

Structure of New Carotenoids with a 3,4-Dihydroxy- β -End Group from the Oyster *Crassostrea gigas*

Takashi MAOKA,*^a Yasuhiro FUJIWARA,^b Keiji HASHIMOTO,^c and Naoshige AKIMOTO^d

^a Research Institute for Production Development; 15 Shimogamo-morimoto-cho, Sakyo-ku, Kyoto 606–0805, Japan; ^b Kyoto Pharmaceutical University; 5 Nakauchi-cho, Misasagi, Yamashina-ku, Kyoto 607–8414, Japan; ^c Nagahama Institute of Bio-sciences and Technology; Tamura-cho, Nagahama, Shiga 526–0829, Japan; and ^d Graduate School of Pharmaceutical Sciences; Kyoto University; Yoshida-shimoadachi-cho, Sakyo-ku, Kyoto 606–8501, Japan.

Received May 23, 2005; accepted June 7, 2005; published online June 13, 2005

Three new carotenoids with a 3,4-dihydroxy- β -end group were isolated from the oyster *Crassostrea gigas*. These structures were determined to be 3,4,3',8'-tetrahydroxy- β,κ -caroten-6'-one (**1**), 3,4-dihydroxy-3',6'-epoxy-1',2',5',6',7',8'-hexahydro-6'-methyl-16'-nor- β,ϕ -carotene-1',8'-dione (**2**), and 3,6-epoxy-5,3',4'-trihydroxy-12',13',20'-trinor- β,β -caroten-19,11-olide (**3**) based on chemical and spectral data.

Key words oyster; *Crassostrea gigas*; carotenoid; ¹H-NMR; FAB-MS

Marine animals contain various carotenoids which have structural variety.¹⁾ Some of them exhibit antioxidative,²⁾ anti-tumore, and anti-carcinogenic activities.^{3–5)} Shellfish, which are important seafood in Japan, contain several structurally unique carotenoids.¹⁾ This prompted us to search for new carotenoids from shellfish.

Mytiloxanthin, isomytiloxanthin from *Mytilus edulis*,^{6,7)} diatoxanthin, alloxanthin, and pectenolone from *Pectene maximus*⁸⁾ and *Patinopectene yessoensis*,⁹⁾ pectenol A and B¹⁰⁾ and 8'-apoalloxanthin¹¹⁾ from *Mytilus coruscus*, mactraxanthin from *Mactra chinensis*,¹²⁾ crassostreaxanthin A and B from *Crassostrea gigas*,¹³⁾ and a series of carotenoids with a 5,6-dihydro- β -end group from *Fushinus perplexus*,¹⁴⁾ have been reported as principal carotenoids in marine shellfish.

In the course of studies of the carotenoids in shellfish, previously we reported the isolation and structural elucidation of five new carotenoids having a unique structure from the oyster *Crassostrea gigas*.¹⁵⁾ Recently, we isolated a series of new carotenoids with a 3,4-dihydroxy- β -end group as minor components from the same species. This paper reports the isolation and structural elucidation of these new carotenoids based on chemical and spectral data.

The Me₂CO extract of the edible part of *C. gigas*, was chromatographed on silica gel using an increasing percentage of Me₂CO in hexane. The fraction eluted with Me₂CO–hexane (6:4) was subjected to HPLC on silica gel with Me₂CO–hexane (6:4) and then on ODS with CHCl₃–MeCN (1:9) to yield **1** (0.3 mg), **2** (0.3 mg), and **3** (0.2 mg).

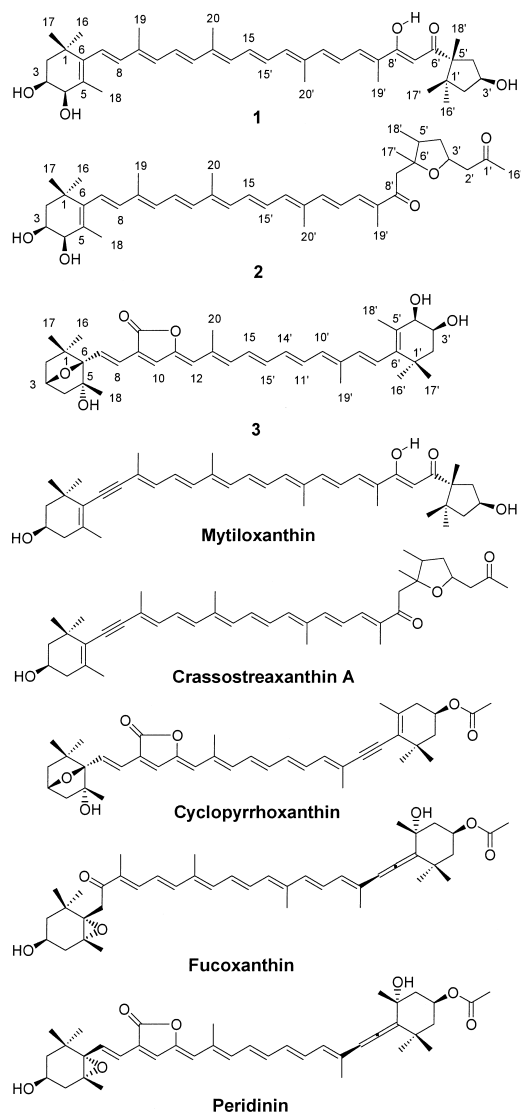
Compound **1** showed absorption maximum at 470 nm. The molecular formula of **1** was determined to be C₄₀H₅₆O₅ by HR-FAB-MS. The presence of three secondary hydroxy groups in **1** was consistent with the formation of a triacetate. Treatment of **1** with 5% KOH/C₂H₅OH showed reversible hypsochromic shift 15 nm and reduction of **1** with NaBH₄ gave a pentaol, having absorption maxima at 405, 429, and 465 nm. These visible spectral properties were in agreement with the presence of a mytiloxanthin type chromophore^{6,16)} in **1**. The ¹H-NMR data for **1** indicated the presence of a 3,4-(*cis*)-dihydroxy- β -end group (C-1 to C-6 including C-16, 17, and 18),^{10,17)} a 6-oxo-3-hydroxy- κ -end group (C-1' to C-6' including C-16', 17', and 18'), and a polyene chain contain-

ing an enolic group (δ 16.3, s, H-8').^{6,7,16)} The ¹H–¹H connectivities of H-2 to H4, H2' to H-4', and olefinic protons were confirmed by decoupling experiments. The *cis* configuration of the 3,4-glycol group was revealed by a coupling constant between H-3 and H-4 of 3.5 Hz.^{10,17)} Therefore, the structure of **1** was determined to be 3,4,3',8'-tetrahydroxy- β,κ -caroten-6'-one. The shape and magnitude of CD spectrum of **1** was similar to that of capsanthin, (3*R*,3'*S*,5'*R*)-3,3'-dihydroxy- β,κ -caroten-6'-one.¹⁸⁾ These results suggested that **1** had the same chirality at C-3 and C-5' as those of capsanthin. The 3'*S* chirality was proposed from biosynthetic consideration. Therefore, on the basis of CD data, relative stereochemistry of 3,4-dihydroxy group, and biosynthetic considerations, a (3*S*,4*R*,3'*S*,5'*R*) configuration was postulated for **1**.

Compound **2** showed absorption maxima at 450 and 470 nm. The molecular formula of **2** was determined to be C₄₀H₅₆O₅ by HR-FAB-MS. The presence of two secondary hydroxy groups in **2** was consistent with the formation of a diacetate. Reduction of **2** with NaBH₄ gave a tetrol, having absorption maxima at 405, 429, and 465 nm, suggesting the presence of a conjugated carbonyl group in a polyene chain.¹⁹⁾ The ¹H chemical shifts and spin-couplings of H2 to H20 and H2' to H20' in **2** were almost identical with those of (3*S*,4*R*,3'*S*,4'*R*)-curastaxanthin²⁰⁾ and crassostreaxanthin A,¹³⁾ respectively. The *cis* configuration of 3,4-glycol was also confirmed by coupling constant of 3.5 Hz.^{10,17)} The ¹H–¹H connectivities of H-2 to H4, H2' to H-18', and olefinic protons were also confirmed by decoupling experiments. Therefore, the structure of **2** was determined to be 3,4-dihydroxy-3',6'-epoxy-1',2',5',6',7',8'-hexahydro-6'-methyl-16'-nor- β,ϕ -carotene-1',8'-dione. The (3*S*,4*R*) configuration was postulated for **2** by CD spectrum comparison with (3*S*,4*R*,3'*S*,4'*R*)-curastaxanthin²⁰⁾ and biosynthetic consideration.

Compound **3** showed absorption maxima at 455 and 475 nm. The molecular formula of **3** was determined to be C₃₇H₄₈O₆ by HR-FAB-MS. The characteristic ¹H-NMR signals of olefinic protons suggested the presence of a butenolide group in the polyene chain.¹⁷⁾ The presence of two secondary hydroxy groups in **3** was confirmed by acetylation. As well as compounds **1** and **2**, the presence of a 3,4-(*cis*)-di-

* To whom correspondence should be addressed. e-mail: maoka@mbox.kyoto-inet.or.jp



hydroxy- β -end group in **3** was revealed by $^1\text{H-NMR}$ data. The $^1\text{H-NMR}$ signals of the remaining end group (H-2 to H-4, H-16, 17, and H-18) were similar to those of cycloviolaxanthin,²¹ suggesting presence of the (3*S*,5*R*,6*R*)-3,6-epoxy-5,6-dihydro-5-hydroxy- β -end group. Furthermore, the ^1H chemical shifts and the spin-couplings of H2 to H8 including methyl groups H16, 17, and 18 were identical with those of cyclopyrrhoxanthin, a corresponding 3,6-epoxide of pyrroxanthin isolated from *Corbicula japonica*.²² Thus the structure 3,6-epoxy-5,3',4'-trihydroxy-12',13',20'-trinor- β , β -caroten-19,11-olide was postulated for **3**. The ^1H - ^1H connectivities of H-2 to H4, H2' to H-4', and olefinic protons were also confirmed by decoupling experiments. The (3*S*,5*R*,6*R*,3'*S*,4'*R*) chirality was tentatively proposed for **3** by biosynthetic consideration.

In the present study, three new carotenoids, **1**, **2**, and **3**, having a 3,4-dihydroxy- β -end group were isolated from the oyster *C. gigas* in addition to 22 carotenoids that had been isolated previously.^{13,15} In general, animals do not synthesize carotenoids *de novo* and those found in animals are either directly accumulated from food or partly modified through metabolic reactions.²³ The food sources of oyster are phytoplankton such as diatom and dinoflagellate. The major

carotenoids in diatom and dinoflagellate are fucoxanthin and peridinin, respectively.²³ It was reported that mitiloxanthin and crassostreaxanthin A were metabolites of fucoxanthin, which was accumulated from diatom, in shellfish.^{13,15,16,23} Compounds **1** and **2** might be derived from mitiloxanthin and crassostreaxanthin A, respectively. Compound **3** is a trinorcarotenoid having C_{37} skeleton and was a likely metabolite of peridinin, which was accumulated from diatom dinoflagellate.

In the present paper, the IUPAC carotenoid nomenclature was based on the new rules described in the "Carotenoids Handbook."²⁴

Experimental

Apparatus The UV-visible (vis) spectra were recorded with a Shimadzu UV-240 spectrophotometer in diethyl ether (Et_2O). The positive ion FAB-MS were recorded using a JEOL JMS-SX 102 or JMX-HX 110A mass spectrometer with *m*-nitrobenzyl alcohol (*m*-NBA) as a matrix. The $^1\text{H-NMR}$ (300 MHz) spectra were measured with a Varian XL-300 spectrometer in CDCl_3 with tetramethylsilane (TMS) as an internal standard. The CD spectra were recorded in Et_2O at room temperature with a JASCO J-500 spectropolarimeter. HPLC was performed on a Shimadzu LC-6AD with a Shimadzu SPD-6AV spectrophotometer set at 450 nm. Acetylation, trimethyl silylation, and reduction of NaBH_4 were carried out using standard procedures.^{16,25}

Animal Material The Oyster, *C. gigas* was purchased at a local fish market in January and February.

Extraction and Isolation of Carotenoids The Me_2CO extract of the edible parts of *C. gigas* (10 kg) was partitioned between Et_2O and aqueous NaCl. The organic layer was dried over Na_2SO_4 and then concentrated to dryness. The residue was subjected to silica gel column chromatography using an increasing percentage of Me_2CO in *n*-hexane. The fraction eluted with Me_2CO -hexane (6:4) was subjected to a series of HPLCs on silica gel with Me_2CO -hexane (6:4) and then on ODS with CHCl_3 - CH_3CN (1:9) to yield **1** (0.3 mg), **2** (0.3 mg), and **3** (0.2 mg).

Details of the carotenoids composition in *C. gigas* were described elsewhere.¹⁵

Compound (**1**): Reddish solid. UV-vis: λ_{max} (Et_2O) 470 nm, (5%KOH/ $\text{C}_2\text{H}_5\text{OH}$) 455 nm. $^1\text{H-NMR}$ (CDCl_3 , 300 MHz) δ : 0.85 (3H, s, H-16'), 1.07 (3H, s, H-17), 1.09 (3H, s, H-16), 1.19 (3H, s, H-17'), 1.35 (3H, s, H-18'), ca. 1.56 (2H, overlapped, H-2eq, H-4' β), 1.68 (1H, dd, $J=12.5$, 12.5 Hz, H-2ax), 1.72 (1H, dd, $J=13.5$, 4.5 Hz, H-2' β), 1.90 (3H, s, H-18), 1.98 (12H, s, H-19, 20, 19', 20'), 2.19 (1H, dd, $J=13.5$, 8 Hz, H-2' α), 2.88 (1H, dd, $J=14.5$, 8.5 Hz, H-4' α), 3.88 (1H, m, H-3), 3.97 (1H, d, $J=3.5$ Hz, H-4), 4.55 (1H, m, H-3'), 5.86 (1H, s, H-7'), 6.09 (1H, d, $J=16$ Hz, H-7), 6.10 (1H, d, $J=11.5$ Hz, H-10), 6.17 (1H, d, $J=16$ Hz, H-8), 6.28 (1H, d, $J=11.5$ Hz, H-14), 6.36 (1H, d, $J=15.5$ Hz, H-12), 6.38 (1H, d, $J=11.5$ Hz, H-14'), 6.50–6.80 (6H, overlapped, H-11, 15, 10', 11', 12', 15'), 16.3 (1H, s, OH-8'). HR-FAB-MS: m/z 616.4132 (Calcd for $\text{C}_{40}\text{H}_{56}\text{O}_5$, 616.4125). CD: (Et_2O) λ nm ($\Delta\epsilon$) 235 (−3.0), 239 (0), 248 (+2.0), 255 (0), 290 (−5.0), 315 (0), 338 (+2.5), 345 (0), 355 (−3.0).

Acetylation of **1** (0.1 mg) with $(\text{CH}_3\text{CO})_2\text{O}$ (5 ml) in dry pyridine (5 ml) at room temperature for 60 min produced a triacetate (yield 90%) which showed molecular ion at m/z 742 by FAB-MS.

Reduction of **1** (0.1 mg) with NaBH_4 (5 mg) in CH_3OH (10 ml) at room temperature for 30 min produced a pentaol (yield 80%) which showed molecular ion at m/z 618 by FAB-MS and absorption maxima (Et_2O) at 405, 429, and 465 nm.

Compound (**2**): Reddish solid. UV-vis: λ_{max} (Et_2O) 450 and 470 nm. $^1\text{H-NMR}$ (CDCl_3 , 300 MHz) δ : 0.99 (3H, d, $J=7$ Hz, H-18'), 1.07 (3H, s, H-17), 1.09 (3H, s, H-16), 1.10 (3H, s, H-17'), 1.31 (1H, ddd, $J=12$, 11, 10 Hz, H-4'), 1.57 (1H, ddd, $J=12.5$, 4, 1.5 Hz, H-2eq), 1.68 (1H, dd, $J=12.5$, 12.5 Hz, H-2ax), 1.90 (3H, s, H-18), 1.94 (3H, s, H-19'), 1.98 (3H, s, H-19), 1.99 (6H, s, H-20, 20'), 2.14 (3H, s, H-16'), 2.17 (1H, ddd, $J=12$, 7, 5 Hz, H-4'), 2.32 (1H, ddq, $J=11$, 7, 7 Hz, H-5'), 2.52 (1H, dd, $J=15$, 5 Hz, H-2'), 2.69 (1H, dd, $J=15$, 7 Hz, H-2'), 2.86 (1H, d, $J=13.5$ Hz, H-7'), 2.93 (1H, d, $J=13.5$ Hz, H-7'), 3.88 (1H, m, H-3), 3.97 (1H, d, $J=3.5$ Hz, H-4), 4.21 (1H, m, H-3'), 6.09 (1H, d, $J=16$ Hz, H-7), 6.10 (1H, d, $J=11.5$ Hz, H-10), 6.17 (1H, d, $J=16$ Hz, H-8), 6.28 (1H, d, $J=11.5$ Hz, H-14), 6.38 (1H, d, $J=15.5$ Hz, H-12), 6.42 (1H, d, $J=11.5$ Hz, H-14'), 6.50–6.80 (5H, overlapped, H-11, 15, 11', 12', 15'), 7.26 (1H, d, $J=11$ Hz, H-10'). HR-FAB-

MS: m/z 616.4136 (Calcd for $C_{40}H_{56}O_5$, 616.4125). CD (Et_2O): λ ($\Delta\epsilon$) 240 (+2), 250 (0), 275 (-5.0), 320 (0).

Acetylation of **2** (0.1 mg) with $(CH_3CO)_2O$ (5 ml) in dry pyridine (5 ml) at room temperature for 60 min produced a diacetate (yield 90%) which showed molecular ion at m/z 700 by FAB-MS.

Reduction of **2** (0.1 mg) with $NaBH_4$ (5 mg) in CH_3OH (10 ml) at room temperature for 30 min produced a tetrol (yield 80%) which showed molecular ion at m/z 620 by FAB-MS and absorption maxima (Et_2O) at 405, 429, and 465 nm.

Compound (**3**): Reddish solid. UV-vis: λ_{max} (Et_2O) 455 and 475 nm. 1H -NMR ($CDCl_3$, 300 MHz) δ : 0.89 (3H, s, H-17), 1.07 (3H, s, H-17'), 1.09 (3H, s, H-16'), 1.23 (3H, s, H-18), 1.47 (3H, s, H-16), 1.57 (1H, ddd, $J=12.5, 4, 1.5$ Hz, H-2'eq), 1.63 (1H, d, $J=12$ Hz, H-2eq), 1.68 (1H, dd, $J=12.5, 12.5$ Hz, H-2'ax), 1.71 (1H, d, $J=12$ Hz, H-4eq), 1.84 (2H, ddd, $J=12, 6, 2$ Hz, H-2ax), 1.90 (3H, s, H-18'), 1.96 (3H, s, H-19'), 2.04 (1H, ddd, $J=12, 6, 2$ Hz, H-4ax), 2.24 (3H, s, H-20), 3.88 (1H, m, H-3'), 3.97 (1H, d, $J=3.5$ Hz, H-4'), 4.41 (1H, t, $J=6$ Hz, H-3), 5.72 (1H, s, H-12), 6.09 (1H, d, $J=16$ Hz, H-7'), 6.10 (1H, d, $J=11.5$ Hz, H-10'), 6.17 (1H, d, $J=16$ Hz, H-8'), 6.41 (1H, dd, $J=14.5, 11.5$ Hz, H-14'), 6.44 (1H, d, $J=16$ Hz, H-8), 6.44 (1H, d, $J=11.5$ Hz, H-14), 6.50 (1H, dd, $J=14.5, 11.5$ Hz, H-15'), 6.57 (1H, dd, $J=14.5, 11.5$ Hz, H-11'), 6.64 (1H, dd, $J=14.5, 11.5$ Hz, H-15), 6.96 (1H, d, $J=16$ Hz, H-7), 7.01 (1H, s, H-10). HR-FAB-MS: m/z 588.3456 (Calcd for $C_{37}H_{48}O_6$ calcd 588.3450).

Acetylation of **3** (0.1 mg) with $(CH_3CO)_2O$ (5 ml) in dry pyridine (5 ml) at room temperature for 60 min produced a diacetate (yield 90%) which showed molecular ion at m/z 672 by FAB-MS.

References and Notes

- Matsuno T., Hirao S., "Marine Biogenic Lipids, Fats, and Oils," Vol. 1, ed. by Ackman R. G., CRC Press, Boca Raton, Florida, 1989, pp. 251—388.
- Miki W., *Pure Appl. Chem.*, **63**, 141—146 (1991).
- Nishino H., Tsushima M., Matsuno T., Tanaka Y., Okuzumi J., Murakoshi M., Satomi Y., Takayasu J., Tokuda T., Nishino A., Iwashima A., *Anti-Cancer Drugs*, **3**, 493—497 (1992).
- Nishino Y., *Mutation Res.*, **402**, 159—163 (1998).
- Tsushima M., Maoka T., Katuyama M., Kozuka M., Matsuno T., Tokuda H., Nishino H., Iwashima A., *Biol. Pharm. Bull.*, **18**, 227—233 (1995).
- Khare A., Moss G. P., Weedon B. C. L., *Tetrahedron Lett.*, **14**, 3921—3924 (1973).
- Hertzberg S., Partali V., Liaaen-Jensen S., *Acta Chem. Scand.*, **B42**, 495—503 (1988).
- Campbell S. A., Mallams A. K., Waight E. S., Weedon B. C. L., Barbier M., Lederer E., Salaque A., *Chem. Commun.*, **1967**, 941—942 (1967).
- Matsuno T., Hiraoka K., Maoka T., *Nippon Suisan Gakkaishi*, **47**, 383—390 (1981).
- Maoka T., Matsuno M., *Nippon Suisan Gakkaishi*, **54**, 1443—1447 (1988).
- Maoka T., *J. Nat. Prod.*, **60**, 616—617 (1997).
- Matsuno T., Sakaguchi S., *Tetrahedron Lett.*, **24**, 911—912 (1983).
- Fujiwara Y., Maoka T., Ookubo M., Matsuno T., *Tetrahedron Lett.*, **33**, 4941—4944 (1992).
- Tsushima M., Maoka T., Matuno T., *J. Nat. Prod.*, **64**, 1139—1142 (2001).
- Maoka T., Hashimoto K., Akimoto N., Fujiwara Y., *J. Nat. Prod.*, **64**, 578—581 (2001).
- Matsuno T., Ookubo M., Nishizawa T., Shimizu I., *Chem. Pharm. Bull.*, **32**, 4309—4315 (1984).
- Englert G., "Carotenoids," Vol. 1B, ed. by Britton G., Liaaen-Jensen S., Pfander H., Birkhauser Verlag, Basel, 1995, pp. 147—160.
- Maoka T., Akimoto N., Fujiwara Y., Hashimoto K., *J. Nat. Prod.*, **67**, 115—117 (2004).
- Britton G., "Carotenoids," Vol. 1B, ed. by Britton G., Liaaen-Jensen S., Pfander H., Birkhauser Verlag, Basel, 1995, pp. 13—62.
- Buschor D. J., Eugster C. H., *Helv. Chim. Acta*, **73**, 1002—1021 (1990).
- Deli J., Molnar P., Toth G., Baumeler A., Eugster H., *Helv. Chim. Acta*, **74**, 819—824 (1991).
- Maoka T., Fujiwara Y., Hashimoto K., Akimoto N., *J. Nat. Prod.*, in press.
- Liaaen-Jensen S., "Carotenoids," Vol. 3, ed. by Britton G., Liaaen-Jensen S., Pfander H., Birkhauser Verlag, Basel, 1998, pp. 259—371.
- Britton G., Liaaen-Jensen S., Pfander H., "Carotenoids Handbook," Birkhauser Verlag, Basel, 2004.
- Matsuno T., Tani Y., Maoka T., Matsuno K., Komori T., *Phytochemistry*, **25**, 2837—2840 (1986).