Unambiguous characterisation of dienylimines as intermediates in Fischer indolisation of o-substituted N-trifluoroacetyl enehydrazines

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Thermal cyclisation of o-substituted N-trifluoroacetyl enehydrazines was systematically investigated and found to proceed via dienylimine intermediates, which were unambiguously characterised by X-ray and spectral analysis.

The Fischer indole synthesis provides a versatile and convergent route to a wide variety of indoles.1 Although today the key step in Fischer indolisation is regarded as a [3,3]-sigmatropic rearrangement of the enehydrazines, which is related to the Cope and Claisen rearrangements,^{1,2} there have been only a few reports on the isolation and characterisation of the dearomatised dienylimine (1 or 2) intermediates because of



their instability. To the best of our knowledge, there has been only one paper³ pertaining to the isolation of a pure dienvlimine having a methyl group at the 3a-position in which the relative configurations at the 2-, 3- and 3a-positions remain to be established.

During the course of our investigation on reactivity of Ntrifluoroacetyl enamine moieties,⁴ we investigated the thermal cyclisation of o-substituted N-trifluoroacetyl enehydrazines under mild conditions and succeeded in the isolation and structure determination of the dienylimine intermediates in the

Table 1 Thermal cyclisation of N-trifluoroacetyl enehydrazines 3

Fischer indolisation. o-Substituted hydrazones are generally known to react more sluggishly than the *m*- and *p*-substituted analogs and sometimes give low yields of the desired indoles accompanied with side reactions.¹

We first examined the thermal cyclisation of N-trifluoroacetyl enehydrazine 3a having an o-methoxy group (Table 1). Previously, characterisation^{1,5} of the dienylimine intermediate with a methoxy group had been attempted but found to be unsuccessful. A solution of 3a in THF was heated at 65 °C for 10 h to give a mixture of indoline 4a and two dienylimines 6a in 63 and 36% yields, respectively (entry 1). Furthermore, 6a was easily separated into two diastereomers, cis-syn-6a and cisanti-6a, in a 5 : 1 ratio. The stereostructure of cis-syn-6a was established unambiguously by single-crystal X-ray analysis⁶ (Fig. 1) and then the relative configuration of the isomeric cisanti-6a was deduced from comparison of its ¹H and ¹³C NMR spectra with those of *cis-syn*-6a. Therefore, we have now succeeded in the isolation and structure determination of the dienylimine intermediate in the Fischer indolisation of the omethoxy enchydrazine. Additionally, the cis-syn-isomer was obtained as the major product.

Interestingly, the polarity of the organic solvent used influences both the product ratio of 4a and 6a and the reaction time (entries 2–4). In MeCN, the reaction proceeded smoothly to give a 1:1 mixture of 4a and 6a in 98% yield (entry 3). On the other hand, in non-polar hexane, 4a was obtained as the major product in 75% yield, although prolonged reaction time was required for complete consumption of 3a (entry 4). Heating the indoline 4a in xylene at 138 °C afforded the corresponding indole 5a in quantitative yield as a result of the elimination of

$ \begin{array}{c} R \\ R \\ R \\ R \\ 3 \end{array} \xrightarrow{(CH_2)_n} \\ R \\ R \\ R \\ 4 \end{array} + \begin{array}{c} H \\ R \\ R \\ R \\ R \\ 6 \end{array} + \begin{array}{c} H \\ R \\ R \\ R \\ R \\ R \\ 6 \end{array} + \begin{array}{c} H \\ R \\$										
				Conditions			Yield (%)			
Entry	Substrate	R	n	Solvent	T/°C	<i>t/</i> h	Total	4	5	6 (cis-syn:cis-anti)
1	3a	MeO	1	THF	65	10	99	63	_	36 (5:1)
2	3a	MeO	1	PhMe	90	7	98	69		29 (4:1)
3	3a	MeO	1	MeCN	80	5	98	51		47 (5:1)
4	3a	MeO	1	hexane	80	22	99	75		24 (4:1)
5	3b	MeO	2	PhMe	90	10	84		75	9 (2:1)
6	3b	MeO	2	MeCN	80	10	73		54	19 (2:1)
7	3c	Me	1	PhMe	90	8	74	14	42	18 (14:1)
8	3c	Me	1	MeCN	110	8	99	30	37	32 (7:1)
9	3d	Me	2	PhMe	110	10	78		71	7 (7:1)
10	3d	Me	2	MeCN	110	10	87		76	11 (6:1)
11	3e	Cl	1	PhMe	90	15	74	70	4	
12	3f	NO ₂	1	PhMe	110	29	31 (85) ^a	31 (85) ^a	—	_
¹ Yields in parentheses are for the recovered starting material										



Fig. 1 ORTEP drawing of the molecule *cis-syn-***6a** at the 50% probability level. The structure depicted is one of the independent molecules with the major component of the disordered CF_3 group.

trifluoroacetamide. Under the same conditions, both *cis-syn-***6a** and *cis-anti-***6a** were converted into indole **7**. Reaction of cyclohexene hydrazines **3b** proceeded slowly under similar mild conditions to give 7-methoxyindole **5b** as the major product with no detection of indoline **4b** (entries 5 and 6).



It is well-known that Fischer indolisation of (2-methoxyphenyl)hydrazone gives 7-methoxyindole as a minor product and the abnormal 6-substituted indole as a major product, respectively.^{1,7,8} On the other hand, our results indicate that the indolisation reaction of **3** proceeds preferentially at the unsubstituted position to give 7-methoxyindole as the major product. Consequently, the thermal cyclisation of **3** has provided a practical synthetic method for the 7-oxygenated indoles, which are known to be potential intermediates for the synthesis of biologically active compounds.

Next, we turned our attention to the corresponding *o*-methyl *N*-trifluoroacetyl enehydrazines **3c**,**d**. Reaction of **3d** proceeded smoothly at a high temperature (110 °C) to give **5d** with moderate regioselectivity, while **3c** afforded a mixture of **4c**, **5c**, *cis-syn-***6c** and *cis-anti-***6c** (entries 7, 8, 9 and 10). The *cis-syn* and *cis anti*-dienylimines **6c**,**d** were obtained as minor products. Their stereostructures were deduced from comparisons of their ¹H and ¹³C NMR spectra with those of *cis-syn-***6a**,**b** and *cis-*

anti-**6a,b**. Brown ⁹ has reported that attempts to isolate a tricyclic dienylimine having a methyl group were unsuccessful. Therefore, our result is the first example of the isolation and structure determination of the tricyclic dienylimine with a methyl group.

When an electron-withdrawing group such as a chlorine or nitro group was present in the *o*-position, the indolisation occurred regioselectively at the unsubstituted position to give 7-substituted products (entries 11 and 12).

Finally, the isolation and structure determination of the dienylimines intermediates in the Fischer indolisation of *o*-methoxy and *o*-methyl enehydrazines provides good evidence for the postulated reaction mechanism, including a stereochemical rationalisation, particularly for the [3,3]-sigmatropic rearrangement step.

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