

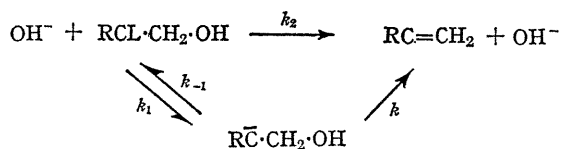
The Reaction of 9-Fluorenylmethanol with Base: a Carbanion Mechanism of β -Elimination

By R. A. MORE O'FERRALL*† and S. SLAE

(Physical Chemistry Laboratory, South Parks Road, Oxford, and The Illinois Institute of Technology, Chicago, Illinois 60616)

Summary In aqueous solution, base-catalysed β -elimination of 9-fluorenylmethanol is accompanied by reversible formation of a carbanion, which is shown to be an intermediate.

At 25°, in aqueous sodium hydroxide, β -elimination of 9-fluorenylmethanol (I), to form dibenzofulvene, occurs by an *ElcB* mechanism.¹ Rate constants for elimination, k_E ,



RCH₂ = Fluorene; (I-*h*) L = H; (I-*d*) L = ²H; (I-*t*) L = ³H

and for β -hydrogen exchange, k_x , were determined respectively by spectrophotometry and liquid scintillation

counting. In terms of the scheme, which combines the possibilities of elimination by both *ElcB* and *E2* mechanisms, $k_x = k_2 + k_1$ and $k_E = k_2 + \alpha k_1$, where $\alpha = k/(k + k_{-1})$. Two pieces of evidence indicate that a carbanion is formed in the course of reaction: (i) The rate of tritium exchange of (I-*t*) slightly exceeds that of elimination from (I-*h*); (ii) Elimination of (I-*h*) in D₂O, and of (I-*d*) in H₂O, showed kinetic induction periods which could be interpreted quantitatively in terms of isotopic exchange.

A kinetic analysis of the induction periods led to identification of the carbanion as a reactive intermediate. For the reaction of (I-*h*) in D₂O, the analysis yields the rate constants k_E^H and k_E^D for elimination of (I-*h*) and (I-*d*), and the rate constant k_x^H for exchange of (I-*h*), the isotopic form of the substrate initially present. In terms of the pseudo-first-order kinetic plots shown in the Figure, k_E^H and k_E^D clearly correspond to the initial and limiting slopes of the curve for D₂O. Although the origin of k_x^H is less easily seen, it is related, together with k_E^H and k_E^D , to the fraction of net elimination occurring prior to completion of exchange.

† Present address: Department of Chemistry, Rice University, Houston, Texas, 77001.

The mechanism of elimination can be determined by comparing the isotope effect upon elimination, k_E^H/k_E^D , in

effects, arising from the change in base from OH^- to OD^- , and in leaving group from OH to OD .

Rate constants^a for exchange and elimination in H_2O and D_2O at 25°

Solvent	$10^4 k_E^H$	$10^4 k_E^D$	$10^4 k_x$	k_E^H/k_E^D	$10^4 k_T^T$ (obs.)	$10^4 k_T^T$ (calc.)
D_2O	14.3	1.97	59.0 ^b	7.2	3.30	3.44
H_2O	1.81	0.37	6.0 ^c	5.1	2.23	2.9

^a All rate constants are second order with units $\text{l. mole}^{-1}\text{sec.}^{-1}$; ^b k_E^H ; ^c k_E^D .

the single solvent D_2O , with the solvent isotope effect upon elimination of (I-h) in H_2O and of (I-d) in D_2O . If the carbanion is not an intermediate, $k_2 \gg \alpha k_1$ and $k_E^H/k_E^D = k^H/k_2^D$, the primary isotope effect upon $E2$ elimination; while the solvent isotope effect comprises contributions, both from the primary effect k_2^H/k_2^D , and from secondary

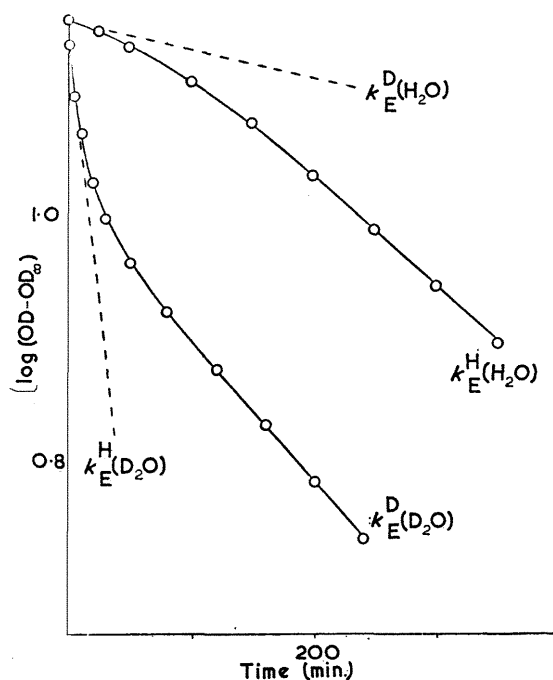
The experimental value for the primary isotope effect is shown in the Table; for D_2O , $k_E^H/k_E^D = 7.2$. The solvent isotope effect may be determined either directly, or from the limiting slopes of the plots for H_2O and D_2O shown in the Figure, and was found to be 0.92 ± 0.03 . Since secondary isotope effects are much smaller than large primary effects,² and in this instance probably compensating, we conclude that the observed solvent isotope effect is too small to incorporate a primary effect as large as 7.2 and, therefore, is inconsistent with an $E2$ mechanism. On the other hand, since reactions involving transfer of a proton in a pre-equilibrium step normally proceed faster in D_2O than in H_2O , it is quite consistent with an $ElcB$ mechanism.

Quantitative confirmation of this conclusion comes from an examination of the rate constants for hydrogen isotope exchange. For an $ElcB$ mechanism, $\alpha k_1 \gg k_2$ and since, for low substrate concentrations, α (the fraction of carbanion proceeding to form product) depends only upon the isotope in the solvent and not upon that in the substrate, in D_2O , $k_E^H/k_E^D = k_1^H/k_1^D$, the isotope effect upon ionization of (I). For this mechanism also, k_x^H , the rate constant for exchange of (I-h) in D_2O , may be identified with k_1^H , and use of the Swain Schaad relation³ between deuterium and tritium isotope effects, $k_H/k_T = (k_H/k_D)^{1.442}$, allows calculation of the independently measured rate constant for tritium exchange, k_T^T . As shown in the Table, for D_2O , excellent agreement between calculated and observed rate constants is obtained.

For the reaction of (I-d) in H_2O , agreement between the corresponding calculated and observed values of k_x^T is less satisfactory and a smaller value of k_E^H/k_E^D is obtained. These discrepancies are in part due to greater intrinsic errors in the measurements for H_2O , but may indicate the presence of a component of $E2$ elimination, with a low primary isotope effect, contributing significantly only to the slow reaction of (I-d) in H_2O .

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FIGURE

Plots of $\log(\text{OD}-\text{OD}_\infty)$ vs. time for reactions of isotopically substituted 9-fluorenylmethanol (I) with sodium hydroxide in H_2O and D_2O . Upper curve (I-h) in H_2O ; lower curve, (I-d) in D_2O . Base concentrations are approximately the same. OD denotes optical density of the product.

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² For secondary solvent isotope effects upon $E2$ elimination, see L. J. Steffa and E. R. Thornton, *J. Amer. Chem. Soc.*, 1967, **89**, 6149.

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