Vilsmeier–Haack–Arnold and Bromination Reactions of 4H-Pyrazolo[1,5-a]indole Derivatives¹⁾

Jing-Kang Shen, Hajime Katayama,* and Noriyuki Takatsu

Niigata College of Pharmacy, 5-13-2 Kamishin'ei-cho, Niigata City, Niigata 950-21, Japan. Received July 1, 1993; accepted August 24, 1993

As typical electrophilic substitution reactions of the 4H-pyrazolo[1,5-a]indole derivatives, the Vilsmeier-Haack-Arnold (V.H.A.) and bromination reactions were investigated in detail and mechanisms involving the 1H-pyrazolo[1,5-a]indoles as reaction intermediates are proposed. The V.H.A. reaction products were subjected to oxidative and reductive reactions, and a novel reduction of the conjugated system involving a double bond in the aromatic (pyrazole) ring was observed. Reaction pathways for these reactions are also proposed.

Keywords Vilsmeier-Haack-Arnold reaction; 4*H*-pyrazolo[1,5-*a*]indole; bromination; mechanism; 1*H*-pyrazolo[1,5-*a*]indole; AM1 calculation

Among three annular tautomers²⁾ of pyrazolo[1,5-a]indole,^{3a)} the 4H-isomer has been best studied so far, and several methods are available for the preparation of this derivative.³⁾ However, few studies have been done on the chemical behavior of these compounds.^{3c,^{3d,⁴)} In this article, we describe typical electrophilic reactions of the 4H-isomers, notably the Vilsmeier-Haack-Arnold⁵⁾ (V.H.A.) and bromination reactions of the pyrazolo[1,5-a]indole derivatives.}

When the 4H-isomer 1 was reacted with the Vilsmeier reagent (2.2 eq) prepared from POCl₃ and dimethyl formamide (DMF), the reaction was slow at room temperature (r.t.) but was completed within 2h at 60 °C to give two products, 3 (92%) and 4 (3%) (Chart 1). This reaction condition is milder than that reported for the pyrazole derivative, 1,3-dimethyl-5-methylpyrazole (95-100 °C/2 h). 6) The minor colorless product was assigned the expected structure 4, based on the following observations. The formyl group of 4 (M⁺: m/z 260 from MS) was detected both in the IR (1669 cm⁻¹) and $^{1}\text{H-NMR}$ (δ 10.07, s) spectra. Absence of 3-H and the presence of 4-H₂ (δ 4.18, s) and the same number of aromatic protons as in 1 were apparent in the ¹H-NMR spectrum. In the NOESY spectrum, NOEs were detected between 3-CHO and o-H of the 2-phenyl substituent, as well as between 4-H and 5-H. These observations exclude the possibility of the alternative 3H-isomeric structure for 4, i.e., 4-formyl-2-phenyl-3H-pyrazolo[1,5-a]indole. The long-range C-H COSY spectrum and the absence of IR absorption around 1610 cm⁻¹ characteristic of the hydrazone part of the 3H-isomer^{7a)} also support this assignment. For the major yellow product the presence of the formyl group was supported by the IR (2810, $1642 \,\mathrm{cm}^{-1}$) and ${}^{1}\text{H-NMR}$ (δ 9.81, s) analyses. In addition, the introduction of a dimethylaminomethylene unit was deduced from the ¹H-NMR signals of NMe₂ (δ 3.45, s) and one vinyl proton (δ 9.51, s). The 4-H₂ was no longer present in this product. The introduction of a dimethylaminomethylene unit is the general outcome when an active methylene compound is subjected to the V.H.A. reaction,5) so the structure 3 was considered for the major product, though the alternative structure 5 could not be excluded at this stage. The signal of the formyl group of

the major product 3 appeared at higher magnetic field than those of the minor product 4 in both the ¹H-(Δ 0.26 ppm) and $^{13}\text{C-NMR}$ (Δ 1.6 ppm) spectra. These diamagnetic shifts are caused by the conjugation of CHO with NMe2. In the IR spectrum the CHO absorption band was at a lower frequency ($\triangle 27 \, \text{cm}^{-1}$) than that of 4. The final distinction between the structures 3 and 5 for the major product was accomplished by NOESY (1H nuclear Overhauser enhancement and exchange spectroscopy) experiments. Strong NOEs were observed between 5-H/ NMe₂ and CHO/o-H of the phenyl group. These observations are consistent with the structure 3 and not with 5. When the V.H.A. reaction of the 4H-isomer 1 was continued at r.t. for 24h without warming, the product 4 was no longer detected and 3 was obtained as a sole product (64%) together with the recovered starting material (31%). Warming seems to be prerequisite for the formation of the product 4, vide infra. The same reaction of the 4H-isomer 2 was smooth at r.t., being completed within 4h to give the single product 6 in 91% yield. In the ¹H-NMR spectrum of the product 6, long-range

1 :
$$R = C_6H_5$$

2 : $R = H$
1 : $R = C_6H_5$
2 : $R = H$
1 : $R = C_6H_5$
2 : $R = H$
1 : $R = C_6H_5$
3 : $R = C_6H_5$
3 : $R = C_6H_5$
4 : $R = C_6H_5$
4 : $R = C_6H_5$
4 : $R = C_6H_5$
6 : $R = C_6H_5$
5 : $R = C_6H_5$
6 : $R = C_6H_5$
6 : $R = C_6H_5$
6 : $R = C_6H_5$
Chowless of the contract o

© 1994 Pharmaceutical Society of Japan

coupling between 3-CHO and 2-H $(J=1.2\,\mathrm{Hz})$ was detected. The structure 6 was also supported by the NOESY spectra, in which NOEs between 5-H and NMe₂, and between CHO and 2-H were seen.

The V.H.A. reaction of indene was reported to give the salt i as the initial product, from which the product ii was obtained after hydrolysis with sodium carbonate (Chart 2).⁸⁾ In contrast to this report, the V.H.A. reaction product 3 resisted further hydrolysis with aqueous 10% NaOH at refluxing temperature and was recovered without change. In order to examine the details of the formation of ii, Arnold prepared the enamine iii and found that the V.H.A.

reaction of iii did not yield the product ii, but iv, excluding the enamine iii as a reaction intermediate. 8) Following this experiment, the 4H-isomer 1 was transformed into the enamine 7 by reaction with DMF dimethylacetal (Chart 2).9) The geometry of NMe₂ in the product 7, although opposite to that of the product 3, was determined from the NOESY spectrum, which showed distinct NOEs between 5-H/10-H, NMe₂/3-H, and 3-H/o-H of the phenyl group. This geometry is a stable one, since NMe₂ in the products, 3, 6 and 7 is at less crowded sites according to molecular model inspections. When the enamine 7 was subjected to the V.H.A. reaction, the reaction was completed within 30 min at r.t., and the product 3 was obtained in almost quantitative yield. Thus, the following reaction pathway is proposed for the V.H.A. reaction of the 4H-isomers (Chart 3).

The reaction pathway (A) affords the minor product 4. In this mechanism the Vilsmeier reagent (V.R.) directly attacks C-3 of the 4H-isomer 1, as in the reaction of 1,3-diphenyl-5-methylpyrazole, 6) to give the unstable salt 8. The isomerization of 8 into the stable 4H-isomeric form, but not into the less stable 3H-isomeric form, and the following elimination of HX affords the product salt 9, whose work-up gives the minor product 4. Since C-3 of the 4H-isomer is not so reactive as C-4 of the 1H-isomer, vide infra, route (A) becomes a side process and is operative only under forcing condition (warming). The involvement of the enamine 7 as a reactive intermediate for the major product formation seems reasonable, as demonstrated above in the quick reaction with V.R. leading to the major product 3. However, the formation of the enamine 7 from the 4H-isomer 1 through a carbanionic species seems unreasonable due to the poor acidity of the 4-H2,100 as demonstrated by the rather harsh reaction conditions required for the preparation of the enamine 7. Thus the

(A) 1
$$V.R.$$

Chart 3

3

$$\begin{array}{c|c}
\vdots \text{NMe}_2 \\
H \\
O \\
CGH_5
\end{array}$$

$$\begin{array}{c|c}
H \\
O \\
CCC_6H_5
\end{array}$$

$$\begin{array}{c|c}
H \\
O \\
CHO
\end{array}$$

$$\begin{array}{c|c}
CCC_6H_5
\end{array}$$

$$\begin{array}{c|c}
H \\
CHO
\end{array}$$

$$\begin{array}{c|c}
CHO
\end{array}$$

$$\begin{array}{c|c}
H \\
CGH_5
\end{array}$$

Chart 4

formation of 7 may occur through the reaction pathway (B) in Chart 3.

In route (B), V. R. attacks N-1 first to form the salt 10. The subsequent elimination of HX and isomerization yield the highly reactive 1H-isomer $11.^{10}$ The reaction of 11with V.R. should be smooth and departure of V.R. from the salt 12, which is assisted by the conjugative electronattraction from the C-4 substituent, allows the formation of the enamine 7 through simultaneous isomerization. A similar reaction mechanism was postulated for the introduction of a dimethylaminomethylene unit at the methyl group of 4-methylpyrimidine and related compounds. The reaction of 7 with V.R. is fast as demonstrated in the separate experiment above. The product salt 13 thus formed has the 3H-isomeric form, and is in resonance with the more stable 4H-isomeric form 14. The resonance stabilization among 13 and 14 allows NMe₂ at C-10 to take the more stable geometry. Since the 4H-isomer (i.e., 14) is more stable than the 3H-isomer (i.e., 13), 7a) the hydrolysis of the product salt yields the 4H-isomer 3 but not the 3H-isomer 5. The reaction pathway (B) becomes exclusive at r.t. but increase of the reaction temperature (to 60 °C) accelerates pathway (A) as well, affording the minor product 4 (3%). The V.H.A. reaction of the 4H-isomer 1 was somewhat slower than that of the 2-unsubstituted 4H-isomer 2, because the 2-phenyl substituent of 1 disturbs the attack of V.R. at N-1 and C-3 by deactivation of the pyrazole nucleus and steric crowding.

Since the chemical behavior of the V.H.A. reaction product with a dimethylaminomethylene unit has been little studied,⁵⁾ the product 3, which resisted basic hydrolysis, was subjected to oxidative and reductive reactions. The oxidation of the aldehyde 3 with m-

chloroperbenzoic acid (m-CPBA) afforded the orange product 15 in 52% yield (Chart 4). Two equivalents of the oxidant were required for this oxidation. Loss of a dimethylaminomethylene unit (C₃H₇N), as well as the incorporation of one oxygen atom, were indicated from the MS and NMR spectra. The formyl group (δ 10.25, s) remained intact and the formation of the C-4 keto-group was deduced from the IR and NMR spectra in comparison with those of the 4-keto compound 16 (Chart 4). These observations allowed the unequivocal assignment of the structure 15 for the orange oxidation product. Following the reports that the enamine double bond was cleaved by hydrogen peroxide and peracid, 12) and 3-methylindole was oxidized with perbenzoic acid into 2-acetylformanilide, 13) the reaction pathways for this oxidation are postulated to be as shown in Chart 4. The first step is the conjugative addition of peracid to the enamine double bond, vide infra, to afford the epoxide 17. As a next step, two pathways, (a) and (b) are possible for ring-opening of the epoxide. Route (a) is initiated with C-O bond-cleavage. The subsequent reaction with a second molecule of peracid leads to the formation of the perester 18, whose fragmentation (shown by the arrows) results in the production of the ketone 15. The alternative pathway (b) is started by C-C bond-breaking, which is assisted by conjugative electron attraction with 3-CHO, vide infra. The perester 19 thus formed is fragmented as shown by the arrows to give the ketone 15. The role of 3-CHO in this oxidation was checked by the reaction of the enamine 7, which lacks 3-CHO. The enamine 7 was found to be too reactive for selective oxidation with m-CPBA, and gave an intractable mixture. The presence of 3-CHO, i.e., a deactivating factor, seems to be essential for the selective oxidation of 3. The formation of the perester 19 requires

isomerization of the stable 4*H*-isomeric form into the less stable 3*H*-isomer, although no such isomerization is involved in the transformation of 17 into 18, but such isomerization was found to play some role in the conjugative reduction of 3 with NaBH₄, vide infra. Therefore it is not possible to rule out either pathway at present.

In the reduction of 3 with NaBH₄, two reaction sites are available to the reductant, the formyl and enamine residues. The enamine double bond is in general not reduced with hydride reagent unless it takes the iminium form. 14) When 3 was exposed to NaBH4 in dichloromethane-methanol (1:1), the alcohol 20 was obtained as a sole product in 69% yield (Chart 5). The reduction of CHO to CH₂OH was readily detected in both the ¹H-NMR (δ 4.73 and 4.78, AB type, $J = 12.2 \,\text{Hz}$) and IR (3323 cm⁻¹) spectra. The dimethylamino group was no longer present, but the secondary methyl group (CH-CH₃) was observed at δ 1.59 ($J=7.3\,\mathrm{Hz}$) in the ¹H-NMR spectrum. From these observations, together with the molecular weight (M^+ : m/z 276) from the MS, the structure 20 was assigned to the alcoholic product. The NOE detected between 5-H and 4-Me (10-H) and between $C\underline{H}_2OH$ and o-H of the phenyl substituent in the NOESY spectrum are consistent with this structure. The final structural proof was obtained when the same alcohol 20 was prepared from the 4-methyl aldehyde 29 by reduction with NaBH₄, vide infra. In order to see the positions of deuterium in this reduction, 3 was reduced with the deuterated reagent (NaBD₄) and the four-deuterated product, D-20 (M⁺: m/z 280) was obtained in 47% yield. The deuterated positions were determined by ¹H-NMR analyses of the product D-20 in comparison with the non-deuterated product 20. In the ¹H-NMR spectrum of D-20 no 4-H signal was detected, and the Me (10-H) and CH₂OH (11-H) signals of 20 both changed into singlets with an integration decrease equivalent to one proton. To our surprise, the reduction of 3 with LiAlH₄ in ether was ineffective and 3 was recovered intact. The 3-CHO moiety is not accessible to the reductant, probably due to the deactivation caused by vinylogous conjugation with NMe₂

and steric crowding. The reaction pathway with D-incorporation is depicted in Chart 5. Reduction is initiated by the conjugative nucleophilic attack of deuteride at C-10 (not at CHO) of 3 and results in the formation of the mono-deuterated product 22 by the replacement of NMe₂ with deuterium through 21. Similar attack of deuterium at C-10 (again, not at CHO) of the initial product 22 gives the di-deuterated enol, whose enol-keto isomerization in MeOD yields the tri-deuterated product 23. The final deuteride reduction of CHO of 23 gives the tetra-deuterated product D-20. This reduction is novel because the double bond conjugating with CHO through the aromatic ring, in this case the pyrazole ring, was saturated with hydride reagent prior to aldehyde reduction.

Further studies on the V.H.A. reaction were carried out with the 4H-isomers, which have either one of two reaction sites (C-3 and C-4) blocked by the substituent (Chart 6). At first the 4H-isomer 24, derived from the corresponding phenol⁷⁾ by methylation with dimethyl sulfate, was subjected to the V.H.A. reaction. When 24 was reacted with V.R. at 80 °C for 2 h, the aldehyde 25 was obtained in 37% yield and no other product was isolated. According to the ¹H-NMR spectra, both formyl (δ 9.99, s) and dimethylaminomethylene (δ 3.42, s, NMe₂; 7.31, s, =CHNMe₂) groups were formed in the product at the expense of 4-H₂ and one aromatic proton. However, the 2-phenyl group stayed intact. Although the product 25 had a sharp melting point (mp 165.5—166.5 °C) and showed a single spot on TLC, contamination with its atropisomer (7%) was detected at δ 3.34 ppm as a small singlet (NMe₂) in the ¹H-NMR spectrum. The position of CHO was determined by detailed ¹H-NMR analyses. In a series of the 4H-isomers, the 8-H signal generally appears at low magnetic field, but not as separate signals from other aromatic protons. However, 8-H is readily distinguished from the other aromatic protons by the selective coupling with C-8 that appears at the highest magnetic field region among the aromatic carbons in the ¹³C-NMR spectra. Although the starting material 24 showed 8-H at δ 7.64 as a broad doublet ($J=7.8\,\mathrm{Hz}$), 8-H of the product appeared at δ 8.18 as a sharp doublet with

February 1994 241

meta coupling $(J=1.2 \,\mathrm{Hz})$. This meta coupling allowed us to assign the position C-7 for CHO. From these observations, the structure 25 was assigned to the major component of the product (93%). In the NOESY spectrum two NOEs were detected, CHO/8-H and OMe/NMe₂, and these observations are consistent with the structure 25, including the stereochemistry of NMe2, vide infra. In the IR spectrum the CHO absorption of 25 (1674 cm⁻¹) was detected at a higher frequency region than those of the aldehydes 3 (1642 cm⁻¹), p-dimethylaminobenzaldehyde (1663 cm⁻¹) and the aldehyde 4 (1669 cm⁻¹), but lower than that of benzaldehyde (1698 cm⁻¹), suggesting a smaller contribution of NMe₂ in the conjugation with CHO as compared with the aldehyde 3. The preferential formylation at C-7 is explained by introducing the enamine 27 as a reaction intermediate, in which C-7, by vinylogous conjugation with NMe2, is better activated toward the electrophile than C-5, which receives peri-steric hindrance. The formation of 27 can be understood in terms of the pathway shown for the enamine 7, but the geometry of NMe₂ is not appropriate to avoid unfavorable space interaction with 3-OMe. This sterically unfavorable direction can be explained as follows. The long-range resonance between 7-CHO and NMe2 as depicted in formula 26 allows the induction of a cationic center at the nitrogen atom of NMe2 and this cationic center is responsible for an attractive interaction between NMe₂ and OMe through space. This minute attractive force could control the stereochemistry of the NMe₂ group.

Then the 4-methyl 4H-isomer 28, which was prepared

from the 4H-isomer 1 by 4-H abstraction with n-BuLi followed by methylation with iodomethane, was subjected to the V.H.A. reaction. When the reaction was carried out at 60 °C, two products, 29 (20%) and 30 (61%), were obtained (Chart 6). These two products possessed the same molecular composition (C₁₈H₁₄N₂O from HRMS), so they are regio-isomers of the mono-formylated products. According to ¹H-NMR analyses, the minor product 29 still has the secondary methyl group (δ 1.74, d, J = 7.5 Hz) but no 3-H was detected. The major product 30 has no 4-H but retains 3-H (δ 6.69, s) and 4-Me appears as a singlet (δ 1.75). In the IR spectrum, the CHO absorption band (1724 cm⁻¹) was comparatively high compared with that of 29 (1667 cm⁻¹) and close to the aliphatic aldehyde region, supporting the C-4 position for CHO. These observations are consistent with the structures assigned to the products, 29 and 30. The spectral behavior of CHO of 29 was well correlated with that of the product **4** in the IR (1667 vs. 1669 cm⁻¹), ¹H-NMR (δ 10.05 vs. 10.07 ppm) and $^{13}\text{C-NMR}$ (δ 185.7 vs. 185.7 ppm) spectra. As the reaction pathway for these products, the direct attack of V.R. at C-3 of the 4H-isomer 28 is considered for the minor product 29, as in the case of the production of 4. For the major product 30, the participation of the 1H-isomer 31 is taken into account as in the case of the V.H.A. reaction of the 4*H*-isomer 1, vide supra. Once the reactive 1H-isomer 31 is formed, V.R. attacks C-4 preferably over C-3 because C-3 is deactivated by the participation of meso-ionic form in the resonance of the 1H-isomer 31.¹⁰⁾ The subsequent departure of V.R. from 242 Vol. 42, No. 2

Chart 7

N-1 gives the product salt 32, which yields the product 30 after hydrolytic work-up. Further reaction of the product salt 32 with V.R. is not possible due to the C-4 cationic substituent, which reduces the reactivity at C-3 for both electronic and steric reasons. The relative reaction rate of the two pathways is reflected in the product ratio of 29 and 30.

As another typical electrophilic reaction, the 4H-isomer was subjected to the bromination reaction. When the reaction of the 4H-isomer 1 with bromine (2 eq) was conducted in wet CCl₄ under ice-cooling with exclusion of light in order to avoid radical involvement, the dibromide 33 was obtained in 77% yield (Chart 7). The incorporation of two bromine atoms was revealed by the isotopic ratio of the product $[M^+:(M^++2):(M^++4)=$ 1:2:1 from MS7. In the ¹H-NMR spectrum, 3-H was no longer detectable but 4-H was observed at rather low magnetic field (δ 5.98 ppm) compared with that of the starting material 1 (δ 3.88 ppm), and its integration value was reduced to one proton. These spectral observations implied the bromine incorporations at both C-3 and C-4, and thus the structure 33 was assigned to the dibromide. The similar bromination of the 3-methyl-4H-isomer 36^{3b}) afforded the monobromide 37 in 80% yield. Monobromination was supported by the MS $[M^+:(M^++2)=$ 1:1]. In the ¹H-NMR spectrum, the 3-Me signal remained (δ 2.35, s) but one 4-H was lost and the remaining 4-H (δ 6.00, s) was detected at as low a position as in the dibromide 33. Therefore, the structure 37 was assigned to the monobromide. The 4-Br of these bromides shifts 4-H to low magnetic field ($\Delta\delta$ 2.1 ppm). In the ¹³C-NMR spectrum, the signal of C-3 of 37 was also moved to low magnetic field ($\Delta\delta$ 3.7 ppm) compared with 36, although it is remote from the bromine-bearing C-4. The remote paramagnetic shift is comparatively large when the similar

shift of ipso-C of bromobenzene from benzene ($\Delta\delta$ 5.8 ppm) is considered. 15) In contrast to this paramagnetic shift of bromobenzene, the introduction of Br at C-3 of 1 resulted in an up-field shift of C-3 in the ¹³C-NMR spectrum. The C-3 signal of 33 appeared at higher magnetic field than that of 1 ($\Delta\delta$ 7.0 ppm) (Chart 7). Since 4-Br of 37 can cause a downfield shift of the C-3 signal ($\Delta\delta$ 3.7 ppm) as mentioned above, the total C-3 upfield shift for 33 should be (3.7+7=) 10.7 ppm, which corresponds well with the upfield shift value (11.8 ppm) reported for 4-bromo-1-phenylpyrazole. When the 4H-isomer 1 was brominated with one equivalent of bromine, the products were solely composed of the starting material 1 and the dibromide 33, and no monobromide corresponding to either 34 or 35 was detected by detailed TLC and ¹H-NMR spectral analyses of the products. The C-4 brominations of these 4H-isomers under ionic condition can again be rationalized in terms of the participation of the 1H-isomers as in the V.H.A. reaction of the 4H-isomer 1 described above. Since the sp^2 nitrogen of the phyrazole ring was reported to complex with bromine, 17) the first step in the bromination of the 4H-isomer 36 could be the formation of the complex 40 (Chart 7). The following isomerization of 40 with elimination of HBr gives the reactive intermediate, the 1H-isomer 43, that can react quickly with bromine accompanied with bromine departure from N-1 to yield the monobromide 37. Since no 4,4-dibromide was formed in these brominations, the C-4 bromination via the 1H-isomer does not take place more than once. In bromination of the 4H-isomer 1, two monobromides 34 and 35 are possible as an initial product leading to the dibromide 33. The bromination of the 4H-isomer 1 via 38 and 41 gives the 4-monobromide 34 and direct bromination of 1 at C-3 affords the 3-monobromide 35. If the 4-monobromide 34 is the initial product, its C-3

February 1994 243

Chart 8

bromination has to compete with the C-3 bromination of 1 at some stage of the reaction. The relative availability of 34 and 1 for C-3 bromination would be reflected in the C-3 electron densities of these compounds, which could be detected in the C-3 chemical shifts of their ¹³C-NMR spectra (Chart 7). As observed in 37, the introduction of 4-Br resulted in a paramagnetic shift of C-3 ($\Delta\delta$ 3.7 ppm), so the C-3 bromination of 34 should be more difficult than that of 1. This difference of the reactivity at C-3 between 34 and 1 should allow the accumulation of the 4monobromide 34, when 1 eq of bromine is employed. But this was not the case. When the 3-monobromide 35 is obtained as an initial product by the direct C-3 bromination of 1, the following C-4 bromination of 35 via 39 and 42 would give the dibromide 33, the observed product, provided that the second C-4 bromination is faster than the first C-3 bromination. This is quite probable, because the reactive 1H-isomer 42 is involved in the second bromination. The possible reaction pathways for these brominations are summarized in Chart 7.

The involvement of the 1*H*-isomer as a reaction intermediate in both the V.H.A. reaction and bromination of the 4*H*-isomers is also supported by the following experiment. When the 4*H*-isomer 1 was exposed to AcOD–D₂O for 40 days at r.t., parts of 4-H₂ (91%) and 3-H (31%) were exchanged with deuterium according to ¹H-NMR analysis. The C-4 deuteration is explicable in terms of the participation of the 1*H*-isomer formed by the initial N-deuteration at N-1 and the subsequent isomerization. For C-3 deuteration, two pathways are possible, *i.e.*, C-3 deuteration of the 4*H*-isomer 1 and the 1*H*-isomer (41 with N–D bond). Actually no electrophilic reaction at C-3 of the 1*H*-isomers has been observed so far.¹⁰)

The relative availabilities at C-4 and C-3 of the pyrazolo[1,5-a]indoles toward electrophiles were evaluated from AM1 calculations¹⁸⁾ of the three isomers, 1*H*-, 3*H*- and 4*H*-pyrazolo[1,5-a]indoles. The collected atomic charges are summarized in Chart 8. In the 1*H*-isomer, the atomic electron density at C-4 is larger than that at C-3. This difference may allow the electrophilic reaction to occur preferentially at C-4 over C-3. The calculation also revealed the high reactivity at C-3 of the 4*H*-isomer toward electrophiles. But in the 3*H*-isomer, the electron density at C-6 is greater than that at C-4. However, the reaction of the 3*H*-isomers with mild electrophiles was found to occur selectively at C-4 to give the 4-substituted 4*H*-isomers after isomerization. ^{7a)}

In summary, the reactions of the 4*H*-pyrazolo[1,5-*a*]-indole derivatives with the Vilsmeier and bromine reagents, as typical electrophilic substitution reactions, were found to involve the 1*H*-pyrazolo[1,5-*a*]indoles as reaction intermediates and to give the selective reaction products.

The Vilsmeier-Haack-Arnold reaction products were subjected to oxidative and reductive reactions and a novel type of reduction of the conjugated system involving the aromatic (pyrazole) ring was observed.

Experimental¹⁹⁾

 $\overline{4}$ -((E)-Dimethylaminomethylene)-3-formyl-2-phenyl-4H-pyrazolo-[1,5-a]indole (3) and 3-Formyl-2-phenyl-4*H*-pyrazolo[1,5-a]indole (4) A solution of 1 (929 mg, 4.00 mmol) in DMF (4 ml) was added to the Vilsmeier reagent, prepared by stirring a mixture of POCl₃ (0.82 ml, 8.80 mmol) and dry DMF (1 ml) at r.t. for 1 h after mixing at ice-cooling temperature in a nitrogen atmosphere. The resulting reaction mixture was further stirred at r.t. for 1 h then at 60 °C for 2 h. After quenching of the reaction with aqueous 10% NaOH, the solution was extracted with dichloromethane. The extracts were washed with brine and dried. Flash column chromatography (petroleum ether-ethyl acetate, 3:2) gave the product 3 (1.164 g, 92%) and 4 (35 mg, 3%). 3, yellow needles, mp 197.5—198.0°C (from ethyl acetate). MS m/z: 315 (M⁺, 100), 272 (92), 271 (72), 244 (21), 243 (20), 158 (15), 140 (19), 128 (13), 84 (27), 77 (18). HRMS: Calcd for C₂₀H₁₇N₃O: 315.1370. Found M⁺: 315.1357. UV $\lambda_{\text{max}}^{\text{CH}_3\text{CN}}$ nm (log ε): 253 (4.21), 277 (4.11), 298 (sh, 3.98), 387 (4.02). IR: $2952,\,2810,\,1642,\,1601,\,1520,\,1360,\,1138,\,1057,\,947,\,860,\,744,\,712\,cm^{-1}$ ¹H-NMR δ : 3.45 (6H, s, 2×CH₃), 7.25 (1H, td, J=7.9, 1.1 Hz, 6-H), 7.32 (1H, td, J=7.8, 1.0 Hz, 7-H), 7.44—7.52 (3H, m, Ar-H), 7.69 (1H, d, J = 7.9 Hz, 5-H), 7.75 (2H, m, 2',6'-H), 7.86 (1H, d, J = 7.8 Hz, 8-H), 9.51 (1H, s, 10-H), 9.81 (1H, s, CHO). ¹³C-NMR δ : 44.9 (N(CH₃)₂), 95.2 (C-4), 110.4 (C-3), 111.2 (C-8), 122.6 (C-5), 123.4 (C-6), 124.0 (C-7), 126.9' (C-4a), 128.6 (C-3', 5'), 128.8 (C-4'), 129.7 (C-2', 6'), 132.8 (C-1'), 134.7 (C-8a), 148.9 (C-3a), 151.0 (C-10), 160.3 (C-2), 184.1 (CHO). Anal. Calcd for C₂₀H₁₇N₃O: C, 76.17; H, 5.43; N, 13.32. Found: C, 76.30; H, 5.25; N, 13.28. 4, colorless needles, mp 162.0—162.5 °C (from ethyl acetate). MS m/z: 260 (M⁺, 100), 259 (36), 232 (16), 231 (32), 204 (4), 155 (5), 129 (26), 102 (7), 101 (8), 77 (11). IR: 3056, 2919, 2852, 1669, 1542, 1472, 1377, 872, 768, 752, 692 cm⁻¹. ¹H-NMR δ : 4.18 (2H, s, $4-H_2$), 7.32 (1H, td, J=7.5, 1.1 Hz, 6-H), 7.45—7.54 (4H, m, Ar-H), 7.57 (1H, d, J=7.5 Hz, 5-H), 7.78 (3H, m, 2',6',8-H), 10.07 (1H, s, CHO). ¹³C-NMR δ : 30.1 (C-4), 111.6 (C-8), 116.1 (C-3), 125.9 (C-6), 126.2 (C-5), 128.4 (C-7), 128.9 (C-3', 5'), 129.1 (C-2', 6'), 129.2 (C-4'), 131.9 (C-1'), 133.4 (C-4a), 139.5 (C-8a), 149.0 (C-3a), 158.6 (C-2), 185.7 (CHO). Anal. Calcd for C₁₇H₁₂N₂O: C, 78.44; H, 4.65; N, 10.76. Found: C, 78.73; H, 4.51; N, 10.66. The similar reaction of 1 (232 mg, 1.00 mmol) with the Vilsmeier reagent prepared from POCl₃ (0.12 ml, 1.29 mmol) and DMF (1 ml) at r.t. for 24 h yielded 3 (203 mg, 64%) and the recovered starting material 1 (72 mg, 31%). The product 4 was not detected by TLC. 2) In a nitrogen atmosphere, a solution of 7 (86 mg, 0.30 mmol) in DMF (0.2 ml) was introduced into the Vilsmeier reagent prepared from POCl₃ (0.03 ml, 0.32 mmol) and DMF (0.10 ml), and the solution was stirred at r.t. for 30 min, then quenched with aqueous 10% NaOH. Work-up and purification as described above yielded the product, yellow needles (94 mg, 100%), which was identical with 3.

4-((E)-Dimethylaminomethylene)-3-formyl-4*H*-pyrazolo[1,5-*a*]indole (6) Under a nitrogen atmosphere, a solution of **2** (156 mg, 1.00 mmol) in DMF (0.4 ml) was introduced into the Vilsmeier reagent prepared from POCl₃ (0.20 ml, 2.15 mmol) and DMF (0.2 ml), and reaction was continued at r.t. for 4h to give, after work-up, **6** (218 mg, 91%) as pale yellow plates, mp 171.0—172.0 °C (from ethyl acetate). MS m/z: 239 (M⁺, 100), 196 (79), 195 (39), 183 (12), 182 (6), 169 (16), 168 (35), 155 (6), 140 (13), 139 (12), 119 (9), 44 (48). UV $\lambda_{\text{max}}^{\text{CH}_3\text{CN}}$ nm (log ϵ): 252 (4.11), 267.5 (sh, 4.00), 296 (4.02), 385 (4.04). IR: 3056, 2972, 2761, 2730, 1662, 1616, 1520, 1422, 1375, 1171, 806, 756, 743 cm⁻¹. ¹H-NMR δ : 3.44 (6H, s, N(CH₃)₂), 7.24 (1H, td, J=7.8, 1.3 Hz, 6-H), 7.31 (1H, td, J=7.7, 1.2 Hz, 7-H), 7.69 (1H, d, J=7.8 Hz, 5-H), 7.80 (1H, d, J=7.7 Hz, 8-H),

8.09 (1H, d, J = 1.2 Hz, 2-H), 9.30 (1H, s, 10-H), 9.74 (1H, d, J = 1.2 Hz, CHO). 13 C-NMR δ : 44.8 (N(CH₃)₂), 94.9 (C-4), 111.2 (C-8), 112.9 (C-3), 122.6 (C-5), 123.5 (C-6), 124.0 (C-7), 127.0 (C-4a), 134.9 (C-8a), 147.7 (C-3a), 148.5 (C-2), 150.7 (C-10), 182.5 (CHO). *Anal.* Calcd for C₁₄H₁₃N₃O: C, 70.28; H, 5.48; N, 17.56. Found: C, 70.24; H, 5.31; N, 17.48.

4-((Z)-Dimethylaminomethylene)-2-phenyl-4H-pyrazolo[1,5-a]indole(7) A solution of 1 (464 mg, 2.00 mmol) in toluene (50 ml) was heated and a portion of the solvent (ca. 10 ml) was removed. N,N-Dimethylformamide dimethylacetal (1.00 ml, 7.53 mmol) and molecular sieves 4A (5g) were added to the resulting anhydrous solution at 100 °C, and the mixture was refluxed for 20h with constant removal of the volatile distillate. Flash column chromatography of the residue (petroleum ether-ethyl acetate, 85:15) gave 7 (223 mg, 38%), pale yellow needles, mp 204.5—205.5 °C (from ethanol). MS m/z: 287 (100), 245 (25), 244 (11), 243 (11), 231 (7), 183 (27), 169 (53), 143.5 (12), 143 (18), 141 (10), 128 (14), 115 (8), 83 (12), 81 (9), 77 (15). UV $\lambda_{\text{max}}^{\text{CH}_3\text{CN}}$ nm (log ϵ): 213 (4.02), 258 (4.10), 286 (3.87), 293 (sh, 3.86), 366 (4.09). IR: 3056, 2910, 1646, 1637, 1384, 750, 737, 706 cm $^{-1}.$ $^{1}\text{H-NMR}$ $\delta:$ 3.32 (6H, s, $N(CH_3)_2$), 6.52 (1H, s, 3-H), 7.04 (1H, s, 10-H), 7.13 (1H, td, J=7.5, 1.2 Hz, 6-H), 7.21 (1H, td, J=7.6, 1.2 Hz, 7-H), 7.31 (1H, tt, J=7.3, 1.2 Hz, 4'-H), 7.41 (3H, m, Ar-H), 7.73 (1H, d, J=7.6 Hz, 8-H), 7.92 (2H, m, 2',6'-H). 13 C-NMR δ : 43.0 (N(CH₃)₂), 94.0 (C-4), 94.5 (C-3), 110.1 (C-8), 116.6 (C-5), 123.0 (C-6), 123.5 (C-7), 125.8 (C-2', 6'), 127.5 (C-4'), 128.6 (C-3', 5'), 133.3 (C-4a), 134.2 (C-8a), 134.4 (C-1'), 139.3 (C-10), 142.5 (C-3a), 155.0 (C-2). Anal. Calcd for C₁₉H₁₇N₃: C, 79.41; H, 5.96; N, 14.62. Found: C, 79.68; H, 5.85; N, 14.66.

3-Formyl-4-oxo-2-phenyl-4H-pyrazolo[1,5-a]indole (15) m-Chloroperbenzoic acid (204 mg, 1.18 mmol) was added, in portions, to a solution of 3 (149 mg, 0.47 mmol) in CH₂Cl₂ (10 ml) at 0 °C and the resulting mixture was stirred for 1h. The reaction was quenched with aqueous 10% NaOH (2ml) and extracted with ether. After usual work-up, the chromatographic purification of the crude product yielded 15 (68 mg, 52%), orange needles, mp 189.5—190.0 °C (from ethyl acetate). MS m/z: 274 (M+, 100), 245 (72), 218 (21), 217 (13), 190 (13), 189 (20), 141 (11), 115 (18), 114 (21), 83 (14), 77 (32), 69 (19). UV $\lambda_{\text{max}}^{\text{CH}_3\text{CN}}$ nm (log ε): 255 (4.01), 268 (sh, 3.94), 276 (sh, 3.92), 332 (sh, 3.15). IR: 3093, 2835, 2746, 1722, 1691, 1623, 1596, 1538, 1477, 1462, 1434, 1411, 1171, 1091, 1079, 902, 796, 755, 689 cm $^{-1}$. 1 H-NMR δ : 7.34 (1H, td, J = 7.4, 1.2 Hz, 6-H), 7.50 (3H, m, Ar-H), 7.58 (1H, d with small splits, J =7.6 Hz, 8-H), 7.63 (1H, td, J=7.6, 1.2 Hz, 7-H), 7.73 (1H, d with small splits, $J=7.4\,\mathrm{Hz}$, 5-H), 7.90 (2H, m, 2',6'-H), 10.25 (1H, s, CHO). ¹³C-NMR δ: 111.9 (C-8), 118.9 (C-3), 125.7 (C-5), 127.4 (C-4a), 127.8 (C-6), 128.7 (C-3',5'), 128.9 (C-2',6'), 129.9 (C-4'), 130.7 (C-1'), 136.1 (C-7), 140.3 (C-3a), 143.6 (C-8a), 158.5 (C-2), 178.1 (C-4), 183.0 (CHO). Anal. Calcd for C₁₇H₁₀N₂O₂: C, 74.45; H, 3.67; N, 10.21. Found: C, 74.62; H, 3.44; N, 10.19.

3-Hydroxymethyl-4-methyl-2-phenyl-4H-phrazolo[1,5-a]indole (20) Under an argon atmosphere, NaBH₄ (38 mg, 1.00 mmol) was added to a solution of 3 (68 mg, 0.22 mmol) in a mixed solvent of CH₂Cl₂ (5 ml) and MeOH (5 ml). The solution was stirred at r.t. for 4 h and concentrated in vacuo. The residual solution was diluted with water and extracted with CH₂Cl₂. Flash column chromatography of the crude product (petroleum ether-ethyl acetate, 4:1) gave 20 (41 mg, 69%), white crystals, mp 143.5—144.0 °C (from ethyl acetate). MS m/z: 276 (M⁺, 81), 259 (28), 245 (100), 143 (28), 130 (18), 116 (9), 115 (12), 77 (24). UV $\lambda_{max}^{CH_3CN}$ nm (log ε): 288 (4.45). IR: 3323, 3061, 2897, 1624, 1597, 1568, 1474, 1452, 1305, 1020, 1004, 771, 747, 699 cm⁻¹. ¹H-NMR δ : 1.59 (3H, d, J = 7.3 Hz, CH_3), 2.18 (1H, br s, OH), 4.06 (1H, q, J=7.3 Hz, 4-H), 4.73 (1H, AB type, J = 12.2 Hz, CHHOH), 4.78 (1H, AB type, J = 12.2 Hz, CHHOH), 7.19 (1H, t, J=7.5 Hz, 6-H), 7.31—7.42 (5H, Ar-H), 7.64 (1H, d, $J=7.9\,\mathrm{Hz},~8\text{-H}),~7.77~(2\mathrm{H},~\mathrm{m},~2',6'\mathrm{-H}).~^{13}\mathrm{C-NMR}~\delta:~17.4~(\mathrm{CH_3}),~35.3$ (C-4), 55.3 (CH₂OH), 110.5 (C-8), 113.4 (C-3), 124.6 (C-6, 7), 128.0 (C-2', 6', 5), 128.2 (C-4'), 128.6 (C-3', 5'), 133.4 (C-1'), 139.3 (C-8a), 139.5 (C-4a), 150.0 (C-3a), 155.0 (C-2). Anal. Calcd for C₁₈H₁₆N₂O: C, 78.24; H, 5.84; N, 10.14. Found: C, 78.14; H, 5.77; N, 10.16. The alcohol 20 was also obtained quantitatively from the aldehyde 29 (15 mg, 0.05 mmol) by the similar reduction with NaBH₄ (3 mg, 0.08 mmol) in methanol (1 ml) at r.t. for 1 h.

3-Hydroxy[²H₁]methyl-4-[²H₂]methyl-[4-²H₁]-4H-pyrazolo[1,5-a]-indole (D-20) Compound 3 (72 mg, 0.23 mmol) was reduced similarly with NaBD₄ (42 mg, 1.00 mmol) in a mixture of dry CH₂Cl₂ and MeOD (1:1, 2 ml) to give the tetradeuterio compound D-20 (30 mg, 47%) and the starting material 3 (15 mg, 21%). D-20, white crystals, mp

141.0—142.0 °C (from ethyl acetate–pentane). MS m/z: 280 (M⁺, 87), 263 (17), 262 (6), 248 (100), 146 (25), 132 (14), 117 (12), 77 (13). IR: 3339, 3060, 2890, 2140, 1627, 1598, 1565, 1474, 1452, 1307, 1025, 1016, 1001, 751, 697 cm⁻¹. ¹H-NMR δ : 1.59 (1H, s, CDCHD₂), 1.86 (1H, br s, OH), 4.77 (1H, d, J=7.6 Hz, CHDOH, singlet by D₂O exchange), 7.21 (1H, td, J=7.5, 1.0 Hz, 6-H), 7.31—7.49 (5H, Ar-H), 7.66 (1H, d, J=7.8 Hz, 8-H), 7.80 (2H, m, 2',6'-H). ¹³C-NMR δ : 16.9 (CHD₂, with small splittings), 35.2 (C-4, with small splittings), 55.1 (CHDOH, t), 110.5 (C-8), 113.3 (C-3), 124.6 (C-6, 7), 128.0 (C-2', 6', 5), 128.2 (C-4'), 128.6 (C-3', 5'), 133.4 (C-1'), 139.2 (C-8a), 139.7 (C-4a), 150.0 (C-3a), 155.0 (C-2')

3-Methoxy-2-phenyl-4H-pyrazolo[1,5-a]indole (24) Dimethyl sulfate (0.86 ml, 9.09 mmol) was added to a suspension of 3-hydroxy-2-phenyl-4H-pyrazolo[1,5-a]indole (997 mg, 4.02 mmol), powdered KOH (663 mg, 10.04 mmol) and tetra-n-butyl ammonium bromide (TBAB, 65 mg, 0.20 mmol) in CH₂Cl₂ (50 ml) containing water (2 ml) and the mixture was stirred at r.t. for 10 min in a nitrogen atmosphere. After dilution with CH₂Cl₂, the solution was washed, dried and evaporated. Recrystallization of the residue gave 24 (948 mg, 90%), colorless needles, mp 112.5—113.0 °C (from methanol). MS m/z: 262 (M⁺, 53), 247 (19), 144 (48), 130 (14), 116 (100), 89 (26). IR: 3058, 2934, 2827, 1625, 1601, 1476, 1298, 1256, 1092, 1031, 747, 699 cm⁻¹. ¹H-NMR δ : 4.00 (3H, s, OCH₃), 4.08 (2H, s, 4-H), 7.15 (1H, td, J=7.5, 1.1 Hz, 6-H), 7.29 (1H, tt, J=7.1, 1.5 Hz, 4'-H), 7.34—7.46 (4H, m, Ar-H), 7.64 (1H, d, J=7.8 Hz, 8-H), 8.04 (2H, m, 2',6'-H). ¹³C-NMR δ: 29.3 (C-4), 59.4 (OCH₃), 110.1 (C-8), 124.1 (C-6), 125.7 (C-5), 126.4 (C-2', 6'), 127.4 (C-4'), 128.2 (C-7), 128.4 (C-3', 5'), 129.4 (C-3), 132.6 (C-1'), 133.0 (C-4a), 139.4 (C-3a), 140.5 (C-8a), 143.7 (C-2). Anal. Calcd for C₁₇H₁₄N₂O: C, 77.84; H, 5.38; N, 10.68. Found: C, 77.93; H, 5.25; N, 10.73.

 $\textbf{4-}(Dimethylaminomethylene)-\textbf{7-}formyl-\textbf{3-}methoxy-\textbf{2-}phenyl-\textbf{4}\textbf{\textit{H-}py-}$ razolo[1,5-a]indole (25) Compound 23 (262 mg, 1.00 mmol) was allowed to react at r.t. for 2h, then at 80 °C for 2h with the Vilsmeier reagent prepared from $POCl_3$ (0.24 ml, 2.57 mmol) and DMF (1.00 ml). Quenching of the reaction with aqueous 10% NaOH and work-up as described above gave the crude product, and chromatography (petroleum ether-ethyl acetate, 65:35) yielded 25 (127 mg, 37%), yellow crystals, mp 165.5—166.5 °C (from ethyl acetate). MS m/z: 345 (M⁺, 59), 330 (100), 227 (39), 171 (44), 149 (51), 141 (56), 129 (40), 97 (55). IR: 3066, $2927,\,2810,\,1674,\,1641,\,1600,\,1474,\,1407,\,1367,\,1341,\,1279,\,1172,\,1126,$ 1105, 1058, 1002, 772, 699 cm⁻¹. ¹H-NMR δ (major isomer: 93%): 3.42 (6H, s, N(CH₃)₂), 3.71 (3H, s, OCH₃), 7.31 (1H, s, CHNMe₂), 7.32—7.52 (4H, m, Ar-H), 7.67 (1H, dd, J=8.3, 1.3 Hz, 6-H), 8.06 (2H, m, 2', 6'-H),8.18 (1H, d, J=1.3 Hz, 8-H), 9.99 (1H, s, CHO). ¹H-NMR δ (minor isomer: 7%): 3.34 (s, N(CH₃)₂), 3.79 (s, OCH₃). $^{13}\text{C-NMR}$ δ (major isomer): 43.1 (N(CH₃)₂), 62.1 (OCH₃), 93.4 (C-4), 111.4 (C-8), 116.4 (C-5), 124.7 (C-6), 126.8 (C-2', 6'), 127.7 (C-4'), 128.5 (C-3', 5'), 132.2 (s), 132.3 (s), 133.1 (s), 134.1 (s), 134.3 (s), 139.8 (s), 141.8 (CHNMe₂), 146.8 (s), 191.2 (CHO). ¹³C-NMR δ (minor isomer): 44.7 (N(CH₃)₂), $61.4 \ (OCH_3). \ \textit{Anal.} \ Calcd \ for \ C_{21}H_{19}N_3O_2; \ C, \ 73.02; \ H, \ 5.54; \ N, \ 12.17.$ Found: C, 73.13; H, 5.58; N, 12.02.

4-Methyl-2-phenyl-4H-pyrazolo[1,5-a]indole (28) The 4H-isomer 1 (997 mg, 4.29 mmol) was dissolved in dry THF (50 ml) and the solution was cooled to −78 °C under dry argon, then 0.82 M n-BuLi in hexane (5.75 ml, 4.72 mmol) was slowly added over 5 min. The resultant pink solution was stirred for 15 min, then iodomethane (0.60 ml, 9.64 mmol) was added and the reaction was continued at the same temperature for 1 h. After addition of aqueous ammonium chloride and water, the solution was extracted with ether. The crude product was purified by flash column chromatography (petroleum ether-ethyl acetate, 97:3) to give 28 (980 mg, 93%) and the 4,4-dimethylated product (55 mg, 5%). **28**, colorless oil. MS m/z: 246 (M⁺, 100), 231 (14), 143 (40). HRMS: Calcd for C₁₇H₁₄N₂: 246.1111. Found M⁺: 246.1133. IR (neat): 3060, 2971, 2930, 1624, 1596, 1545, 1473, 1455, 1303, 767, 728, 694 cm⁻¹ ¹H-NMR δ : 1.57 (3H, d, J=7.3 Hz, CH₃), 4.08 (1H, br q, J=7.3 Hz, 4-H), 6.58 (1H, d, J=1.2 Hz, 3-H), 7.20 (1H, td, J=7.5, 1.1 Hz, 6-H), 7.32 (1H, tt, J=7.2, 1.3 Hz, 4'-H), 7.37—7.48 (4H, m, Ar-H), 7.67 (1H, dd, J = 7.6, 1.0 Hz, 8-H), 7.90 (2H, m, 2',6'-H). ¹³C-NMR δ : 18.0 (CH₃), 35.1 (C-4), 97.4 (C-3), 110.4 (C-8), 124.4 (C-6), 124.6 (C-5), 125.8 (C-2', 6'), 127.9 (C-4'), 128.1 (C-7), 128.6 (C-3', 5'), 133.9 (C-1'), 139.3 (C-4a), 139.8 (C-8a), 151.5 (C-3a), 156.3 (C-2).

3-Formyl-4-methyl-2-phenyl-4*H*-pyrazolo[1,5-*a*]indole (29) and 4-Formyl-4-methyl-2-phenyl-4*H*-pyrazolo[1,5-*a*]indole (30) A solution of 28 (259 mg, 1.05 mmol) in DMF (0.5 ml) was added to the Vilsmeier reagent prepared from POCl₃ (0.15 ml, 1.61 mmol) and DMF (1.0 ml)

at r.t., and the resulting solution was kept at 45 °C for 6 h. After addition of aqueous 10% NaOH and extraction as described above, the crude product was flash-chromatographed (petroleum ether-ethyl acetate, 9:1) to give 29 (59 mg, 20%) and 30 (177 mg, 61%). 29, colorless crystals, mp 115.0—116.0 °C (from ethyl acetate-pentane). MS m/z: 274 (M⁺ 100), 273 (72), 246 (27), 245 (87), 231 (7), 229 (10), 218 (7), 217 (6), 202 (6), 143 (33), 142 (20), 140 (6), 128 (19), 115 (24), 101 (15), 77 (29). HRMS: Calcd for $C_{18}H_{14}N_2O$: 274.1105. Found M^+ : 274.1093. IR: 3058, 2942, 2845, 1667, 1542, 1471, 860, 755, 704 cm $^{-1}$. 1 H-NMR δ : 1.74 (3H, d, J = 7.5 Hz, CH_3), 4.35 (1H, q, J = 7.5 Hz, 4-H), 7.33 (1H, td, J = 7.6, 1.0 Hz, 6-H), 7.44—7.54 (5H, m, Ar-H), 7.74—7.77 (3H, m, 2',6',8-H), 10.05 (1H, s, CHO). 13 C-NMR δ : 15.3 (CH₃), 37.3 (C-4), 111.5 (C-8), 116.2 (C-3), 125.0 (C-5), 126.1 (C-6), 128.4 (C-7), 128.9 (C-3', 5'), 129.1 (C-2', 6'), 129.2 (C-4'), 132.0 (C-1'), 138.8 (C-8a), 139.5 (C-4a), 153.8 (C-3a), 159.0 (C-2), 185.7 (CHO). Anal. Calcd for $C_{18}H_{14}N_2O$: C, 78.81; H, 5.14; N, 10.21. Found: C, 78.90; H, 5.43; N, 10.20. 30, colorless syrup. MS m/z: 274 (M⁺, 21), 246 (53), 245 (100), 218 (7), 217 (9), 143 (24), 142 (20), 140 (8), 116 (8), 115 (19), 105 (8), 91 (5), 77 (13). HRMS: Calcd for C₁₈H₁₄N₂O: 274.1105. Found M⁺: 274.1108. IR (neat): 3061, 2981, 2932, 2818, 2715, 1724, 1620, 1543, 1476, 1455, 1381, 1341, 1300, 954, 907, 767, 697 cm⁻¹. ¹H-NMR δ : 1.75 (3H, s, CH₃), 6.69 (1H, s, 3-H), 7.27 (1H, td, J = 7.6, 1.0 Hz, 6-H), 7.36 (2H, m, 5.4'-H), 7.44 (2H, m, 3',5'-H), 7.51 (1H, td, J=7.7, 1.2 Hz, 7-H), 7.73 (1H, d, J=7.7 Hz, 8-H), 7.90 (2H, m, 2',6'-H), 9.18 (1H, s, CHO). ¹³C-NMR δ: 17.6 (CH₃), 56.6 (C-4), 99.2 (C-3), 111.0 (C-8), 125.2 (C-5), 125.3 (C-6), 125.9 (C-2', 6'), 128.3 (C-4'), 128.8 (C-3', 5'), 130.0 (C-7), 133.2 (C-1'), 134.7 (C-4a), 140.2 (C-8a), 147.3 (C-3a), 157.1 (C-2), 193.5 (CHO).

3,4-Dibromo-2-phenyl-4H-pyrazolo[1,5-a]indole (33) Bromine (0.1) ml, 1.94 mmol) was added to a solution of the 4H-isomer 1 (232 mg, 1.00 mmol) in CCl₄ (10 ml) containing a few drops of water in a flask which was wrapped with aluminum foil and cooled in an ice-bath. The resulting solution was stirred at r.t. for 1 h with exclusion of light. The reaction solution containing precipitates was briefly concentrated to ca. 2 ml and the precipitates were collected and recrystallized from ethyl acetate-pentane to give 33 (304 mg, 77%), yellow crystals, mp 181.5—182.5 °C (from chloroform-hexane). MS m/z: 392 (M⁺ +4, 3), 390 (M⁺+2, 6), 388 (M⁺, 3), 311 (96), 309 (100), 229 (74), 127 (36), 101 (21), 77 (51). IR: 3073, 2970, 1622, 1471, 1449, 1428, 1125, 976, 747, 722, $694 \,\mathrm{cm}^{-1}$. ¹H-NMR δ : 5.98 (1H, s, 4-H), 7.27 (1H, td, J=7.5, 1.1 Hz, 6-H), 7.46 (4H, m, Ar-H), 7.61 (2H, m, 5,8-H), 7.96 (2H, m, 2',6'-H). ¹³C-NMR δ: 33.5 (C-4), 91.3 (C-3), 111.0 (C-8), 126.0 (C-6), 127.0 (C-5), 127.8 (C-2', 6'), 128.5 (C-3', 5'), 128.7 (C-4'), 130.5 (C-7), 131.7 (C-1'), 134.9 (C-4a), 138.9 (C-8a), 144.2 (C-3a), 153.8 (C-2). Anal. Calcd for C₁₆H₁₀Br₂N₂: C, 49.27; H, 2.58; N, 7.18. Found: C, 49.40; H, 2.46; N, 6.93.

4-Bromo-3-methyl-2-phenyl-4H-pyrazolo[1,5-a]indole (37) A solution of 36 (91 mg, 0.37 mmol) in CCl₄ (10 ml) containing a few drops of water was placed in a flask covered with aluminum foil and cooled to 0-3 °C in a nitrogen atmosphere. Then bromine (0.02 ml, 0.39 mmol) was added and the resulting solution was stirred at the same temperature for 1 h. The precipitates were recrystallized to give 37 (96 mg, 80%), yellow needles, mp 105.5-106.5 °C (from ethyl acetate-hexane). MS m/z: 326 (M⁺ +2, 2), 324 (M⁺, 2), 246 (31), 245 (100), 142 (27), 122 (10), 115 (15). IR: 3058, 2925, 2853, 1621, 1600, 1473, 1304, 749, 702 cm⁻¹. ¹H-NMR δ : 2.35 (3H, s, CH₃), 6.00 (1H, s, 4-H), 7.21 (1H, td, J=7.6, 1.1 Hz, 6-H), 7.37 (1H, tt, J=7.5, 1.3 Hz, 4'-H), 7.40 (1H, td, J=7.8, 1.1 Hz, 7-H), 7.46 (2H, m, 3',5'-H), 7.58 (2H, m, 5,8-H), 7.73 (2H, m, 2',6'-H). 13 C-NMR δ : 9.5 (CH₃), 34.5 (C-4), 110.6 (C-8), 112.3 (C-3), 125.0 (C-6), 126.9 (C-5), 127.7 (C-2', 6'), 127.9 (C-4'), 128.5 (C-3', 5'), 130.3 (C-7), 133.5 (C-1'), 135.4 (C-4a), 139.3 (C-8a), 143.5 (C-3a), 155.6 (C-2). Anal. Calcd for C₁₇H₁₃BrN₂: C, 62.79; H, 4.03; N, 8.61.

Found: C, 62.79; H, 3.82; N, 8.52.

Deuterium Exchange Reaction of 1 A solution of 1 (57 mg, 0.25 mmol) in a mixture of AcOD (1.0 ml) and D_2O (0.10 ml) was stirred at r.t. for 40 d. The solution was dissolved in ether and washed with 5% NaHCO₃ solution, water and brine successively, then dried over MgSO₄. Deuterium incorporation into the product (56 mg, 96%) was determined by examination of the ¹H-NMR spectrum, in which 8-H (δ 7.69) was used as a reference signal. The replacement of 4-H with deuterium was 91% and that of 3-H was 31%.

Acknowledgement MS were measured by Dr. A. Kato at the instrument center in our college.

References and Notes

- 1) Pyrazolo[1,5-a]indole derivatives, Part VII. For part VI, see ref. 7b.
- J. Elguero, R. M. Claramunt, "Adv. Heterocycl. Chem.," Vol. 22, ed. by A. R. Katritzky, A. J. Boulton, Academic Press, New York, 1978, p. 183.
- a) H. Katayama, M. Sakurada, W. H. H. Herath, N. Takatsu, J-K. Shen, Chem. Pharm. Bull., 40, 2267 (1992); b) J-K. Shen, H. Katayama, Chem. Lett., 1992, 451; J-K. Shen, H. Katayama, M. Shiro, J. Chem. Soc., Perkin Trans. 1, 1993, 2087; c) G. Winters, G. Odasso, M. Conti, G. Tarzia, G. Galliani, Eur. J. Med. Chem.-Chem. Ther., 19, 215 (1984): G. Winters, G. Odasso, G. Galliani, L. J. Lerner, Germany Offen. DE., 2551879 (1976) [Chem. Abstr., 85, 943699 (1976)]; d) A. Marxer, M. Siegrist, Helv. Chim. Acta, 62, 1753 (1979).
- 4) G. Rihs, H. Fuhrer, A. Marxer, Helv. Chim. Acta, 64, 769 (1981).
- C. Jutz, "Advances in Organic Chemistry: Methods and Results,"
 Vol. 9, Part I, ed. by H. Bohme, H. G. Viehe, Interscience Pub.,
 John Wiley and Sons, New York, 1976, p. 225.
- I. L. Finar, M. Manning, J. Chem. Soc., 1961, 2733, c.f., V.H.A. reaction of 1-phenylpyrazole: I. L. Finar, G. H. Lord, J. Chem. Soc., 1957, 3314.
- a) J-K. Shen, H. Katayama, Chem. Pharm. Bull., 42, 214 (1994) (Part V);
 b) Idem, ibid., 42, 222 (1994) (Part IV).
- Z. Arnold, Collect. Czech. Chem. Commun., 30, 2783 (1965).
- G. Simchen, "Advances in Organic Chemistry: Methods and Results," Vol. 9, Part 2, ed. by H. Bohme, H. G. Viehe, Interscience Pub., John Wiley and Sons, New York, 1976, p. 393.
- 10) J-K. Shen, H. Katayama, Chem. Pharm. Bull., 40, 2879 (1992).
- H. Bredereck, G. Simchen, P. Speh, Justus Liebigs Ann. Chem., 737, 46 (1970); J. Ciernik, Collect. Czech. Chem. Commun., 37, 2273 (1972); T. Kato, Yakugaku Zasshi, 90, 870 (1970).
- P. Milliet, A. Picot, S. Lusinchi, *Tetrahedron*, 37, 4201 (1981); A. Picot, P. Milliet, X. Lusinchi, *Tetrahedron Lett.*, 1976, 1577.
- 13) B. Witkop, H. Fiedler, Justus Liebigs Ann. Chem., 558, 91 (1947).
- R. O. Hutchins, W. Y. Su, R. Sivakumar, F. Cistone, Y. P. Stercho, J. Org. Chem., 48, 3412 (1983).
- D. F. Ewing, Org. Magn. Reson., 12, 499 (1979); H. Kalinowski,
 S. Berger, S. Braun, "Carbon-13 NMR Spectroscopy," John Wiley and Sons, New York, 1988, p. 316.
- 16) M. Begtrup, Acta Chem. Scand., 27, 3101 (1973).
- R. Huettel, H. Wagner, P. Jochum, Justus Liebigs Ann. Chem., 593, 179 (1955): Chem. Abstr., 50, 9389h (1944).
- 18) M. J. S. Dewar, E. G. Zoebisch, E. F. Healy, J. J. P. Stewart, J. Am. Chem. Soc., 107, 3902 (1985). Molecular orbital calculation by the AM1 method was performed with MOPAC Ver. 5.02: MOPAC Ver. 5, J. J. P. Stewart, QCPE \$455; Revised as Ver. 5.02 by K. Shiraishi, NEC Corporation, for EWS 4800, JCPE P033.
- 19) For general directions see ref. 7a.