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## Photoelectron Spectroscopic Evidence concerning Ground-state Through- $\sigma$ -bond Interaction in the 1,3-Diaza-adamantan-6-one System

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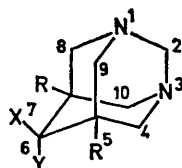
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**Summary** Photoelectron spectroscopic and INDO calculation studies on the 1,3-diaza-adamantan-6-one system are reported; the results clearly indicate through- $\sigma$ -bond interactions between the nitrogen lone pairs and the carbonyl  $\pi$ -system.

APPLICATIONS of photoelectron spectroscopy (PES) to the study of ground-state interaction between formally non-conjugated  $\pi$ - or  $n$ -electron system have been reported.<sup>1-3</sup> We report our results of a PES study on the 1,3-diaza-adamantan-6-one system, in which the lone electron pair on nitrogen and the  $\pi$ -orbitals of the C=O group are postulated to interact with the same C-C bond.



- (1) **a**; R = Ph, X, Y = O,   **b**; R = H, X, Y = O.  
(2) **a**; R = Ph, X = Y = H, **b**; R = H, X = Y = H.  
(3) R = Ph, X = H, Y = OH.

The measured vertical ionization potentials (IP's) of (**1a**), (**2a**), and (**3**) are given in the Table. IP<sub>1</sub> and IP<sub>2</sub> of (**2a**) at 7.54 and 8.60 eV are assignable to ionizations from the two nitrogen nonbonding levels,  $n_N(A)$  and  $n_N(S)$ † respectively.

†  $A$  and  $S$  designate symmetry with respect to a plane of symmetry ( $xz$  plane in the Figure), (**1**) and (**2**) have  $C_{2v}$  symmetry.

The  $S$  below  $A$  ordering is predictable by considering the rigid arrangement of the lobes of nitrogen lone pair and N-C-N  $\sigma$ -bonds.<sup>1</sup> Our INDO calculation results on (**2b**) indicates that the  $S$  level comes 0.63 eV below  $A$  [the observed value for (**2a**) is 1.06 eV]. IP<sub>3</sub> at 9.15 eV is assigned to ionization from the highest occupied  $\pi$ -level of the phenyl ring.<sup>7</sup> IP<sub>1</sub> and IP<sub>3</sub> of (**1a**) at 7.87 and 8.75 eV

TABLE. Vertical ionization potentials (eV)<sup>a</sup>

|               | IP <sub>1</sub> | IP <sub>2</sub> | IP <sub>3</sub> | IP <sub>4</sub> |
|---------------|-----------------|-----------------|-----------------|-----------------|
| ( <b>1a</b> ) | 7.87            | 8.44            | 8.75            | 8.98            |
| ( <b>2a</b> ) | 7.54            | 8.60            | 9.15            |                 |
| ( <b>3</b> )  | 7.51            | 8.70            | 9.13            | 10.27           |

<sup>a</sup>  $\pm$  0.03; from He-I (584 Å) PE spectra measured on JASCO PE-3 spectrometer.

are assigned to the  $n_N(A)$  and  $n_N(S)$  levels respectively. IP<sub>2</sub> (8.44 eV) is assigned to an oxygen nonbonding level.<sup>8</sup> IP<sub>4</sub> at 8.98 eV is assignable to ionization from the  $\pi$ -level of the phenyl group. Calculations on (**1b**) indicate  $n_N(A) < n_O < n_N(S)$ . Similarly, IP<sub>1</sub> (7.51 eV) and IP<sub>2</sub> (8.70 eV) of (**3**) are assigned to the  $n_N(A)$  and  $n_N(S)$  levels, and IP<sub>3</sub> to the  $\pi$ -level of phenyl. IP<sub>4</sub> (10.27) appeared as a sharp band and is assigned to the oxygen nonbonding level of alcohol.<sup>8</sup>

Comparison of the IP's of (**1a**) and (**2a**) indicates stabilization of the  $n_N$  levels of (**1a**); 0.33 eV for  $n_N(A)$  and 0.15 eV for  $n_N(S)$  respectively [the calculated stabilization between (**1b**) and (**2b**) is 0.36 and 0.50 eV for  $n_N(A)$  and  $n_N(S)$ ]

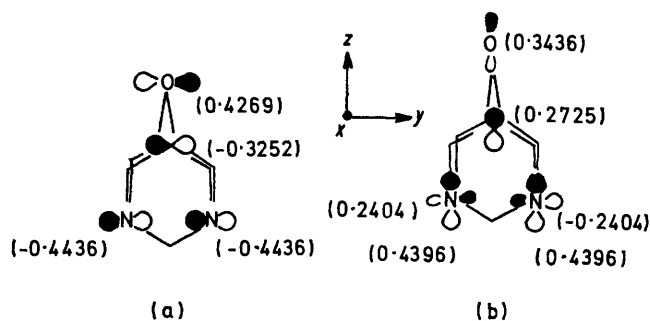


FIGURE. The  $n_N(A)$  (a) and  $n_N(S)$  (b) orbitals of **(1b)**. The directions of nitrogen partial atomic dipoles of the above two MO's are outside the cage. The coefficients of atomic orbitals are given in parentheses.

respectively]. This stabilization is due to the presence of the C=O group and must be the through-bond type because of the impossibility of direct orbital overlap. From the INDO calculation results on **(1b)**,  $n_N(A)$ , and  $n_N(S)$  the orbitals can be pictured as in the Figure. The highest occupied  $n_N(A)$  shows electron delocalization between  $Np_y$ ,  $C_s p_y$ ,  $O p_y$ . The electron density of  $n_N(A)$  of **(1b)** is 0.46 compared with 0.56 for  $n_N(A)$  of **(2b)**,  $n_N(S)$  of **(1b)** is 0.52 while  $n_N(S)$  of **(2b)** is 0.57.

The PES results and the INDO calculations on **(1)**—**(3)** indicate through- $\sigma$ -bond interaction between the N lone pair and the C=O  $\pi$ -orbital of **(1)** at the ground state, assuming Koopmans' theorem to be valid.

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