro, branching at the  $\beta$ -position as Val and Ile, and a hydroxyl group attached to the  $\beta$ -carbon atom as Thr and Ser; and otherwise taken to be 1.<sup>22)</sup> As for Z, which included D-Arg and L-Arg, an indicator variable *D*(Z) for D-Arg was used in FALS analysis.

The descriptor values used in the discriminant functions (Table VI) were listed in Table V. The values of *E*(Y), *T*(Y), and *OMH*(Y) were not available for four compounds having artificial amino acid residues for Y. Therefore, FALS89 calculation was carried out with two sets of data, one for 29 compounds excluding the four compounds, and the other for the whole 33 compounds.

TABLE VI. FALS89 Discriminant Functions and Their Reliability

Eq. No.		N	Calcd			Predicted		
			MMG	N <sub>mis</sub>	R <sub>s</sub> <sup>a)</sup>	MMG	N <sub>mis</sub>	R <sub>s</sub> <sup>a)</sup>
16	Anti-antidiuretic activity Z = 1.087 D(X) + 2.052 Phe(X) + 0.824 L(X) [0.49] <sup>b)</sup> [1.00] [1.09] - 2.167 H(Y) - 1.569 D(Z) - 3.458 [0.93] [0.73]	29	0.925	2 (0)	0.955	0.877	3 (0)	0.922
17	Z = 1.329 D(X) + 0.397 L(X) [0.59] <sup>b)</sup> [0.52] - 1.637 H(Y) - 2.126 D(Z) - 1.293 [0.70] [0.98]	29	0.877	3 (0)	0.935	0.841	4 (0)	0.904
18	Z = 1.056 D(X) + 1.965 Phe(X) + 0.802 L(X) [0.45] <sup>b)</sup> [0.98] [1.07] - 2.105 H(Y) - 1.541 D(Z) - 3.279 [0.99] [0.69]	33	0.903	3 (1)	0.884	0.857	4 (1)	0.849
19	Anti-vasopressor activity Z = -0.136 D(X) - 0.311 Phe(X) + 3.596 E(Y) [0.06] <sup>b)</sup> [0.15] [1.26] - 1.258 OMH(Y) - 0.160 D(Z) - 0.584 [0.87] [0.07]	29	0.852	4 (0)	0.902	0.785	5 (0)	0.860
20	Z = -0.184 D(X) - 1.106 Phe(X) - 0.826 T(Y) [0.08] <sup>b)</sup> [0.54] [0.29] - 0.514 H(Y) - 0.150 D(Z) + 0.240 [0.22] [0.07]	29	0.857	4 (1)	0.818	0.845	4 (1)	0.818
21	Z = -0.137 D(X) - 1.094 Phe(X) - 0.520 HB(Y) [0.06] <sup>b)</sup> [0.54] [0.19] - 0.642 H(Y) - 0.140 D(Z) + 0.885 [0.30] [0.06]	33	0.870	4 (1)	0.862	0.865	4 (1)	0.862

a) Highly significant at the level of  $p < 0.001$  for all the equations. b) Contribution index.

TABLE VII. Squared Cross-Correlation Matrix of Descriptors Appearing in Eqs. 16, 17, 19, and 20

	D(X)	Phe(X)	L(X)	H(Y)	OMH(Y)	E(Y)	T(Y)	D(Z)
D(X)	1.000							
Phe(X)	0.233	1.000						
L(X)	0.287	0.804	1.000					
H(Y)	0.121	0.521	0.419	1.000				
OMH(Y)	0.040	0.172	0.139	0.094	1.000			
E(Y)	0.139	0.598	0.482	0.559	0.564	1.000		
T(Y)	0.102	0.439	0.354	0.353	0.330	0.656	1.000	
D(Z)	0.064	0.275	0.221	0.143	0.047	0.165	0.121	1.000

TABLE VIII. Squared Cross-Correlation Matrix of Descriptors Appearing in Eqs. 18 and 21

	D(X)	Phe(X)	L(X)	HB(Y)	H(Y)	D(Z)
D(X)	1.000					
Phe(X)	0.266	1.000				
L(X)	0.318	0.832	1.000			
HB(Y)	0.057	0.214	0.178	1.000		
H(Y)	0.160	0.600	0.499	0.057	1.000	
D(Z)	0.083	0.313	0.260	0.067	0.187	1.000

The resulting discriminant functions are expressed as Eqs. 16–21 in Table VI. The best combination of fuzzy levels  $\{F_{I1}, F_{I2}\}$  were  $\{0.5, 0.1\}$  in these equations except Eq. 19  $\{0.1, 0.1\}$ , indicating that the boundary between higher potency classes was clearer. For anti-antidiuretic activity of 29 antagonists, five-descriptor equation Eq. 16 was the best. A high correlation ( $r^2 = 0.80$ ) was found between Phe(X) and L(X) as shown in Table VII. However, there seemed

to be no fear of chance correlation because Eq. 17, which was lacking in Phe(X), still gave fairly good results. For the data set of the whole 33 compounds, Eq. 18 with the same five descriptors as those in Eq. 16 was selected as the best equation.

For anti-vasopressor activity of 29 antagonists, five-descriptor equations Eqs. 19 and 20 were selected. Although Eq. 19 is better in terms of  $R_s$  than Eq. 20 both in recognition and in leave-one-out prediction, the predictive MMG is somewhat inferior. Eq. 21 for the set of 33 compounds gave the best predictive results both in MMG and  $R_s$ . The squared correlation matrix for the descriptors appearing in Eqs. 18 and 21 for 33 compounds is listed in Table VIII.

The resulting recognition and leave-one-out prediction using these equations were reasonably good in terms of MMG as well as  $R_s$ , all of which indicated the significance level of  $p < 0.001$  (Table VI). The recognized and predicted membership grades and ratings in both activities for each compound using Eqs. 18 and 21 were listed in Table IX, along with observed ratings.

Native arginine-vasopressin contains L-Cys at position 1, L-Tyr for X, L-Gln for Y, and L-Arg for Z. The equations in Table VI indicate a clear contrast for X between the two kinds of activities; anti-vasopressor activity prefers L-Tyr the same as the position 2 residue of native vasopressin, whereas D-Phe, D-Tyr, and Tyr alkyl ether instead of L-Tyr are favorable for anti-antidiuretic activity. As for Y, residues having a tendency to not form helices, pleated sheets, nor turns are preferable for both activities. The dynamics and conformational energetics of lysine-vasopressin were theoretically studied by Hagler *et al.*,<sup>24)</sup> and the predomi-

TABLE IX. Observed and Calculated Activities of Arg-Vasopressin Antagonists

Compd.	Anti-antidiuretic activity					Anti-vasopressor activity				
	Obsd Rating <sup>a)</sup>	Calcd by Eq. 18		Pred		Obsd Rating <sup>b)</sup>	Calcd by Eq. 21		Pred	
		MG	Rating	MG	Rating		MG	Rating	MG	Rating
1	3	1.000	3	1.000	3	2	0.950	2	0.984	2
2	3	1.000	3	1.000	3	2	0.950	2	0.984	2
3	3	1.000	3	1.000	3	1	0.950	1	0.766	1
4	2	0.123	1	0.091	1	1	0.997	1	0.996	1
5	1	0.982	1	0.973	1	3	0.000	1	0.000	1
6	1	0.982	1	0.973	1	1	1.000	1	1.000	1
7	1	0.982	1	0.973	1	1	0.997	1	0.996	1
8	1	0.982	1	0.973	1	1	0.997	1	0.996	1
9	1	0.982	1	0.973	1	1	0.997	1	0.996	1
10	1	0.982	1	0.973	1	1	1.000	1	1.000	1
11	3	1.000	3	1.000	3	1	0.950	1	0.766	1
12	1	1.000	1	1.000	1	3	0.936	3	0.991	3
13	1	1.000	1	1.000	1	3	0.936	3	0.991	3
14	1	1.000	1	1.000	1	3	0.936	3	0.991	3
15	1	0.998	1	0.989	1	2	0.170	3	0.035	3
16	2	0.978	2	0.529	2	3	0.946	3	1.000	3
17	2	1.000	2	1.000	2	2	0.014	3	0.000	3
18	2	1.000	2	1.000	2	3	1.000	3	1.000	3
19	2	1.000	2	0.897	2	3	1.000	3	1.000	3
20	1	0.854	1	0.061	2	2	1.000	2	1.000	2
21	2	1.000	2	1.000	2	2	1.000	2	1.000	2
22	2	1.000	2	1.000	2	2	1.000	2	1.000	2
23	3	0.000	2	0.000	2	2	1.000	2	1.000	2
24	3	1.000	3	1.000	3	3	0.946	3	1.000	3
25	3	1.000	3	1.000	3	3	0.946	3	1.000	3
26	3	1.000	3	1.000	3	3	0.946	3	1.000	3
27	3	1.000	3	1.000	3	2	0.161	3	0.082	3
28	1	1.000	1	1.000	1	2	1.000	2	1.000	2
29	2	0.995	2	0.956	2	3	1.000	3	1.000	3
30	3	0.000	1	0.000	1	1	0.997	1	0.996	1
31	1	0.982	1	0.973	1	1	0.997	1	0.996	1
32	1	0.982	1	0.973	1	1	0.997	1	0.996	1
33	1	0.982	1	0.973	1	1	0.997	1	0.996	1

a) 1,  $ED > 4.0$  mmol/kg; 2,  $1.7 < ED \leq 4.0$ ; 3,  $ED \leq 1.7$ . b) 1,  $ED > 1.2$  mmol/kg; 2,  $0.45 < ED \leq 1.2$ ; 3,  $ED \leq 0.45$ .

nant role of Phe at position 3 in the dynamic flexibility and multiple conformational state of the cyclic hexapeptide ring was revealed. Importance of the conformational property of Y located at position 4 seems to be understandable. As for Z, L-Arg, the same as the position 8 residue of native vasopressin, is favorable for both activities.

Thus, FALS analysis successfully generated significant QSAR models which characterized structural features favorable to anti-antidiuretic and anti-vasopressor activities. The resemblance and difference between the characteristics of two kinds of receptors for Arg-vasopressin were interestingly suggested by the FALS calculation results.

The success achieved in these examples using FALS89 as an aid in the empirical rule making process leads us to believe that the new computerized pattern classifier, FALS, could become a useful tool in the development of structure-activity relations and drug design.

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