Formation of Three-membered Rings from y-lodoketones and y-lodoesters via

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 γ -lodoketones, prepared by ene-halogenocyclization of α -haloketones with Pd(PPh₃)₄, on treatment with diazabicyclo[5.4.0]undec-7-ene afford three-membered ring compounds in good yield *via syn*-1,3-elimination, presumably *via* W-shaped transition states.

The formation of three-membered rings via 1,3-elimination¹ is an important process in organic synthesis. From the results reported to date it appears that base-initiated 1,3-elimination proceeds by a two-step rather than a one-step mechanism and that there is no dominant stereochemical pattern.² During the course of our study of ene-halogenocyclization,³ we have found that the formation of three-membered rings proceeds via syn-1,3-elimination, presumably via a W-shaped transition state.¹

1,3-Elimination

When γ -iodoketones (2a), (2b), (3a), and (3b), obtained by ene-halogenocyclization³ of α -iodoketone (1) using Pd(PPh₃)₄,[†] were treated with base,^{3b} the results were of interest in connection with 1,3-elimination (Scheme 1).

Compound (2a) was allowed to react with 1,8diazabicyclo[5.4.0]undec-7-ene (DBU) (benzene, room temp., 5 min) to produce alkenes (4a) and (4b) in 93% yield [(4a): (4b) = 1:1], but compound (2b) afforded a product (5) containing a fused three-membered ring when treated in a similar manner for 1 h (90%). However, when compound (2a) was treated with Bu^tOK in Bu^tOH for 1 h it also produced (5) in 50% yield along with recovered starting material (33%). In a similar treatment of compound (2b) with Bu^tOK-Bu^tOH, the same product (5) was obtained in 77% yield after only 5 min. The same product (5) was obtained from compounds (3a) and (3b) on treatment with DBU but (3a) reacted within 5 min while (3b) required 1 h. The formation of a three-membered ring from compound (2a) on treatment with ButOK-ButOH indicates that 1,3-elimination has occurred after epimerization of the carbanion generated on the α -carbon of (2a). However, on treatment with DBU if 1,2-elimination in compound (2a) or 1,3-elimination in compound (2b) proceeds via the freely convertible carbanion or the enolate anion, the formation of different products cannot be explained. Furthermore, the

[†] A solution of α-iodoketone (1) and Pd(PPh₃)₄ (10 mol%) in the presence of isobutylene oxide⁴ in dioxane was stirred at room temperature for 10 min to afford six-membered products (2a) (17% yield) and (2b) (17%), and seven-membered products (3a) (14%) and (3b) (23%), but the stereochemistry of these compounds could not be determined from their ¹H n.m.r. spectra.



Scheme 1. Reagents: i, Pd(PPh₃)₄; ii, DBU, benzene, room temp., 5 min; iii, Bu¹OK, Bu¹OH, 1 h; iv, DBU, benzene, 1 h; v, Bu¹OK, Bu¹OH, 5 min.

difference in the rate of 1,3-elimination for compounds (3a) and (3b) on treatment with DBU also cannot be explained because the rates of enolate formation for (3a) and (3b) are considered to be almost the same. X-ray analysis‡ shows that

‡ Crystal Data for (**2a**): C₁₅H₂₀NO₃SI, M = 421.30, monoclinic, space group P2₁/c, a = 14.146(3), b = 5.441(1), c = 23.908(4) Å, $\beta = 109.601(8)^\circ$, U = 1899.4(5) Å³, Z = 4.

For (**3b**): $C_{15}H_{20}NO_3SI$, M = 421.30, triclinic, space group $P\overline{1}$, a = 11.775(1), b = 13.559(1), c = 5.268(3) Å, $\alpha = 97.167(5)$, $\beta = 92.519(5)$, $\gamma = 94.844(6)^\circ$, U = 830.4(1) Å³, Z = 2.

For (11): $C_{15}H_{15}N_2O_6I$, M = 446.20, triclinic, space group $P\overline{I}$, a = 7.944(2), b = 15.908(6), c = 6.860(1) Å, $\alpha = 90.34(2)$, $\beta = 88.50(2)$, $\gamma = 102.63(3)^\circ$, U = 845.7(4) Å³, Z = 2.

Suitable crystals were obtained from n-hexane-ethyl acetate for (2a) and (3b) and from n-hexane-methyl ethyl ketone for (11). Intensity data for both crystals were measured on an automated Rigaku AFC-5 diffractometer using graphite-monochromated Cu- K_{α} radiation ($\lambda = 1.5418$ Å). 2961 Reflections for (2a), 2816 for (3b), and 2880 for (11) were measured, of which 2502, 2645, and 2484, respectively, were judged significant ($|F_o| = 2.67 \sigma |F_o|$). The structures were solved by Patterson and difference Fourier methods and refined by block-diagonal least-squares with anisotropic ones for all hydrogen atoms. Final *R* values were 0.048, 0.068, and 0.068 for (2a), (3b), and (11), respectively.

Atomic co-ordinates, bond lengths and angles, and thermal parameters have been deposited at the Cambridge Crystallographic Data Centre. See Notice to Authors, Issue No. 1.



Scheme 2. Reagents: i, DBU, benzene, room temp., 5 min; ii, DBU, benzene, 48 h (X = O) or 24 h (X = C).

the iodomethyl and acetyl substituents of (2a) and the iodo and acetyl substituents of (3a) are *trans* to each other. Since the proton and the iodomethyl group of the *cis*-compound (2b)can easily adopt the W-shaped transition state for 1,3-elimination,¹ the carbanion generated from compound (2b) with DBU will attack the iodo group to form the three-membered ring prior to epimerization. However, since the proton and the leaving group in compound (2a) cannot adopt the W-shaped geometry without epimerization, 1,2-elimination to give (4a)will be favourable.§ On the other hand, since the proton and the iodo group of compound (3a) can adopt the W-shaped geometry, three-membered ring formation proceeds rapidly, but the formation of a three-membered ring from (3b) can proceed only after epimerization with DBU or conversion into the unstable W-shaped conformation.

We have already demonstrated a synthetic route to oxa- and carba-homocephams using ene-halogenocyclization of α -halgenoesters in the presence of Pd(PPh₃)₄.⁵ When oxa- and carba-homocephams (**6a**,**b**) and (**7a**,**b**) were converted into oxa- and carba-cephams (**9a**,**b**) and (**10a**,**b**) respectively, by treatment with DBU (Scheme 2), similar results were

[§] An intimate ion pair was generated from compounds (2a) and (2b) on treatment with DBU in benzene, which prevented epimerization, but the separated anions generated from (2a) or (2b) by treatment with Bu^tOK in Bu^tOH had more opportunity to epimerize.^{2a}

obtained with respect to 1,3-elimination.⁸ Since the proton and the iodo group of the *trans*-substituted (**6a**) and (**7a**) and easily form the W-shaped transition state, the treatment of (**6a**) and (**7a**) gave the three-membered ring at once (5 min). However, formation of (**9b**) and (**10b**) required a long reaction time (24 and 48 h, respectively), because the necessary W-shaped geometry for 1,3-elimination can be adopted only after epimerization or change in conformation.**

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¶ X-ray analysis‡ of oxahomocepham (11) showed that the iodo and acetyl substituents were *cis*. Comparison of their 1 H-n.m.r. spectra showed the stereochemistry of (6b) to be the same as that of compound (11).

** Conversion of oxa- and carba-homocephams (6b) and (7b) to oxaand carba-cephams (9b) and (10b) required a longer reaction time than the conversion of (3b) into (5) because the seven-membered ring is fused to an azetidinone ring, which inhibits isomerization and adoption of the highly strained W-shaped configuration. Shionogi and Co., Ltd and Professor W. Tagaki, Faculty of Engineering, Osaka City University, who suggested the 1,3-elimination mechanism.

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^{||} Oxahomocepham (6b) was treated with DBU for 48 h to afford oxacepham (9b) (80%). However, the other oxacepham (9a) was obtained from (6a) after only 5 min (85%). Carbahomocephams (7a) and (7b), obtained as an inseparable mixture, were treated with DBU (5 min) to give carbacepham (10a) (55%) and recovered (unreacted) (7b) (45%), which was treated again with DBU for 24 h to afford the other carbacepham (10b) (79%).