## Asymmetric aldol reaction of 2-cyanopropionates catalysed by *trans*-chelating chiral diphosphine ligand TRAP–rhodium(I) complex

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*trans*-Chelating chiral diphosphine TRAP ligands bearing *P*-aromatic groups are effective for Rh<sup>I</sup>-catalysed asymmetric aldol reaction of 2-cyanopropionates with an aldehyde to give the corresponding aldol adduct with up to 93% ee.

The asymmetric aldol reaction provides a most useful tool for stereoselective construction of  $\alpha$ -substituted  $\beta$ -hydroxy carbonyl units with vicinal chiral centres, and is widely used in the synthesis of complex organic molecules.<sup>1</sup> Recently, it was found that some low-valent transition metal complexes catalyse aldol and Michael reactions with cyano compounds having active  $\alpha$ -methylene groups,<sup>2</sup> and we developed a highly enantioselective Michael reaction of 2-cyanopropionates catalysed by a rhodium(I) complex coordinated with *trans*-chelating chiral diphosphine TRAP (1).<sup>3–6</sup> The transition metal



catalysed reactions involve enolate intermediates of 2-cyanopropionates coordinating to the metal atom through the cyano nitrogen, which then react directly with electrophiles.<sup>2</sup> Herein, we report the catalytic asymmetric aldol reaction of 2-cyanopropionates **2** using a TRAP–Rh<sup>1</sup> complex.

Asymmetric aldol reactions of 2 with paraformaldehyde (10 wt% in water) were carried out with a rhodium(I) catalyst generated in situ from Rh(acac)(CO)2 and (S,S)-(R,R)-PhTRAP 1a (Table 1).<sup>‡</sup> The enantioselectivity of the asymmetric aldol reaction was heavily dependant upon reaction solvent. Bu<sub>2</sub>O was the solvent of choice.§ Bulky ester groups of 2 are essential to attain high enantioselectivities for the aldol reactions (entries 1-5). 2-Cyanopropionates 2d and 2e bearing bulky secondary alkyl ester group gave aldol adducts (S)-3d and (S)-3e in 91 and 93% ee, respectively. Surprisingly, use of formalin hardly affected the enantiopurity of 3d (93% ee). The enantioselectivity of the aldol reaction of 2d was slightly increased by using 1b, which has electron-donating aromatic groups attached to the phosphorus atoms (entry 6), while electron-withdrawing substituents on the P-aromatics group gave lower enantioselectivity and reactivity (entry 7). Conceivably, the P-aromatic substituents of TRAP play an important role in the enantioface selection of enolate of 2 coordinated to the rhodium atom, because ligands 1d and 1e with P-aliphatic substituents showed lower enantioselectivities (entries 8 and 9).

Other aldehydes **4a–d** were subjected to asymmetric aldol reaction with (S,S)-(R,R)-PhTRAP–Rh<sup>I</sup> catalyst (Table 2). The aldol reactions of ethyl ester **2b** and isopropyl ester **2c** with acetaldehyde **4a** resulted in not only low enantioselectivities but also low diastereoselectivities (entries 1 and 2). However, the use of **2d** gave *anti-(2S,3S)*-**7a** (86% ee) with good *anti-*

selectivity (*anti*: syn = 81:19) (entry 3). The aldol reaction of **2d** with **4b** proceeded, but with lower stereoselectivity (entry 4). Benzaldehyde **4c** did not react at all (entry 5). However, aldehyde **4d** smoothly reacted with **2d** giving a mixture of *anti*-(2*S*,3*R*)-**7d** (91% ee) and *syn*-(2*S*,3*S*)-**7d** (63% ee) in a ratio of 68:32 (entry 6).

The observed stereochemistry at the 2-position of the aldol products suggests that (S,S)-(R,R)-PhTRAP on the catalyst can differentiate between the steric bulkiness of the  $\alpha$ -Me and ester substituents of 2, with one of the P-phenyl substituents blocking the approach of the aldehyde to the si-face of the enolate coordinated to the rhodium atom.<sup>4</sup> The preferential formation of anti-7 in the aldol reactions of 2d with 4 may suggest that this reaction proceeded through antiperiplanar transition state **TS1**. which avoids the steric repulsion between the aldehyde substituent (R) and the bulky CHPri<sub>2</sub> ester (Fig. 1). The lack of diasereoselectivity in the reactions with 2b and 2c may be due to the lesser steric repulsion between the R and ester groups, which does not produce any enantioface selection by the aldehyde. Synclinal transition state TS2 giving an anti-aldol would be sterically unfavourable due to the steric interaction between R and one of P-phenyl groups of 1a.

In conclusion, we have accomplished the highly enantioselective aldol reaction of 2 with some aldehydes. Further studies are currently in progress to improve the catalyst's efficiency and to widen its applicability to a variety of aldehydes.

Table 1 Asymmetric aldol reaction of 2 with formal dehyde catalysed by 1–Rh<sup>1</sup> complex<sup> $\alpha$ </sup>

нсно	+	$ \begin{array}{c}       0 \\       MC \\       Me \\       Ca R = Me \\       b R = Et \\       c R = Pr^{i} \\       d R = CHPr^{i}_{r}.       $	Rh(aca ( <i>S</i> , <i>S</i> )-( <i>R</i> , <i>R</i> ) Bu <sub>2</sub> O	c)(CO) <sub>2</sub> - <b>1</b> (1 mol -H <sub>2</sub> O	<sup>%)</sup> HO Me Me 3a R = b R = c R = d R =	HO $Me^{i}$ CN 3a R = Me b R = Et $c R = Pr^{i}$ $d P = CHPr^{i}$		
		$\mathbf{e} \mathbf{R} = \mathbf{CHBu}_2^t$			<b>e</b> R =	= CHBu <sup>t</sup> <sub>2</sub>		
					Products 3			
Entry	2	TRAP $(1)^b$	<i>T</i> /°C	t/h	Yield (%) <sup>c</sup>	Ee (%) <sup><i>d,e</i></sup>		
1 2 3 4 5 6 7	2a 2b 2d 2d 2d 2d 2d 2d 2d 2d	1 1a 1 1a 1 1a 1 1a 1 1a 1 1b 1 1c	$-30 \\ -30 \\ -10 $	100 42 90 24 24 24 24 24	67 85 86 82 86 87 44	35 74 (-) 78 (-) 91 (-) 93 (-) 92 (-) 74 (-)		
8 9	20 20 20	l 1d l 1e	-10 -10 -10	24 24 24	58 86	3 (-) 22 (-)		

<sup>*a*</sup> All reactions were carried out in Bu<sub>2</sub>O. **2** (0.50 M)–formaldehyde– Rh(acac)(CO)<sub>2</sub>–**1** = 1:1.3:0.010:0.011. <sup>*b*</sup> (*S*,*S*)-(*R*,*R*)-**1** was used. <sup>*c*</sup> Isolated yield. <sup>*d*</sup> Determined by HPLC analysis. <sup>*e*</sup> The sign of the specific rotation of **3** in CHCl<sub>3</sub> is given in parentheses. Table 2 Asymmetric aldol reaction of 2 with 4 catalysed by (S,S)-(R,R)-1a-Rh<sup>I</sup> complex<sup>a</sup>

	R <sup>1</sup> CHO	+	NC Me	OR <sup>2</sup>	Rh(acac)(CO) ( <i>S</i> , <i>S</i> )-( <i>R</i> , <i>R</i> )-1a (1 n Bu <sub>2</sub> O	h₂, OF nol%) R <sup>1</sup> Me	H O OR <sup>2</sup> + anti	R <sup>1</sup> Me <sup>1</sup> Syn	DR <sup>2</sup>
	4a R <sup>1</sup> = b R <sup>1</sup> = c R <sup>1</sup> = d R <sup>1</sup> =	Me Et Ph CO <sub>2</sub> Et	2b R = c R = d R =	Et Pr <sup>i</sup> CHPr <sup>i</sup> 2		5 6 7a–d	$R^{1} = Me, R^{2} =$ $R^{1} = Me, R^{2} =$ $R^{1} = Me, Et, P$	Et Pr <sup>i</sup> Ph, CO <sub>2</sub> Et, R <sup>2</sup> = 0	CHPr <sup>i</sup> 2
								Ee (%) <sup><math>d</math></sup> (config.)	
								Ee $(\%)^d$ (con	ifig.)
Entry	4	2	<i>T</i> /°C	<i>t/</i> h	Product	Yield (%) <sup>b</sup>	anti : syn <sup>c</sup>	$Ee (\%)^d$ (con anti	syn
 Entry 1	4 4a	2 2b	<i>T</i> /°C	<i>t/</i> h	Product 5	Yield (%) <sup><i>b</i></sup>	anti : syn <sup>c</sup> 45/55	$\frac{\text{Ee } (\%)^d (\text{con})^d}{anti}$	ffig.) syn 23
 Entry 1 2	4 4a 4a	2 2b 2c	<i>T</i> /°C	<i>t/</i> h	Product 5 6	Yield (%) <sup>b</sup> 63 61	anti : syn <sup>c</sup> 45/55 47/53	$\frac{\text{Ee } (\%)^d (\text{con})^d}{anti}$ 31 55	fig.) syn 23 50
 Entry 1 2 3	4 4a 4a 4a	2 2b 2c 2d	<i>T</i> /°C	<i>t/</i> h 24 24 24	Product 5 6 7a	Yield (%) <sup>b</sup> 63 61 67	<i>anti</i> : <i>syn</i> <sup>c</sup> 45/55 47/53 81/19	$\frac{\text{Ee } (\%)^d (\text{con})^d}{anti}$ 31 55 86 (2S,3S)	fig.) <u>syn</u> 23 50 33
 Entry 1 2 3 4	4 4a 4a 4b <sup>e</sup>	2 2b 2c 2d 2d	<i>T</i> /°C	t/h 24 24 24 48	Product 5 6 7a 7b	Yield (%) <sup>b</sup> 63 61 67 76	<i>anti</i> : <i>syn<sup>c</sup></i> 45/55 47/53 81/19 75/25		fig.) syn 23 50 33 10
 Entry 1 2 3 4 5	4 4a 4a 4b <sup>e</sup> 4c	2 2b 2c 2d 2d 2d 2d	<i>T</i> /°C	t/h 24 24 24 48 72	Product 5 6 7a 7b No reaction	Yield (%) <sup>b</sup> 63 61 67 76	<i>anti</i> : <i>syn<sup>c</sup></i> 45/55 47/53 81/19 75/25 —		syn           23           50           33           10

<sup>*a*</sup> All reactions were carried out in Bu<sub>2</sub>O. **2** (0.25 M)–**4**–Rh(acac)(CO)<sub>2</sub>–**1a** = 1:7.5:0.010:0.011 unless otherwise noted. <sup>*b*</sup> Isolated yield of a mixture of *anti-* and *syn-*aldols. <sup>*c*</sup> Determined by <sup>1</sup>H NMR analysis. <sup>*d*</sup> Determined by HPLC analysis. <sup>*e*</sup> 10 equiv. of **4b** was used. <sup>*f*</sup> 2.0 equiv. of **4d** was used.



## **Footnotes and References**

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<sup>‡</sup> *Typical procedure*: A suspension of paraformaldehyde (100 mg) in H<sub>2</sub>O (1.0 ml) was heated under reflux for 1 h, giving a clear aqueous solution of paraformaldehyde. A solution of Rh(acac)(CO)<sub>2</sub> (5.0 µmol) and (*S*,*S*)-(*R*,*R*)-**1a** (5.4 µmol) in 2.0 ml of Bu<sub>2</sub>O was stirred at room temperature for 10 min. To the solution was successively added **2** (0.50 mmol) and the freshly prepared solution of paraformaldehyde in water (0.67 mmol) at -10 °C. The mixture was stirred at -10 °C. After completion of the reaction, the mixture was diluted with brine, and extracted with EtOAc. The organic layer was washed with brine, dried over Na<sub>2</sub>SO<sub>4</sub> and evaporated. After passing through a short silica gel column (EtOAc), the residue was purified *via* medium-pressure liquid chromatography MPLC.

§ The enantioselectivities of the aldol reactions of **2b** at 0 °C in various solvents were as follows: MeOH: 0;  $CH_2Cl_2$ : 11; toluene: 1; THF: 47;  $Et_2O$ : 54;  $Bu_2O$ : 60% ee.

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