# On the origin of 1,5 -induction in $\operatorname{tin}(\mathrm{Iv})$ halide-promoted reactions of 4 -alkoxyalk-2-enylstannanes with aldehydes 

Lindsay A. Hobson, Mark A. Vincent, Eric J. Thomas*† and Ian H. Hillier*<br>The Department of Chemistry, The University of Manchester, Manchester, UK M13 9PL

A combination of experiment and theory has identified both the allyltin trichlorides $3\left(\mathrm{R}^{2}=\mathrm{Bn}, \mathrm{H}\right)$ as key intermediates in $\operatorname{tin}(\mathrm{Iv})$ chloride-promoted reactions of allylstannanes 1 $\left(\mathbf{R}^{2}=\mathrm{Bn}, \mathrm{H}\right)$ and the transition structures that are involved in their reactions with aldehydes.

Useful levels of 1,5-, 1,6- and 1,7-asymmetric induction are obtained in tin(IV) halide-promoted reactions of 4-, 5- and 6 -alkoxyalk-2-enylstannanes and aldehydes. ${ }^{1}$ For example, tin(Iv) chloride-promoted reactions betweeen aldehydes and the (4-benzyloxypent-2-enyl)stannane 1 ( $\mathrm{R}^{2}=\mathrm{Bn}$ ) (Scheme 1) give the $1,5-\operatorname{syn}(Z)$-alkenols 2 with excellent stereoselectivity (syn:anti $\geqslant 97: 3$ ). ${ }^{2}$ Similar results are obtained using the 4-hydroxypent-2-enylstannane $1\left(\mathrm{R}^{2}=\mathrm{H}\right) .{ }^{3}$

This stereoselectivity is consistent with generation of the allyltin trichlorides 3 , which react with the aldehydes via the chair-like transition structures $4 .{ }^{2}$ However, alternative explanations are possible, notwithstanding the results from trapping the allyltin trichlorides generated from the 5-alkoxypent-2-enylstannanes 5.4.5 For example, participation of the $\mathrm{C}(3)$-epimers of the allyltin trichlorides $\mathbf{3}$ and boat-like transition structures for the reactions with aldehydes, would account for the overall $1,5-\operatorname{syn}(Z)$-stereoselectivity. We now report confirmation of the involvement of the allyltin trichlorides $\mathbf{3}$ in these reactions, together with high level modelling of the transition structure 4.


Scheme 1
Tin(IV) chloride was added to a solution of the 4-benzyloxy-pent-2-enylstannane $1\left(\mathrm{R}^{2}=\mathrm{Bn}\right)$ at $-78^{\circ} \mathrm{C}$ followed by an excess of PhLi. From this mixture the syn-4-benzyloxypent-1-en-3-yl(triphenyl)stannane 6, containing less than $5 \%$ of its anti diastereoisomer, was isolated (see Scheme 2.) To avoid 1,3-migration of the triphenyltin moiety, ${ }^{6}$ the alkenylstannane 6 was reduced using diimide to give ( $2 S, 3 S$ )-2-benzyloxypent3 -yl(triphenyl)stannane 7 . The same sequence of reactions

starting with the 4-hydroxypent-2-enylstannane $\mathbf{1}\left(\mathrm{R}^{2}=\mathrm{H}\right)$ gave the 3 -triphenylstannylpentan-2-ol 9 which was benzylated to give the syn-benzyl ether 7 .

The hydroxyalkylstannane 9 could not be converted into a crystalline material suitable for X-ray diffraction and so its structure was confirmed by comparison with an authentic sample (see Scheme 3.) The racemic ( $E$ )- and ( $Z$ )-epoxides 10 and 14 were obtained from the corresponding alkenes using NBS and KOH. ${ }^{7}$ Ring-opening using triphenylstannyllithium then gave the regioisomeric hydroxystannanes $\mathbf{1 1 / 1 2}$ and $\mathbf{1 5 / 9}$ which were separated and characterised spectroscopically. The configurations of these products were assigned on the basis that analogous epoxide ring-openings are known to proceed with inversion of configuration. ${ }^{8}$ The syn-hydroxyalkylstannane 9 obtained from the cis-epoxide $\mathbf{1 4}$ was spectroscopically and chromatographically identical to that obtained from the trapping reaction of the hydroxystannane $1\left(\mathrm{R}^{2}=\mathrm{H}\right)$. Moreover, $O$-benzylation gave a benzyl ether which was identical to that prepared by reduction of the alkenylstannane 6 . The antihydroxyalkylstannane $\mathbf{1 2}$ obtained from the trans-epoxide $\mathbf{1 0}$ was distinctly different from that obtained from the trapping reaction of the hydroxystannane $1\left(\mathrm{R}^{2}=\mathrm{H}\right)$, and benzylation gave the anti-benzyloxystannane 13 which was clearly distinguishable from its syn-diastereoisomer 7 by ${ }^{1} \mathrm{H}$ and ${ }^{13} \mathrm{C}$ NMR spectroscopy.


Scheme 2 Reagents and conditions: i, $\mathrm{SnCl}_{4},-78{ }^{\circ} \mathrm{C}, 5 \mathrm{~min}$, then $\mathrm{PhLi}(6$, $54 \% ; \mathbf{8}, 35 \%$ ); ii, diimide ( $7,68 \%$; $9,69 \%$ ); iii, $\mathrm{NaH}, \mathrm{BnBr}(68 \%)$


Scheme 3 Reagents and conditions: i, $\mathrm{Ph}_{3} \operatorname{SnLi}(\mathbf{1 1}, 30 \% ; \mathbf{1 2}, 20 \% ; \mathbf{1 5}, 20 \%$; 9, 20\%); ii, $\mathrm{NaH}, \mathrm{BnBr}(\mathbf{1 3}, 61 \% ; \mathbf{7}, 72 \%)$

It would appear that transmetallation of the 4-benzyloxy- and 4-hydroxy-pent-2-enylstannanes $1\left(\mathrm{R}^{2}=\mathrm{Bn}, \mathrm{H}\right)$ with $\operatorname{tin}(\mathrm{IV})$ chloride gives the ( $3 S, 4 S$ )-4-benzyloxy- and ( $3 S, 4 S$ )-4-hydroxy-pent-1-en-3-yl)tin trichlorides $3\left(\mathrm{R}^{2}=\mathrm{Bn}, \mathrm{H}\right)$ as originally postulated. By analogy with the transmetallation of the 5 -alkoxypent-2-enylstannanes 5,5 it is likely that this transmetallation is due to kinetic control. However only low yields of products were obtained on attempted transmetallation of the pent-1-en-3-ylstannane 6 using either tin(Iv) chloride or bromide, and so the interconversion of regioisomeric allyltin trihalides could not be investigated in this case.

The formation of the $1,5-\operatorname{syn}(Z)$-products from the reactions between the tin trichlorides $\mathbf{3}$ and aldehydes is consistent with participation of chair-like transition structures akin to 4. However, why does the $\mathrm{C}(3)-\mathrm{C}(4)$ bond prefer to be axial rather than equatorial, i.e. why are ( $Z$ )-alkenes obtained as the dominant products from these reactions rather than their $(E)$-isomers? To probe the factors involved in this stereoselectivity, high level electronic structure calculations have been carried out on the allyltin trichloride $\mathbf{1 6}$ and the transition structures for its reactions with formaldehyde which would lead to $(E)$ - and (Z)-alkenols. A split valence basis was employed, ${ }^{9}$ with electron correlation included at the density functional theory level (B3LYP), using GAUSSIAN94. ${ }^{10}$ Stationary structures were identified as minima or saddle points by the calculation of harmonic frequencies.

We find two conformations of the allyltin trichloride 16, corresponding to rotamers about the $\mathrm{C}(2)-\mathrm{C}(3)$ bond, to be energy minima with $\mathrm{Sn}-\mathrm{O}$ bond lengths of 2.457 and 2.531 A . Transition states for reactions of $\mathbf{1 6}$ with formaldehyde leading


16
to both cis- and trans-double-bonded products have been located and identified as saddle points on the potential energy surfaces. These are summarised in Fig. 1.

There are considerable differences in the transition state structures and energy barriers leading to the two different stereoisomers. The transition structure leading to the cis-double-bonded product [Fig. 1(a)] has a considerably lower barrier ( $1.9 \mathrm{kcal} \mathrm{mol}^{-1}$ ) and different structure, particularly about the tin atom, than the transition structure leading to the

$\Delta E=1.9 \mathrm{kcal} \mathrm{mol}^{-1}$
(b)


Fig. 1 Transition structures leading to (a) cis and (b) trans products. Bond lengths are in $\AA$.
trans-isomer [Fig. 1(b)], which has a barrier of $12.0 \mathrm{kcal} \mathrm{mol}^{-1}$. The favoured structure has a six-coordinated tin atom, with $\mathrm{Sn}-$ C and $\mathrm{Sn}-\mathrm{O}$ bond lengths in the range $2.2-2.4 \AA$. The transition structure leading to the unfavoured trans-isomer has one of its $\mathrm{Sn}-\mathrm{O}$ bond lengths considerably longer than normal ( $3.3 \AA$ ), so that the tin is effectively five-coordinated.
Two factors favour the transition structure shown in Fig. 1(a). Firstly, there is greater steric repulsion between the $\mathrm{C}(3)-\mathrm{C}(4)-$ OMe entity and the chlorine atoms in the transition structure for formation of the unfavoured trans-isomer. Secondly, the different orientations of the $\mathrm{C}(3)-\mathrm{C}(4)$ bond in the two transition structures leads to the long $\mathrm{Sn}-\mathrm{O}$ bond in the disfavoured transition structure.
This work confirms the participation of the allyltin trichlorides $\mathbf{3}$ in tin(IV) chloride-promoted reactions of the allylstannanes 1. The theoretical studies provide an insight into the factors which favour the formation of cis-alkenes in reactions of these allyltin trichlorides with aldehydes and complement recently reported computational studies into reactions between allylsilanes and aldehydes. ${ }^{11,12}$
We thank the University of Manchester and SmithKline Beecham for support (to L. A. H.) under the CASE Scheme, Dr M. Fedouloff of SmithKline Beecham for helpful discussions, and the EPSRC for support.

## Notes and References

$\dagger$ E-mail: e.j.thomas@man.ac.uk
1 E. J. Thomas, Chem. Commun., 1997, 411.
2 A. H. McNeill and E. J. Thomas, Synthesis, 1994, 322.
3 G. W. Bradley, D. J. Hallett and E. J. Thomas, Tetrahedron: Asymmetry, 1995, 6, 2579.
4 J. S. Carey and E. J. Thomas, Synlett, 1992, 585.
5 R. L. Beddoes, L. A. Hobson and E. J. Thomas, Chem. Commun., 1997, 1929.

6 V. J. Jephcote and E. J. Thomas, J. Chem. Soc., Perkin Trans. 1, 1991, 429.

7 A. T. Bottini, R. L. VanEtten and A. J. Davidson, J. Am. Chem. Soc., 1965, 87, 755.
8 L. D. Hall, P. R. Steiner and D. C. Miller, Can. J. Chem., 1979, 57, 38.

9 W. J. Hehre, R. Ditchfield and J. A. Pople, J. Chem. Phys., 1972, 56, 2257; R. Ditchfield, W. J. Hehre and J. A. Pople, J. Chem. Phys., 1971, 54, 724; M. S. Gordon, Chem. Phys. Lett., 1980, 76, 163; P. C. Hariharan and J. A. Pople, Theor. Chim. Acta, 1973, 28, 213; A. Stromberg, O. Gropen and U. Wahlgren, J. Comput. Chem., 1983, 4, 181; an additional d-function with exponent 0.27 was used on tin.
10 M. J. Frisch, G. W. Trucks, H. B. Schlegel, P. M. W. Gill, B. G. Johnson, M. A. Robb, J. R. Cheeseman, T. A. Keith, G. A. Petersson, J. A. Montgomery, K. Raghavachari, M. A. Al-Laham, V. G. Zakrzewski, J. V. Ortiz, J. B. Foresman, J. Cioslowski, B. B. Stefanov, A. Nanayakkara, M. Challacombe, C. Y. Peng, P. Y. Ayala, W. Chen, M. W. Wong, J. L. Andres, E. S. Replogle, R. Gomperts, R. L. Martin, D. J. Fox, J. S. Binkley, D. J. Defrees, J. Baker, J. J. P. Stewart, M. HeadGordon, C. Gonzalez and J. A. Pople, GAUSSIAN 94, Revision A.1, Gaussian, Inc., Pittsburgh, PA, 1995.
11 A. Bottoni, A. L. Costa, D. Di Tommaso, I. Rossi and E. Tagliavini, J. Am. Chem. Soc., 1997, 119, 121131.

12 K. Omoto, Y. Sawada and H. Fujimoto, J. Am. Chem. Soc., 1996, 118, 1750.

Received in Liverpool, UK, 28th January 1998; 8/00781K

