Diketopiperazine Alkaloids from a Deep Ocean Sediment Derived Fungus *Penicillium* **sp.**

Lin Du,^{a,#} Xinying YANG,^{b,#} Tianjiao ZHU,^a Fengping WANG,^c Xiang XIAO,^c Hyun PARK,^{*,b} and Qianqun GU*,*^a*

aKey Laboratory of Marine Drugs, Chinese Ministry of Education, Institute of Marine Drugs and Food, Ocean University of China; Qingdao 266003, P. R. China: ^b Department of Infection Biology, Zoonosis Research Center, Wonkwang University School of Medicine; Iksan, Chonbuk 570–749, South Korea: and ^{<i>cKey Laboratory of Marine Biogenetic} *Resources, the Third Institute of Oceanography, the State Oceanic Administration; Xiamen 361005, P. R. China.* Received March 11, 2009; accepted April 14, 2009; published online May 11, 2009

Five new diketopiperazine alkaloids, brevicompanines D—H (3—7), together with two known analogs, *allo***brevicompanine B (1) and fructigenine B (2), were isolated from a deep ocean sediment derived fungus** *Penicillium* **sp. Their structures were established by spectroscopic methods including 2D NMR and chiral HPLC analysis. Compounds 4 and 7 inhibited lipopolysaccharide (LPS)-induced nitric oxide production in BV2 microglial cells.**

Key words *Penicillium* sp.; diketopiperazine; brevicompanine

Fungi have proven to be a valuable source of biologically active natural products. The brevicompanine alkaloids, $1,2$) isolated from *Penicillium brevicompactum* and *Aspergillus janus*, were all reported as plant growth regulators. Brevicompanine B was also found to be active against the malaria parasite *Plasmodium falciparum* 3D7.3) We have focused our attention on new bioactive alkaloids from fungi and isolation work on a deep ocean sediment derived fungus *Penicillium* sp. led to the finding of five new diketopiperazine alkaloids brevicompanines D—H (**3**—**7**) and two known analogs *allo*brevicompanine B $(1)^{4}$ and fructigenine B $(2)^{5}$ In this paper, we describe the isolation, structure elucidation, and inhibitory effects of these molecules on lipopolysaccharide (LPS)-induced inflammation in BV2 microglial cells.

The producing strain *Penicillium* sp. F1 cultivated at 28 °C for 17 d in a seawater fermentation broth (40 l) was extracted with aqueous EtOAc. The extract was concentrated and successively chromatographed over a silica gel column and eluted with a gradient chloroform–methanol $(100:1 \rightarrow 1:2)$ to give six fractions. Fraction 2, which eluted with the solvent of chloroform–methanol (20 : 1), was subjected to repeated Sephadex LH-20 column chromatography (chloroform–methanol, $1:1$) and the subfractions were further purified by HPLC using a reversed-phase C18 column to give compounds **1**—**7**.

Compounds **1** and **2** were identified by comparison with previously reported physical and spectral data for *allo*-brevicompanine $B⁴$ and fructigenine $B⁵$ *Allo*-brevicompanine B (**1**) was reported as a synthetic isomer of the natural product brevicompanine $B¹$ and its ¹³C-NMR data (Table 2) is reported here for the first time.

Compound **3** was obtained as a colorless powder. Its molecular formula was established as $C_{24}H_{33}N_3O_3$ by HR-ESI-MS, requiring ten degrees of unsaturation. The UV spectrum showed absorption maxima at λ_{max} 209, 248 and 301 nm, which was similar to those found for **1**. 4) The IR spectrum showed a NH absorption at 3214 cm^{-1} and amide carbonyl absorptions at 1668 and 1604 cm⁻¹. The presence of a 1,1-dimethyl-2-propenyl group (δ _H: geminal methyl, 1.00 (3H, s) and 1.11 (3H, s); terminal olefin, 5.08 (1H, dd, *J*=17.2,

1.1 Hz), 5.11 (1H, dd, *J*=10.6, 1.1 Hz), and 5.93 (1H, dd $J=17.2, 11.0$ Hz)/ $\delta_{\rm C}$: 22.2, 23.0, 41.4, 114.7, and 143.3) and a 1,2-substitued phenyl group (δ _H: 6.62 (1H, d, J=7.8 Hz), 6.77 (1H, ddd, *J*-7.8, 7.3, 1.1 Hz), 7.15 (1H, ddd, *J*-7.8, 7.8, 0.9 Hz), and 7.17 (1H, d, $J=7.3$ Hz) δ_c : 107.1, 118.9, 125.2, 129.1, 129.6, and 149.5) were established by the ¹H-(Table 1) and ${}^{13}C$ - (Table 2) NMR spectra. The strong IR absorption band at 1668 cm^{-1} and two signals at δ 164.9 and 168.8 in the 13C-NMR spectrum indicated the presence of two amide functions. In the ¹H-NMR spectrum, two sets of ABX patterns due to two $-CH₂-CH-N-$ moieties appeared (d 2.52 (1H, dd, *J*-12.4, 5.8 Hz), 2.36 (1H, dd, *J*-12.4, 11.3 Hz), 4.03 (1H, dd, J=11.3, 5.8 Hz) and 1.64 (1H, ddd, *J*-13.9, 8.4, 5.1 Hz), 1.98 (1H, ddd, *J*-13.9, 9.1, 3.7 Hz), 4.06 (1H, dd, *J*-8.4, 3.7 Hz)). These spectral data indicated the presence of a diketopiperazine system in **3**. One of the amino acid residues was deduced as leucine by analysis of the ¹H-¹H correlation spectroscopy (COSY) correlations among H-3, 2H-12, H-13, CH₃-14, and CH₃-15 (Fig. 3A). Compound **3** was unstable and could transform into **1** in weakly acidic conditions (Fig. 2) which indicated that their structures were interrelated. Careful comparison of their 1D NMR spectra (Tables 2, $3)^{4}$) indicated they had the same structure, which was confirmed by analysis of the 2D NMR (heteronuclear multiple quantum coherence (HMQC), ${}^{1}H-{}^{1}H$ COSY and heteronuclear multiple bond coherence (HMBC)) spectra of **3** (Fig. 3A), except for the substituents on N-6. The HMBC correlations from a methylene ($\delta_{\rm H}$ 4.87 (1H, d, *J*=10.0 Hz), 4.96 (1H, d, *J*=10.0 Hz); δ _C 78.7) to C-5a and C-6a, and those between the methylene and an $-OCH_3$ ($\delta_{\rm H}$) 3.32 (3H, s); δ_c 55.6) indicated a methoxymethyl (MOM) group was attached to N-6. The structure of **3** was established (Fig. 1), and named brevicompanine D. The MOM group is a common protective group for amines, 6 alcohols, 7 and phenols $^{8)}$ in organic synthesis but the MOM ether or MOM amine groups are quite rare in natural products.^{9,10)}

Compound **4**, named brevicompanine E, was obtained as a colorless powder. The molecular formula of **4** was established as $C_{25}H_{33}N_3O_3$ by HR-ESI-MS (m/z 424.2583) $[M+H]$ ⁺, Calcd 424.2600), requiring eleven degrees of un-

Table 1. ¹H-NMR Data for Compounds $3-7$ in CDCl₃

a) Signals overlapped.

Fig. 1. Structures of Compounds **1**—**7**

Fig. 2. HPLC Profiles of Compound **3** and Its Acid-Catalyzed Product

Fig. 3. (A) Key ¹ H–¹ H COSY and HMBC Correlations of **3**, **6** and (B) Key NOESY Correlations of **4**, **7**

saturation. The 1 H- and 13 C-NMR data were similar to those of fructigenine B $(2)^{5}$ except that the signals of the singlet CH₃-22 (δ _H 2.63 (3H, s); δ _C 22.9) in **2** were absent and those of a methylene ($\delta_{\rm H}$ 2.55 (1H, m) and 3.54 (1H, br s); $\delta_{\rm C}$ 27.9) and a coupled methyl (δ _H 1.23 (3H, t, J=7.4 Hz); δ _C 8.9) were observed in **4** instead. These data indicated that they had the same structure except that the acetyl group on N-6 in **2** was replaced by a propionyl group (Fig. 1).

Compound **5**, named brevicompanine F, had the molecular formula $C_{26}H_{35}N_3O_3$ established by HR-ESI-MS (m/z 438.2761 $[M+H]$ ⁺, Calcd 438.2757). Its 1D NMR data were similar to those of **4** except that resonances characteristic for a butyroyl group appeared in place of the propionyl group (Fig. 1).

Table 2. 13 C-NMR Data for Compounds 1 and $3-7$ in CDCl₃

Compound **6**, obtained as a colorless powder, had the molecular formula $C_{23}H_{29}N_3O_3$ established by HR-ESI-MS (m/z 396.2284 [M+H]⁺, Calcd 396.2287). Comparison of the ¹Hand 13C-NMR data with those of **2** indicated they had the same carbon skeleton and acetyl substitution on N-6. The ${}^{1}H-{}^{1}H$ COSY correlations among H-3, H-12, CH₃-13, and $CH₃$ -14 afforded an isopropyl group attached to C-3 (Fig. 3A). HMBC correlations confirmed the structure of **6** (Fig. 1), named brevicompanine G.

Compound 7, with the molecular formula $C_{24}H_{31}N_3O_3$ established by HR-ESI-MS $(m/z$ 410.2439 $[M+H]^+$, Calcd 410.2444), was the 6-propionyl analog of **6**. The structure (Fig. 1), named brevicompanine H, was inferred from extensive comparison of the $\mathrm{^{1}H\text{-}}$ and $\mathrm{^{13}C\text{-}NMR}$ data with those of **4** and **6** (Tables 1, 2).

The relative configuration of **4** was determined by interpreting its nuclear Overhauser effect spectroscopy (NOESY) spectrum. NOEs from CH_3 -19 and CH_3 -20 to H-5a and from H-5a to H-17 indicated that the B and C rings were connected with a *cis* junction. The coupling constant between H-11a and H_b -11 (*J*=11.2 Hz) as well as NOEs from H_b -11 to H-5a and from H-3 to H-11a suggested that the vinyl allyl group on C-10b and the methane protons of C-3, C-5a, and C-11a were of β , α , β , and α orientation, respectively. The interpretation of the NOESY spectrum of **7** gave the same result (Fig. 3B).

Acidic hydrolysis and chiral HPLC analysis $11,12$ of the resulting hydrolysate using D - and L -leucine reference samples confirmed the absolute configuration of **4**. The absolute configuration of C-3 was determined to be *S*, as one of the products was identified as L-leucine. Hence, the absolute configuration of **4** was established as 3*S*, 5a*R*, 10b*R*, and 11a*S*. A similar procedure was performed on **7** using D- and DL-valine reference samples and it established the same absolute configuration as **4**. The absolute configurations of **3**, **5**, and **6** are assumed to be the same as those of **4** and **7** on biogenetic grounds.

The cellular events in microglia cells (*e.g.*, BV2 cell) induced by lipopolysaccharide (LPS) are regarded as useful *in vitro* models for evaluating the potency of anti-inflammatory compounds.13) Inhibitory effects of compounds **1**—**7** on LPS-induced inflammation in BV2 microglial cell lines were evaluated by the Griess assay (reference). Compounds **4** and **7** displayed moderate activities with IC_{50} values of 27 and $45 \mu g/ml$, respectively. These results suggested that substituents at the N-6 position are important for inhibition of NO production. These compounds showed no cytotoxic effect at concentrations that inhibited NO production. Although the specific activity of the brevicompanine alkaloids was less than other anti-inflammatory agents, the lack of cytotoxicity at high concentrations warrants further investigation to look for more potent N-6 derivatives which might be useful as anti-inflammatory agents.

Experimental

General Experimental Procedures Specific rotations were obtained on a JASCO P-1020 digital polarimeter. UV spectra were recorded on Beckman DU® 640 spectrophotometer. IR spectra were taken on a NICOLET NEXUS 470 spectrophotometer in KBr discs. ¹H-, ¹³C-NMR and distortionless enhancement by polarization transfer (DEPT) spectra and 2D-NMR were recorded on a JEOL JNM-ECP 600 spectrometer using TMS as internal standard and chemical shifts were recorded as δ values. ESI-MS were measured on a Q-TOF ULTIMA GLOBAL GAA076 LC mass spectrometer. Semipreparative HPLC was performed using an ODS column [YMC-pak ODS-A, 10×250 mm, 5 μ m, 4 ml/min].

Fungal Material The fungus, strain F1, was obtained from a deep ocean sediment sample (depth 5080 m). It was identified as *Penicillium* sp. on the basis of its ribosomal internal transcribed spacers and the 5.8S ribosomal RNA gene (ITS1-5.8S-ITS2) which was deposited in Genbank (EU770317). Working stocks were prepared on Potato Dextrose agar slants stored at 4 °C.

Fermentation, Extraction, and Isolation Spores were directly inoculated into 500 ml Erlenmeyer flasks containing 200 ml fermentation media (mannitol 20 g, maltose 20 g, glucose 10 g, monosodium glutamate 10 g, KH_2PO_4 0.5 g, MgSO₄ TH_2O 0.3 g, yeast extract 3 g and corn steep liquor 1 g, dissolved in 11 seawater, pH 6.5). The flasks were incubated on a rotatory shaker at 165 rev/min at 28 °C. After 17 d of cultivation, 401 of whole broth was filtered through cheesecloth to separate the broth supernatant and mycelia. The former was extracted with ethyl acetate, while the latter was extracted with acetone. The acetone extract was evaporated under reduced pressure to afford an aqueous solution, and then extracted with ethyl acetate. The two ethyl acetate extracts were combined and concentrated *in vacuo* to give a crude gum (55 g).

The crude gum (55 g) was subjected to silica gel column chromatography (chloroform–methanol, v/v, gradient) and the fraction 2 eluted with the solvent of chloroform–methanol (20 : 1) was subjected to repeating Sephadex LH-20 column chromatography (chloroform–methanol, 1:1). The subfractions 2-2-1, 2-2-7, 2-9-1, and 2-6-1 were further purified respectively by HPLC using a reversed-phase C18 column (70% MeOH, 65% MeOH, 70% MeOH, and 65% MeOH, 4.0 ml/min), to give compounds **1** (20 mg), **4** (30 mg), and **5** (10 mg); **2** (430 mg); **3** (12 mg) and **6** (30 mg); **7** (11 mg).

Acidic Transformation of Compound 3 Compound **3** (1 mg) was stirred with MeOH (500 μ l), H₂O (500 μ l), and AcOH (50 μ l) at 25 °C for 12 h. HPLC analysis revealed that compound **3** transformed into compound **1** (HPLC conditions: 75% MeOH/H₂O; 237 nm; 1 ml/min).

Acidic Hydrolysis of 4 and 7 Compound **4** (2.0 mg) was dissolved in 6 N HCl (1 ml) and the mixture was heated at 105° C for 12 h in a sealed tube. The solution was diluted with 5 ml of $H₂O$ and evaporated to dryness under reduced pressure. The residue was dissolved in 5 ml of H₂O and the solution was then analyzed by chiral HPLC (CROWNPAK $CR(+)$, Daicel Chemical, Japan): flow rate 0.8 ml/min; solvent, aqueous HClO₄ (pH=1.5); detection, 200 nm; temperature 30 °C. The retention time of hydrolysate was 16.71 min, while the retention times of D- and L-leucine were 7.29 and 16.71 min, respectively. By the same procedure except that the flow rate was 0.4 ml/min, the hydrolysate of compound **7** (2.0 mg) was compared with Dand DL-valine (hydralyzate, 7.52 min; D-valine, 6.20 min; L-valine, 7.52 min).

Brevicompanine D (3): Colorless powder (methanol), $[\alpha]_D^{17}$ -342.9° (*c*=0.50, MeOH), IR (KBr) cm⁻¹: 3214, 3081, 2959, 2872, 1668, 1604, 1487, 1434, 1315, 1263, 1221, 1148, 1072, 1008, UV λ_{max} (MeOH) nm $(\log \varepsilon)$: 301 (3.63), 248 (4.11), 209 (4.43), ¹H-NMR (CDCl₃, 600 MHz) and 13 C-NMR (CDCl₃, 150 MHz), see Tables 1 and 2, HR-ESI-MS m/z : 420.2253 [M-MeOH+H₂O+Na]⁺ (Calcd for C₂₃H₃₁N₃O₃Na: 420.2263).

Brevicompanine E (4): Colorless powder (methanol), $[\alpha]_D^{17}$ -140.0° (*c*=1.35, MeOH), IR (KBr) cm⁻¹: 3204, 3077, 2960, 2930, 2869, 1686, 1670, 1596, 1476, 1413, 1386, 1300, 1276, 1197, 1152, 1011, UV λ_{max} (MeOH) nm ($log \varepsilon$): 247 (4.02), 205 (4.44), ¹H-NMR (CDCl₃, 600 MHz) and ¹³C-NMR (CDCl₃, 150 MHz), see Tables 1 and 2, HR-ESI-MS m/z : 424.2583 [M+H]⁺ (Calcd for C₂₅H₃₄N₃O₃: 424.2600).

Brevicompanine F (5): Colorless powder (methanol), $[\alpha]_D^{17}$ -129.6° (*c*=0.60, MeOH), IR (KBr) cm⁻¹: 3207, 3080, 2963, 2868, 1687, 1671, 1601, 1476, 1409, 1387, 1326, 1301, 1276, 1239, 1197, 1152, 1012, UV λ_{max} (MeOH) nm (log ε): 247 (3.99), 205 (4.43), ¹H-NMR (CDCl₃, 600 MHz) and ¹³C-NMR (CDCl₃, 150 MHz), see Tables 1 and 2, HR-ESI-MS m/z : 438.2761 [M+H]⁺ (Calcd for C₂₆H₃₆N₃O₃: 438.2757).

Brevicompanine G (6): Colorless powder (methanol), $[\alpha]_D^{17}$ -164.0° (*c*=1.43, MeOH), IR (KBr) cm⁻¹: 3329, 3200, 3069, 2964, 2879, 1668, 1593, 1473, 1458, 1383, 1349, 1282, 1207, 1183, 1157, 1135, 1095, 1006, UV λ_{max} (MeOH) nm (log ε): 246 (4.02), 206 (4.43), ¹H-NMR (CDCl₃, 600 MHz) and ¹³C-NMR (CDCl₃, 150 MHz), see Tables 1 and 2, HR-ESI-MS m/z : 396.2284 [M+H]⁺ (Calcd for C₂₃H₃₀N₃O₃: 396.2287).

Brevicompanine H (7): Colorless powder (methanol), $[\alpha]_D^{17}$ -142.0° (*c*=0.50, MeOH), IR (KBr) cm⁻¹: 3337, 3202, 3080, 2968, 2737, 2878, 1681, 1598, 1476, 1460, 1413, 1289, 1243, 1199, 1150, 1006, UV λ_{max} (MeOH) nm ($log \varepsilon$): 247 (3.98), 206 (4.42), ¹H-NMR (CDCl₃, 600 MHz) and ¹³C-NMR (CDCl₃, 150 MHz), see Tables 1 and 2, HR-ESI-MS m/z : 410.2439 $[M+H]$ ⁺ (Calcd for C₂₄H₃₂N₃O₃: 410.2444).

Lipopolysaccharide (LPS)-Induced Inflammation Inhibition Assay Nitrite in culture supernatants, a stable by-product of NO, was measured by Griess reagent (iNtRON) according to the instructions provided by the manufacturer. Briefly, cells $(1 \times 10^5 \text{ cells per well})$ were seeded in 96-well microtiter plates and incubated for 24 h in growth medium under a humidified atmosphere of 5% CO₂ and 95% air at 37 °C. Cells were then pretreated with compounds at various concentrations in serum-free medium for 45 min. After pretreatment, LPS (0.1 μ g/ml) was added, and the cells were incubated for 16 h. After LPS exposure, duplicates of supernatants $(100 \,\mu\text{I})$ were collected and mixed with 50 μ l of Griess reagent. The absorbance was measured with a 595-nm filter by a microplate spectrophotometer. The concentration of nitrite released in the supernatants was calculated with the linear equation derived from the standard curve generated by known concentrations.

Acknowledgments This work was funded by the Chinese Ocean Mineral Resource R & D Association (DY105-2-04). Thank professor Linda J. Van Eldik of Northwestern University (Chicago, IL) for providing us BV2 cell lines.

References

- 1) Kusano M., Sotoma G., Koshino H., Uzawa J., Chijimatsu M., Fujioka S., Kawano T., Kimura Y., *J. Chem. Soc., Perkin Trans. 1*, **1998**, 2823—2826 (1998).
- 2) Kimura Y., Sawada A., Kuramata M., Kusano M., Fujioka S., Kawano T., Shimada A., *J. Nat. Prod.*, **68**, 237—239 (2005).
- 3) Sprogøe K., Manniche S., Larsenb T. O., Christophersen C., *Tetrahedron*, **61**, 8718—8721 (2005).
- 4) Matsumura K., Kitahara T., *Heterocycles*, **55**, 727—733 (2000).
- 5) Arai K., Kimura K., Mushiroda T., Yamamoto Y., *Chem. Pham. Bull.*, **37**, 2937—2939 (1989).
- 6) Aoki T., Kamisuki S., Kimoto M., Ohnishi K., Takakusagi Y., Kuramochi K., Takeda Y., Nakazaki A., Kuroiwa K., Ohuchi T., Sugawara F., Arai T., Kobayashi S., *Synlett*, **5**, 677—680 (2006).
- 7) Watanabe K., Sekine M., Takahashi H., Iguchi K., *J. Nat. Prod.*, **64**, 1421—1425 (2001).
- 8) Ishibashi F., Tanabe S., Oda T., Iwao M., *J. Nat. Prod.*, **65**, 500—504 (2002).
- 9) Gunasekera S. P., Kelly-Borges M., Longley R. E., *J. Nat. Prod.*, **59**, 161—162 (1996).
- 10) Iwagawa T., Kaneko M., Okamura H., Nakatani M., Soest R. W. M., *J. Nat. Prod.*, **61**, 1310—1312 (1998).
- 11) Wang W. L., Lu Z. Y., Tao H. W., Zhu T. J., Fang Y. C., Gu Q. Q., Zhu W. M., *J. Nat. Prod.*, **70**, 1558—1564 (2007).
- 12) Shiono Y., Akiyama K., Hayashi H., *Biosci. Biotechnol. Biochem.*, **63**, 1910—1920 (1999).
- 13) Block M. L., Zecca L., Hong J. S., *Nat. Rev. Neurosci.*, **8**, 57—69 (2007).