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Efficient synthesis of biaryl lactones by domino retro-Michael-aldol-lactonization reactions

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Biaryl lactones were prepared by novel domino retro-Michael-aldol-lactonization reactions of 2,3-dihydropyrans.

Functionalized biaryl lactones (dibenzo[b,d]pyran-6-ones) are present in a number of biologically relevant natural products including alternariol, autumnariol, autumnariniol and altenuisol.¹ The related benzo[d]naphthopyran-6-one system occurs in a variety of antibiotics and antitumor active compounds isolated from *Streptomyces*.² Ellagic acid and the related coruleoellagic acid represent lactonic analogues of biaryl lactones.³ Biaryl lactones have been previously prepared by a number of methods.^{4–7} Herein, we wish to report a new method for the efficient synthesis of functionalized biaryl lactones. Our approach relies on the regioselective condensation of 1,3-bis-(trimethylsilyloxy)-1,3-butadienes with benzopyrylium triflates to give functionalized 2,3-dihydrobenzopyrans, masked tetraketides, which underwent a domino retro-Michael–aldol–lactonization reaction upon treatment with base.⁸

Our initial attempts to induce a condensation of acetoacetate d^4 synthons with benzopyran **1** were unsuccessful. The reaction of 1 with the dianion of ethyl acetoacetate resulted in formation of a complex mixture. The Lewis acid (Me₃SiOTf, TiCl₄) mediated reaction of 1 with 1,3-bis(trimethylsilyloxy)-1,3-butadiene 3a, an electroneutral equivalent of the dianion,^{9,10} was equally disappointing. All attempts to induce a thermal Diels-Alder reaction of 1 with 3a proved unsuccessful, presumably due to the π -donor effect of the pyran oxygen atom.¹¹ The problem was eventually solved by employment of the benzopyrylium triflate 2 which was generated in situ by treatment of 1 with Me₃SiOTf and 2,6-lutidine.¹² The reaction of 2 with diene 3a afforded the desired 2,3-dihydrobenzopyran 4a with very good regioselectivity (Scheme 1).† The reaction of bis-silyl enol ethers 3b-g with benzopyrylium triflate 2 afforded the respective 2,3-dihydrobenzopyrans 4b-g in good yields (Scheme 1, Table 1).

Our first attempts to induce a base mediated cyclization of **4a** were unsuccessful: treatment of **4a** with two equivalents of LDA or LiHMDS (-78-20 °C) afforded only complex mixtures. We have eventually found that stirring of an ethanol solution of **4a** in the presence of NEt₃ resulted in a clean transformation.† Surprisingly, the product was identified as biaryl lactone **5a**. The expected product, 1-hydroxyxanthone, was not formed. The structure of **5a** was elucidated by ¹H NMR, APT, H/H-COSY, HMBC, MS and HRMS experiments. A low field signal (¹H NMR) was diagnostic for the presence of an intramolecular hydrogen bond O–H…O.

The formation of **5a** can be explained by, what is to the best of our knowledge, the first domino retro-Michael-aldollactonization reaction (Scheme 1). The open-chain intermediate **B** is formed by a base induced retro-Michael reaction. The second aryl moiety is generated by an aldol reaction and subsequent elimination of water (intermediate **C**). A lactonization finally afforded the biaryl lactone **5a**. The formation of a 10-membered ring by lactonization of intermediate **B** and a subsequent transannular aldol condensation appears to be less likely (*vide infra*). Regarding the overall transformation $1 + 3a \rightarrow 5a$, three carbon atoms of the hydroxybenzene moiety of **5a**



5 (72 - 88%)

Scheme 1 Synthesis and domino retro-Michael–aldol–lactonization reactions of 2,3-dihydrobenzopyrans.

4/5	\mathbb{R}^1	\mathbb{R}^2	(4) [%] ^a	(5) [%] ^a
a	Н	Et	75	83
b	Me	Me	66	88
с	Et	Et	64	84
d	Allyl	Et	58	72
e	Bn	Et	56	73
f	OMe	Me	70	85
g	OBn	Et	67	78
a Isolated	yields. Product	s 4b-g were	obtained as mixt	ures of diaster-

^{*a*} Isolated yields. Products **4b–g** were obtained as mixtures of diastereomers.

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Scheme 2 Reaction of tris-silyl enol ether 6 with 2.

are derived from carbons C-2, C-3 and C-4 of bis-silyl enol ether 3a and from the three non-benzene carbons of 1. The lactone carbon of 5a stems from carbon C-1 of 3a.

First results related to the preparative scope of the new domino reaction are described next. Treatment of pyrans **4b**, **4c**, **4d** and **4e** with NEt₃ afforded the methyl, ethyl, allyl and benzyl substituted biaryl lactones **5b**, **5c**, **5d** and **5e**, respectively (Table 1). The reaction of **4f** and **4g** with NEt₃ gave the methoxy and benzyloxy substituted biaryl lactones **5f** and **5g**, respectively. All products were obtained in very good yields (72–88%).

The reaction of **2** with tris-silyl enol ether **6** afforded the masked pentaketide **7** in 51% yield (Scheme 2). Treatment of **7** with NEt₃ at 20 °C afforded the functionalized biaryl **8** in 63% yield *via* the open-chain intermediate **D**. This result supported our initial assumption that the formation of biaryl lactones **5** indeed proceeded by the mechanism as depicted in Scheme 1 rather than by initial lactonization. Biaryl **8** was isolated only as a side-product in 20% yield when the reaction of **7** with NEt₃ was carried out under reflux. Unexpectedly, the major product was biaryl lactone **5a** which was formed in 52% yield by formation of a hemiketal (intermediate **E**) and subsequent retroaldol reaction (extrusion of methyl acetate).

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Notes and references

[†] Synthesis of 4a: To chromone 1 (500 mg, 3.42 mmol) was added Me₃SiOTf (0.80 ml, 4.4 mmol) at 20 °C. After stirring for 1 h, CH₂Cl₂(7

ml), 2,6-lutidine (0.52 ml, 4.4 mmol) and 3a (1.22 g, 4.4 mmol) were added at 0 °C. The mixture was stirred for 12 h at 20 °C and subsequently poured into an aqueous solution of hydrochloric acid (10%). The organic and the aqueous layers were separated and the latter was extracted with CH2Cl2 (3 \times 100 ml). The combined organic layers were washed with water, dried (MgSO₄), filtered and the filtrate was concentrated *in vacuo*. The residue was purified by column chromatography (silica gel, ether-petroleum ether = $1:10 \rightarrow 1:1$) to give 4a as a colourless solid (708 mg, 75%). ¹H NMR (keto-enol = 9:1, $CDCl_3$, 250 MHz): δ = 1.07 (t, J = 6.5 Hz, 3 H, CH₃), 2.59 (m, 2 H, chain CH-CH₂), 2.78 (dd, ${}^{2}J = 17.2$, ${}^{3}J = 5.3$, 1 H, ring CH₂), $3.02 (dd, {}^{2}J = 17.2, {}^{3}J = 7.1, 1 H, ring CH_{2}), 3.38 (s, 2 H, chain CH_{2}), 4.00$ $(q, J = 6.5 \text{ Hz}, 2 \text{ H}, \text{ OCH}_2), 4.74 (m, 1 \text{ H}, \text{ CH-CH}_2, \text{ keto tautomer}), 4.65$ (m, CH-CH₂, enol tautomer), 4.95 (s, =CH-, enol tautomer), 6.70-6.85 (m, 2 H, Ar), 7.25 (t, J = 7.8 Hz, 1 H, Ar), 7.62 (dd, ${}^{3}J = 7.8$ Hz, ${}^{4}J = 1.5$, 1 H, Ar). ¹³C NMR (CDCl₃, 62.5 MHz, only keto tautomer listed): $\delta = 13.53$ (CH₃), 41.70, 46.77, 49.13 (CH₂), 60.86 (OCH₂), 72.92 (CH), 117.34 (CH), 120.29 (C), 121.02, 126.29, 135.50 (CH), 160.46, 166.30, 190.76, 198.97 (C). IR (KBr): $\tilde{v} = 3427$ (w), 3005 (m), 2991 (m), 2965 (m), 2948 (m), 2920 (m), 2906 (m), 1737 (s), 1707 (s), 1607 (s), 1578 (m), 1474 (s), 1459 (s), 1403 (s), 1316 (s), 1302 (s), 1278 (s), 1153 (s) cm⁻¹. MS (70 eV, *m/z*): 276 (M⁺, 20), 231 (3), 203 (7), 147 (100); the exact molecular mass for $C_{15}H_{16}O_5 m/z = 276.0997 \pm 2 \text{ mD} (M^+)$ was confirmed by HRMS (EI, 70 eV).

Synthesis of 5a: An ethanol solution (6 ml) of 4a (150 mg, 0.54 mmol) and NEt₃ (60 mg, 0.59 mmol) was stirred for 12 h at 20 °C. To the solution was added an aqueous solution of hydrochloric acid (1 M) and ether (50 ml). The organic and the aqueous layer were separated and the latter was extracted with ether (3 \times 100 ml). The combined organic layers were washed with water, dried (MgSO₄), filtered and the filtrate was concentrated in vacuo. The residue was purified by column chromatography (silica gel, ether-petroleum ether = 1:5) to give **5a** as a colourless solid (95 mg, 83%). ¹H NMR (CDCl₃, 250 MHz): δ = 7.06 (d, J = 8.2 Hz, 1 H, Ar), 7.30-7.40 (m, 2 H, Ar), 7.47 (dt, J = 5.5, J = 1.5 Hz, 1 H, Ar), 7.56 (d, J = 8.0 Hz, 1 H, Ar), 7.70 (t, J = 8.0 Hz, 1 H, Ar), 8.03 (dd, J = 5.5, J = 1.5 Hz, 1 H, Ar), 11.36 (s, 1 H, OH). ¹³C NMR (APT, CDCl₃, 50.3 MHz): $\delta = 106.10$ (C), 112.14, 116.46, 117.68 (CH), 118.25 (C), 123.32, 125.15, 130.59 (CH), 135.19 (C), 137.26 (CH), 150.50, 162.43, 165.39 (C). MS (EI, 70 eV): m/z = 212 (M⁺, 100), 184 (10); the exact molecular mass for $C_{13}H_8O_3 m/z = 212.0473 \pm 2 \text{ mD} (M^+)$ was confirmed by HRMS (EI, 70 eV). Anal.: Calcd. for C13H8O3: C 73.58, H 3.80. Found: C 73.34, H 3.98%. All new compounds gave satisfactory spectroscopic and analytical and/or high resolution mass data.

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