

First example of chiral *N*-heterocyclic carbenes as catalysts for kinetic resolution

Yumiko Suzuki,* Kaori Yamauchi, Kazuyuki Muramatsu and Masayuki Sato

School of Pharmaceutical Sciences, University of Shizuoka, 52-1 Yada, Shizuoka 422-8526, Japan.

E-mail: suzuyumi@smail.u-shizuoka-ken.ac.jp; Fax: +81(0)54 264 5755; Tel: +81(0)54 264 5755

Received (in Cambridge, UK) 2nd August 2004, Accepted 17th August 2004

First published as an Advance Article on the web 11th October 2004

Chiral *N*-heterocyclic carbenes, which are derived from C_2 -symmetric 1,3-bis(1-arylethyl)imidazolium salts, catalyze enantioselective acylation of racemic secondary alcohols.

N-Heterocyclic carbenes (NHC) are efficient catalysts for reactions such as the benzoin condensation¹ and the Stetter reaction.² The use of chiral NHC has led to asymmetric benzoin condensation^{3,4} and the asymmetric-intramolecular Stetter reaction.⁵

In our continuous studies on the NHC-catalysis,⁶ we explored the possibilities for an enantioselective acylation of secondary alcohols using chiral NHCs. Non-enzymatic catalysts for the kinetic resolution of racemic secondary alcohols have been extensively studied over the last decade. The development of chiral nucleophilic catalysts is one of the major breakthroughs,⁷ yet more selective, general, and easily accessible catalysts are in demand. Recently, the Nolan group⁸ and the Hedrick group⁹ independently reported the NHC-catalyzed transesterification/

acylation reaction. *N*-Heterocyclic carbenes are nucleophilic acylation catalysts and are readily synthesized. We assumed that chiral NHCs have the potential for kinetic resolution catalysis.

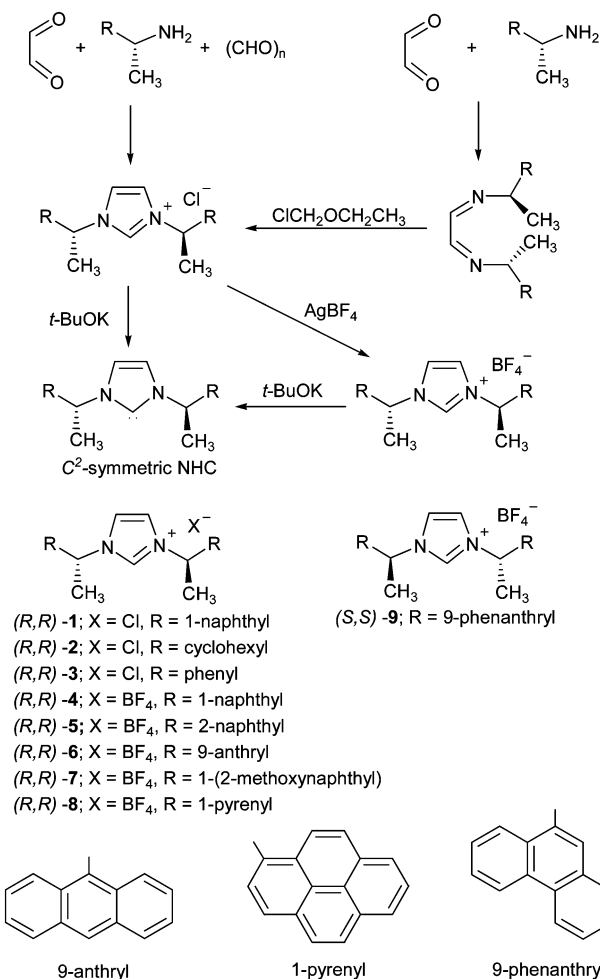
We selected the C_2 -symmetric imidazolium salt-derived NHCs as catalysts, since they can be easily prepared from inexpensive materials, namely, chiral amines, glyoxal, and formaldehyde or chloromethyl ethyl ether (Scheme 1).¹⁰

N-Heterocyclic carbenes were generated *in situ* from imidazolium salts and were used in the reactions. A mixture of catalytic amounts of imidazolium salts (3 mol%) and potassium *tert*-butoxide (*t*-BuOK, 2.5 mol%) in ether was stirred for 30 min, followed by the addition of vinyl acetate and alcohols. The results are summarized in Table 1.

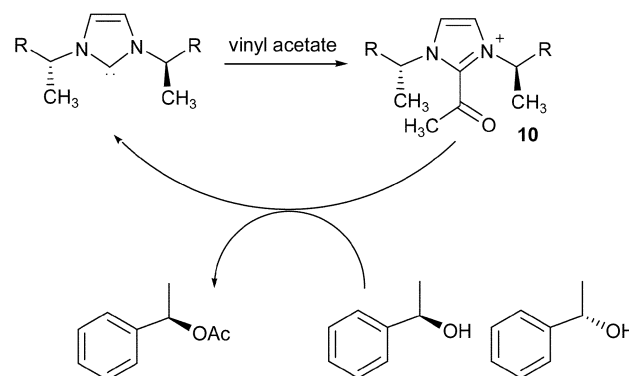
The acylation of 1-(1-naphthyl)ethanol (**11**) using (*R,R*)-**1** at room temperature provided acetate **15** in 21% yield with 42% ee (entry 1). The unreacted **11** was recovered in 69% yield with 21% ee. At 0 °C, the selectivity was improved (entry 2). Under the same conditions at room temperature, the acylation of 1-phenylethanol (**12**) provided acetate **16** in 29% yield with 31% ee, and **12** was recovered in 36% yield with 20% ee (entry 4). The catalysts (*R,R*)-**2** and (*R,R*)-**3** showed less selectivities than (*R,R*)-**1** (entries 3, 5). The reaction rate increased when imidazolium tetrafluoroborate (*R,R*)-**4**, instead of chloride (*R,R*)-**1**, was used in the acylation of **11** (entry 6). Imidazolium salt (*R,R*)-**5** has a 2-naphthyl substituent on the *N*-ethyl group and had less selectivity than (*R,R*)-**4** that has a 1-naphthyl substituent (entry 8).

These results led us to assume that an aromatic substituent on the *N*-ethyl group of NHC needs to be bulkier than naphthyl in order to achieve a better selectivity. Hence, we examined the reaction using (*R,R*)-**6,7,8** and (*S,S*)-**9** that have the aromatic substituents 9-anthryl, 1-(2-methoxynaphthyl), 1-pyrenyl, and 9-phenanthryl, respectively. In contrast, (*R,R*)-**6,7** had lower selectivities, and the reaction rates were slow. The 9-anthryl- and 1-(2-methoxynaphthyl) groups seem to hinder the attack of alcohol on the carbonyl carbon of intermediate **10** (Scheme 2). (*R,R*)-**8** and (*S,S*)-**9** showed comparable selectivities to (*R,R*)-**4**.

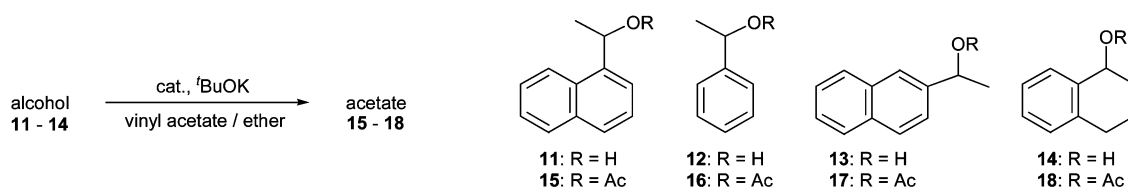
In summary, we have demonstrated for the first time that C_2 -symmetric *N*-heterocyclic carbenes catalyze the enantioselective acylation of racemic secondary alcohols. When an *N*-substituent of carbene is a (*R*)-1-arylethyl group, the acylation of 1-arylethanol



Scheme 1 C_2 -Symmetric imidazolium salts.



Scheme 2 Enantioselective acylation of secondary alcohols.

Table 1 Kinetic resolution of secondary alcohols

Entry	Racemic alcohol	Cat.	X	Condition	Resolved alcohol	Acetate yield (%) ^a	Ee (%) ^b	Alcohol yield (%)	Ee (%) ^b
1	11	(<i>R,R</i>)- 1	Cl	rt, 48 h	15	21	42 (<i>R</i>)	69	21 (<i>S</i>)
2	11	(<i>R,R</i>)- 1	Cl	0 °C, 48 h	15	21	51 (<i>R</i>)	79	11 (<i>S</i>)
3	11	(<i>R,R</i>)- 2	Cl	rt, 18 h	15	15	19 (<i>S</i>)	83	1 (<i>R</i>)
4	12	(<i>R,R</i>)- 1	Cl	rt, 72 h	16	29	31 (<i>R</i>)	36	20 (<i>S</i>)
5	12	(<i>R,R</i>)- 3	Cl	rt, 72 h	16	27	18 (<i>R</i>)	74	9 (<i>S</i>)
6	11	(<i>R,R</i>)- 4	BF ₄	0 °C, 24 h	15	33	45 (<i>R</i>)	56	22 (<i>S</i>)
7	11	(<i>R,R</i>)- 4	BF ₄	-15 °C, 72 h	15	14	58 (<i>R</i>)	85	8 (<i>S</i>)
8	11	(<i>R,R</i>)- 5	BF ₄	0 °C, 18 h	15	43	14 (<i>R</i>)	47	14 (<i>S</i>)
9	11	(<i>R,R</i>)- 6	BF ₄	0 °C, 48 h	15	6	23 (<i>R</i>)	80	5 (<i>S</i>)
10	11	(<i>R,R</i>)- 7	BF ₄	0 °C, 60 h and then rt, 48 h	15	4	13 (<i>R</i>)	84	<1
11	11	(<i>R,R</i>)- 8	BF ₄	0 °C, 12 h	15	27	49 (<i>R</i>)	73	20 (<i>S</i>)
12	11	(<i>S,S</i>)- 9	BF ₄	0 °C, 18 h	15	37	39 (<i>S</i>)	60	23 (<i>R</i>)
13	13	(<i>R,R</i>)- 4	BF ₄	0 °C, 18 h	17	44	44 (<i>R</i>)	49	37 (<i>S</i>)
14	14	(<i>R,R</i>)- 4	BF ₄	0 °C, 48 h	18	14	9	84	2

^a Isolated yield. ^b Enantioselectivities were measured by HPLC using a Chiralcel OD column or a Chiralpac AS column.

proceeded with *R*-selectivities, and in the case of a (*S*)-1-arylethyl group, the reaction proceeded with *S*-selectivities. Although the selectivities are not satisfactory, we anticipate that a further design and modification of a carbene structure will lead to the discovery of a practical catalyst for a kinetic resolution.

Notes and references

- (a) T. Ugai, R. Tanaka and S. Dokawa, *J. Pharm. Soc. Jpn.*, 1943, **63**, 296; (b) R. Breslow, *J. Am. Chem. Soc.*, 1958, **80**, 3719.
- (a) H. Stetter, *Angew. Chem.*, 1976, **88**, 695; (b) H. Stetter and H. Kuhlmann, *Org. React.*, 1991, **40**, 407.
- For a recent review on nucleophilic carbenes in asymmetric organocatalysis, see: D. Enders and T. Balensiefer, *Acc. Chem. Res.*, 2004, **37**, 534.
- (a) J. C. Sheehan and D. H. Hunneman, *J. Am. Chem. Soc.*, 1966, **88**, 3666; (b) J. C. Sheehan and T. Hara, *J. Org. Chem.*, 1974, **39**, 1196; (c) D. Enders and K. Breuer, *Helv. Chim. Acta*, 1996, **79**, 1217; (d) R. L. Knight and F. J. Leeper, *Tetrahedron Lett.*, 1997, **38**, 3611; (e) C. A. Dvorak and V. H. Rawal, *Tetrahedron Lett.*, 1998, **39**, 2925; (f) R. L. Knight and F. J. Leeper, *J. Chem. Soc., Perkin Trans. 1*, 1998, 1891; (g) D. Enders and U. Kallfass, *Angew. Chem., Int. Ed.*, 2002, **41**, 1743.
- (a) D. Enders, K. Breuer, J. Runsink and J. H. Teles, *Helv. Chim. Acta*, 1996, **79**, 1899; (b) M. S. Kerr, J. Read de Alaniz and T. Rovis, *J. Am. Chem. Soc.*, 2002, **124**, 10298.
- (a) A. Miyashita, Y. Suzuki, M. Kobayashi, N. Kuriyama and T. Higashino, *Heterocycles*, 1996, **43**, 509; (b) A. Miyashita, Y. Suzuki, K. Iwamoto and T. Higashino, *Chem. Pharm. Bull.*, 1998, **46**, 390; (c) Y. Suzuki, T. Toyota, F. Imada, M. Sato and A. Miyashita, *Chem. Commun.*, 2003, 1314.
- (a) D. E. J. E. Robinson and S. D. Bull, *Tetrahedron: Asymmetry*, 2003, **14**, 1407; (b) A. C. Spivey, A. Maddaford and A. J. Redgrave, *Org. Prep. Proced. Int.*, 2000, **32**, 331.
- (a) G. A. Grasa, R. M. Kissling and S. P. Nolan, *Org. Lett.*, 2002, **4**, 3583; (b) G. A. Grasa, T. Güveli, R. Singh and S. P. Nolan, *J. Org. Chem.*, 2003, **68**, 2812; (c) R. Singh, R. M. Kissling, M.-A. Letellier and S. P. Nolan, *J. Org. Chem.*, 2004, **69**, 209.
- (a) G. W. Nyce, J. A. Lamboy, E. F. Connor, R. M. Waymouth and J. L. Hedrick, *Org. Lett.*, 2002, **4**, 3587; (b) G. W. Nyce, T. Glauser, E. F. Connor, A. Möck, R. M. Waymouth and J. L. Hedrick, *J. Am. Chem. Soc.*, 2003, **125**, 3046.
- (a) W. A. Herrmann, L. J. Goossen, C. Köcher and G. R. J. Artus, *Angew. Chem., Int. Ed. Engl.*, 1996, **35**, 2805; (b) A. J. Arduengo, III, R. Krafczyk and R. Schmutzler, *Tetrahedron*, 1999, **55**, 14523.