

A modular design of ruthenium catalysts with diamine and BINOL-derived phosphinite ligands that are enantiomerically-matched for the effective asymmetric transfer hydrogenation of simple ketones†

Rongwei Guo, Christian Elpelt, Xuanhua Chen, Datong Song and Robert H. Morris*

Received (in Berkeley, CA, USA) 14th February 2005, Accepted 14th April 2005

First published as an Advance Article on the web 6th May 2005

DOI: 10.1039/b502123e

A method is reported for making a potentially very wide series of ruthenium hydrido chloro complexes with diamine and readily-prepared diphosphinite ligand modules as precatalysts for the asymmetric transfer hydrogenation of simple ketones to give chiral alcohols in good yield and enantioselectivity.

Chiral alcohols are very important building blocks and synthetic intermediates in organic synthesis and the pharmaceutical industry.^{1,2} The reduction of prochiral ketones to give chiral alcohols is among the most fundamental subjects in modern synthetic chemistry. Noyori and co-workers provided an elegant solution for the asymmetric catalytic H₂-hydrogenation of simple aryl ketones.^{3–6} Complexes of the type *trans*-RuCl₂(diamine)(diphosphine) with matching configurations of the chiral diphosphine and diamine, e.g. (*S*)-BINAP/(*S,S*)-DPEN, show high reactivity and enantioselectivity. The use of organometallic complexes as catalysts for asymmetric transfer hydrogenation from a suitable donor (usually 2-propanol or formic acid) has been the subject of ongoing research for some decades. Efficient catalysts for the asymmetric transfer hydrogenation of ketones include Evans' samarium complexes with chiral amino alcohol ligands⁷ and Noyori's ruthenium complexes containing arene and *N*-(*p*-toluenesulfonyl)-1,2-diphenylethylenediamine (TsDPEN) ligands.⁸ Many other useful catalysts have been reported.^{1a,9–12} Chiral diphosphinite ligands derived from the reaction of 1,1'-bi-2-naphthol (BINOL) with chlorodiarylphosphine are easily synthesized and modified and they are widely used as chiral auxiliaries in rhodium, iridium and palladium asymmetric catalytic reactions.^{13–16} No Ru/diphosphinite/diamine complexes have been investigated for the transfer hydrogenation of prochiral ketones although such complexes with monodentate phosphinite ligands have been used recently for such H₂-hydrogenations.¹⁷ Our group previously reported that ruthenium phosphine diamine hydrido complexes are effective precatalysts for the H₂-hydrogenation and transfer hydrogenation of prochiral ketones and imines to give optically active alcohols and amines.¹⁸ Herein, we report the convenient, modular synthesis and characterization of complexes of the type *trans*-RuHCl(diphosphinite)(diamine) and their application in the asymmetric transfer hydrogenation of ketones. This approach

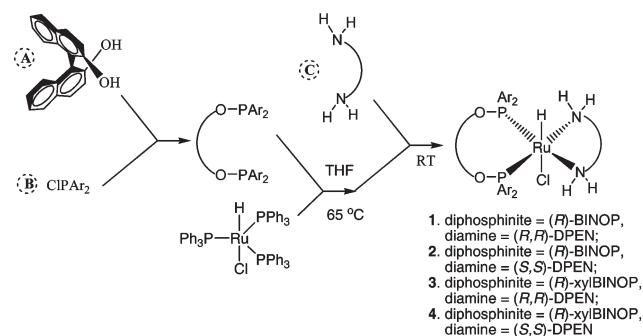
allows the opportunity for rapid tuning of the catalyst structure due to the modular nature of the ligands and precatalysts.

A wide variety of catalyst structures are readily constructed from three modules A–C. First BINOL (A, (*R*) or (*S*)) and diarylchlorophosphine (B, Ar = Ph or 3,5-Me₂C₆H₃ (xyl)) are combined to give the phosphinite ligand. Then this is reacted with RuHCl(PPh₃)₃ to produce an intermediate complex RuHCl(diphosphinite)(PPh₃) that is reacted *in situ* with a diamine C (in this case (*R,R*)- or (*S,S*)-DPEN) to produce the desired *trans*-RuHCl(diphosphinite)(diamine) complexes in yields of up to 88% (Scheme 1).

The yellow solid product usually consists of two diastereomers in the ratio of 2:1 for **1** and **3** and 9:1 for **2** and only one for **4**. Their structures depend on the placement of the *trans* hydride and chloride groups relative to the folded backbone of the diphosphinite ligand. The minor isomer slowly changes to the major isomer as the product crystallizes from solution over the course of two days. The major isomer also can partly isomerize to the minor isomer when it stays in solution for more than 24 h.

The structure of **3** (Fig. 1)† is similar to that of *trans*-RuHCl((*R*)-BINAP)((*R,R*)-DPEN)^{18a} with the PPNN atoms in the equatorial plane of a slightly distorted octahedron and with the Ru–Cl bond leaning toward the NN side. The BINOP ligand is folded over the hydride ligand. Structure determinations of BINOP-like complexes are scarce but these also have folded diphosphinite ligands.¹⁹

A comparison of complexes **1–4** as precatalysts for the asymmetric transfer hydrogenation of acetophenone by 2-propanol in the presence of KO^tBu is summarized in Table 1. These complexes show slightly higher activity than Noyori's system RuCl₂((*R*)-BINAP)((*R,R*)-DPEN)/base/^tPrOH in the transfer hydrogenation of ketones.^{8,20} The TOF is more than 30 h^{–1} at



Scheme 1 Synthesis of *trans*-RuHCl(diphosphinite)(diamine) complexes.

† Electronic supplementary information (ESI) available: Synthesis and characterization of **1–4** and the details of a typical catalytic reaction. See <http://www.rsc.org/suppdata/cc/b5/b502123e/>

*rmorris@chem.utoronto.ca

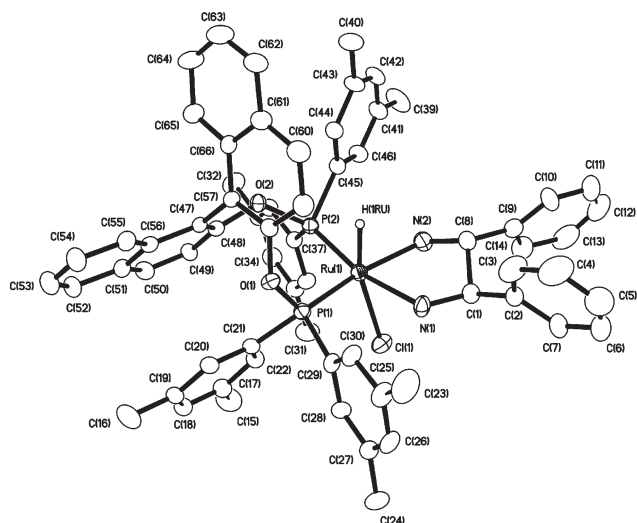


Fig. 1 Structure of *trans*-RuHCl((*R*)-xylBINOP)((*R,R*)-DPEN) **3**.

Table 1 Transfer hydrogenation of acetophenone with 2-propanol catalyzed by *trans*-RuHCl(diphosphinite)(diamine) complexes

Catalyst	Conv. (%)	ee (%)
RuHCl((<i>R</i>)-BINOP)((<i>R,R</i>)-DPEN) 1	96	79 (<i>R</i>)
RuHCl((<i>R</i>)-BINOP)((<i>S,S</i>)-DPEN) 2	95	47 (<i>R</i>)
RuHCl((<i>R</i>)-xylBINOP)((<i>R,R</i>)-DPEN) 3	97	92 (<i>R</i>)
RuHCl((<i>R</i>)-xylBINOP)((<i>S,S</i>)-DPEN) 4	96	63 (<i>R</i>)

^a The reactions were carried out in a glove-box under Ar at 20 °C for 3 h; substrate/cat. = 100; [acetophenone] = 0.1 M.

20 °C (Table 1, entries 1–4) while Noyori's catalyst has a TOF of 10 h⁻¹ at 28 °C.^{1c} Complex **3** with the more rigid and crowded phosphinite ligand (*R*)-xylBINOP and matching diamine (*R,R*)-DPEN gives the best enantioselectivity (up to 92% ee) with the product in the *R* configuration (Table 1, entry 3). Complex **4** with (*R*)-xylBINOP and mismatched (*S,S*)-DPEN gives a lower enantioselectivity (Table 1, entry 4).

The scope of the reaction with catalyst **3** was investigated by the use of aryl methyl ketones. Most of the reactions were carried out under Ar at 20 °C and reached the maximum conversion allowed for the particular equilibrium within 3 h (Table 2).

The results show that the ee values and conversions are significantly affected by the substrate concentration. A lower concentration gives a higher conversion and enantiomeric excess of the (*R*)-alcohol (Table 2, entries 1–4). The ratio of the substrate to catalyst has less effect on the enantioselectivity (Table 2, entries 3, 5 and 6). The rate and enantioselectivity are also affected by the electronic properties of the substituent on the phenyl rings. An acetophenone substituted in the *para* position with an electron-releasing group, such as 4'-methyl and 4'-methoxyl, is reduced more slowly than acetophenone and is converted to an alcohol of lower ee (Table 2, entries 7 and 8). The *ortho*-substituted acetophenone, 2'-chloroacetophenone, is reduced slowly and shows a significantly lower enantioselectivity (entry 11, Table 2).

Table 2 Transfer hydrogenation of ketones catalyzed by complex **3**^a

Entry	R	Conc./M	Time/h	Conv. (%)	ee (%)
1	H	2.4	6	48	22 (<i>R</i>)
2	H	0.7	6	65	63 (<i>R</i>)
3	H	0.1	3	94	92 (<i>R</i>)
4	H	0.05	3	96	93 (<i>R</i>)
5 ^b	H	0.1	18	95	89 (<i>R</i>)
6 ^c	H	0.1	30	95	87 (<i>R</i>)
7	4'-Me	0.1	3	90	85 (<i>R</i>)
8	4'-MeO	0.1	8	78	88 (<i>R</i>)
9	4'-Br	0.1	3	95	92 (<i>R</i>)
10	4'-Cl	0.1	3	98	88 (<i>R</i>)
11	2'-Cl	0.1	3	72	80 (<i>R</i>)
12	3'-MeO	0.1	3	96	90 (<i>R</i>)
13	3'-Br	0.1	3	99	90 (<i>R</i>)
14	3'-Cl	0.1	3	98	92 (<i>R</i>)

^a All the reactions were carried out in a glove-box under Ar at 20 °C with ketone/cat. = 100. ^b Ketone/cat. = 500. ^c Ketone/Cat. = 1000.

These observations have also been reported for Noyori's transfer hydrogenation catalysts.⁸

In conclusion, we have developed an effective, modular catalytic system for the asymmetric transfer hydrogenation of ketones. The *trans*-RuHCl(phosphinite)(diamine) complexes are made in a "one-pot" procedure in high yield. The enantioselectivity of the catalyst can be tuned by modifying the diphosphinite ligand. Studies are currently underway to compare the H₂-hydrogenation and transfer hydrogenation of ketones catalyzed by these kinds of complexes.

R. H. M. thanks NSERC and the PRF as administered by the American Chemical Society, for research grants.

Rongwei Guo, Christian Elpelt, Xuanhua Chen, Datong Song and Robert H. Morris*

Department of Chemistry, University of Toronto, Ontario, Canada.
E-mail: rsmorris@chem.utoronto.ca; Fax: 1-416-978 6962;
Tel: 1-416-978 6962

Notes and references

‡ Crystal data for **3**: C₇₈H₇₇ClN₂O₂P₂Ru, M_r = 1272.87, T = 293 K, λ = 0.71073 Å, monoclinic, P2₁, a = 11.735(2), b = 17.146(3), c = 17.634(4) Å, β = 105.44(3)°, V = 3420(1) Å³, Z = 2, D_c = 1.236 Mg m⁻³, μ = 0.362 mm⁻¹, data/restraints/parameters = 14081/1/719, R₁ = 0.0610, wR₂ (all) = 0.1548. CCDC reference number 263842. See <http://www.rsc.org/suppdata/cc/b5/b502123e/> for crystallographic data in CIF or other electronic format.

- (a) H. U. Blaser, C. Malan, B. Pugin, F. Spindler, H. Steiner and M. Studer, *Adv. Synth. Catal.*, 2003, **345**, 103; (b) R. Noyori, *Adv. Synth. Catal.*, 2003, **345**, 15; (c) R. Noyori and T. Ohkuma, *Angew. Chem., Int. Ed.*, 2001, **40**, 40.
- (a) R. Noyori, T. Ikeda, T. Ohkuma, M. Widhalm, M. Kitamura, H. Takaya, S. Akutagawa, N. Sayo, T. Saito, T. Taketomi and H. Kumobayashi, *J. Am. Chem. Soc.*, 1989, **111**, 9134; (b) M. Kitamura, T. Ohkuma, S. Inoue, N. Sayo, H. Kumobayashi, S. Akutagawa, T. Ohta, H. Takaya and R. Noyori, *J. Am. Chem. Soc.*, 1988, **110**, 629.
- T. Ohkuma, H. Ooka, S. Hashiguchi, T. Ikariya and R. Noyori, *J. Am. Chem. Soc.*, 1995, **117**, 2675.
- T. Ohkuma, H. Ooka, T. Ikariya and R. Noyori, *J. Am. Chem. Soc.*, 1995, **117**, 10417.

-
- 5 T. Ohkuma, M. Koizumi, H. Doucet, T. Pham, M. Kozawa, K. Murata, E. Katayama, T. Yokozawa, T. Ikariya and R. Noyori, *J. Am. Chem. Soc.*, 1998, **120**, 13529.
 - 6 H. Doucet, T. Ohkuma, K. Murata, T. Yokozawa, M. Kozawa, E. Katayama, A. F. England, T. Ikariya and R. Noyori, *Angew. Chem., Int. Ed.*, 1998, **37**, 1703.
 - 7 D. A. Evans, S. G. Nelson, M. R. Gagne and A. R. Muci, *J. Am. Chem. Soc.*, 1993, **115**, 9800.
 - 8 S. Hashiguchi, A. Fujii, J. Takehara, T. Ikariya and R. Noyori, *J. Am. Chem. Soc.*, 1995, **117**, 7562.
 - 9 J. Hannedouche, G. J. Clarkson and M. Wills, *J. Am. Chem. Soc.*, 2004, **126**, 986.
 - 10 X. Li, X. Wu, W. Chen, F. E. Hancock, F. King and J. Xiao, *Org. Lett.*, 2004, **6**, 3321.
 - 11 T. Hamada, T. Torii, T. Onishi, K. Izawa and T. Ikariya, *J. Org. Chem.*, 2004, **69**, 7391.
 - 12 K. Ohno, Y. Kataoka and K. Mashima, *Org. Lett.*, 2004, **6**, 4695.
 - 13 M. Mori, N. Nakanishi, D. Kajishima and Y. Sato, *J. Am. Chem. Soc.*, 2003, **125**, 9801.
 - 14 Y.-G. Zhou, W. Tang, W.-B. Wang, W. Li and X. Zhang, *J. Am. Chem. Soc.*, 2002, **124**, 4952.
 - 15 (a) F. Y. Zhang, W. H. Kwok and A. S. C. Chan, *Tetrahedron: Asymmetry*, 2001, **12**, 2337; (b) R. Guo, T. T. L. Au-Yeung, J. Wu, M. C. K. Choi and A. S. C. Chan, *Tetrahedron: Asymmetry*, 2002, **13**, 2519; (c) R. Guo, J. Wu, W. H. Kowk, J. Chen, M. C. K. Choi and Z. Y. Zhou, *Acta Crystallogr., Sect. E*, 2002, **58**, o270.
 - 16 F. Menges and A. Pfaltz, *Adv. Synth. Catal.*, 2002, **344**, 40.
 - 17 Y. Xu, N. W. Alcock, G. J. Clarkson, G. Docherty, G. Woodward and M. Wills, *Org. Lett.*, 2004, **6**, 4105.
 - 18 (a) K. Abdur-Rashid, A. J. Lough and R. H. Morris, *Organometallics*, 2001, **20**, 1047; (b) V. Rautenstrauch, X. Hoang-Cong, R. Churlaud, K. Abdur-Rashid and R. H. Morris, *Chem. Eur. J.*, 2003, **9**, 4954; (c) R. Guo, A. J. Lough, R. H. Morris and D. Song, *Organometallics*, 2004, **23**, 5524; (d) T. Li, R. Churlaud, A. J. Lough, K. Abdur-Rashid and R. H. Morris, *Organometallics*, 2004, **23**, 6239.
 - 19 (a) T. Nishimata, K. Yamaguchi and M. Mori, *Tetrahedron Lett.*, 1999, **40**, 5713; (b) V. Alezra, G. Bernardinelli, C. Corminboeuf, U. Frey, E. P. Kundig, A. E. Merbach, C. M. Saudan, F. Viton and J. Weber, *J. Am. Chem. Soc.*, 2004, **126**, 4843.
 - 20 S. Clapham, A. Hadzovic and R. H. Morris, *Coord. Chem. Rev.*, 2004, **248**, 2201.