

Biotransformation of a Dibenzylbutanolide to Podophyllotoxin Analogues by Shoot Cultures of *Haplophyllum patavinum*

Lucia PURICELLI,^a Rosy CANIATO,^{*a} and Giuliano DELLE MONACHE^b

^a Dipartimento di Biologia, Università di Padova; Via U. Bassi 58/B, 35131 Padova, Italy; and ^b Istituto Chimica Riconoscimento Molecolare, Università Cattolica S. Cuore; L.go F. Vito 1, 00168 Roma, Italy.

Received December 3, 2002; accepted April 3, 2003

A peroxidase from spent medium of shoot cultures from *Haplophyllum patavinum* (L.) G. DON catalyzes the biotransformation of a synthetic dibenzylbutanolide into a podophyllotoxin analogue and a novel compound, derived by the opening of the lactone ring.

Key words biotransformation; dibenzylbutanolide; shoot culture; *Haplophyllum patavinum*

During studies on *in vitro* regeneration of *Haplophyllum patavinum* (L.) G. DON FIL. (Rutaceae), an endangered herb with a punctiform relict disjointed range on the Euganean Hills (Padua, Italy), cell and tissue cultures were established.^{1,2} The phytochemical analysis of shoot cultures revealed the presence of aryl-naphthalene lignans such as justicidin B, diphyllin, tuberculatin, majidine, patavine and arbelline.³

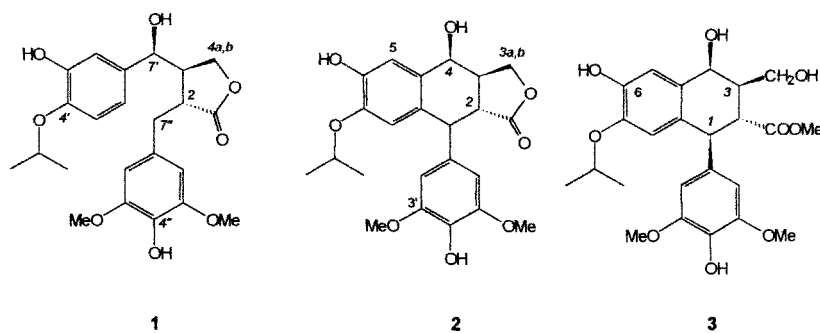
Dibenzylbutanolides have been suggested⁴ as precursors of aryltetralin lignans and the bioconversions to podophyllotoxin analogues have been performed with peroxidase from cell cultures of different species.⁵

We hypothesized that dibenzylbutanolides might represent early intermediates also in the biosynthetic pathway to the aryl-naphthalene lignans⁶ and, as a consequence, *H. patavinum* shoot cultures must contain a peroxidase able to promote the cyclization of dibenzylbutanolides. This study deals with biotransformation studies with *H. patavinum* shoot cultures, culminating in the isolation of a novel product (**3**).

The activity of the peroxidase enzymes present in the spent medium was evaluated during the growth cycle, and the possibility of producing podophyllotoxin analogues by ring closure of dibenzylbutanolides with peroxidase enzymes of shoot cultures was explored by a series of trials using precursor **1**. In the optimal experiment, compound **1** was completely biotransformed after 20 min of incubation. The major compound **2** was identical with the cyclization product 1,2,3,4-tetrahydro-4 β ,6-dihydroxy-7-isopropoxy-3-hydroxymethyl-1-(4-hydroxy-3,5-dimethoxy phenyl)-2-naphthoic acid butanolide (co-TLC, EI-MS, ¹H- and ¹³C-NMR spectra), obtained earlier from the same substrate by treatment with cell cultures of *Podophyllum peltatum*, *Nicotiana sylvestris* and *Cassia didymobotrya*.⁵

A molecular formula C₂₄H₃₀O₉ was determined for the minor compound **3** by a combination of ¹H- and ¹³C-NMR spectral data (Table 1) with the molecular peak at *m/z* 462 in the EI-MS spectrum. The similar UV spectra of compounds **2** and **3** suggested an analogous skeleton. Comparison of ¹H-NMR spectra of compounds **2** and **3** (Table 1) revealed in the latter the presence of a new (3H) signal at δ 3.51 and the highfield shifts for the signals attributed to H-3 (δ 2.14) and to H₂-3a,3b (δ 3.58 and 3.80). A similar highfield shift for C-3a,b carbon (δ 67.3 in **2** to δ 62.5 in **3**) in the ¹³C-NMR spectra suggested the passage CH₂OCO to CH₂OH, that is the hydrolysis of the lactone ring to the hydroxy acid. As a confirmation, the new signal in the ¹H-NMR spectrum of **3** was attributed to a carbomethoxy group (δ 51.4 in the ¹³C-NMR spectrum), derived from the esterification of the carboxylic function. The EI-MS spectrum was characterized by the losses of H₂O, CH₃OH (very likely from the carbomethoxy group), and C₃H₆ (isopropyl group) from the molecular ion and by a base peak coincident with the C ring. Therefore, compound **3** was assigned the structure 1-(4'-hydroxy-3',5'-dimethoxyphenyl)-4 β ,6-dihydroxy-3-hydroxymethyl-7-isopropoxy-1,2,3,4-tetrahydro-2-naphthoic acid methyl ester, a novel product among those obtained from precursor **1**.⁵ The esterification of compound **3** was ascribed to the conditions (silica gel, methanol) used for the purification. Actually, the HPLC profile of the reaction mixture prior to the purification is characterized by the presence of two peaks, only the major corresponding to compound **2**.

The lactone ring opening was obtained by Kutney and coworkers⁹ during experiments of biotransformation of a synthetic dibenzylbutanolide using cell free extracts of *Catharanthus roseus* cell cultures. The authors, however, suggested that the large excess of sodium borohydride, used to treat the



* To whom correspondence should be addressed. e-mail: rosy.caniato@unipd.it

Table 1. ¹H- and ¹³C-NMR Spectral Data of Arylteralins **2** and **3**

Position ^{a)}	2		3	
	δ_c	δ_H (m, <i>J</i> in Hz)	δ_c	δ_H (m, <i>J</i> in Hz)
1	46.7	3.96 (d, 11.4)	46.0	4.10 (d, 11)
2	45.5	3.21 (dd, 11.4, 13.9)	48.0	3.14 (t, 11)
3	41.1	2.73 (dddd, 13.9, 10.5, 7.2, 2.7)	50.3	2.14 (dddd, 11, 8, 5, 3)
3a,b	66.0	4.41 (dd, 8.2, 7.2)	62.5	3.80 (dd, 10.5, 8)
		4.30 (dd, 10.5, 8.2)		3.58 (dd, 10.5, 5)
4	67.3	4.81 (d, 2.7)	67.8	4.84 (d, 3)
4a	132.5		132.5	
5	116.2	6.81 (s)	116.1	6.80 (s)
6	146.5		146.9	
7	145.5		145.8	
8	116.6	6.44 (s)	116.5	6.36 (s)
8a	131.7		130.6	
1'	135.4		135.9	
2'	107.7	6.58 (s)	107.4	6.46 (s)
3'	147.9		148.5	
4'	135.0		135.6	
5'	148.0		148.5	
6'	107.7	6.58 (s)	107.4	6.46 (s)
CO	175.9		175.6	
2×OMe	56.2	3.77 (s)	56.6	3.74 (s)
(CO)OMe			51.4	3.51 (s)
OCH	71.3	4.28 (m)	71.7	4.28 (m, 6)
Me ₂	21.2	1.09 (d, 6)	21.7	1.10 (d, 6)
	21.6	1.20 (d, 6)	22.1	1.19 (d, 6)

a) 300 (¹H) and 75 (¹³C) MHz, MeCO-*d*₆, TMS as int. stand. Protons showed the appropriate integrated intensity. Assignments were confirmed by NOE and INEPTL experiments.

ethyl acetate extract of the reaction mixture, might be responsible for the hydrolysis of the lactone to the hydroxy acid. On the other hand, the chemical opening of the lactone ring in **1** does not only require strong alkaline conditions, but also could lead to a rearrangement with the loss of the methylene group as formaldehyde.¹⁰⁾

To exclude the possibility of an acid hydrolysis during the purification, compound **2** was again submitted to such a procedure (see Experimental) as the reaction mixture, but compound **3** was not formed under these conditions. For the above reasons we believe that the opening of lactone ring in the compound **3** is the result of an enzymatic reaction and not an artefact due to the reaction conditions.

A number of investigations concerning the ability of plant cell cultures to perform biotransformations of different precursors to suitable end products are reported in the literature.^{11–13)} Our results further support the strategy of utilizing plant cell cultures or enzymes derived from within the cells as “reagents” in organic synthesis.

Experimental

General Procedures The following instruments were used: UV spectra, Perkin-Elmer mod. Lambda 5 spectrometer; ¹H- and ¹³C-NMR spectra (in MeCO-*d*₆), Varian Gemini 300 MHz spectrometer; EI-MS spectra, VG7070EQ spectrometer.

HPLC analysis was performed using a ChromQuest (Thermoseparation, San José, CA, U.S.A.) instrument, with a P4000 pump and UV6000 PDA rivelator; a Jupiter column (RP C18, 5 μ , 300 Å, 250×4.60 mm, Phenomenex) was used with a small precolumn (C18, 4×3 mm I.D.). The flow rate was 1 ml/min, the volume of injection was 20 μ l. Silica gel 60F₂₅₄ (Merck) were used for TLC.

Tissue Cultures Shoot cultures were obtained by organogenesis experiments on *H. patavinum* cell cultures as previously reported,⁷⁾ and maintained by subculturing on hormone-free fresh MS⁸⁾ medium every third week.

General Biotransformation Procedure The activity of the peroxidase

enzymes present in the spent medium was evaluated by UV absorptions at 420 nm of the oxidation products of pyrogallol. The text was performed at 25 °C in a reaction mixture containing 2 ml H₂O, 0.28 ml pyrogallol solution (4.7%), 0.14 ml H₂O₂ (0.5%), 0.42 ml K-phosphate buffer (pH 6.3) and 0.14 ml shoot cultures spent liquid medium. In the optimal experiment the synthetic dibenzylbutanolide **1** (45 mg) was incubated at 25 °C with the spent medium (30 ml) of shoot cultures collected at 30th day of subculturing (higher peroxidase activity, data not shown), K-phosphate buffer (30 ml, pH 6.3) and H₂O₂ 0.5% (0.5 ml).

Extraction and Isolation After 20 min of incubation, compound **1** was completely biotransformed (TLC) and the reaction mixture was extracted with EtOAc. Preparative-TLC of the residue eluted with EtOAc/cyclohexane (3 : 1), and CHCl₃/MeOH (9 : 1) afforded products **2** (33 mg, biotransformation yield, 73%) and **3** (5 mg, 11%), respectively. The purity of compounds was checked by HPLC, monitoring the product elution at 280 nm; the multi-step linear solvent gradient was performed using steadily 5% MeOH and varying the percentage of H₂O and CH₃CN: at time 0 min 10% CH₃CN; at time 8 min 35% CH₃CN; at time 20 min 60% CH₃CN; at time 22 min 70% CH₃CN. Retention times for **2** and **3** were 17.2 and 16.1 min, respectively. Notably, the HPLC chromatogram of the reaction mixture prior to purification showed two peaks corresponding to 17.2 and 15.2 min.

Stability of Compound 2 The cyclization product **2** (4.0 mg) was mixed to silica gel (600 mg) with CHCl₃-MeOH (4 : 1) and the solid was maintained under a solvent layer for 3 d. Elution with CHCl₃-MeOH (4 : 1) gave recovered **2** (3.9 mg), which did not show any extra spot (TLC) or peak (HPLC).

1-(4'-Hydroxy-3',5'-dimethoxyphenyl)-4 β ,6-dihydroxy-3-hydroxy-methyl-7-isopropoxy-1,2,3,4-tetrahydro-2-naphthoic Acid Methyl Ester (**3**): An off-white amorphous powder; mp 130–132 °C; UV λ_{max} (MeOH): 281 nm; UV λ_{max} (MeOH/NaOH 0.1 N): 250, 281 nm; ¹H- and ¹³C-NMR in Table 1; EI-MS *m/z* (%): 462 (14) [M]⁺, 444 (7) [M-H₂O]⁺, 430 (45) [M-CH₃OH]⁺, 388 (50) [430-C₃H₆]⁺, 367 (329), 325 (39), 238 (31), 224 (44), 154 (100) [C ring]⁺.

Acknowledgements The authors wish to thank Prof. J. P. Kutney and Dr. N. M. Stoykov for their practical help and constructive suggestions, and to acknowledge the financial support of CNR Target Project “Biotechnology and Bioinstrumentation.”

References

- 1) Filippini R., Caniato R., Cappelletti E. M., Piovan A., Innocenti G., Cassina G., *Bot. Gard. Micropropagation News*, **1**, 87—90 (1994).
- 2) Cappelletti E. M., Innocenti G., Caniato R., Filippini R., Piovan A., "Biotechnology in Agriculture and Forestry, Medicinal and Aromatic Plants X," Vol. 41, ed. by Bajaj Y. P. S., Springer-Verlag, Berlin, 1998, pp. 238—260.
- 3) Innocenti G., Puricelli L., Piacente S., Caniato R., Filippini R., Cappelletti E. M., *Chem. Pharm. Bull.*, **50**, 844—846 (2002).
- 4) Kamil W. M., Dewick P. M., *Phytochemistry*, **25**, 2093—2102 (1986).
- 5) Botta B., Delle Monache G., Misiti D., Vitali A., Zappia G., *Curr. Med. Chem.*, **8**, 1363—1381 (2001).
- 6) Puricelli L., Innocenti G., Piacente S., Caniato R., Filippini R., Cappelletti E. M., *Heterocycles*, **56**, 607—612 (2002).
- 7) Puricelli L., Innocenti G., Delle Monache G., Caniato R., Filippini R., Cappelletti E. M., *Nat. Prod. Lett.*, **16**, 95—100 (2002).
- 8) Murashige T., Skoog F., *Physiol. Plant.*, **15**, 473—497 (1962).
- 9) Kutney J. P., Hewitt G. M., Jarvis T. C., Palaty J., Rettig S. J., *Can. J. Chem.*, **70**, 2115—2131 (1992).
- 10) Eklund P., Sjöholm R., 23rd IUPAC International Symposium on the Chemistry of Natural Products. 28 July—2 August 2002, Florence, Italy, P59, p. 185.
- 11) Pras N., *J. Biotech.*, **26**, 29—62 (1992).
- 12) DiCosmo F., Misawa M., *Biotechnol. Adv.*, **13**, 425—453 (1995).
- 13) Giri A., Dhingra V., Giri C. C., Singh A., Ward O. P., Narasu M., *Biotechnol. Adv.*, **19**, 175—199 (2001).