

# Novel generation of silacarboxyl ylides by trapping of silylene with carbonyl compounds and their cycloaddition leading to silaheterocycles

Norio Sakai, Tsuyoshi Fukushima, Satoshi Minakata, Ilhyong Ryu and Mitsuo Komatsu\*

Department of Applied Chemistry, Graduate School of Engineering, Osaka University, Yamadaoka 2-1, Suita, Osaka 565-0871, Japan. E-mail: komatsu@ap.chem.eng.osaka-u.ac.jp

Received (in Cambridge, UK) 28th July 1999, Accepted 16th August 1999

The photochemical generation of silacarboxyl ylides from a silylene and carbonyl compounds and their inter- or intramolecular 1,3-dipolar cycloaddition with olefins leading to silicon heterocycles in one step was successful.

Over the past few decades the capture of a carbene with a carbonyl compound to form the corresponding carbonyl ylide has been the subject of extensive study.<sup>1</sup> Recently silylenes, the silicon analogues of carbenes, have attracted considerable attention in the construction of new silaheterocycles as possible new biologically active compounds and functional materials.<sup>2</sup> While several methods for the *in situ* generation of silylenes by photolysis have been developed,<sup>3,4</sup> the trapping of silylenes with aldehydes, ketones and an  $\alpha$ -diketone leading to silaheterocycles has emerged as the result of studies on the chemical properties of silylenes.<sup>4,5</sup> However, specific research into the trapping of silylenes with carbonyl compounds has not been reported, nor has their application as silacarboxyl ylides in the one-step synthesis of silaheterocycles. We report herein some unprecedented examples of the 1,3-dipolar cycloaddition of photochemically generated silacarboxyl ylides, as silicon analogues of carbonyl ylides, with dipolarophiles to give silaheterocycles (Scheme 1).

The reaction of a silylene with a simple aliphatic aldehyde was investigated as an initial example. A solution of trisilane<sup>6</sup> **1** and acetaldehyde **2a** (100 equiv.) in hexane was irradiated at  $-57$  °C for 40 h in a cold bath with a low pressure mercury lamp.<sup>4</sup> The resulting mixture was separated on a silica gel column (hexane–EtOAc = 95:5) to afford 1,4-dioxo-2-silacyclopentane **3a** in 50% yield (Scheme 2). The structure of the cycloadduct **3a** was characterized as a 1 : 2 cycloadduct of bismesitylsilylene **4** (*vide infra*) and aldehyde **2a** by spectral analysis. <sup>1</sup>H NMR observation showed that silaheterocycle **3a** was a mixture of diastereomers (*cis* : *trans* = 1 : 1), but no regioisomers such as a 1,3-dioxo-2-silacyclopentane were formed. The cycloadditions of trisilane **1** with other carbonyl compounds **2b–e** leading to 1 : 2 cycloadducts **3b–e** are summarized in Table 1.

With less bulky aldehydes such as acetaldehyde and propionaldehyde, the yields of **3** were moderate. However, when a more bulky aldehyde **2c** was employed, the yield of

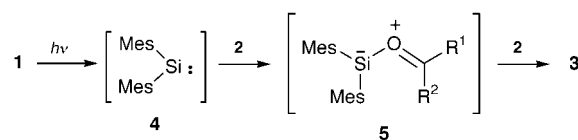
**Table 1** The results of the cycloaddition reactions of silylene **4** with carbonyl compounds **2a–e** leading to 1 : 2 cycloadducts **3a–e**<sup>a</sup>

Entry	Carbonyl compounds			equiv.	t/h	Yield (%) <sup>b</sup>
	R <sup>1</sup>	R <sup>2</sup>				
1	<b>2a</b>	Me	H	100	40	50 <sup>c</sup>
2	<b>2b</b>	Et	H	70	24	34 <sup>c</sup>
3	<b>2c</b>	Pr <sup>i</sup>	H	70	20	81 <sup>c</sup>
4	<b>2d</b>	Bu <sup>t</sup>	H	100	17	98 <sup>c</sup>
5	<b>2e</b>	Me	Me	100	17	15 <sup>d</sup>

<sup>a</sup> Hexane solutions were irradiated at  $-57$  °C. <sup>b</sup> Yield of the isolated products. <sup>c</sup> *cis*, *trans*-mixtures. <sup>d</sup> A large amount of enol silyl ether was detected.

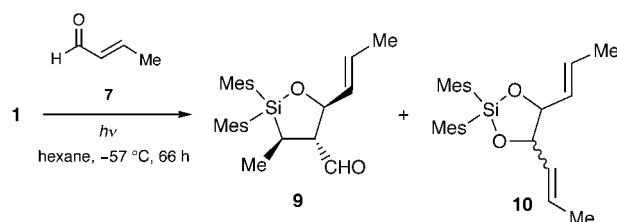
cycloadduct **3c** was dramatically improved to 81%. It is noteworthy that a nearly quantitative yield was observed with a non-enolizable bulky aldehyde **2d**. When acetone **2e** was employed, cycloadduct **3e** was produced in 15% isolated yield (26% by <sup>1</sup>H NMR before silica gel column separation).

The findings suggest that the silacarboxyl ylide intermediate **5** was initially generated from the silylene **4** and the carbonyl compounds **2** followed by 1,3-dipolar cycloaddition with **2** affording the cycloadduct **3**, where the aldehyde **2** plays two roles, first as a constituent of the ylide **5** and, subsequently, as a dipolarophile (Scheme 3).

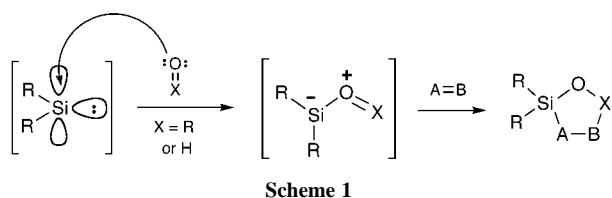


**Scheme 3**

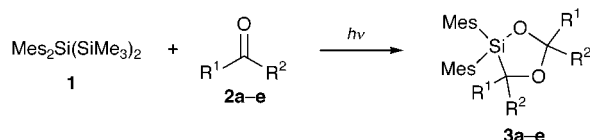
Trisilane **1** was then reacted with  $\alpha,\beta$ -unsaturated aldehydes in the hope of observing intramolecular cycloaddition. Contrary to our expectation, the reaction of **1** with crotonaldehyde **7** (70 equiv.) gave the intermolecular 1:2 cycloadducts 1-oxo-2-silacyclopentane **9** and 1,3-dioxo-2-silacyclopentane **10** in 27 and 25% yields, respectively (Scheme 4). The oxasilacyclo-



**Scheme 4**



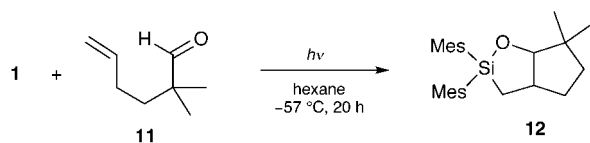
**Scheme 1**



**Scheme 2**

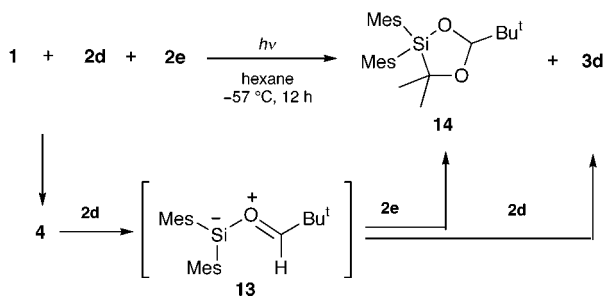
pentane structure of cycloadduct **9** was determined by spectral analysis and NOE measurement of its hydrazone derivative,<sup>7</sup> while product **10** had the same ring system as the 1:2 cycloadduct reported for the reaction with benzaldehyde.<sup>8</sup> As shown in Scheme 4, the generated silacarboxyl ylide **8** was trapped by the C=C bond of aldehyde **7** to afford **9** and by the carbonyl group of **7** to give **10**. The formation of **9** represents the first example of the cycloaddition of a silacarboxyl ylide with an olefinic dipolarophile, which strongly supports our view that this type of reaction proceeds *via* the 1,3-dipolar intermediate and not *via* a siloxirane intermediate.

Intramolecular cycloaddition was successful with a non-conjugated olefinic aldehyde leading to a silicon-atom embedded bicyclic compound. Thus, a solution of trisilane **1** and 2,2-dimethylhex-5-enal **11** (45 equiv.) in hexane was irradiated at  $-57\text{ }^{\circ}\text{C}$  for 20 h to afford bicyclo[3.3.0]oxasilacyclooctane **12** in 51% yield (87% by  $^1\text{H}$  NMR before  $\text{SiO}_2$  column purification) (Scheme 5).



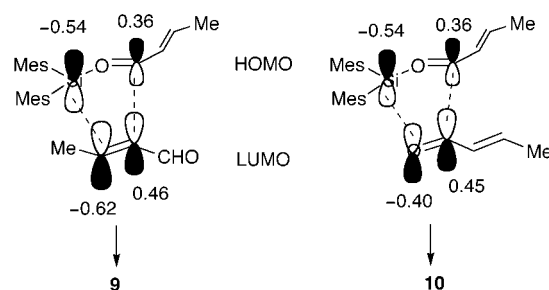
Scheme 5

Moreover, these results suggest the possibility of a three-component coupling reaction involving a silylene and two different carbonyl compounds. When a solution of trisilane **1**, pivalaldehyde **2d** (25 equiv.) and acetone **2e** (50 equiv.) in hexane was photolyzed at  $-57\text{ }^{\circ}\text{C}$  for 12 h, a three-component cycloadduct **14** was successfully obtained as the major product in 37% yield along with cycloadduct **3d** in 21% yield (Scheme 6). In this three-component reaction, the 1:2 cycloadduct of silylene **4** and **2e** was not obtained at all (see entry 5, Table 1). This result implies that the generation of silacarboxyl ylide **13** from the silylene **4** and aldehyde **2d** occurred predominantly, which was followed by cycloaddition with acetone leading to **14**.



Scheme 6

Finally, the regioselectivities of the cycloadditions of silylene **4** and the aldehydes were examined by semiempirical MO calculations (PM3 method).<sup>9</sup> For the case of an aliphatic aldehyde such as pivalaldehyde, the orbital coefficient ( $-0.54$ ) on the silicon atom is larger than that ( $+0.30$ ) on the carbonyl carbon (HOMO), and the orbital coefficient ( $-0.64$ ) on the carbonyl carbon of the aldehyde is larger than that ( $+0.47$ ) on



Scheme 7

oxygen (LUMO).<sup>10</sup> The prediction of the regiochemistry of the cycloaddition by this orbital correlation was in good agreement with the structure of the isolated 1:2 cycloadduct **3d**. In the case of aldehyde **7**, the orbital coefficient on the  $\beta$ -carbon in the LUMO is the largest, so that cycloaddition occurs preferentially across the C=C bond of **7** leading to cycloadduct **9** as the major product (Scheme 7). However, when the difference between the orbital coefficients is small, the regioselectivity of cycloadduct **10** appears to be controlled, not by the correlation of the coefficients, but by the strong affinity between silicon and oxygen.

Thus far, we have succeeded in the photochemical generation of novel 1,3-dipoles, silacarboxyl ylides, from a silylene and various carbonyl compounds, and their inter- or intra-molecular cycloaddition leading to silicon-embedded heterocycles in one step. The inter- and intra-molecular cycloadditions with olefins, in particular, are unprecedented.

This work was partially supported by a Grant-in-Aid for Scientific Research from Ministry of Education, Science, Sports and Culture, Japan.

## Notes and references

- 1 A. Padwa and M. D. Weingarten, *Chem. Rev.*, 1996, **96**, 223; A. F. Khlebnikov, M. S. Novikov and R. R. Kostikov, *Adv. Heterocycl. Chem.*, 1996, **65**, 93; N. J. Turro and Y. Cha, *Tetrahedron Lett.*, 1987, **28**, 1723; T. Ibata, M. T. H. Liu and J. Toyoda, *Tetrahedron Lett.*, 1986, **27**, 4383; K. T. Potts, in *1,3-Dipolar Cycloaddition Chemistry*, ed. A. Padwa, Wiley-Interscience, New York, 1984 and references therein.
- 2 E. Lukevics and L. Ignatovich, *Appl. Organomet. Chem.*, 1992, **6**, 113.
- 3 S. Masamune, Y. Hanzawa, S. Murakami, T. Bally and J. F. Blount, *J. Am. Chem. Soc.*, 1982, **104**, 1150.
- 4 M. Ishikawa and M. Kumada, *Adv. Organomet. Chem.*, 1981, **19**, 51 and references therein.
- 5 A. K. Franz and K. A. Woerpel, *J. Am. Chem. Soc.*, 1999, **121**, 949; J. Belzner and H. Ihmels, *Adv. Organomet. Chem.*, 1998, **43**, 1; J. Belzner, H. Ihmels, L. Pauletto and M. Noltemeyer, *J. Org. Chem.*, 1996, **61**, 3315; W. Ando, K. Hagiwara and A. Sekiguchi, *Organometallics*, 1987, **6**, 2270; W. Ando, Y. Hamada and A. Sekiguchi, *J. Chem. Soc., Chem. Commun.*, 1983, 952.
- 6 M. J. Fink, M. J. Michalczyk, K. J. Haller, R. West and J. Michl, *Organometallics*, 1984, **3**, 793.
- 7 M. Behforouz, J. L. Bolan and M. S. Flynt, *J. Org. Chem.* 1985, **50**, 1186.
- 8 P. Jutzki, D. Eikenberg, E.-A. Bunte, A. Mohrke, B. Neumann and H.-G. Stämmler, *Organometallics*, 1996, **15**, 1930.
- 9 Calculations were carried out with Cache MOPAC Ver. 94.1.
- 10 R. Sustmann, *Tetrahedron Lett.*, 1971, **12**, 2717, 2721.

Communication 9/06139H