Self-association of a 1,3,4-oxadiazole-containing dendrimer

Arno Kraft

Department of Organic Chemistry and Macromolecular Chemistry II, Heinrich Heine University of Düsseldorf, Universitätsstr. 1, D-40225 Düsseldorf, Federal Republic of Germany

A first-generation dendrimer, which consists of electron-deficient benzene and oxadiazole rings, forms π -stacks in solution as evidenced by NMR and vapour-pressure osmometry

Electron-transporting materials are of general interest because of their potential applications in electrophotography (photocopiers, laser printers)1 and, increasingly so in recent years, in electroluminescent devices based on organic fluorescent dyes and polymers.² 1,3,4-Oxadiazole derivatives in particular, for example 1^{2a} (formally a zeroth-generation dendrimer),³ have been used in light-emitting diodes. Charge transport through thin (<100 nm) layers of such materials occurs predominantly by a hopping mechanism. The multiple branches of a dendrimer increase the probability that charges may find an energetically favoured pathway when hopping from one molecule to another. Electron-transporting dendrimers are therefore estimated to be superior to comparable linear oligomers/polymers.⁴ This theoretical prediction may now be experimentally verified with 8, the first and simplest oxadiazole-containing dendrimer. Aryl iodide 3 is the starting material for the synthesis of 8 and can be prepared in three steps from 5-iodoisophthalic acid⁵ (Scheme 1). The tert-butyl substituents are essential for good solubility of 3 (and later of 8) in, e.g. chloroform. Furthermore, they also simplify the interpretation of ¹H NMR spectra, which makes it easier to detect impurities.

The key step in the synthesis of 8 (Scheme 2) is a palladiumcatalysed carbonylation, a reaction used only once before for the preparation of polyhydrazides.⁶ Precursor **6** is thus formed in a single step from **3**, 1,3,5-benzenetricarboxylic acid trihydrazide 4⁷ Band carbon monoxide with *N*-methylpyrrolidone (NMP) as solvent, 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU) as base and palladium(II) chloride– $Ph_2P(m-C_6H_4SO_3Na)$ **5** as catalyst.^{8†} The seven-component reaction proceeds in remarkably good yields (80–90% after filtration of the crude product over silica gel). Carboxylate **7** is the sole major by-product (8–15% based on **3**) and is formed from **3**, CO, DBU and traces of moisture.

Despite the good solubility of 6 in CDCl₃ or C_6D_6 its ¹H NMR spectra in these solvents show broad and structureless signals for aromatic as well as tert-butyl protons. As the lineshape is independent of the NMR frequency, dynamic processes can be ruled out. Self-association caused by intermolecular hydrogen bonding remains therefore the most likely cause. Sharp signals are finally observed when the NMR spectrum is run in (CD₃)₂SO.⁹ Chromatographic purification of 6 is hampered by the fact that on silica gel (with dichloromethane-methanol as eluent) the colourless 6 partially converts to a yellow mixture of compounds. An explanation might be the formation of an additional $-C(OH)=N-N=C(OH)-\pi$ system through tautomerisation of a former hydrazide -C(=O)-NH-NH–C(=O)– group.¹⁰ The process is reversible, and 6 can be regained upon addition of a drop of hydrochloric acid. The triple ring closure, which is necessary for the conversion of 6 into 8, cannot be achieved with phosphoryl chloride. This (as has been noted occasionally in the preparation of 3 from 2) is caused by traces of NMP that can be identified by NMR even after excessive purification of 6. Chlorosulfonic acid proves to be a better choice provided that the reaction temperature is kept well below 100 °C because otherwise tert-butyl groups are cleaved off. The purification of 8 proceeds smoothly and involves





Scheme 1 Reagents and conditions: i, (COCl)₂, DMF, toluene, 60 °C, 3 h, 85–88%; ii, 4-tert-butylbenzhydrazide (2 equiv.), NMP, 2 d, 20 °C, 83–96%; iii, POCl₃, 115 °C, 2 h, 72–87%



Scheme 2 Reagents and conditions: i, 3 (3 equiv.), $PdCl_2$ (0.09 equiv.), 5 (0.27 equiv.), DBU (3.6 equiv.), NMP, CO, 100 °C, 1–2 d, 80–90%; ii, ClSO₃H, 40 °C, 2–3 d, 10–31%. The protons of 8 are marked A–F for signal assignment.

column chromatography and recrystallisation from chloro-form.‡

The ¹H NMR spectra of **8** in CDCl₃ show characteristic signals at $\delta \approx 9$ for the protons at the trisubstituted benzene rings, the singlet at lowest field being assigned to the protons (H_A) of the core (Fig. 1). A closer look reveals that the chemical shifts of the aromatic protons show a surprising dependence on concentration, temperature and solvent. Especially the signal of the H_A protons varies from $\delta_{\rm H}$ 9.30 to $\delta_{\rm H}$ 8.91 (in CDCl₃) or even to $\delta_{\rm H}$ 8.33 (in CH₂Cl₂) when the concentration of **8** is increased from 10⁻³ to 10⁻¹ mol dm⁻³. At the same time linewidths increase notably. Up-field shift and signal broad-



Fig. 1 ¹H NMR spectrum (300 MHz) of 8 in $CDCl_3$ (0.015 mol dm⁻³). Solvent and impurities (acetone, water) are marked by X.

ening are considered as strong evidence for self-association of the dendrimer by π - π interactions in solution.¹¹ This tendency towards π -stacking seems to be a consequence of the accumulation of electron-deficient (hetero)aromatic π -systems in dendrimer **8**.¹²

With the assumption of an equilibrium between dimer (8)₂ and free 8, computer simulations allowed dimerisation constants (K_D) to be estimated.¹³ Association is weak in chloroform ($K_D = 1.4 \text{ dm}^3 \text{ mol}^{-1}$), noticeable in dichloromethane ($K_D =$ 10 dm³ mol⁻¹) and stronger in pyridine ($K_D > 30 \text{ dm}^3 \text{ mol}^{-1}$). In aromatic solvents such as C₆D₆ or [²H₈]toluene all ¹H NMR signals of 8 are dramatically broadened and shifted to higher field. These apparently extended aggregates can be broken up by raising the temperature (to 80 °C), resulting in sharp NMR signals and chemical shifts close to those in CDCl₃ solutions. It has to be emphasised that the ¹H NMR signals of model compound 1 show only a negligible chemical shift dependence ($\Delta\delta < 0.1$). Furthermore, no comparable behaviour has been reported for literature-known aromatic dendrimers.¹⁴

Vapour-pressure osmometry in CH_2Cl_2 at 25 °C independently confirms the self-association of 8. The deviation from ideal behaviour (as compared to 1 or benzil as standard) is larger and the number of osmotically active molecules is smaller than expected for a non-associating system. Calculations indicate that trimers and tetramers are present besides dimers at higher concentration.

MALDI-TOF (matrix-assisted laser desorption ionisation and time-of-flight) mass spectra of **8** are in accordance with these investigations and show, apart from the molecular-ion peak, quite intense peaks for both dimer and trimer. This is the first hint that π -stacking also plays a role in solid **8**. Implications for electron-transport properties will soon be tested. Syntheses and investigations of further 1,3,4-oxadiazole-containing highly-branched compounds are in progress.

I thank the Fonds der Chemischen Industrie for a Liebig fellowship (1993–1994), Professor G. Wulff for generous support, Professor J. Moser and Mr D. Kirsch for MALDI-TOF-MS measurements and Ms H. Fürtges for help in the preparation of starting materials.

Footnotes

 \dagger Water-soluble triphenylphosphane derivative 5 was easily removed by aqueous work-up. The tendency of 6 to contain impurities complicated any chromatographic removal of triphenylphosphane, which is usually employed in Pd-catalysed carbonylations.

‡ All compounds were characterised by microanalysis (6 as monohydrate), IR, NMR and MS.

Selected data for 3: mp 220–221 °C; ¹H NMR (300 MHz, CDCl₃): δ 1.39 (s, CH₃), 7.57, 8.10 (AA'XX', C₆H₄), 8.63 (d, J 1.5 Hz, 2 H), 8.81 (t, 1 H, C₆H₃); MS (CI, NH₃, negative ions): *m*/z (%) 604 (M⁻, 39), 477 (M⁻ – I, 19), 127 (I⁻, 100); *R*_f(SiO₂, hexane–ethyl acetate, 2:1) 0.35; *R*_f(dichloromethane–methanol, 9:1) 0.85.

For **6**: mp 267–270 °C; ¹H NMR [300 MHz, $(CD_3)_2SO$]: δ 1.35 (s, CH₃), 7.68, 8.14 (AA'XX', C₆H₄), 8.82 [s, 3 H, C₆H₃(CO)₃], 8.91 (d, *J* 1.6 Hz, 6 H), 8.92 (t, 3 H, C₆H₃), 11.23 (br s, 3 H, NH), 11.38 (br s, 3 H, NH); ¹³C NMR [75 MHz, $(CD_3)_2SO$]: δ 30.7 (CH₃), 34.8 [*C*(CH₃)₃], 126.1, 126.7 (C₆H₄), 128.1, 130.0 (br) (C₆H₃, 1 signal missing), 120.2, 125.0, 133.4, 134.4, 155.2, 162.4, 163.5, 164.5, 164.9 (*ipso*-C, C=O); IR (KBr): v 3450 m (br), 3240s (br), 2950s, 1650s (br), 1250s (br), 1110s, 1010s, 840s, 720s; MALDI-TOF-MS (2,5-dihydroxybenzoic acid matrix): m/z 1767 (M + H⁺), 1247; $R_{\rm f}$ (ethyl acetate) 0.82; $R_{\rm f}$ (dichloromethane–methanol, 9:1) 0.30.

For **8**: DSC (heating rate 10 K min⁻¹) T_{cryst} 264 °C, mp 396 °C (decomp.); ¹H NMR (300 MHz, CDCl₃, 0.011 mol dm⁻³): δ 1.38 (s, H_F), 7.55, 8.08 (AA'XX', H_D and H_E), 9.02 (t, *J* 1.5 Hz, 3 H, H_C), 9.08 (d, 6 H, H_B), 9.24 (s, 3 H, H_A); ¹³C NMR (75 MHz, CDCl₃, 0.059 mol dm⁻³): δ 31.1 (CH₃), 35.1 [*C*(CH₃)₃], 126.1, 126.9 (C₆H₄), 127.0, 127.1, 127.9 (C₆H₃), 120.2, 125.4, 125.9, 126.3, 155.8, 162.1, 163.1, 163.5, 165.3 (*ipso*-C); IR (KBr): v 3060w, 2950s, 1610s, 1545s, 1490s, 1265m, 1240m, 1110m, 840s, 800s, 780s, 720s; UV (CH₂Cl₂): λ_{max} 298 nm (ε 246000 dm³ mol⁻¹ cm⁻¹); MALDI-TOF-MS: *m*/z 1713 (100%, M + H⁺), 3427 (14%, M₂⁺), 5138 (7%, M₃⁺); *R*_f(ethyl acetate) 0.0; *R*_f(dichloromethane–ethanol, 100:3) 0.17. The molar mass was measured by vapour-pressure osmometry in CHCl₃ at 40 °C at a concentration range low enough to exclude self-association: 1650 g mol⁻¹ (against benzil), 1740 g mol⁻¹ (against 1 as standard).

References

- Recent examples of electron-transporting materials of low molecular weight: M. R. Detty, R. S. Eachus, J. A. Sinicropi, J. R. Lenhard, M. McMillan, A. M. Lanzafame, H. R. Luss, R. Young and J. E. Eilers, *J. Org. Chem.*, 1995, **60**, 1674; J. Rommens, M. Van der Auweraer, F. C. De Schryver and P. M. Borsenberger, *Adv. Mater.*, 1995, **7**, 574.
- 2 Application of 1,3,4-oxadiazole derivatives in electroluminescent devices: (a) K. Naito and A. Miura, J. Phys. Chem., 1993, 97, 6240; (b) A. R. Brown, D. D. C. Bradley, J. H. Burroughes, R. H. Friend, N. C. Greenham, P. L. Burn, A. B. Holmes and A. Kraft, Appl. Phys. Lett., 1992, 61, 2793; (c) Y. Hamada, C. Adachi, T. Tsutsui and S. Saito, Jpn. J. Appl. Phys., 1992, 31, 1812.
- 3 Reviews on dendrimers, starburst polymers and cascade molecules:
 G. R. Newkome, C. N. Moorefield and G. R. Baker, Aldrichim. Acta, 1992, 25, 31; D. A. Tomalia and H. D. Durst, Top. Curr. Chem., 1993, 165, 193; J. M. J. Fréchet, Science, 1994, 263, 1710; J. Issberner, R. Moors and F. Vögtle, Angew. Chem., Int. Ed. Engl., 1994, 33, 2413; B. I. Voit, Acta Polym., 1995, 46, 87.

- 4 S. M. Risser, D. N. Beratan and J. N. Onuchic, J. Phys. Chem., 1993, 97, 4523.
- 5 A. Grahl, Ber. Dtsch. Chem. Ges., 1895, 28, 84.
- 6 M. Yoneyama, M. Kakimoto and Y. Imai, *Macromolecules*, 1989, 22, 4152.
- 7 T. Curtius, J. Prakt. Chem., 1915, 91, 86.
- 8 S. Ahrland, J. Chatt, N. R. Davies and A. A. Williams, J. Chem. Soc., 1958, 276.
- 9 Cf. a similar case: C. T. Seto and G. M. Whitesides, J. Am. Chem. Soc., 1993, 115, 905.
- 10 Polyhydrazides behave similarly: B. Gebben, E. Rolevink, M. H. V. Mulder and C. A. Smolders, J. Polym. Sci., Polym. Chem., 1989, 27, 4129.
- 11 So far, aggregation in dendrimers was noted only for polystyrenedendrimer amphiphiles and ionic dendrimers based on rutheniumbipyridine complexes or imide radical anions: J. C. M. van Hest, D. A. P. Delnoye, M. W. P. L. Baars, M. H. P. van Genderen and E. W. Meijer, *Science*, 1995, 268, 1592; S. Campagna, A. Giannetto, S. Serroni, G. Denti, S. Trusso, F. Mallamace and N. Micali, *J. Am. Chem. Soc.*, 1995, 115, 1754; L. L. Miller, T. Hashimoto, I. Tabakovic, D. R. Swanson and D. A. Tomalia, *Chem. Mater.*, 1995, 7, 9; a hyperbranched polymer with a highly-ordered liquid-crystalline phase has been reported: V. Percec, P. Chu and M. Kawasumi, *Macromolecules*, 1994, 27, 4441.
- 12 Attractive π-interactions occur not only between acceptor and donor substituted π-systems but also between two electron-poor aromatic compounds such as porphyrins: C. A. Hunter and J. K. M. Sanders, J. Am. Chem. Soc., 1990, **112**, 5525; hexa(phenylacetylene) macrocycles: J. Zhang and J. S. Moore, J. Am. Chem. Soc., 1992, **114**, 9701; 1,8-diaryInaphthalenes: F. Cozzi, M. Cinquini, R. Annuziata and J. S. Siegel, J. Am. Chem. Soc., 1993, **115**, 5330.
- 13 M. Saunders and J. B. Hyne, J. Chem. Phys., 1958, 29, 1319; I. Horman and B. Dreux, Helv. Chim. Acta, 1984, 67, 754.
- 14 T. M. Miller, T. X. Neenan, R. Zayas and H. E. Bair, J. Am. Chem. Soc., 1992, 114, 1018; Z. Xu and J. S. Moore, Angew. Chem., Int. Ed. Engl., 1993, 32, 1354.

Received, 13th September 1995; Com. 5/06042G