Carbocycles *via* enantioselective inter- and intramolecular iridiumcatalysed allylic alkylations[†]

Stephane Streiff, Carolin Welter, Mathias Schelwies, Gunter Lipowsky, Nicole Miller and Günter Helmchen*

Received (in Cambridge, UK) 14th March 2005, Accepted 14th April 2005 First published as an Advance Article on the web 29th April 2005 DOI: 10.1039/b503713a

Carbocycles with > 90% ee were prepared *via* Ir-catalysed asymmetric allylic alkylation/ring closing metathesis sequences or enantioselective Ir-catalysed intramolecular allylic alkylations.

Asymmetric allylic substitutions have been studied with great intensity over the last decade.¹ Recently, reactions of monosubstituted allylic derivatives according to Scheme 1 have come into focus. In these reactions a very high increase of value can be created, if regioselectivity in favour of the branched, chiral product is achieved.

Recent work by us and others clearly shows that iridium complexes of electron poor ligands are useful catalysts, as only iridium catalysts have yielded uniformly good to excellent results in asymmetric alkylations,² aminations³ and etherifications⁴ of arylas well as alkylallyl derivatives. In addition, the price of iridium is low compared to most other precious metals.⁵ In this report we describe improved reaction conditions for allylic alkylations, allylic alkylation/ring closing metathesis (RCM) sequences, and the first Ir-catalysed intramolecular allylic alkylations.

Complexes prepared from $[Ir(COD)Cl]_2$ and phosphorus amidites⁶ derived from 2,2'-dihydroxybinaphthalene (BINOL) and 2-arylethylamines (Fig. 1) are probably the best suited catalysts until now.^{7,8} However, success with these ligands requires close attention to catalyst preparation, because ligands can be altered by C–H activation at aryl or CH₃ groups. The following procedures were developed (THF, rt): (A) Mixing [Ir(COD)Cl]₂ and ligand L* in 1 : 2 ratio. This procedure works well for aminations, in particular with ligand L2, which generally induces higher selectivity than L1.^{2/3a} (B) Procedure A and LiCl as





† Electronic supplementary information (ESI) available: analytic data, general experimental procedures. See http://www.rsc.org/suppdata/cc/b5/b5/03713a/

*g.helmchen@urz.uni-heidelberg.de



Fig. 1 Chiral phosphorus amidite ligands.

additive. This procedure was worked out for alkylations using ligand L1,^{2d,7} but gave superior results in conjunction with L2 as was shown by Alexakis and Polet.^{2e} (C) For *in situ* C–H activation of L1 at the CH₃ group, the mixture according to A was treated with base (TBD^{3a} or DABCO^{3c})⁹ in order to form an activated complex of type **K** (Fig. 2).¹⁰ While this procedure yielded an excellent catalyst for aminations, results for alkylations using ligand L1 were not satisfactory. (D) Finally, an optimal catalyst for alkylations was obtained by treating a mixture of [Ir(COD)Cl]₂, L*, THT (tetrahydrothiophene) and THF with the base TBD for 2 h at rt, then the allylic substrate and subsequently CuI were added. In conjunction with ligand L1 reaction times of 2 h at rt sufficed to generate products with reliably 96% ee for a broad spectrum of products (*cf.* Table 1).^{2c}



Fig. 2 Species generated by base activation.

Table 1 Ir-catalysed allylic alkylations of carbonates 1 using phosphorus amidites as ligands (*cf.* Scheme 1)^{*a*}

Entry	1–3	Ligand	Time $(h)^b$	Yield ^c (%)	2/3 ^d	Ee (%) ^e
1	я	L1	1	88	99 · 1	96
2	a	L1 L2	0.5	92	$> 99 \cdot 1$	98
$\frac{2}{3^f}$	и я	12	3	84	$98 \cdot 2$	98
4^g	a	L2	120	83	96 · 4	95
5	a	L3	0.75	88	99 · 1	96
6	a	1.6	16	70	$97 \cdot 3$	79
7	a	L7	1.5	81	99:1	86
8	b	L1	1.5	92	98:2	96
9	Ď	L2	1	80	99:1	98
10	b	L3	0.5	89	98:2	95
11	с	L1	2	92	81:19	96
12	с	L2	0.5	93	91:9	98
13 ^h	с	L2	12	87	86:14	96
14	с	L3	1	70	80:20	97
15	c	L4	3	88	88:12	88
16	c	L5	2	90	88:12	94
17	c	L6	24	74	82:18	94
18	c	L7	< 20	82	71:29	72
19	d	L1	2	88	88:12	97
20	d	L2	2	68	70:30	96
21	e	L1	3	85	$\geq 98:2^i$	89
22	e	L2	1	74	96:4	97
23	f	L1	4	71	92:8	88
24	f	L2	2	78	93:7	92

^{*a*} If not stated otherwise, reactions were carried out on a 1 mmol scale of **1** using conditions D with 2 mol% of [Ir(COD)Cl]₂, 4 mol% of ligand, 20 mol% of THT, 8 mol% of TBD (activation for 2 h) and 20 mol% of CuI. For preparative procedures and absolute configurations of the products see ESI. Entries 1, 8, 11 and 19 are taken from ref. 2*c* for comparison. ^{*b*} Reaction time. ^{*c*} Yield of the isolated product. ^{*d*} Determined by ¹H NMR spectroscopic analysis of the crude product. ^{*e*} Determined by HPLC, see ESI. ^{*f*} Reaction performed with 0.2 mol% of [Ir(COD)Cl]₂, 0.4 mol% of L2, scale: 6 mmol of substrate. ^{*g*} Reaction performed with 0.05 mol% of Ir(COD)Cl]₂, 0.1 mol% of L2, scale: 6 mmol of substrate. ^{*h*} Reaction using conditions C. ^{*i*} Isomer **3e** was not found.

We were particularly interested in probing the effectiveness of ligand L2 under conditions D, hoping to gain further increase of reactivity and regioselectivity. In addition to the privileged substrate 1a (*cf.* Table 1) a range of more significant substrates, 1b–1f, were investigated. Furthermore, the known ligands L1–L3 were supplemented by new phosphorus amidites L4–L7 derived from non-symmetric amines or 2,2'-dihydroxybiphenyl derivatives (Fig. 1).

From the results presented in Table 1 the following main conclusions are drawn: (a) Except in the case of substrate 1d, ligand L2 induces the highest degrees of regio- as well as enantioselectivity. The basis for its superiority is perhaps a quantitative (steric bulk) rather than a qualitative effect (hemilability^{2e}). As a working hypothesis we assume that increase of the steric bulk of L leads to enhanced liberation of L from complex K, thus formation of a reactive 16 VE complex is favoured. (b) Catalyst activation with base is important (cf. entry 13). With an optimal catalyst (procedure D) s/c ratios of up to 1000 : 1 are possible (entries 3,4). (c) Selectivities are higher for systems with sp²-bound (entries 1–10) than with sp^3 -bound substituents (entries 11–20). (d) Ligands L4 and L5 were devised in order to replace the residual chiral arylethyl group of **K** by an achiral group. These ligands are clearly inferior to L1-L3. (e) Ligands L6 and L7 are the least efficient (cf. entries 4,5,17,18). In fact, according to stereo models of complexes



Scheme 2 RCM using Grubbs' catalyst I.

analogous to K, there is considerable interaction of one of the substituents R with the COD moiety.

As a first approach towards carbocycles, the combination of allylic alkylation and ring closing metathesis according to Scheme 2 was probed.¹¹ Gratifyingly, the crucial Ir-catalysed alkylations of 2-allyl- and 2-(buten-4-yl)-malonates proceeded with high degrees of regioselectivity to give the branched products **2e** and **2f**, respectively, in good yield (Table 1, entries 21–24). Again, ligand **L2** gave superior results, *i.e.* regioselectivities > 13 : 1 in favour of the branched product and enantiomeric excess of 92–97% ee. Diene **2e** was also prepared in 82% yield by alkylation of the sodium enolate of **2a** with allyl bromide.

Dienes 2e and 2f were subjected to RCM, using Grubbs' catalyst I,¹² which furnished the cyclisation products 4 and 5 in yields > 90%. The cyclohexene derivative 5 was obtained enantiomerically pure after recrystallization (> 99% ee). These examples demonstrate that the combination of Ir-catalysed allylic alkylation and RCM constitutes a powerful method for construction of carbocycles.

After having established the feasibility of generating products with quaternary carbon, extension of our work to intramolecular allylic cyclisations according to Scheme 3 was studied. The results are described in Table 2.

Initial experiments using procedure C,¹³ previously worked out for intramolecular aminations,^{3a} suffered from low reproducibility.

It turned out that the base initially used for generation of malonate anion, NaH at a reaction temperature of -20 °C, was not well suited because of competing non-catalysed cyclisation, which could not be suppressed. Accordingly, the anion was prepared at low temperature by addition of n-butyl lithium at -78 °C and the cyclisation run at both lower temperature $(-78 \text{ }^{\circ}\text{C} \rightarrow \text{rt})$ and concentration (0.25 M) than the intermolecular reaction. Although longer reaction times were required, yields and enantioselectivities were excellent. Once again, optimal results were obtained with ligand L2 (cf. Table 2, entries 2 and 7). A similar dependence on ligand structure was observed as for intramolecular aminations.¹⁴ Cyclisations according to Scheme 3 were previously studied with (PHOX)Pd catalysts,15 which were generally less effective than the Ir-catalysts described here. In the course of the previous work, absolute configurations of carbocycles 8 and 9 were determined by chemical correlation.¹⁶



Scheme 3 Intramolecular Ir-catalysed allylic alkylations.

Table 2Intramolecular Ir-catalysed allylic alkylations of carbonates6 and 7 according to Scheme 3^a

Entry	Substrate	Ligand	Product	Yield ^b (%)	Ee $(\%)^c$ (Abs. Conf.)
1 2 3 4 5	6	L1 L2 L3 L4 L5	MeO ₂ C CO ₂ Me	68 77 81 74 78	85 (<i>R</i>) 96 (<i>R</i>) 93 (<i>R</i>) 91 (<i>R</i>) 86 (<i>R</i>)
6 7 8 9 10	7	L1 L2 L3 L4 L5	MeO ₂ C CO ₂ Me	71 79 83 73 67	89 (<i>R</i>) 97 (<i>R</i>) 95 (<i>R</i>) 95 (<i>R</i>) 87 (<i>R</i>)

^{*a*} All reactions were carried out on a 0.5 mmol scale using conditions C with 2 mol% of [Ir(COD)Cl]₂, 4 mol% of ligand and 2 h of activation with TBD (8 mol%). See ESI for experimental procedures. ^{*b*} Yield of isolated product. ^{*c*} Determined by GC (Chrompack permethyl β-cyclodextrin, Cp-Cyclodextrin-B-236-M-19 (25 m × 0.25 mm), 120 °C isothermal, injection temp. 200 °C, $t_{\rm R}[(S)$ -8] = 11.2 min, $t_{\rm R}[(R)$ -8] = 11.5 min, $t_{\rm R}[(S)$ -9] = 18.5 min, $t_{\rm R}[(R)$ -9] = 19.2 min).

In conclusion, improved reaction conditions for Ir-catalysed allylic alkylations based on the use of known and new phosphorus amidite ligands derived from 1-(2-methoxyphenyl)ethylamine were developed. Unsaturated carbocycles were prepared *via* allylic alkylation with sodioalkenylmalonates followed by ring closing metathesis. In addition, the first Ir-catalysed intramolecular allylic alkylations are reported.

This work was supported by the Deutsche Forschungsgemeinschaft (SFB 623), the Degussa-Stiftung and the Fonds der Chemischen Industrie. We thank Markus Krauter for competent experimental assistance, Degussa AG for iridium salts, Dr G. Egri (Reuter Chemischer Apparatebau KG) for (*S*)-BINOL and Dr K. Ditrich (BASF AG) for enantiomerically pure 2-arylethylamines.

Stephane Streiff, Carolin Welter, Mathias Schelwies, Gunter Lipowsky, Nicole Miller and Günter Helmchen*

Organisch-Chemisches Institut der Ruprecht-Karls-Universität Heidelberg, Im Neuenheimer Feld 270, 69207, Heidelberg, Germany. E-mail: g.helmchen@urz.uni-heidelberg.de; Fax: +49 6221 544205; Tel: +49 6221 548401

Notes and references

- (a) B. M. Trost and C. Lee, in *Catalytic Asymmetric Synthesis*, ed. I. Ojima, Wiley, New York, 2000, 2nd edn., p. 593; (b) A. Pfaltz and M. Lautens, in *Comprehensive Asymmetric Catalysis I–III*, ed. E. N. Jacobsen, A. Pfaltz and H. Yamamoto, Springer, Berlin, 1999, p. 833; (c) B. M. Trost and M. L. Crawley, *Chem. Rev.*, 2003, **103**, 2921.
- 2 (a) B. Bartels and G. Helmchen, *Chem. Commun.*, 1999, 741; (b)
 B. Bartels, C. Garcia-Yebra, F. Rominger and G. Helmchen, *Eur. J. Inorg. Chem.*, 2002, 2569; (c) G. Lipowsky, N. Miller and G. Helmchen, *Angew. Chem., Int. Ed.*, 2004, 43, 4595; (d)
 G. Lipowsky and G. Helmchen, *Chem. Commun.*, 2004, 116; (e)
 A. Alexakis and D. Polet, *Org. Lett.*, 2004, 6, 3529; (f) K. Tissot-Croset, D. Polet and A. Alexakis, *Angew. Chem., Int. Ed.*, 2004, 43, 2426.
- 3 (a) C. Welter, O. Koch, G. Lipowsky and G. Helmchen, *Chem. Commun.*, 2004, 896; (b) A. Leitner, C. Shu and J. F. Hartwig, *Proc. Natl. Acad. Sci. USA*, 2004, **101**, 5930; (c) C. Shu, A. Leitner and J. F. Hartwig, *Angew. Chem., Int. Ed*, 2004, **43**, 4797.
- 4 (a) F. Lopez, T. Ohmura and J. F. Hartwig, J. Am. Chem. Soc., 2003, 125, 3426; (b) Kinetic resolution: C. Fischer, C. Defieber, T. Suzuki and E. M. Carreira, J. Am. Chem. Soc., 2004, 126, 1628.
- 5 The world market prices per ounce (October 2003) are: rhodium \$500, palladium \$199, iridium \$90.
- 6 Survey: B. L. Feringa, Acc. Chem. Res., 2000, 33, 346.
- 7 B. Bartels, C. Garcia-Yebra and G. Helmchen, Eur. J. Org. Chem., 2003, 1097.
- 8 Other ligands probed have been phosphites (cf. N. Kinoshita, K. H. Marx, K. Tanaka, K. Tsubaki, T. Kawabata, N. Yoshikai, E. Nakamura and K. Fuji, J. Org. Chem., 2004, 69, 7960 and literature cited therein); phosphinooxazolines (ref. 3a) and Pybox (H. Miyabe, A. Matsumura, K. Moriyama and Y. Takemoto, Org. Lett., 2004, 6, 4631).
- 9 TBD: 1,5,7-triazabicyclo[4.4.0]dec-5-ene; DABCO: 1,4-diazabicyclo-[2.2.2]octane.
- 10 C. A. Kiener, C. Shu, C. Incarvito and J. F. Hartwig, J. Am. Chem. Soc., 2003, 125, 14272.
- 11 P. A. Evans *et al.* have reported a similar regioselective but not enantioselective Rh-catalysed allylic alkylation, using alkenylmalonates as nucleophiles, followed by RCM to furnish monocyclic carbocycles: (*a*) P. A. Evans and L. J. Kennedy, *Tetrahedron Lett.*, 2001, **42**, 7015; see also B. M. Trost and C. Jiang, *Org. Lett.*, 2003, **5**, 1563. An asymmetric Cu-catalysed allylic substitution/RCM sequence was reported by the Alexakis group: K. Tisot-Croset, D. Polet, S. Gille, C. Hawner and A. Alexakis, *Synthesis*, 2004, 2586.
- 12 Cl₂(PCy₃)₂Ru=CHPh, cf. P. Schwab, M. B. France, J. W. Ziller and R. H. Grubbs, Angew. Chem., Int. Ed. Engl., 1995, 34, 2039.
- 13 In this case, use of procedure D did not lead to improvement.
- 14 C. Welter, A. Dahnz, B. Brunner, S. Streiff, P. Dübon and G. Helmchen, Org. Lett., 2005, 7, 1239.
- 15 G. Helmchen and A. Pfaltz, Acc. Chem. Res., 2000, 6, 336.
- 16 8: G. Koch and A. Pfaltz, *Tetrahedron: Asymmetry*, 1996, 7, 2213; 9: M. Peer, Dissertation, Universität Heidelberg, Heidelberg, Germany, 1996.