Ligand Substitution Reactions at Low-Valent Four-, Five-, and Six-Coordinate Transition-Metal Centers¹

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Contents

/. Introduction

The great expansion of synthetic organometallic chemistry has been accompanied to a lesser extent by an interest in the kinetics and mechanism of organometallic reactions. Among the most basic of these reactions are ligand substitution processes at low-valent transition-metal centers. The information obtained is useful not only in systematic organometallic synthesis, but is also quite relevant to the design and operation of homogeneous catalysts. In addition, such studies provide a basis for mechanistic studies in the increasingly important area of metal cluster chemistry. Such reactions differ significantly from classical coordination chemistry in that the low-valent metal is usually bound to π -acid ligands such as carbon monoxide, and since such reactions are studied in weakly polar or apolar media, solvent effects are much less marked.

Although several small reviews of various aspects of this area have appeared,^{1-4a,b} no comprehensive reviews have appeared since 1968.^{5,6} This article aims to provide coverage up to approximately the end of 1982. It is concerned primarily with thermal substitution reactions, although relevant photosubstitution results have been included.⁷ It is also limited to 18-electron complexes, as space does not permit a discussion of analogous reactions of d^8 square-planar and d^{10} trigonalplanar 16-electron complexes.

/ / . Ligand Substitution Pathways

The characteristics of the primary D, I_d , I_a , and A substitution pathways have been described in both

* No reprints available.

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specialized⁸⁻¹⁰ and general^{11,12} texts. These are summarized in Schemes I-III for an octahedral ML_6 substrate.

A. D Mechanism

In Scheme I the rate law is

$$
R = \frac{k_1 k_2 [\text{ML}_6][\text{L}']}{k_{-1} + k_2 [\text{L}']}
$$
 (1)

which reduces to

$$
R = k_1[\text{ML}_6] \tag{2}
$$

Scheme I

$$
ML_6 \xrightarrow[k_{-1}]{k_1} ML_5 + L
$$

$$
ML_5 + L' \xrightarrow[k_{-1}]{k_2} ML_5L'
$$

Scheme II

$$
ML_{\delta} + L' = \frac{K_{\text{diff}}}{\sqrt{2\pi}} = ML_{\delta} \cdot L' \xrightarrow{R_2} ML_{\delta}L' + L
$$

Scheme HI

$$
ML_6 + L' \xrightarrow{\mathcal{M}_{diff}} ML_6 \cdot L' \xrightarrow{\mathbf{k}_2} ML_6 L' \xrightarrow{\mathbf{k}_3} ML_5 L' + L
$$

when $k_2[L'] >> k_{-1}$. In practice, this last condition is satisfied for most reactions discussed in this article that proceed via a D pathway. The above rate law assumes rate-determining loss of L rather than rate-determining reaction of ML_5 with L'. The reaction proceeds via complete bond breaking to give an intermediate that is presumed to live long enough to show some discrimination between potential ligands. Detection of the intermediate provides the only unambiguous proof for the D pathway.

B. Id and I ⁸ Mechanisms

In Scheme II the rate law is

$$
R = K_{\text{diff}} k_2 [\text{ML}_6][\text{L}'] \tag{3}
$$

This may be described as a diffusion-controlled cage combination which then proceeds via a transition state which can be represented as $[L_5M--L,L']$ which positions the new ligand L' to enter the coordination sphere on departure of L. The I_d mechanism involves considerable extension, but not complete rupture, of the M-L bond while in the I_a pathway, interaction between M and L' is much more advanced in the transition state. The implicit assumption is made that k_2 is rate determining rather than K_{diff} .

C. A Mechanism

In Scheme III the rate law is

$$
R = K_{\text{diff}} k_2 [\text{ML}_6][\text{L}'] \tag{4}
$$

The reaction proceeds via the formation of the intermediate $ML₆L'$ of increased coordination number, and the assumptions are made that k_2 is the rate-determining step rather than the initial diffusion or ligand loss from the intermediate (k_3) . Rate laws do not differentiate between I_a or I_d and A mechanisms; the latter may be proved only by the detection of the intermediate of increased coordination number. True A mechanisms are not observed in reactions of 18-electron organometallic complexes (with the exception of reactions occurring at coordinated ligands), although this pathway is the common one observed for 16-electron square-planar complexes. The observed rate law has the form

$$
R = k_{\rm s}[\text{ML}_4] + k_{\rm a}[\text{ML}_4][\text{L}'] \tag{5}
$$

in which $k_{\rm s}$ may simply be viewed as a solvent-assisted associative pathway. Evidence indicates that reactions of 18-electron complexes that depend on $[L']$ are I_d in nature, although competing D and *I^* pathways are often found.

Scheme IV

$$
L_{\text{c}}M\frac{L}{L}\Big)-\frac{k_{1}}{2k_{1}}+L_{\text{c}}M\frac{L}{L}\Big)-\frac{k_{2}}{+L}-L_{\text{c}}M\frac{L}{L}\Big)-\frac{\text{fast}}{+L}-L_{\text{c}}M\frac{L}{L}\Big|+L+L
$$

Activation parameters must be interpreted with caution since ΔH^* and ΔS^* extracted from the temperature dependence of the rate constant are a composite of those for all steps up to and including the rate-determining step. However, on the assumption that precursor equilibria are relatively fast, measured ΔH^* and ΔS^* values should reflect ΔH^* and ΔS^* values associated with the chemically significant activation step. Thus, large positive ΔH^* and ΔS^* values are highly suggestive of the D pathway, whereas smaller positive ΔH^* and negative ΔS^* values usually reflect more associative pathways. Few ΔV^* values have been measured for organometallic reactions.

For reactions involving the displacement of polydentate chelating ligands, the observed kinetic behavior may not resemble that to be expected for any of the above mechanisms, and a general "ring opening" pathway may be considered. In Scheme IV the rate law is

$$
R = \frac{k_1 k_2 [L_4 M (L-L)][L']}{k_{-1} + k_2 [L']}
$$
(6)

Three different types of kinetic behavior may be displayed:

(1) If rechelation of the free end of the leaving group is less favored than displacement by entering group, then $k_2[L'] >> k_{-1}$ and

$$
R = k_1[L_4M(L-L)] \tag{7}
$$

(2) When both entering and leaving groups have similar properties, rechelation may be favored due to the high effective leaving-group concentration. Then, $k_{-1} >> k_2[L']$ and

$$
R = \frac{k_1 k_2 [L_4 M (L-L)]}{k_{-1}} \tag{8}
$$

(3) Under conditions where $k_{-1} \approx k_2[L']$, plots of k_{obsd} against [L'] will be nonlinear, and represent the only case where a ring-opening pathway can be invoked from kinetic evidence alone. The above discussion covers only dissociative ring opening; more complicated examples involving a competing I_d initial step have been described.

Other more specialized pathways such as ligand migration, free-radical substitution, and catalyzed substitution will be discussed in separate sections.

///. Factors Influencing the Reactivity of Transltlon-Metal Complexes

A. Effective Atomic Number (EAN)

The main tenets of the EAN rule are contained in a review by Tolman:¹³

(1) Diamagnetic organometallic complexes of transition metals may exist in significant concentration at moderate temperature only if the metal valence shell contains 16 or 18 electrons.

(2) Organometallic reactions, including catalytic ones, proceed by elementary steps involving only species with 16 or 18 electrons.

Although originally empirical, the rule has been placed on a firmer theoretical basis that reflects the complete use of metal valence orbitals.¹⁴ The preparation of increasing numbers of stable 17-electron species may be noted, however, and such species have been implicated as intermediates in organometallic substitution reactions.

B. Coordination Number and Geometry

Different metals that share a common coordination number and geometry often exhibit similarities in reactivity, and recent theoretical studies primarily by Hoffman et al. provide an explanation.^{14,15} Thus, for an $M(CO)_n$ fragment, which may be either a stable molecule, a reaction intermediate, or a transition state, there will be $9 - n$ orbitals available to hold d electrons or to act as acceptor orbitals for the fragment. Most importantly, the spatial extent, hybridization, and energies of these orbitals are very sensitive to the geometric arrangement of the CO ligands.¹⁶ Similar arguments apply to other bonding fragments such as CpM- (CO) , $(Cp = cvclopentadienvl).$ ¹⁶ or (polyene)M.^{17a,b} and some of the fragments such as $M(CO)₃$, MCp, and $M(C_6H_6)$ may be termed isolobal, implying that the number, symmetry, extent in space, and energy of the frontier orbitals are similar.

It may be noted that there is unlikely to be any simple relationship between coordination number for 18 electron complexes and the nature of the substitution pathway followed. A simple d orbital only σ bonding angular overlap analysis¹² of structural preference energies for ML_6 , ML_5 , and ML_4 geometries shows that for a d^6 atom, the ML_6 geometry is strongly favored relative to either ML_5 or ML_4 . For a d^8 atom, the ML_5 trigonal bipyramid is favored relative to $ML₆$ or tetrahedral ML4, but a square-planar geometry is equally preferred (hence the large number of 16-electron square-planar d^8 complexes). For a d^{10} atom, there is no distinction in angular overlap terms between square-planar and tetrahedral ML4 geometries although the latter will be preferred in terms of minimization of ligand-ligand repulsions. Indeed, it may be noted that in this simple treatment, tetrahedral ML_4 complexes are stable only because of $nd/(n + 1)p$ mixing; thus, some tendency towards facile ligand loss to give trigonal-planar ML₃ complexes may be expected, and large numbers of such derivatives are indeed known. Thus, decreasing coordination number cannot be equated with an increasing tendency towards associative substitution pathways.

C. Transition State and Ground State

The relative importance of σ donation and π acceptance in metal-ligand bonds of π -acid ligands remains difficult to assess in ground-state structures, although molecular orbital calculations can give some idea of relative orbital populations. In any case, evidence suggests that reaction rates (and the activation parameters derived from them) may be more closely associated not with ground-state properties, but with geometrical and electronic changes in the intermediate or transition state of the reaction, something which can only be evaluated by calculation where an intermediate is not directly observable.

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Scheme V

$$
\rm Scheme~VI
$$

$$
M(G0)_6 \xrightarrow[k_{1}]{} M(G0)_5 + G0
$$

\n
$$
k_2 + L k_3 + L
$$

\n
$$
M(G0)_5 L
$$

M(CO)₆ \Rightarrow **M**(CO)₅ + CO

The angular overlap model has successfully rationalized the observed rates of aqua substituion for the $M(H₂O)₆²⁺$ series, assuming a dissociative mechanism proceeding through a square-pyramidal intermediate,¹⁸ and the model has also been applied to associative substitution at a square-planar d^8 center proceeding via a trigonal-bipyramidal intermediate.¹⁹ Application of the atomic orbital model suffers from a lack of a quantitative feel for the σ -donor capacities of π -acid ligands, but molecular orbital calculations have been reported on several systems, notably the Fenske-Hall results of Brown et al.²⁰ on cis labilization of CO in $Mn(CO)₅X$ derivatives (vide infra). Recent papers by Hoffman et al. also demonstrate the value of reaction pathway calculations in reactions other than substitution such as olefin activation²¹ and olefin metathesis.²²

Calculations should continue to provide qualitative and semiquantitative estimates of electronic contributions to activation barriers, to the exclusion of solvent or steric effects. The dependence on specific groundand transition-state geometries, and the subtle interplay between σ - and π -ligand character indices, however, that generalizations must be treated with caution.

IV. Complexes Based on M(CO)⁶

Of the hexacarbonyls, 17-electron $V(CO)_6$ is the most thermally labile, undergoing CO exchange at 25 °C with a half-life of several hours^{23a} and monosubstitution with PPh₃ at -70 °C over a period of 90 min.^{23b} The 18electron species $V(CO)₆$ is inert to CO substitution even in molten PPh₃.^{23c} Both $Mn(CO)_6^+$ and $Re(CO)_6^+$ show no measurable CO exchange at 60° C over a period of days,²⁴ although an upper limit for CO dissociation from Mn(CO)₆⁺ of $k_1 = 5.2 \times 10^{-7}$ s⁻¹ at 35 ^oC may be obtained from its substitution reaction with PPh_3 ;²⁵ the analogous value for dissociation from $Cr(CO)_6$ is ca. 10^{-12} $s^{-1.26}$ The neutral carbonyls $M(CO)_6$ $(M = Cr, Mo, W)$ undergo exchange in both the solution and gas phase $(Table I):^{27a-c}$ the solvent effect on the activation parameters is negligible, in keeping with a D pathway of the type shown in Scheme V. It may be noted that the order of lability (as indicated by ΔG^*) Mo > Cr > W is not mirrored in the measured mean thermochemical is not infriored in the measured mean thermochemical
bond dissociation energies $W(176 \text{ kJ mol}^{-1}) > M_0$ bond dissociation energies $\left\{W(1) \right\}$ as more $\left(150\right) > \text{Cr}$ (109) at 298 K1²⁸. A closer correlation exists between calculated M-C force constants which are in between calculated $M-C$ force constants which are in
the order W (2.32 mdyn A^{-1}) > Cr (2.10) > Mo (2.00).²⁹

Although initial work on substitution of $M(CO)₆$ by amines indicated a simple D mechanism,^{30a,b} later work has shown that a two-term rate law is applicable in reactions with amines, 31 phosphines, $32a$, b and even acetonitrile.³³

In Scheme VI the rate is given by

$$
R = k_1[M(CO)_6] + k_2[M(CO)_6][L] \tag{9}
$$

Table I. Activation Parameters for Reaction of $M(CO)_{6}$ or $M(CO)_{6}$ L Complexes with Group 5 Ligands (M = Cr, Mo, W)

		ΔS_1^*		ΔS_2^*	
reaction	ΔH_1^* kJ mol ⁻¹	$J K^{-1}$ $mol-1$	ΔH_2^{\ast} , kJ mol ⁻¹	$J K^{-1}$ $mol-1$	ref
$M(CO)6$ + *CO					
$M = Cr$ (gas phase)	161.9	77.4			27
$M = Cr$ (decalin)	163.2				
$M = Mo$ (gas phase)	126.4	-1.7			
$M = Mo$ (decalin)	132.2				
$M = W$ (gas phase)	166.5	46			
$M = W$ (decalin)	169.9				
$M(CO)_{6} + L \rightarrow M(CO)_{6}L + CO$					
$M = Cr, L = PPh3$	161.8	74			
$M = Cr, L = PBu3$	168.0	94	106.6	-61	32
$M = Cr, L = MeCN$			117.0	-29	33
$M = Cr, L = \bigcap_{M \in N \atop M \in N} \bigcap_{N M \in N}^{M \in N}$	158.4	66			30
$M = Mo$, $L = PPh$ ₃	128.7	11			32
$M = Mo$, $L = PBu$ ₃	132.5	28	90.7	-62	
$M = Mo$, $L = PhCH, NH$,	150.4	80	113.7	-15	31
$M = Mo$, $L = triazine$	131.3	18			30
$M = Mo$, $L = MeCN$	123.7		107.0	-38	33
$M = W$, $L = PPh3$	165.5	49			32
$M = W$, $L = PBu_3$	166.8	58	122.0	-29	
$M = W$, $L = \text{triazine}$	172.2	80			30
$Cr(CO)$ ₅ $[C(OMe)Me] + PR_3 \rightarrow cis \cdot Cr(CO)$ ₄ $[C(OMe)Me] (PR_3) +$					
$Cr(CO), PR_3 + Cr(CO)_{4}(PR_3),$					
$L = PCy$,	115.3	26			140
$L = PPh$,	114.9	15			
$L = PPhEt$,	114.9	15			
$L = PEt$,	112.0	14	86.1	-53	
$L = PBu$,	108.7	3	83.2	-62	
$M(CO)_{s}(L-L) \rightarrow M(CO)_{4}(L-L) + CO$					
L-L=Ph ₂ P(CH ₂) ₂ PPh ₂ , M = Cr	135	27			$153 - 155a$
$L-L = Ph_2P(CH_2)$ ₂ PPh ₂ , $M = Mo$	120	14			
$L-L = Ph_2P(CH_2)$, PPh_2 , $M = W$	155	40			
$L-L=Me_2P(CH_2)_2PMe_2$, $M = Cr$	132	6			
$L-L=Me_2P(CH_2)_2PMe_2$, $M=Mo$	118	-7			
$L-L=cy_2P(CH_2)$ ₂ PCy_2 , $M = Cr$	147	46			
$L-L = Cy_2P(CH_2)_2PCy_2$, $M = Mo$	132	34			
$L-L = Ph_2P(CH_2)PPh_2$, $M = Cr$	141	71			
$W(CO)_{s}CS + PPh_3 \rightarrow trans-W(CO)_{4}(CS)PPh_3 + CO$	131.7	13	100.3	-50	156
$[Cr(CO)_{s}(CNEt_{2})]^{+}$ + PPh ₃ $\rightarrow trans\cdot[Cr(CO)_{4}(CNEt_{2})$ PPh ₃] ⁺ + CO	104	64			159
trans- $Cr(CO)_{4}(CNEt_{2}) + PPh_{3} \rightarrow$					
$mer\text{-}Cr(CO)_{3}(CNEt_{2})(X)(PPh_{3}) + CO$					
$X = Br$	107	71			159
$X = I$	113	66			
$cis-Ru(CO)_{4}(SiCl_{3})_{2} + ^{\ast}CO$	111.9	13			$161 - 163$

The activation parameters (Table I) are consistent with competing D and I_d pathways, and the similarity of ΔH_1^* and ΔS_1^* to those associated with CO exchange may be noted. There are two features associated with *k2* that are common to other systems:

(a) the dependence of k_2 on the basicity or polarizability of the ligand (as measured by ΔHNP)³⁴ for a given donor atom. Thus, phosphorus ligands may be placed in the order $P(OPh)_{3} < PPh_{3} < P(OCH_{2})_{3}CEt < P$ - $(OEt)₃ < PBu₃$ in terms of increasing $k₂$; on the basis of their *k2* values, amines and acetonitrile may be placed on a par with $P(OCH₂)₃CEt$ and $PPh₃$, respectively.

(b) the *relative* increasing importance of the *k²* pathway in the order $Cr \ll Mo \approx W$. This may be attributed both to the increase in covalent radius (Cr $< M_0 \approx W$ ³⁵ and to the related increase in effective nuclear charge $(Cr < Mo << W)$. It is interesting that force constant calculations indicate a much stronger OC \rightarrow M σ donation for W compared to Cr and Mo.

A similar monosubstitution can be achieved photochemically,³⁶ and both matrix-isolation and flash-photolytic results provide information about the nature of the $M(CO)_{5}$ intermediate of the dissociative pathway.

Scheme VII

 $M(G0)_s$ -<u>nv ,</u> m(co),s (2) (b) \implies M(CO), + s M(COJ, S left $M(GO)_s + L \xrightarrow{k_2} M(GO)_s L$ (c)

The reactions occurring can be represented by Scheme VII.

The initial photoproduct has not been detected but is presumed to be an excited state C_{4v} species. The C_{4v} nature of A has been amply demonstrated in both matrices and hydrocarbon glasses $37a-g$ but the great dependence of its visible spectrum on the matrix³⁸ indicates that it is best regarded as the solvated species shown, with the Ne derivative perhaps best approaching naked $M(CO)_{5}$. Loss of solvent may be photoinitiated in the matrix, and the results³⁹ are consistent with a naked, undetected $M(CO)_{5}$ intermediate of D_{3h} symmetry, although molecular orbital calculations indicate that the *ground state* for a d⁶ species is a square pyramid.^{15,40} Irradiation of $M(CO)₆$ ⁻ (M = V, Nb, Ta) in rigid media at low temperature is also consistent with CO loss to yield isoelectronic $M(CO)_{5}^-$ species of $C_{4\nu}$ $symmetry.³⁷e,^{41a,b}$

Flash photolysis studies are also in agreement with this scheme, yielding intermediates whose visible spectra are analogous to those produced in low temperature matrices or glasses.^{42a-d} λ_{max} values indicate a much weaker bonding of perfluoromethylcyclohexane^{42b} compared to cyclohexane,^{42a} and in agreement with this, measured recombination rates

$$
Cr(CO)_{5}S + CO \rightarrow Cr(CO)_{6} + S
$$

$$
\frac{-d[M(CO)_{5}S]}{dt} = k_{obsd}[M(CO)_{5}S][CO]
$$
(10)

show the perfluoroalkyl compound to be more reactive by a factor of 10^3 ($k_{\text{obsd}} = 3 \times 10^9$ and 3×10^6 dm³ mol⁻¹ s^{-1} , respectively). In both cases, in the absence of CO, decay occurs to an unknown species postulated to be either $Cr(CO)_{5}X$ (X = oxygen) formed from scavenging^{42a} or $Cr_2(CO)_{11}$, based on the dependence of the rate of decay on $[Cr(\ddot{CO})_6]$.^{42b} The quantum yield for photosubstitution of $Cr({\rm CO})_6$ by pyridine of 0.67⁴³ is also in agreement with the above Scheme VII.³⁹

In the presence of other donors (L), a study of the rate of decay of A with $[L]$ yields values of k_1 and k_2/k_{-1} . Where M = W and L = 4-acetylpyridine, k_1 = 1.9×10^6 s⁻¹ and $k_2/k_{-1} \approx 270; ^{42 \text{c}}$ other less precise data on the reactivity of $Cr(CO)_5S$ with various ligands yield k_1 values of similar magnitude⁴⁴ and comparable values are obtained for the $Mo(CO)_{3}L_{2}S$ intermediate produced on flash photolysis of $Mo(CO)_{4}L_{2}$ [L₂ = $Ph_2PC_2H_4PPh_2$ and $H_2PC_2H_4PH_2]$.⁴⁵

In normal thermal substitution reactions, equilibrium b is undetectable kinetically, assuming steady-state concentrations of $M(CO)_{5}S$ and $M(CO)_{5}$. However, provided that the trigonal-bipyramidal $M(CO)_{5}$ intermediate has a reasonable lifetime, (b) provides a mechanism for intramolecular scrambling. The lifetime of $M(CO)_5$ in (b) may be estimated as ca. 10^{-7} s,⁴⁶ whereas NMR data on $Fe(CO)_5$ indicate that the lifetime for axial \rightleftharpoons equatorial exchange has a maximum value of ca. $10^{-8}-10^{-9}$ s.⁴⁷

It may be noted, however, that barriers to scrambling in substituted derivatives of $M(CO)_{5}$ may be higher, and that the lifetime of $M(CO)_{5}$ (and therefore the likelihood of its intramolecular scrambling) will be highly dependent on solvent, as on the basis of Scheme VII,

$$
\tau = \frac{1}{k_2[L] + k_{-1}[S]}
$$

Extrapolating to $[L] = 0$, it can be seen that $\tau \propto \frac{1}{k_{-1}}$, and the lifetime would be expected to decrease with increasing solvent coordinating power, perhaps to a point where the intermediate will appear "experimentally" nonfluxional. Thus, reaction of *cis-* $Mo(CO)₄(¹³CO)(piperidine) with AsPh₃ in hexane yields$ a mixture of *cis-* and $trans-Mo(CO)_4(^{13}CO)(AsPh_3)^{48}$ in which the distribution of ^{13}CO indicates a completely fluxional $Mo(CO)_{5}$ intermediate. In contrast, cis-[W- $(CO)₄(¹³CO)Cl$ ⁻ reacts with Ag⁺ in acetone in the presence of L (presumably via a $\rm W(CO)_{5}(Me_{2}CO)$ solvate) to give exclusively $cis-W(CO)_4(^{13}CO)(L)$ (L = PR₃, CNC_6H_{11} ⁴⁹ W(CO)₅(Me₂CO) may be prepared pho- $\frac{1}{2}$ tochemically⁵⁰ and undergoes dissociative acetone loss

Scheme VIII

$$
M(C0), \text{(amine)} \xrightarrow{k_1} M(C0), + \text{amine}
$$
\n
$$
M(C0), \xrightarrow{m_1} M + 500
$$
\n
$$
M(C0), + 00 \longrightarrow M(C0),
$$

Scheme IX

$$
M(GO)_{s} (amino) \xrightarrow{\frac{R_{1}}{R_{-1}}} M(GO)_{s} + amine
$$
\n
$$
R_{2} + L \xrightarrow{R_{3} + L} M(GO)_{s} (L)
$$

at rates which are orders of magnitude slower than those observed for solvent loss from $W(CO)_{5}S^{42c}$

 $M(CO)_{5}$ intermediates are also implicated in the thermal decomposition of $M(CO)_{5}$ (amine) complexes. In Scheme VIII a rate law of the form

$$
\frac{-d[M(CO)_{5}(\text{amine})]}{dt} = k_{1}[M(CO)_{5}(\text{amine})]
$$
 (11)

is applicable.^{51,52} Enthalpies of activation (Table II) are consistently 40-60 kJ mol⁻¹ lower than those for dissociation from $M(CO)_6$, in agreement with the meager π -acceptor properties of the amines. There is generally a good correlation between decreasing k_1 and increasing amine basicity (as measured by pK_a) with the exception of unsaturated amines such as pyridine which are less labile than the pK_a would indicate. While this has been attributed to back donation into ring π^* orbitals, crystal structure determinations on $Cr(CO)_{5}(py)$ and Cr- $(CO)_{5}$ (piperidine) reveal identical Cr-N bond lengths, and a comparison of axial and equatorial Cr-CO bond lengths is consistent with virtually no π -acceptor caeligins is consistent with virtually no *k*-acceptor ca-
pability in the ground state.⁵³ Enthalpies of activation for a given metal are very similar, however, and it is mainly the variation in ΔS^* that determines the overall namy the variation in ω ^{*,*} that determines the overall
variation in k₁. Enthalpies of activation increase in the order $W \approx Mo \lt Cr$, in agreement with relative orbital size, but in contrast to the order for CO dissociation from $M(CO)₆$. cis- $Mo(CO)₄(PPh₃)(amine)$ complexes $\frac{d}{dt}$ decompose in the same way to give $M_0(C_0)$. (PPh.) decompose in the same way to give $M_0(CO)_{5}(\text{PP})$
cis-Mo(CO) (PPh₂)₂, and decomposition products.⁵⁴ *cis-*Mo(CO)₄(PPh₃)₂, and decomposition products.⁵⁴ K_1 values indicate a labilization of amine compared to values indicate a labilization of all \ln compared to $M_0(C)$. (omine), although there does not appear to be $m_{\rm UCO}$ alumine), aluming in the capacitor appear to be a simple relationship in terms of activation parameters.
In the presence of other donors (1) , $M(C_1)$ (amine) In the presence of other donors (L) , $M(CU)_{5}$ (amine) complexes undergo exchange (Scheme IX) and exhibit a typical two-term rate law. K_1 values, and activation parameters associated with k_1 , are in agreement with decomposition studies (Table II), while k_2 can be associated with a competing I_d pathway and shows the usual dependence on ligand basicity $(PBu_3 > P(OMe)_3$
 $\geq P(OCH)$ $> P(OCH_2)_3CEt > PPh_3 > P(OPh)_3 > AsPh_3$).^{53,55–58} In the presence of excess amine, competition ratios k_{-1}/k_3 can be determined for the reaction using $Mo(CO)_5(pi-1)$ peridine)⁵⁵ and indicate (Table III) little discrimination of the $M_0(UU)_5$ intermediate. Reaction of cis-Mo-
(CO) (L' (cinemidiae) complexes $[L] = DPL, D(OM_2)$ 3] $(\text{CO})_4(\text{L}^\prime)$ (piperidine) complexes $[\text{L}^\prime = \text{PPn}_3, \text{P}(\text{OMe})_3]$ with L to give cis- $Mo(CO)₄(L)(L')$ proceeds via a similar mechanism, although the k_2 term is observed only with the most basic ligands such as $PBu₃$ or P-
(CCH) $CP₄ M₂$ Competition ratios (Table III) indicate

Table II. Activation Parameters for Reactions Involving Dissociation of Group 5 Ligands

Table III. Competition Ratios (k_{-1}/k_3) for Reaction of $Mo(CO)_{4}(L)(pip)^{a}$ (L = CO, PPh₃) with Other Ligands L'

 a pip, piperidine. $b \, k_{-1}/k_3$ refers to Scheme IX, data from ref 55.

a slightly more discriminating nature for the Mo- $(CO)₄PPh₃$ intermediate. The substantially higher activation enthalpy for cis- $Mo(CO)_{4}[P(OMe)_{3}]$ (piperidine) may be noted, and the solid-state structure of this complex 60 and its Cr analogue⁵³ show a hydrogen-bond interaction between coordinated phosphite and piperidine. Indeed, substitution of $Mo(CO)_{5}(piperidine)$ by $PPh₃$ proceeds faster by a factor of 100 in the presence of $OPBu₃$, with the evidence indicating that a 1:1 hy-

drogen-bonded adduct is responsible for assistance of the k_1 dissociative step:

 $M(CO)_{5}$ (piperidine) + OPBu₃ \rightleftharpoons $M(CO)_{5}$ (piperidine)-OPBu₃ $K_{\text{eq}} = 675 \text{ dm}^3 \text{ mol}^{-1} \text{ (M = Cr)}$ $K_{\text{eq}} = 633 \text{ dm}^3 \text{ mol}^{-1} \left(\text{M} = \text{Mo} \right)$

The enhanced rate of substitution in hydrogen-bonding solvents may also be interpreted in the same way, and in such solvents the pathway associated with $k₂$ is absent, indicating that a hydrogen-bond interaction between incoming ligand and metal complex may be the mode of interaction for the I_d pathway.^{61,62} There appears to be a general class of such base-catalyzed substitution reactions that will be discussed in detail in a separate section.

As has been mentioned previously, reaction of *cis-* $Mo(CO)_{4}^{13}CO)$ (piperidine) with AsPh₃ indicates a completely fluxional $Mo(CO)_5$ intermediate.⁴⁸ Thermal substitution of cis-Mo(CO)4(piperidine)L and *cis-W-* $(CO)₄(PPh₃)(piperidine) with ¹³CO yields only *cis-Mo-*$ $(CO)_4^{(13}CO)(L)$ [L = PPh₃, P(OMe)₃] and *cis-W-*

Scheme X

 \bullet = 0 initially trans to P

 $(CO)_{4}$ ⁽¹³CO)(PPh₃)^{54,59a,b} (Scheme X) and highlights the problem of definition of fluxionality in these systems. The results may be interpreted in terms of the principle of microscopic reversibility most recently outlined by Dobson.⁶³

Thus, loss of L yields species (a) that undergoes geometrical relaxation to the lowest energy C_{2v} conformation (b) (vide infra; ref 20) which may by the principle of microscopic reversibility yield the equivalent species (a'). Thus, as drawn, the five-coordinate intermediate is fluxional, although only the *cis-* $(13CO)(P)$ derivative is isolated. However, if ligand dissociation and geometrical relaxation (k_1, k_2) or thp \Rightarrow spy conversion and gain of ¹³CO (k_{-2} , k_{3}) are regarded as concerted, then scrambling may simply be regarded as a consequence of the reaction pathway. In any case, the stereochemistry of the product will mirror the site of initial M-L bond breaking regardless of whether or not scrambling occurs. The principle applies rigorously only to isotopic exchange $(L = CO)$; where L is not CO, the principle holds to the extent that the difference in free energies of combination of CO and L with (a/a') approaches 0. Measured values of $\Delta/\Delta G^*$ extracted from k_2/k_{-1} values such as those in Table III are in the from κ_2/κ_{-1} values such as those in Table III are in the
range 0-9 kJ mol⁻¹ and seem too small to redirect the stereochemical path along that governed by k_4, k_5 . This process represents a genuine molecular rearrangement (although not strictly a fluxional process since (a) and (c) are not equivalent). Exceptions may arise where steric crowding at an octahedral edge or face would significantly increase the free energy of recombination via k_3 . Thus, cis-Mo(CO)₄[P(OMe)₃] (piperidine) reacts via κ_3 . Thus, κ_3 -Mo(CO)₄[1 (OMe)₃](piperiume) reacts
with P(OMe), to give mainly *trans*-Mo(CO).[P(OMe).]. with $\frac{1}{2}$ (OMe_l³ W give mainly *trans-MO(CO)*₄[F (OMe_{l^{3]2})²} while $\text{cis-W}(\text{CO})_4(\text{F} \cdot \text{H}_3)$ (piperiume) reacts with F.
to give a cis/trans mixture of $\text{W}(\text{CO})_4(\text{PPh}_3)_2$, S9a , 1 PPh₃
59a.b : . contrast to their reactions with ¹³CO.

Reaction of $cis-Mo(CO)_4(amine)_2$ complexes with ^{13}CO yields only cis-Mo(CO)₄(^{13}CO)(amine), while sequential reaction of $fac\text{-}Mo(CO)_{3}(py)_{3}$ with ^{13}CO yields only $fac\text{-}Mo(CO)_{3}$ ⁽¹³CO)₂(py)⁶⁴ and $fac\text{-}Mo(CO)_{3}$ - $(^{13}CO)[P(OMe)_3]$ (piperidine) yields only fac-Mo- $(CO)_{3}$ ⁽¹³CO)₂[P(OMe)₃] on treatment with ¹³CO.⁵⁹ Although these last results have been interpreted as implying nonfluxional intermediates, they *may* be interpreted in terms analogous to Scheme X in which the

Scheme XI

L * P(OMa), ; L = piperidine; . = ¹³CO; a,b,c = , 2C0

substitution process occurs via relaxation of an initially formed square-pyramidal intermediate to a trigonalbipyramidal structure in which L is in the basal plane. Thus, as shown in Scheme XI for the reaction of *fac-* $Mo(CO)_{3}$ ⁽¹³CO)[P(OMe)₃](piperidine) with ¹³CO, only $fac\text{-}Mo(\text{CO})_3(^{13}\text{CO})_2[\text{P}(\text{OMe})_3]$ is isolated, although the nonlabelled CO groups may undergo scrambling if the intermediate is fluxional. Finally, two points may be noted:

(a) In contrast to thermal substitution reactions, photolysis of $M(CO)_{5}$ (amine) complexes both in solution and low temperature matrices results in both amine and CO dissociation, depending on the wavelength used. Irradiation of $cis\text{-}Mo(CO)_{4}(\text{amine})_{2}$ complexes also results predominantly in loss of amine. $69,70$ In common with thermal reactions, ¹³CO is incorporated into positions cis to the amine. $48,65-68$

Matrix photolysis of $Mo(CO)_{5}PCy_{3}$ in methylcyclohexane results only in CO dissociation to yield two $Mo(CO)₄(PCy₃)S$ intermediates resulting from both cis and trans loss of CO,⁷¹ although the ratio is solvent dependent since only cis- $Mo(CO)_{4}(PCy_{3})(THF)$ is obtained on irradiation in THF.

(b) The carbonyl carbon also provides a point of attack for strongly nucleophilic reagents. Thus, $W(CO)_{6}$ reacts with pseudohalides (OCN⁻, SCN⁻, CN⁻) to give $[W(CO)₅X]$ ⁻ via perfect second-order kinetics:⁷²

$$
\frac{-d[W(CO)_6]}{dt} = k_2[W(CO)_6][X^-]
$$

Activation enthalpies (Table IV) are smaller and entropies more negative than those associated with the k_2 term of Table I, implying attack at a site other than the metal. The reactions of $M(CO)_6$ with azide to give $[M(CO)₅(NCO)]^{-73}$ and the reactions of $M(CO)₆$ with MeLi⁷⁴ and ClMgCH₂Ph⁷⁵ to give M(CO)₅[C(O)Me]⁻ and $M(CO)_{5}[C(O)CH_{2}Ph]$ " are also second order and show characteristically lower activation enthalpies and more negative entropies. They also reveal a reactivity order (W $>>$ Mo $>>$ Cr) that is different from that associated with the k_2 term of Table I (Mo > Cr \approx W). The greater reactivity of the W complexes may be rationalized in terms of the force constant calculations mentioned previously, 29 which show a greater $OC \rightarrow M$ donation for W, thus rendering the carbon more susceptible to nucleophilic attack. $M(CO)_5L$ complexes (L = phosphorus donor) also undergo attack by MeLi and PhCH₂MgCl to give cis-{ $M(CO)_4(L) [C(O)R]$ }⁻ species (R) $=$ Me, CH₂Ph) at rates that are less than the parent carbonyl and decrease as the cone angle of L increases.^{74,75} The reaction of $[Mn(CO)_5L]^+$ with PhCH₂MgCl similarly yields cis- $[Mn(CO)_4C(O)\tilde{C}H_2Ph(L)]$ (L = CO, $Me₂PhP, PPh₃, P(OPh)₃$, while unsurprisingly, $V(CO)₆$

Table IV. Activation Parameters for Reactions Involving Attack at Coordinated Carbon

Table V. Structural Data and Cone Angles for $M(CO)$ ₅L and $M(CO)$ ₄L₂ Complexes

cis-CO. b L = PR₃. c L = PPh₃. d L = PMe₂Ph. e Cone angles taken from ref 108.

is unreactive towards Grignard reagents.⁷⁶

The reaction of halide with $M(CO)_6$ to give [M- $(CO)_5X$] (X = Cl, Br, I) is more problematical. Although the Mo and W systems show perfect secondorder kinetics, $Cr(CO)_6$ exhibits a two-term rate law of the type observed in reaction with group 5 ligands.^{77} In addition, the order of reactivity ($M_0 > \overline{C}r > \overline{W}$) and the activation parameters more closely parallel reactions with phosphorus ligands. Thus, the ligand-dependent pathway observed here may reflect (wholly or in part)

a genuine I_d mechanism rather than attack at carbonyl carbon. The reaction of $Cr(CO)_6$ with N_3 ⁻ has been shown to have a ΔV^* of ca. 0 mL mol⁻¹, compared to values of -10 mL mol⁻¹ for the I_d substitution of $W(CO)_6$ by PBu_3 and 15 mL mol⁻¹ for D substitution of $Cr(CO)_6$ by $\overline{PPh}_3^{3.78}$

In contrast to the chromium group, cationic manganese and rhenium complexes undergo reaction with primary and secondary amines to give products of attack at the carbonyl carbon:

(i)⁷⁹ Re(CO)₆⁺ + 2RNH₂
$$
\rightarrow
$$
 (CO)₅Re(CONHR) + RNH₃⁺

(ii)⁸⁰ (arene)
$$
Mn(CO)_3^+ + 2RNH_2 \rightarrow
$$

\n(arene) $Mn(CO)_2(CONHR) + RNH_3^+$

(iii)
$$
LMn(CO)_{5}^{+} + 2RNH_{2} \rightarrow
$$

\n*cis*- $(CO)_{4}Mn(L)(CONHR) + RNH_{3}^{+}$
\n $L = PPh_{3}, Me_{2}PPh, P(p-toly)3$

(iv)⁸¹ LMn(CO)₅⁺ + 3RNH₂
$$
\rightarrow
$$

cis- $(CO)_4$ Mn(RNH₂) $(COMHR) + L + RNH_3^+$

 $L = \text{MeCN}$, py

$$
\begin{array}{cccc}\n\text{B2} & & & & & \\
\text{(v) } & & & & & \\
\text{M = Mn} & & & & & \\
\text{M = Mn} & & & & \\
\text{L = PPh}_3, & & & & \\
\text{M = Re} & & & & \\
\text{PMe}_2\text{Ph} & \text{PPh}_2\text{Me} & & & \\
\text{L = PPh}_3 & & & & \\
\end{array}
$$

(vi)
$$
M(CO)_5Br + 3RNH_2 \rightarrow
$$

cis-(CO)₄ $M(RNH_2)$ (CONHR) + $RNH_3^+Br^-$

$$
M = Mn^{83,84} \text{Re}^{85-87}
$$

The reactions in (vi) are thought to proceed via initial halide displacement to give $[M(CO)_5(RNH_2)]Br$. The third-row metal again shows a greater reactivity (Re > Mn), and the rate of reaction v decreases with increasing basicity of phosphine and increasing steric bulk of amine. The rate is proportional to $[RNH₂]$ ², and has been interpreted as a rate-determining attack of a hydrogen-bonded $HRNH--NH₂R$ dimer on the carbonyl carbon. Reaction of $trans\text{-}Mn(CO)₄L₂⁺$ with OR⁻ similarly yields $mer-(CO)_3MnL_2(COOR)$.⁵⁸

 \vec{OH} attack on $\dot{M}(\vec{CO})_6^+$ ($\vec{M} = Mn$, Re),^{89,90} $\dot{M}(\vec{CO})_5L^+$ $(M = Mn, Re; L = PMe₂Ph, py, MeCN)^{91,92} Mn (CO)₄(diphos)⁺,^{92,93} Fe(CO)₅,^{94a} and M(CO)₆^{94b} to yield$ M-COOH intermediates has been postulated to account for the ¹⁸O exchange observed in the reactions of these carbonyls with $H_2^{18}O$ in basic solution. The order of rate of incorporation $Mn(CO)₆⁺ > Mn(CO)₅L⁺ > Mn (CO)₄(diphos)⁺$ or $Cr(CO)₆$ > $Cr(CO)₅(phosphite)$ > $Cr(CO)_{5}$ (phosphine) is consistent with the reduced electrophilic character of the carbonyl carbon. In Mn- $(CO)_6L^+$ and $M(CO)_6L$ (M = Cr, Mo, W) complexes, stereospecific exchange at equatorial as opposed to axial positions is noted, consistent with C-O force constant calculations (equatorial 17.44 mdyn/Å; axial 17.43) which indicate a slightly greater positive charge on the carbon cis to L. Decarboxylation of the intermediates

$$
Mn(CO)_{5}(COOH) \rightarrow HMn(CO)_{5} + CO_{2}
$$

$$
Fe(CO)_{4}(COOH)^{-} \rightarrow HFe(CO)_{4}^{-} + CO_{2}
$$

$$
M(CO)_{5}(COOH)^{-} \rightarrow
$$

$$
HM(CO)5- + CO2 (M = Cr, Mo, W)
$$

is competitive, and in the case of iron is sufficiently fast that a much smaller degree of ¹⁸O incorporation into $Fe(CO)₅$ is noted. Such M-COOH species have also been cited as intermediates in the water-gas shift reaction.95-98

TABLE VI. Relative Rates of Dissociation of L from $Cr(CO)₄L₂$ and $Cr(CO)₅L$ Complexes^a

	$k_{\text{bis}}/k_{\text{mono}}$	
$\begin{array}{l} P(\text{OMe})_{3}\\ P(\text{OPh})_{3}\\ P\text{Ph}_3 \end{array}$ PBu ,	8.4 12.7 5×10^5 1×10^5	

a Data from ref 110.

Scheme XII

Ligand dissociation from M(CO)₅L and *cis*- and $trans\text{-}M(CO)₄L₂$ complexes where L is a non-nitrogen group 5 donor provides a good example of the difficulty in separating steric and electronic ground-state effects, and the difficulty in interpreting their importance relative to transition-state effects in substitution reactions. Thus, in the series $Cr(CO)₅L$ (L = P(OPh)₃, $P(CH_2CH_2CN)_3$, PPh_3), the Cr-P bond lengths (Table V) increase $(P(OPh)_{3} < P(CH_{2}CH_{2}CN)_{3} < PPh_{3})$ as the donor/acceptor ratio increases $(\overline{P(OPh)}_3 < \overline{P(CH_2C-1)}_3)$ H_2CN_3 < PPh₃), i.e., the shortest Cr-P bond is found for the strongest π -accepting ligand. Similar conclusions may be reached from a comparison of the structures of $Mo(CO)_{5}PPh_3$ and $Mo(CO)_{5}P(CH_2CH_2CN)_{3}$. However, the steric properties of the ligand also change within this series (as measured by the ligand cone angle), and it may be that the observed bond distances reflect an energy minimum which optimizes electronic overlap with minimum steric repulsions. Thus, a comparison of $Mo(CO)_{5}PPh_3$ with $Mo(CO)_{5}P(CH_2)_{6}N_3$ in which the two ligands are electronically similar but sterically quite different shows a substantial shortening of the Mo-P bond in the latter and a decrease in the angular distortions in the $cis\text{-}Mo(CO)₄$ plane. These effects are even more dramatically illustrated in a comparison of $W(CO)_{5}PMe_{3}$ and $W(CO)_{5}PBu-t_{3}$ in which the two ligands are electronically similar but differ in cone angle by ca. 65°.

The displacement of ligands by CO

$$
Cr(CO)_{5}L + CO \rightarrow Cr(CO)_{6} + L
$$

has been studied kinetically,¹⁰⁹ with the observed order of lability being $AsPh_3 > PPh_3 > P(OPh)_3 > P(OMe)_3$ $>$ PBu₃; indeed, complete displacement of PBu₃ is not observed.

$$
Cr(CO)_{5}PBu_{3} + CO \rightleftharpoons Cr(CO)_{6} + PBu_{3}
$$

$$
K_{eq} = 5.3 \times 10^{-5} \text{ at } 140 \text{ °C}
$$

The other reaction rates are independent of [CO] and activation parameters are listed in Table II. *AH** values are lower than those associated with dissociation from $M(CO)₆$ but significantly greater than those associated with $M(CO)_{5}$ (amine) dissociation. There is, however, no consistent correlation with ground-state properties such as $\triangle HNP$ and cone angle, or various spectroscopic parameters. Indeed, the ordering of ΔH^* (PPh₃ > $P(OPh)_{3}$ may be contrasted with M-P bond lengths that are in the order $PPh_3 > P(OPh)_3$. The greater lability of PPh₃ is entirely due to the dramatic entropy difference.

As activation parameters are also known for the reaction of $Cr(CO)_6$ with L, it is possible to obtain ΔG for the reaction

$$
Cr(CO)_{5}L + CO \rightarrow Cr(CO)_{6} + L
$$

i.e., the difference in ground-state energies between $Cr(CO)₆$ and $Cr(CO)₅L$. However, there is again no simple relationship between ΔG and the σ -donor or π -acceptor properties of L.

Ligand dissociation in the reaction

$$
trans-Cr(CO)4L2 + CO \rightarrow Cr(CO)5L + L
$$

has also been studied¹¹⁰ and the lability order observed is $AsPh_3 > PPh_3 > PBu_3 > P(OPh)_3 > P(OMe)_3$. This is similar to the order previously observed with the exception of the position of PBu₃. The higher ΔH^* value (Table II) for dissociation of $P(OPh)$ ₃ from Cr- $(CO)_4[POPh)_3]_2$ compared to $Cr(CO)_5P(OPh)_3$ is consistent with the shortening of the Cr-P bond in the former; however, the much more positive ΔS^* term for dissociation from the bis complex means that $P(OPh)_{3}$ is actually *more* labile compared to the mono complex. This is in fact true for all the complexes listed (Table VI). The lability ratio for the phosphite complexes may perhaps be interpreted solely in terms of transition-state effects, i.e., a rearrangement of initially formed intermediate (a) to the more stable (b) before coordination of CO (Scheme XII).

Indeed, reaction of trans- $M(CO)_{4}(PPh_{3})_{2}$ (M = Cr, Mo) with 13 CO yields only cis-M(CO)₄(13 CO)(PPh₃). 111a,b The $k_{\text{bis}}/k_{\text{mono}}$ ratios for the phosphines are too large simply to attribute to such an effect, and may be due to a ground-state weakening of the M-P bond by coordination of *trans*-PR₃ ligands which are good σ donors but (unlike phosphites) poor π acceptors.

Ligand dissociation

$$
cis\text{-}Mo(CO)4L2 + CO \rightarrow Mo(CO)5L + L
$$

has been studied kinetically;¹¹¹ here a much more obvious dependence of lability on steric hindrance (cone angle) is found, although electronic effects are also important as phosphite complexes are less labile than their phosphine analogues of similar cone angle. Crystal structures of cis- $Mo(CO)₄L₂$ (L = PMe₂Ph, PMePh₂, PPh₃, PMe₃, PEt₃, PBu₃) show (Table V) increasing P-M-P bond angles with increasing cone angle, results which are in agreement with the order of lability $PMe₂Ph \approx PMePh₂ << PPh₃.$

Entropy effects (steric acceleration) are again important as PPhCy_2 is more labile than PPh_3 even though ΔH^* values (Table II) are in the order PPh₃ < $PPhCy₂$. The lower lability of $PPh₃$ in the mixed-ligand complex cis-Mo(CO)₄(PPh₃)(PPhMe₂) as compared to $cis\text{-}Mo(CO)₄(PPh₃)₂$ is consistent with its crystal structure that shows less distortion of the P-M-P angle. It is of interest to note that reaction of CO with both cis-Mo(CO)₄(PPh₃)(PPhMe₂) and cis-Mo(CO)₄- $(PPh₃)(PPhCy₂)$ results in dissociation of $PPh₃$ to give $Mo(\overline{CO})_5(PPhMe_2)$ and $Mo(\overline{CO})_5(PPhCy_2)$, even though in the former PPh_3 is the ligand of largest cone angle, whereas in the latter it is the ligand of smallest cone angle. Incorporation of ¹³CO is again stereospecific, yielding only $cis-Mo(CO)_4(^{13}CO)\text{L}$ complexes.

It may be noted that PPh₃ is less labile in *trans-* $Mo(CO)₄(PPh₃)₂$ as opposed to the cis isomer, even though the ΔH^* values vary in the opposite direction.

TABLE VII. Calculated CO Force Constants for Mn(CO)5X Complexes"

	$F(OO)$, mdyn/ \AA		$v_{\text{M-CO}}$	
	axial	equa- torial	(equatorial), cm^{-1}	
$Mn(CO)$, Cl	16.22	17.50	418	
Mn(CO), Br	16.26	17.41	422	
$Mn(CO)$, I	16.30	17.28	427	

 a Data from ref 12.

This is entirely due to the negative ΔS^* value for the trans isomer that implies a gross reorganization in the transition state of the type previously mentioned.

Similar observations have been made in the reactions *cis-* or *trans-* $M(CO)₄L₂ + L-L$ \rightarrow

$$
M(CO)_{4}(L-L) + 2L
$$

 $L-L =$ diphos, bpy; $M = Mo$, W

in which the rate-determining step is initial loss of L.^{112,113} The order of lability observed is PCl_3 >> py $>>$ AsPh₃ > PPh₃ > SbPh₃. Again, the lower ΔH^* and negative ΔS^* values for trans- $\rm Mo(CO)_4(PPh_3)_2$ may be noted.

V. Complexes Based on M(CO)₅L

Complexes of the stoichiometry $M(CO)_{5}L$ undergo further substitution and much effort has been devoted to an understanding of the site of M-CO bond breaking and the labilizing or nonlabilizing nature of L. Interpretations of observed kinetic trends have been based on both ground-state and transition-state arguments. Thus, in an $M(CO)_{5}L$ complex where L is a poorer π acceptor than CO, a consideration of orbitals available leads to the conclusion¹¹⁴ that the extent of π bonding is expected to be about twice as great at a position trans to L as at a cis position [i.e., $F(\overline{CO}_{axial}) < F(CO_{equatorial})$]. In addition, a "direct donation" mechanism may be operative in situations where L has lone pair orbitals, involving a "through space" interaction between the p_z substituent orbital and a linear combination of the π^* orbitals of the equatorial carbonyls. This direct donation should increase with increasing covalent radius of L and decreasing effective nuclear charge of L.^{115a-d} Calculated CO force constants (Table VII) for the $Mn(CO)₅X$ series $(X = Cl, Br, I)$ agree with these con- $Mn(UU)_{5}\Lambda$ series $(\Lambda = U, Df, I)$ agree with these con-
clusions.² The ordering of $F(C\Omega)$ indicates a greater lability of equatorial CO, while the order of $\nu_{\text{M--C}}$ is in agreement with the predicted order of direct donation, $I^{-} > Br^{-} > Cl^{-}$, and is reflected in the lability order observed (vide infra).

Such ground-state arguments, however, do not explain the relative inertness of $Mn({\rm CO})_6^+$, and indeed, molecular orbital calculations²⁰ show no obvious relationship between the ground-state electronic properties of $\text{Mn}(\text{CO})_5\text{Br}$ and $\text{Mn}(\text{CO})_6$ ⁺ and the order of lability. A more generally applicable and satisfactory explanation is that based on arguments involving site preference in the five-coordinate $M(CO)_4L$ transition state or intermediate. These arguments by Brown et al. have been presented both qualitatively²⁶ based on molecular orbital calculations of Hoffmann and others^{116,117a,b} of site preference in ML_5 fragments, and quantitatively²⁰ in the form of Fenske-Hall calculations of a comparison of relative activation energies in the loss of CO from both axial and equatorial positions of $Mn(CO)_{5}X$ (X =

Scheme XIII

^a Not maximized.

H, Br) with that from $Mn(CO)_6^+$. Calculations were performed on the basis of Scheme XIII, evaluating relative energies of CO dissociation from either axial or equatorial positions to yield either cis-vacant (a) or trans-vacant (b) square-pyramidal intermediates, followed by a geometrical relaxation to the potential energy minimum (c), which calculations also show is thermally available *only* from the cis-vacant intermediate a.

From Scheme XIII it may be noted that (i) even without geometrical relaxation, cis loss of CO is preferred for $Mn(CO)_{5}H$ and strongly preferred for Mn- $(CO)_{5}Br$ and (ii) without allowing geometrical relaxation to the minimum energy *C2v* intermediate, the results indicate an approximately equal lability of $Mn(CO)_{5}Br$ and Mn(CO)_6^+ . Only when relaxation is allowed, does $Mn(CO)_{5}Br$ appear substantially more labile, and this may be directly traced to the π -donor capacity of Br involving overlap of a lone pair orbital with an appropriate empty metal orbital. The absence of such an orbital on hydrogen or CO means that little stabilization is achieved on geometrical relaxation. The approximately trigonal-bipyramidal nature of (c) may be noted, and it is of interest that matrix photolysis of $Mn(CO)_{5}X$ $(X = COMe, Me, H, Cl, Br, I)$ indicates a trigonal-bipyramidal geometry for the $Mn(CO)$. X intermediates pyramidal geometry for the $\text{Nn}(\text{CO})_4$ A intermedical position.

The conclusions may be summarized as follows:

(i) Cis labilization and site preference in $M(CO)_{5}L$ species will be greatest when L has potential π -donor character.

(ii) In the absence of π -donor character, site prefference is much reduced, although cis-CO loss is still preferred. Increasing σ -donor strength increases the cis-labilizing ability, although the sensitivity to σ -donor character of L is much less marked than to its π -donor character. σ -Bonding ligands may thus be either weakly labilizing, nonlabilizing, or even inhibit cis-CO dissociation.

(iii) As a corollary, it follows that ligands which are *stronger* π acceptors than CO may preferentially labilize trans-CO loss.

Thus, both $Mn(CO)_6X$ and $Re(CO)_6X$ (X = Cl, Br, I) undergo CO exchange in hydrocarbons at rates which

TABLE VIII. Activation Parameters for $M(CO)$, X and $M(CO)_aX$, Complexes

	$\Delta H_1^*, \quad \Delta S_1^*,$		
		kJ JK^{-1}	
reaction		mol^{-1} mol ⁻¹	ref
$Re(CO)_{5}X + *CO$			
$X = Cl$ (toluene)	122.1	-44	120e
$X = Br$	131.7	59	
$X = I$	137.9	64	
$X = Br(heptane)$	122.9	43	
$Mn(CO), X + AsPh, \rightarrow$			
$cis\text{-}Mn(CO)4(X)(AsPh3) + CO$			
$X = Cl (CHCl3)$	114.9	66	$121a-d$
$X = Br$	124.6	79	
$X = I$	134.6	87	
$X = Br(nitrobenzene)$	129		
$Re(CO)_{s}X + PPh_{3} \rightarrow$			
$cis \text{-}Re(CO)_{4}(X)(PPh_{3}) + CO$			
$X = Cl (CCl4)$	114.1	26	
$X = Br$	122.4	41	
$X = I(n\text{-octane})$	132.9	54	
$Re(CO)_{s}NCO + bpy \rightarrow$	98.2	8	
$Re(CO)_{3}(bpy)(NCO) + CO$			
$XRe(CO)4L + L' \rightarrow$			
$XRe(CO)_{3}LL' + CO$			
$X = \overline{C}l$, $\overline{L} = L' = py$	117.0	63	124
$X = Br$, $L = L' = py$	121.2	59	
$X = I, L = L' = py$	122.2	42	
$X = \overline{C}l$, $L = L' = \overline{P}Ph_3$	117.0	46	
$X = Br$, $L = L' = PPh$,	142.1	109	
$Mn(CO)$ _s $EPh_3 + PPh_3 \rightarrow$			
$trans\text{-}\mathrm{Mn}(\mathrm{CO})_{4}(\mathrm{EPh}_3)(\mathrm{PPh}_3)$ +			
CO.			
$E = Ge$	164.7	67.	136
$E = Sn$	173.1	-62	
$Br_2Fe(CO)_4 + PPh_3 \rightarrow$	25.9	-181	164-
$Br2Fe(CO)3PPh3$			166b

are independent of [CO] and faster by factors of 10-10² than the upper limit for CO dissociation from Mn- $(CO)_6^+$. Preferential cis labilization can be demonstrated, even though the five-coordinate intermediate is fluxional.^{24,119a-e} Similarly, $M(CO)_5X$ (M = Mn, Re; $X = Cl$, Br, I, NCO) react with a variety of ligands $(PPh₃, AsPh₃, SbPh₃, PPhCl₂, P(OBu)₃, P(OCH₂)₃CMe,$ $P(OPh)_{3}$) via first-order kinetics to yield exclusively $cis-M(CO)₄(X)(L)$ complexes.^{119a,120a-d} In the Re system, activation parameters compare well with those observed for CO exchange (Table VIII), taking into account the known solvent dependence of the rate which decreases with increasing polarity of solvent.¹²¹ The general ordering of lability of $NCO > Cl > Br > I$ and $Mn > Re$ may be noted. The latter is reminiscent of the lower lability of W as compared to Cr, while the order of halide labilization is in agreement with the order of interaction of the halide orbitals with the metal in the ground-state molecule $(Cl > Br > I)$ that is evident from ground-state molecule $(U \geq D \leq I)$ that is evident from
photoelectron studies 122 Competition experiments indicate a poor discriminating nature for the $M(CO)₄X$ intermediate.^{119a}

 $cis-M(CO)₄(Br)(L)$ complexes (L = py, PPh₃, P- $(OPh)_3$; $M = Mn$, Re) also undergo ¹³CO exchange with the results indicating a fluxional $BrMn(CO)₃L$ intermediate and preferential loss of CO from positions 3 and 5 cis to both Br and L.¹²³

Similarly, reactions with other ligands L'

show the same order of cis-halide labilizing ability (Table VIII), and in terms of L, the labilizing order py $>$ PPh₃ > AsPh₃ > PBu₃ > P(OPh)₃ \approx SbPh₃ > P- $(OBu)_3$ is observed. In fact, ligands lower than PBu_3 in this series are less labilizing than CO in the Mn- $(CO)_5X$ derivative.^{124,125} Although rates for the above reaction do not depend on [L'], they do depend on the nature of L', with the rate constant decreasing as the steric hindrance of L' increases. This has been attributed to (i) competition of released CO for the five-coordinate intermediate in the case of severe steric hindrance or (ii) isomerization of the initial intermediate a to b.

This is necessarily a higher energy pathway, and indeed, $cis-BrMn(CO)₄(PPh₃)$ reacts most slowly with $PPh₃$ to give not the fac product above, but

This complex also undergoes ¹³CO exchange preferentially at the cis positions (3 and 5) via a fluxional intermediate.¹²³

It may also be noted that the halogen-bridged dimers $M_2(CO)_8(\mu-X)_2$ (M = Mn, Re) react with ligands via bridge splitting to give $cis\text{-}M(CO)_4(X)(L)$ complexes with the rate order $Cl > Br > L^{126,127}$ However, the rate law has the form

$$
\frac{-d[M_2(CO)_8(\mu-X)_2]}{dt} =
$$

\n
$$
k_1[M_2(CO)_8(\mu-X)_2] + k_2[M_2(CO)_8(\mu-X)_2][L] (12)
$$

consistent with the preequilibria

$$
M_2(CO)_8(\mu-X)_2 \rightleftharpoons M_2(CO)_8(X)(\mu-X) \rightleftharpoons 2 M(CO)_4X
$$

Even the reaction of X^- with $Mn(CO)_5X$ to give cis -[Mn(CO)₄X₂]⁻ proceeds via a CO dissociative pathway,¹²⁸ in contrast to Cr(CO)₆. M(CO)₅X (M = Mn, Re; $X = Cl$, Br, I) also react with CN^- to give cis-[M- $(CO)_4(CN)_2$]; in all cases except $Mn(CO)_5Cl$, the reaction proceeds via initial formation of $[M(CO)₄(X)(CN)]^{-}$ followed by halide exchange to give $[M(CO)]_4$ - $(CN)_2$ ⁻,^{129,130} and it may be noted that $Mn(CO)_5X$ derivatives undergo halogen exchange with \mathbf{X}_{2} at rates which are independent of $(*X_2]$.¹³¹

Other ligands that may be classified as labilizing relative to CO include $X = NO₃¹³² > COCH₃¹³³ > SO₂ $\tilde{R}$$ $(R = Ph, Me)^{134a,b}$ as judged by the rates of reaction of $Mn(CO)₅X$ with ligands, although no activation parameters are available. In addition, CO labilization by $\rm CH_3CN$ has been observed in $\rm [(CH_3CN)Mn(CO)_5]^{+.25,81}$ CO appears less labile than in $\text{Mn}(\text{CO})_6^+$ in the CO

exchange reaction of $Ph_3PAuMn(CO)_5^{135}$ and the reaction of $Ph_3EMn(CO)_5$ (E = Ge, Sn) with PPh_3^{136} for which activation parameters are available. These ligands are non- π -donors, and the isolation of the *trans*- $Mn(CO)₄(PPh₃)(EPh₃)$ product from the last reaction may perhaps be viewed in terms of the lower site preference of EPh₃ ligands for the basal position of the square pyramid, particularly in view of the substantial steric bulk of EPh_3 . The reactions of $HMn(CO)_5$ cannot be treated as characteristic in this context; however, $HRe(CO)₅$ is nonlabile to substitution by $PBu₃¹³⁷$ under conditions where the halides are labile.

Similar conclusions can be drawn from the reactions of the chromium group. Thus, both $[W(CO)_5Cl]^{-49}$ and $[M(CO)_5(MeCOO)]^{-} (M = Mo, W)^{138a,b}$ undergo rapid exchange with ¹³CO, in contrast to $M(CO)_6$. The halide and acetate ions also react with donors at similar $rates^{138a,b,139}$

(i)
$$
M(CO)_5X^- + PR_3 \rightarrow cis \cdot M(CO)_4(PR_3)(X)^-
$$

\n $(PR_3)M(CO)_5 \xrightarrow{PR_3} (PR_3)_2M(CO)_4$
\n $M = Cr, Mo, W; X = Cl, Br, I$

(ii)
$$
M(CO)_{5}(MeCOO)^{-} + PR_{3} \rightarrow
$$

\n*cis-M(CO)_{4}(PR_{3})(MeCOO)^{-}\frac{PR_{3}}{(Mo\text{ only})}\n
\n*cis-Mo(CO)_{4}(PPh_{3})_{2}**

$$
M = Mo, R = Ph; M = W, R = Ph, Bu
$$

Kinetic studies¹³⁹ on the halide complexes show that the rate law

$$
\frac{-d[M(CO)_5X^-]}{dt} = k_1[M(CO)_5X^-] + k_2[M(CO)_5X^-][L]
$$

applies. The production of the $cis\text{-}M(CO)_4(L)(X)^$ product may be associated with the k_1 dissociative pathway and exhibits the usual order of cis labilization $Cl > Br > I$ while the metal order Mo > Cr \approx W is reminiscent of the dissociation order from $M(CO)₆$. The k_2 associative term may be linked with the production of the neutral $M(CO)_5L$ and $M(CO)_4L_2$ complexes, but the exact nature of the X^- displacement is not clear.

Reaction of $Cr(CO)_{5}[C(OMe)R']$ with PR₃ proceeds in a similar manner according to a two-term rate law.¹⁴⁰

$$
Cr(CO)_{5}[C(OMe)R'] + PR_{3} \rightarrow
$$

*cis-Cr(CO)*₄(PR₃)[C(OMe)R'] + Cr(CO)_{5}PR_{3} +
*trans-Cr(CO)*₄(PR₃)₂

Again, k_1 may be associated with rate-determining CO dissociation and the activation parameters (Table I) show that carbene (in this case conjugated and therefore a potential π donor) may be regarded as a cis-labilizing ligand. However, the k_2 associative term may be directly linked to $PR₃$ attack at the carbene carbon to give the adduct a, which may be isolated and shown to de-

compose thermally not only to $M(CO)_{5}(PR_{3})$ and M-

 $(CO)₄(PR₃)₂$, but also to cis- $M(CO)₄(PR₃)[C(OMe) R'$].^{141a,b,142} Activation parameters linked to k_2 are in agreement with an associative process. A similar adduct b may be isolated from reaction with hindered tertiary amines.¹⁴³ Reactions of a number of carbene species listed below have been characterized kinetically; with the exception of (i), all obey perfect sound-order kinetics and activation parameters (Table *TV)* may be associated with rate-determining attack on the carbene carbon. In

(i), the rate is proportional to $[RNH_2]^2$, consistent with attack by a hydrogen-bonded $RHNH---NH₂R$ dimer, in agreement with the negative ΔH^* for this reaction.

The greater susceptibility to attack $(W > Cr)$ in reaction iii and the decreasing ΔH^* with increasing basicity of $PR₃$ in reaction v may be noted.

Group 5 donors, including MeCN, are also labilizing, with py > PPh_3 on the basis of rate constants reported for the reactions below. Isonitriles are essentially nonlabilizing.

$$
(vi) \quad Mo(CO)_{5}L + L' \rightarrow cis-Mo(CO)_{4}LL' + CO
$$

 $L = 4$ -methylpyridine; $L' =$

4-picoline, 3,4-lutidine, 3-chloropyridine¹⁴⁹

$$
L = \mathrm{PPh}_3, \mathrm{P(OEt)}_3, \mathrm{CNC}_6H_{11}; L' = \mathrm{PPh}_3^{150}
$$

 $(vii)^{151}$ Mo(CO)₅(CNPh) + *CO \rightarrow $Mo(CO)_{4}(*CO)(CNPh) + CO$

(viii)¹⁵² Cr(CO)₅PPh₃ + PPh₃
$$
\rightarrow
$$

trans-Cr(CO)₄(PPh₃)₂ + CO

 $(ix)^{33}$

 $M(CO)_{5}(MeCN) + MeCN \rightarrow cis-M(CO)_{4}(MeCN)_{2} +$ $fac\text{-}M(CO)_{3}(\text{MeCN})_{3}$ (M = Cr, Mo, W)

$$
(x)^{153-155a} M(CO)_{5}(L-L) \rightarrow M(CO)_{4}(L-L) + CO
$$

 $M = Cr$, Mo, W; L-L = bidentate phosphine

Note that in (viii), the trans isomer is isolated, although cis labilization occurs, and that reaction vi proceeds via a two-term rate law in which the *k2* term may be associated with a competing I_d pathway. Activation enthalpies (Table I) for the chelation reactions of (x) are in the order $W > Cr > Mo$ usually associated with CO loss, but less than those associated with $M(CO)_e$. It may, however, be noted that with bulky ligands such as $PPh₃$, a steric contribution to the rate enhancement is likely, in addition to any electronic effect.¹⁰⁸

Finally, examples of trans labilization of CO in complexes containing strong π -accepting ligands have been reported. Thus, $W(CO)_5$ CS undergoes ¹³CO exchange to give almost exclusively *trans*-W(CO)₄(¹³CO)(CS) and reacts with L via competing D and I_d pathways to give *trans*- $W(CO)_{4}(CS)(L)$ (L = py, PPh₃), and with halide via a purely second-order process to give trans-[W- $(CO)_4(CS)(X)$ ⁻ (X = Cl, Br, I). ΔH^* ₁ and ΔH^* ₂ values for reaction with PPh_3 indicate a substantial trans labilization as compared to $W(CO)_6$. While the trans product may be attributed to either a cis labilization followed by isomerization of a $W(CO)_{\epsilon}CS$ intermediate, or to isomerization of an initially formed $cis-W(CO)₄$ - $(CS)(L)$ complex, it is known that trans-W $(CO)₄$ - $(13^{\circ}\text{CO})(\text{CS})$ reacts with I⁻ to give exclusively *trans*-[W- $(CO)_{4}(CS)I$]⁻ and ¹³CO.^{49,156} Matrix photolysis of M- (CO) ₅CS results in initial statistical loss of both axial and equatorial CO.^{157a,b} Products of attack at the CS carbon are also evident:

(i)
$$
W(CO)_{5}CS + RNH_{2} \rightarrow W(CO)_{5}(CNR)
$$

(ii) $W(CO)_{5}CS + R_{2}NH \rightarrow W(CO)_{5}(S=C(NR_{2})H)$

$$
(iii) \quad W(CO)_{5}CS + N_{3}^{-} \rightarrow W(CO)_{5}NCS^{-} + N_{2}
$$

For reaction i, the dependence on $[RNH_2]^2$ and the low activation enthalpy are characteristic of attack by RHNH—NH2R. As expected, the use of *trans-W-* $(CO)₄(¹³CO)^C$ S yields *trans*-W $(CO)₄(¹³CO)(CNR)$ and $trans\ W(CO)₄(¹³CO)(¹³CO)₁(¹⁴CO)^{-49,158}$ The carbyne complex $Cr(CO)_{5}(CNEt_{2})^{+}$ also undergoes stereospecific trans labilization to give trans- $Cr(CO)_{4}(CNEt_{2}) (PPh_{3})^{+}$ under conditions where cis -trans isomerism is unlikely.¹⁵⁹ The neutral complexes trans- $XCr(CO)_{4}(CNEt_{2})$ (X = Br, I) undergo substitution by PPh₃ to give mer-Cr(CO)₃- $(X)(PPh₃)(CNEt₂)$ with broadly similar activation parameters (perhaps indicating competition between cislabilizing and trans-labilizing ligands). The activation parameters show the usual order of cis labilization, Br $>$ I. In a similar way, trans-W(CO)₄(CS)I⁻ undergoes \geq 1. In a similar way, *trans*-w(CO)₄(CS)1 undergoes
exchange with ¹³CO to give mer-W(CO)₂(CS)exchange
(I)(¹³CO)⁻⁴⁹

Trans labilization by NO is observed in the stereospecific exchange¹⁶⁰ shown in Scheme XIV. The order of lability is $Mo > W$, while it may be noted that $trans\text{-Cr}(\text{MeCN})_4(\text{NO})_2^{2+}$ does not undergo exchange. Trans labilization by the strongly π -accepting SiCl₃ may be noted in the stereospecific ¹³CO incorporation into cis-Ru(CO)4(SiCl3)2 and the first-order reaction of *cis-* $M(CO)_{4}(SiCl₃)_{2}$ and cis-Ru(CO)₄(H)(SiCl₃) with PPh₃ to give the products shown in Scheme XV. The order of lability $Ru > Fe > Os$ is reminiscent of the chromium group (\dot{M} o > Cr > W). The remaining trans-CO is also labilized, with (a) reacting easily with ligands of small cone angle to yield (b). Bidentate ligands also react with cis -Ru(CO)₄(SiCl₃)₂ to yield products of geometry (b) ^{161-163b}

cis-Fe(CO)₄X₂ (X = Br, I) reacts sequentially with group 5 ligands such as EPh_3 (E = P, As, Sb) to give **Scheme XI V**

Scheme XV

 $\text{Fe(CO)}_{3}\text{X}_{2}\text{EPh}_{3}$ and $\text{Fe(CO)}_{2}\text{X}_{2}(\text{EPh}_{3})_{2}$ complexes.¹⁶⁴⁻¹⁶⁶⁶ The rate of initial monosubstitution is independent of both the nature and concentration of $EPh₃$, yet has activation parameters consistent with associative character. This, and the inhibition by added X_2 , has been interpreted in terms of a rapid preequilibrium involving a bridging interaction with EPh₃, followed by rate-determining generation of $Fe(CO)_4$.¹⁶⁷

(iii)
$$
\text{Fe(CO)}_4 + \text{EPh}_3 \rightarrow \text{Fe(CO)}_4\text{EPh}_3
$$

(iv) $Fe(CO)₄EPh₃ + X₂EPh₃ \rightarrow$ $X_2Fe(CO)_4EPh_3 + EPh_3 + CO$

The much slower rates observed for *CO¹⁶⁸⁻¹⁷⁰ and $*Br₂¹³¹$ exchange may be attributed to their inability to assist X_2 dissociation via the bridging interaction shown. Indeed, the *CO exchange is dependent on [CO], and the reaction may proceed via the $Fe(CO)_{5}I_{2}$ intermediate which is evident from stopped-flow¹⁷¹ and spectroscopic^{172,173} studies of the reaction of $Fe({\rm CO})_5$ with I_{2}

Finally, care must be taken in interpreting mechanism from the stereochemistry of *isolated* $M(CO)_{4}L_{2}$ and $M(CO)₃L₃$ complexes, since cis/trans and fac/mer isomerism, respectively, are commonly observed features of such derivatives. Results on compounds of relevance to the preceding discussion are detailed in Table IX. The reactions may be divided into those which are intramolecular and those which appear to proceed via the dissociation of L on the basis of incorporation of CO or ligand exchange during the conditions required for isomerization. In the intramolecular cases, $H_2Fe[PhP(OEt)₂]$ ₄ is unique in that NMR studies show that isomerization occurs via a process in which the hydrogens traverse the faces of an approximate tetrahedron of phosphorus atoms, and a crystal structure determination of the above complex reveals a ground-state structure that approximates to this.

Other H_2ML_4 complexes $[M = Fe, L = P(OEt)_{3}$, P- $(OPr-i)_3$, $P(OCH_2)_3CEt$, $PhP(OPr-i)_2$, $L_2 =$ diphos; M $=$ Ru, L $=$ P(OMe)₃, PhMe₂P, PhEt₂P, Ph₂MeP, $Ph_2P(OMe)$] undergo site exchange by the same mechanism, although only the cis isomer is detectable at equilibrium.¹⁷⁴⁻¹⁷⁷ The remainder of the intramolecular isomerizations are assumed to proceed via the trigonal-twist²⁰³ or related²⁰⁴⁻²⁰⁶ mechanisms. In addition, experiments on scrambling of ^{13}CO in $cis-M$ $(CO)_4(^{13}CO)[P(OMe)_3]$ (M = Cr, Mo, W) indicate that site exchange is also a feature of $M(CO)_{5}L$ complexes (intramolecular for Cr and W, dissociative for Mo).948,207

The position of equilibrium (K_{eq}) in both intramolecular and dissociative isomerizations can be seen as a compromise between the electronically favored *cis-* $M(CO)₄L₂$ isomer (particularly where L is a strong σ donor but weak π acceptor) and the sterically favored trans isomer. For systems in which both isomers are present at equilibrium, *Keq* can be seen to depend predominantly on the steric interaction between the two ligands. A smaller dependence on the type of ligand and the metal center is also observed. Thus, Me- $COMn(CO)₄L$ exists solely as the cis isomer when $L =$ $PEt₃$ but in a 9:1 cis/trans ratio when $L = P(OMe)₃$ even though PEt_3 has the larger cone angle.¹⁹⁴ This has been attributed to the greater π -acceptor capacity of $P(OMe)₃$. In the $M(CO)₄(PBu₃)₂$ series (M = Cr, Mo, W), the cis/trans ratio varies in the order $Cr(<.01)$ W, the cis/transflatio varies in the order $C_1(-0.01) \leq W(11) \leq M_0(19)$. 186 Although the position of Cr is expected due to its smaller size, the differing ratios of the similarly sized Mo and W complexes perhaps reflects an electronic contribution to *Keq* on the part of the metal. Similar steric influences are obvious in the fac/mer ratios adopted by $XMn(CO)₃L₂$ complexes. It may be noted that formation of $XMn(CO)₂L₃$ from $XMn(CO)₃L₂$ may actually require initial isomerization. Thus, $fac\text{-}BrMn(CO)_3[POMe)_2Ph]_2$ undergoes reac-Thus, μ -Drivin(CO)₃[P(ONe)₂Ph)]₂ undergoes reaction with P(OMe). Ph to give BrMn(CO)²[P(OMe). Ph] tion with $P(\text{UME})_2$ Ph to give $\text{BrMn}(\text{CO})_2$ [P(OM)

Insufficient information exists to identify factors that determine the relative *rates* of intramolecular isomerization. In the $M(CO)₄L₂$ series [M = Cr, Mo, W; L = $PR₃$, $P(OR)₃$, it may be noted that the rate of isomerization $PR_3 > P(OR)_3$ parallels the rate of dissociation from the $M(CO)₄L₂$ complex, although the relative rates in terms of metal $(Cr > W > Mo)$ are not in the same order as the rate of dissociation $(Mo > W)$. Finally, a dramatic influence of oxidation state on the rate of isomerization may be noted, with $cis\text{-}[Mo(CO)₄ (PBu₃)₂$ ⁺,^{208a} cis-[M(CO)₂(P-P)₂]⁺ (M = Cr, Mo, W),^{208b} and trans-[(CO)₄Mo(CN(Me)CH==CHNMe)]⁺²⁰⁹ undergoing isomerization at rates that are orders of magnitude faster than their neutral analogues of Table IX.

VI. Complexes Based on Bldentate M(CO)4(L-L)

In principle, formation of chelated complexes of this type may be viewed as the sequence

$$
M(CO)_6 + L-L \rightarrow M(CO)_5(L-L) + CO \rightarrow M(CO)_4(L-L) + CO
$$

In practice, $M(CO)_{5}(L-L)$ complexes are not available by direct thermal substitution of $M(CO)_6$, but have been isolated under milder conditions and the kinetics of the chelation step have been investigated. Thus, rates of

chelation in the series $M(CO)_{5}[R_{2}P(CH_{2})_{n}PR_{2}]$ decrease in the order $n = 1 > 2 > 3$; activation parameters (Table I) indicate that this ordering is due to the change in ΔS^* , perhaps reflecting the higher effective concentration of the shorter chain diphosphines. Rates of chelation increase in the order $R = Me < Ph < Cy$; this is also primarily an entropy-controlled trend and may reflect a steric acceleration in the transition state. The decrease in rate in the order $Mo > Cr > W$ is, however, enthalpy controlled and reflects the usual order of CO lability observed in this group.^{153-155a} Most recently, the monodentate intermediates $M(CO)_{5}(4, 4'-R_{2}-2, 2'-bpy)$ $(M = Cr, Mo, W; R = Me, n-C₁₉H₃₉)$ have been pre- α because the photochemically,^{155b} and shown to decay thermally to $M(CO)_{4}(bpy)$ (M = W, R = n-C₁₉H₃₆) with a rate constant of 6.8×10^{-3} s⁻¹ at 298 K, i.e., an intermediate lifetime of approximately 100 s. The enhanced rate relative to closure of the phosphine chelate may reflect the greater cis-labilizing nature of the delocalized nitrogen donor, and also a greater steric acceleration due to the much more rigid bpy framework.

The dechelation reactions shown in Scheme XVI have also been studied kinetically. In both series, the rate of dechelation (k_1) is found to decrease in the order $n = 3 > 2 > 1$, although activation parameters (Table X) indicate that again, this is primarily entropy controlled. The same ordering in terms of metal is found $(Mo > Cr >> W)$, and as expected, ¹³CO is incorporated stereospecifically into the position cis to phosphorus in the product. $210,211$

Other reactions of $M(CO)_{4}(L-L)$ species yield products resulting from carbonyl substitution or complete displacement of L-L. Early work²¹²⁻²¹⁴ on the reactions of $M(CO)_{4}(L-L)$ complexes $(M = Cr, Mo, W; L-L =$ bpy, phen) has been repeated and reinterpreted on the basis of the three competing pathways shown in Scheme XVII. The reactions of $Cr\tilde{CO}_4$ (bpy) with $P(OEt)_{3}$ and $P(OCH₂)₃CMe$ are the simplest²¹⁵ in that only *fac*-Cr- $(CO)₃(bpy)L$ is formed and the I_d pathway represented by k_2 is absent. Steady-state treatment of Scheme XVII yields the rate law

$$
\frac{-d[S]}{dt} = k_1[S] + \frac{k_3 k_4[S][L]}{k_{-3} + k_4[L]} \tag{13}
$$

where $S = Cr(CO)₄(bpy)$ and $L = phosphate$.

As expected, curved plots of k_{obsd} against [L] are found which approach limiting rates at high [L] where eq 13 reduces to

$$
\frac{-d[S]}{dt} = (k_1 + k_3)[S] \tag{14}
$$

Scheme XVI Scheme XVII

Values of k_1 and k_{-3}/k_4 may be extracted from the kinetic data. Reaction of $M(CO)_{4}(bpy)$ (M = Mo, W) with $P(OR)_3$ yields $fac\text{-}M(CO)_3(bpy)L$, $trans\text{-}M(CO)_4L_2$, and $M({\rm CO})_3{\rm L}_3$; kinetic data for the tungsten complex²¹⁶ show curved plots of k_{obsd} against [L] which are nonlimiting at high [L], implying the operation of competing D , I_d , and ring-opening pathways. Steady-state treatment of Scheme XVII on this basis yields the rate law

$$
\frac{-d[S]}{dt} = k_1[S] + k_2[S][L] + \frac{k_3 k_4[S][L]}{k_{-3} + k_4[L]} \quad (15)
$$

which at high [L] reduces to

$$
\frac{-d[S]}{dt} = (k_1 + k_3)[S] + k_2[S][L] \tag{16}
$$

The reaction of $M(CO)_{4}$ (phen) with phosphites and phosphines yields only $fac-M(CO)₃(phen)L$ complexes; it would appear that the greater rigidity of the phen ligand limits the availability of the ring-opening pathway (i.e., a much larger value of k_{-3}/k_4 as compared to bpy). Thus, substitution of the chromium complex proceeds solely by the D pathway represented by k_1 , while the molybdenum and tungsten analogues proceed wind the may substant and variation analogues proceed via competing D and I_d pathways.²¹⁴ k_2 shows the usual dependence on ligand basicity $[PBu_3 \geq P(OCH_2)_3 CMe]$ $>$ P(OEt)₃ $>$ PPh₃ $>$ P(OPh)₃], while k_1 is seen to increase with increasing basicity of the phen ligand. Rates and activation parameters (Table X) show that like pyridine, these bidentate delocalized nitrogen donors pyriume, these bluentate delocalized introgen donors
are cis labilizing. $Cr(CO)_{4}$ (phen) undergoes ${}^{13}CO^{217}$ or are tis labilizing. $C_1(C_2)$ (phen) undergoes $-C_1$ or $C^{18}Q^{218}$ exchange by a D pathway almost exclusively at the carbonyls cis to both nitrogens, and the results of ¹³CO labelling experiments may be interpreted on the basis of a five-coordinate $M(CO)_{3}$ (phen) intermediate that is fluxional in the sense of Scheme X, with the that is fluxional in the sense of Scheme Λ , with the basal sites of phen exhibiting a site preference for the basal sites of
the square pyramid 219,220 In contrast, Cr(CO), (diphos) the square pyramid.⁻⁻⁻⁻⁻⁻ In contrast, $\text{Cr}(\text{U})$ ₄(diphos)
exchanges with 13 CO at rates that approximate those of $Cr(\bar{CO})_6$, indicating a nonlabilizing nature for diof $\mathrm{Cr}(\mathrm{CO})_6$, indicating a noniabilizing nature for di-
phos.²¹⁷ The reaction of $\mathrm{Mo}(\mathrm{CO})$. (H₂PC₂H₂PH₂) with phosphines and phosphites to yield $fac-Mo(CO)₃$ phosphilles and phosphiles to yield μ t-Mo(CO)₃⁻
(H₂PC₂H₂PH₂)L, Mo(CO)₄L₂ and Mo(CO)₂L₂ may also $b_{\rm B}$ interpreted on the basis of Scheme XVII, 221 although
he interpreted on the basis of Scheme XVII, 221 although the activation parameters associated with k_1 and k_2 are again comparable to those associated with $Mo(CO)₆$. The reaction of $Mo(CO)₄(diphos)$ with phosphites, phosphines and amines to yield either *fac-* or *mer-*

TABLE IX *(Continued)*

 a cis \Rightarrow trans \Rightarrow cis. ^c Methylcyclohexane. ^d Toluene. ^e Acetone. ^f No trans isomer present at equilibrium; trans isomer prepared by photochemical isomerization of cis.

 $Mo(CO)₃(diphos)L$ depending on the ligand used, proceed solely via rate-determining CO loss^{222,223} and ¹³CO-labelling experiments indicate only a partial fluxional character for the $Mo(CO)_{3}$ (diphos) intermediate.²²⁴

Substitution reactions of $W(CO)_{3}(\text{phen})(CS)$

$$
\begin{array}{c}\n\begin{array}{c}\n\downarrow \\
\downarrow\n\end{array} & \uparrow \qquad \qquad \qquad \qquad \qquad \qquad \begin{array}{c}\n\downarrow \\
\downarrow\n\end{array} & \uparrow \qquad \qquad \qquad \qquad \qquad \begin{array}{c}\n\downarrow \\
\downarrow\n\end{array} & \uparrow \qquad \qquad \qquad \begin{array}{c}\n\downarrow \\
\downarrow\n\end{array} & \uparrow \qquad \qquad \qquad \begin{array}{c}\n\downarrow \\
\downarrow\n\end{array} & \uparrow \qquad \qquad \begin{array}{c}\n\downarrow \\
$$

may also be interpreted on the basis of Scheme XVII; a cis labilization of CO dissociation relative to $W(CO)_{6}$, $W(CO)_{5}CS$, and $W(CO)_{4}(\text{phen})$ may be noted.²²⁵

Substitution of L by an L-dissociative pathway

$$
fac\text{-}Cr(CO)3(phen)L + CO \rightarrow Cr(CO)4(phen)+ L
$$

has been studied kinetically;²²⁶ rates ($PBu₃ < P(OEt)₃$) \langle P(OCH₂)₃CMe) and activation parameters (Table X) broadly parallel the trend observed for dissociation of L from $Cr(CO)_{5}L$, but with lower activation enthalpies due to the cis-labilizing effect of phen. Substitution of $P(OPh)$ ₃ in *fac*-M(CO)₃(phen)P(OPh)₃ by other ligands proceeds via the competing D and I_d pathways of Scheme XVII. In substitution of $M(CO)_{4}$ (phen), the I_d pathway is observed only for Mo and W, but plays a diminished role compared to the substitution of $M(CO)₄(phen); k₁ values increase as the basicity of the$ phen increases.²²⁷

For bidentate aliphatic nitrogen and sulfur ligands, complete displacement of L-L is usually observed. The results have generally been interpreted on the basis of Scheme XVIII. Steady-state treatment of Scheme XVIII yields the rate law

$$
\frac{-d[S]}{dt} = \frac{k_4\{(k_1k_3 + k_{-1}k_2)[S][L']\} + k_3k_2[S][L']^2}{k_{-1}(k_{-3} + k_{-2} + k_4) + k_3(k_{-2} + k_4)[L']}
$$
(17)

where S is metal substrate complex.

The complexity of this expression means that rate constants and particularly derived activation parameters must be treated with caution. However, several points may be noted:

(a) For all reactions except the displacement of $MeSCH₂)₂SM$ e, curved plots of k_{obsd} against [L'] are observed, consistent with eq 17. Calculations show that an apparently linear plot of k_{obsd} against [L'] can be obtained with appropriate combinations of rate constants.²³³

(b) Assuming that k_1 >> k_2 , consideration of eq 17 shows that a plot of *l/kobad* against 1/[L'] should be linear. For different L', the intercepts of this plot should be constant if the reactions proceed solely by the D pathway (k_1) but different if the reactions proceed by both D and I_d pathways. Such linear plots are found for the examples in Scheme XVIII, with only the *t-* $Bus(CH₂)₂SBu-t$ displacements giving a common intercept for different L'.

(c) The intermediates $(\eta^2$ -L-L)W(CO)₄P(OCH₂)₃CMe $(L-L = Me₂N(CH₂)₃NMe₂$ and t -BuS(CH₂)₃SBu-t) have been isolated and shown to react with L' at rates that are independent of [L'] to give the product.

(d) For both $Me₂N(\tilde{CH}_{2})_nNMe₂$ and t-BuS- $(CH₂)_nSBu-t$ ($n = 2, 3$), the larger six-membered chelate

TABLE X. Activation Parameters for Reactions Involving (CO) ₄M(L-L) Complexes

reaction	ΔH [*] , kJ mol ⁻¹	ΔS ,*, $J K^{-1}$ mol ⁻¹	ΔH_2^{\ast} , $kJ \text{ mol}^{-1}$	$\Delta S,$ *, $J K^{-1}$ mol ⁻¹	ref
$(CO)_{4}Mo(Ph, P(CH_2)_{n}NR_{2} + CO \rightarrow$					
(CO), Mo[Ph, P(CH,), NR,]					
$n = 2$, $R = Me$	94.9	-132			209
$n = 3$, $R = Me$	89.8	10			
$n = 2, R = Et$	92.8	-3			
$(CO)_{4}Mo[Ph_{2}P(CH_{2})_{n}C_{3}H_{4}N]+CO \rightarrow$					
$(CO), Mo[Ph, P(CH_2)_n C, H_4 N]$					
$n=1$	83.6	-67			210
$n = 2$	89.5	-29			
$n = 3$	94.9	13			
$(CO)_{4}W(bpy) + PO_{3}C_{6}H_{9} \rightarrow$	141.7	52	93.2	-56	215
fac · (CO) ₃ W(bpy)(PO ₃ C ₆ H ₉)					
$(CO)_{4}Cr(phen) + C^{18}O$	112.4	38			217
$(CO)_{4}M(\text{phen}) + P(OCH_{2})_{3}CMe \rightarrow$					
fac (CO), $M(phen)$ [P(OCH ₂), CMe]					
$M = Cr$	110.3	29			213
$M = Mo$	104.9	10	80.2	-71	
$M = W$	139.6	-44	98.6	-157	
$(CO)_{4}Mo(H_{2}PC_{2}H_{4}PH_{2}) + PBu_{3} \rightarrow$	187.9	146	99.9	-49	220
fac · (CO) ₃ Mo(H ₂ PC ₂ H ₄ PH ₂)(PBu ₃)					
fac (CO), Cr(phen)L + CO \rightarrow					
$(CO)4Cr(phen) + L$					
$L = PBu$,	122.5	46			225
$L = P(OEt)$,	117.8	56			
$L = P(OCH_2)$, CMe	91.5	-23			

Scheme XVIII

$$
\begin{array}{ccc}\n\binom{L}{L} & m(G0)_4 & \xrightarrow{\frac{R_1}{N_{L-1}}} & (L-L) \, m(G0)_4 \\
& k_2 \\
& k_1 \\
& k_2 \\
& k_3 \\
& k_4 \\
& k_5\n\end{array}
$$
\n
$$
(L-L) \, m(G0)_4 \, k_1' \xrightarrow{\quad \text{if } k_3 \\
\text{if } k_4 \\
& k_5\n\end{array}
$$
\n
$$
m(G0)_4 \, k_1' \xrightarrow{\quad \text{if } k_1 \\
\text{if } k_2 \\
\text{if } k_3 \\
\text{if } k_4 \\
\text{if } k_5 \\
\text{if } k_6 \\
\text{if } k_7 \\
\text{if } k_8 \\
\text{if } k_9 \\
\text{if } k_1 \\
\text{if } k_2 \\
\text{if } k_3 \\
\text{if } k_4 \\
\text{if } k_5 \\
\text{if } k_6 \\
\text{if } k_7 \\
\text{if } k_8 \\
\text{if } k_9 \\
\text{if } k_9 \\
\text{if } k_1 \\
\text{if } k_1 \\
\text{if } k_2 \\
\text{if } k_3 \\
\text{if } k_4 \\
\text{if } k_5 \\
\text{if } k_6 \\
\text{if } k_7 \\
\text{if } k_7 \\
\text{if } k_8 \\
\text{if } k_9 \\
\text{if } k_9 \\
\text{if } k_9 \\
\text{if } k_1 \\
\text{if } k_1 \\
\text{if } k_1 \\
\text{if } k_2 \\
\text{if } k_3 \\
\
$$

 a (i) 228,229 L-L = Me₂N(CH₂)₂NMe₂; M = Cr, W; L' = $P(ONE)_3, P(OEt)_3, P(OCH_2), \ddot{C}Me, P(OPh)_3, PPh_3.$
(ii) $^{233,228,230}L-L = Me_2N(CH_2)_3NMe_2; M = Cr, Mo, W; L' =$ $P(OEt)_{3}$, $P(OPr-i)_{3}$, $P(OMe)_{3}$, $P(OCH₂)_{3}CMe$, $P(OPh)_{3}$, PPh_3 . (iii) $^{223,231}L-L = Mes(CH_2)_2SMe$; $M = Cr$, Mo ; $L' =$ $P(OEt)$ ₃, $P(OMe)$ ₃, $P(OPh)$ ₃, (iv) ²³²⁻²³⁴L-L = Bu-t- $S(CH_2), SBu-t; M = Cr, Mo, W; L' = P(OEt)_{3}$, $P(OMe)_{3}$, $P(OPh)$, PBu_3 . (v) $^{223.235}L-L = Bu-t-S(CH_3)$, $SBu-t$; $M = W$; $L' = P(OEt)$ ₃, $P(OCH_1)$ ₃CMe, PPh₃.

ring is more easily displaced than the five-membered ring. This agrees with the dechelation studies of M- $(CO)₄(N-P)$ complexes mentioned previously, and crystal structure determinations of $W(CO)_{4}[t$ -BuS- $(CH_2)_n$ SBu-t] $(n = 2,3)^{236}$ reveal a greater potential for release of steric strain on opening of the six-membered ring.

(e) The site preference and fluxionality arguments presented in Scheme X may be applied to both the $(\eta^2 - L - L)M(CO)_4$ and $M(CO)_4L'$ intermediates of Scheme XVIII. Thus, the $M(CO)_4L_2'$ products formed are of cis geometry, except where cis-trans isomerism occurs under the conditions of substitution, or where ligands L' of large cone angle are used where the trans isomer is formed directly from a five-coordinate intermediate. In the most extensive study, displacement of L-L from $W(CO)_{4}(Me_{2}NC_{2}H_{4}NMe_{2})$ yields a cis/trans mixture of $W(CO)₄L'₂$ in which the decreasing cis/trans ratio $[PPh₂Me > PPh₂Et PPh₂Pr-i > PPh₂Bu-t > P (p$ -tolyl)₃ > P(o -tolyl)₃] is directly related to the increasing cone angle.²³⁷ In agreement with Scheme X,

 $fac\text{-}Mo(CO)₃(^{13}CO)[Me₂N(CH₂)_nNMe₂]$ (n = 2, 3) reacts with SbPh_3 to give only fac- $\mathrm{M}_0(\mathrm{CO})_3(\mathrm{13CO})(\mathrm{SbPh}_3)_2^{238}$ The displacement of $L-L$ from $Cr(\breve{CO})_4$ - $(Ph₂AsC₂H₄AsPh₂)$ by $P(OEt)₃²³⁹$ may also be interpreted in terms of Scheme XVIII, and may be contrasted with the reaction of the diphos derivative which yields only products of carbonyl substitution.^{217,221}

VII. Complexes Containing Olefin or Polyolefln Ligands

Although olefins and polyolefins are much less nucleophilic than group 5 or group 6 ligands, an understanding of their substitution and exchange reactions is vital to an understanding of the mechanisms of catalytic transformations of olefins and polyolefins.

A. Monoolefin Complexes

Although (olefin) $M(CO)_5$ and related complexes have been prepared photochemically,^{240,241} they are not stable under the conditions required to effect thermal substitution of $M(CO)_6$. However, a kinetic study of their formation via displacement of acetone from $W(CO)_{5}$ - $(Me₂CO)$ has been reported.⁵⁰ The mechanism is D in nature, involving initial acetone dissociation:

$$
W(CO)_{5}(Me_{2}CO) \frac{k_{1}}{k_{-1}} W(CO)_{5} + Me_{2}CO
$$

$$
W(CO)_{5} + OI \frac{k_{2}}{k_{-2}} W(CO)_{5}(ol)
$$

$$
K_{eq} = \frac{[W(CO)_{5}(ol)][\text{acetone}]}{[W(CO)_{5}(\text{acetone})][ol]}
$$

 K_{eq} [1-hexene (>20) > 1-heptene (21.5) > 1-pentene $(20.7) > cis-2$ -pentene $(8.2) > trans-2$ -pentene $(2.7) >$ 2-methyl-2-butene (0.9)] can be seen to decrease with increasing alkyl substitution (steric hindrance). The relative *rates* of substitution show some dependence also on the electronic character of the olefin (heptene

^a C₇H₇ = tropylium; nbd = norbornadiene; Cp = cyclopentadienyl; cod = 1,5-cyclooctadiene; chpt = 1,3,5-cycloheptatriene.

 $>$ hexene $>$ cis-2-pentene $>$ pentene $>$ trans-2-pentene > 2-methyl-2-butene), implying that the steric effect of the olefin is not fully developed in the transition state. Displacement of olefin

 $cis\text{-}M(CO)₄(PR₃)(ol) + P(OPr-i)₃ \rightarrow$ $cis\text{-}M(CO)_{4}(PR_{3})[P(OPr-i)_{3}] + ol$

also proceeds via a dissociative pathway, in keeping with the activation parameters (Table XI). The lability of C_2H_4 in the series C_2H_4 > dimethyl maleate > dimethyl fumarate >> maleic anhydride is consistent with the increased π back donation to those olefins with electron-withdrawing substituents. The order of olefin lability in terms of PR₃ [PPr- i_3 > PPh₃ > PPhPr- i_2 > $PPh_2Pr-i > PEt_3$] can be seen to be dependent on ligand cone angle. A typical order of lability in terms of metal $(Mo \gt \bar Cr \gt \bar W)$ is found.²⁴²

Scheme XIX

B. Polyene and Arene Complexes

Displacement of diene by group 5 ligands from (diene) $M(CO)₄$ complexes can be treated in terms of a simplified version of the ring opening pathway previously outlined.

Application of the steady-state approximation to (A) Scheme XX and (B) in Scheme XIX yields the rate equation

$$
\frac{-d[S]}{dt} = \frac{k_1 k_3[S][L]}{k_{-1} + k_3[L]} + k_2[S][L] \tag{18}
$$

If $k_3[L] >> k_{-1}$, this reduces to

$$
k_{\rm obsd} = k_1 + k_2[L] \tag{19}
$$

and indeed, plots of *kobad* against [L] for all the systems noted below are linear with reactions i and iii having non-zero intercepts.

(i) ^{243,244}

$$
(1,5\text{-cod})\text{Mo}(CO)_4 + 2L \rightarrow L_2\text{Mo}(CO)_4 + 1,5\text{-cod}
$$

 $2L = PPh₃$, As $Ph₃$, Sb $Ph₃$, py, PCl₂Ph, PCl₃, picoline, PBu₃; L_2 = bpy, diphos, phen

(ii)²⁴⁵ (nbd)
$$
M(CO)_4 + 2L \rightarrow L_2M(CO)_4 + nbd
$$

 $nbd =$ norbornadiene; $M = Cr$, Mo , W ; $2L =$ $PBu₃$, $P(OMe)₃$, $P(OPh)₃$

(iii)²⁴⁶ (butadiene) $Cr(CO)_4 + 2P(OMe)_3 \rightarrow$ $[P(OMe)_3]_2Cr(CO)_4 + but adiene$

Activation parameters (Table XI) associated with *k^x* and k_2 are consistent with competing D and I_d pathways, and in both (ii) and (iii), linear plots of log *k²* against $\triangle HNP$ are obtained. The greater lability of the 1,3-diene in (iii) may be noted, and the preference in molecular orbital terms of a $Cr(CO)₄$ fragment for a nonconjugated rather than a conjugated diene has been discussed.¹⁵ Indeed, (butadiene)Cr(CO)₄ undergoes olefin exchange

(butadiene) $Cr(CO)₄ + nbd$ or 1,5-cod \rightarrow (nbd or 1,5-cod) $Cr(CO)₄$ + butadiene

via a purely D pathway, reflecting the lower nucleophilicity of diene as an entering ligand.²⁴⁶

The $L_2M(CO)_4$ complex initially formed is of cis geometry, with the exception of reaction iv in which use of $P(OMe)$ ₃ yields a cis/trans mixture of $Mo(CO)_{4}[P-e]$ $(OMe)₃$, under conditions where cis-trans isomerization does not occur. Labelling experiments are illuminating; thus, reaction using the fac -(diene)Mo- $(CO)_{3}$ ⁽¹³CO) complex yields exclusively fac -Mo $(CO)_{3}$ - $($ ¹³CO) L_2 where $L =$ PPh₃, SbPh₃, or P(OPh)₃, but where $L = P(OMe)_3$, the cis isomer formed is a fac/mer mixture. The results can be interpreted in terms of Scheme XX. Thus, isolation of the $cis-M(CO)₄L₂$ isomer only requires formation of $fac\text{-}M(CO)₃(^{13}CO)²L₂$ only, whereas formation of $trans-M(CO)₄L₂$ (which requires formation of the higher energy intermediate with the η^2 -diene in the axial position of a square pyramid) must be accompanied by formation of the *mer-M-* $(CO)₃(¹³CO)_{L₂}$ cis isomer due to the rearrangement of (A) to the more stable form (B) with L in an equatorial position. Also consistent with this scheme is the isolation of cis/trans mixtures of $Mo(CO)_4(^{13}CO)_2$ from the reaction of $(nbd)M(CO)₄$ (M = Cr, Mo)^{217,250} or the diene complex of iv^{248} with ¹³CO.

Scheme XXI

Ring opening may also provide the initial step leading to site exchange in these derivatives. Thus, the monolabelled complex (C) undergoes scrambling of the label on heating to give D:

The similarity of the activation enthalpies to those associated with the k_1 term of Scheme XIX may be noted. Similarly, the conversion of the dilabelled species (E) into *only* (F) on heating can be accounted for by initial ring opening followed by pseudorotation of the five-coordinate intermediate (Scheme XXI). A simple trigonal twist mechanism does not give this result. Although unambiguously proved only for the above example,²⁵¹ this scheme offers an alternative to the trigonal twist in other chelated systems. Consistent

Scheme XXII

$$
(36-polyano) M(G0), \sum_{-\underline{1}}^{\underline{12}} (34-polyono) M(G0), L
$$

\n
$$
k_{-2}
$$

\n
$$
k_{3} \Big| + L
$$

\n
$$
1
$$

\n
$$
y_{0}
$$

\n
$$
y_{1}
$$

\n
$$
k_{1}
$$

\n
$$
(32-polyono) M(G0), L_{2}
$$

with the greater lability of the conjugated diene, (butadiene) $\text{Cr}(\text{CO})_4$ undergoes site exchange ($\Delta G^* = 42.6$) kJ mol-1) on the NMR time scale, whereas (1,5-cod)- $Cr({\rm CO})_4$ is static.²⁵²

Displacement of trienes and arenes to give *fac-M-* $(CO)₃L₃$ complexes has also been investigated:

 (i) ²⁵³⁻²⁵⁵

 $(\text{arene})M(CO)_3 + 3L \rightarrow fac-M(CO)_3L_3 +$ arene

- $M = Mo$; $L = P(OMe)₃$, $PBu₃$, $PCl₂Ph$, $PCl₃$; arene = benzene, toluene, *o-, m-* and p-xylene, mesitylene, tetramethylbenzene, hexamethylbenzene, N , N -dimethylaniline
- $M = W$; $L = P(OMe)_3$; arene = benzene, toluene, mesitylene, anisole, N , N -dimethylaniline, methyl benzoate

(ii)²⁵⁶ (chpt)M(CO)₃ + 3L \rightarrow *fac*-M(CO)₃L₃ + chpt

$$
chpt = 1,3,5-cycloheptatriene; M = Cr, Mo, W
$$

 $(iii)^{257}$ $(R_3B_3N_3R'_3)Cr(CO)_3 + 3L \rightarrow$ $fac\text{-}Cr({\rm CO})_3{\rm L}_3 + {\rm R}_3{\rm B}_3{\rm N}_3{\rm R}'_3$ $L = P(OEt)_{3}$, $P(OMe)_{3}$, $P(OPh)_{3}$

All reactions show a simple second-order rate law, and activation parameters are consistent with an I_d pathway as outlined in Scheme XXII. Steady-state treatment of Scheme XXII yields the expression

$$
k_{\text{obsd}} = \frac{k_2 k_3 \text{[L]}^2}{k_{-2} + k_3 \text{[L]}}
$$
 (20)

which if $k_3[L] >> k_{-2}$, reduces to

$$
k_{\rm obsd} = k_2[\text{L}] \tag{21}
$$

Indeed, $(\eta^6\text{-cyclooctatteraene})$ - and $(\eta^6\text{-cyclo-}$ octatriene) $Mo(CO)_{3}$ react with CO to give isolable $(\eta^4$ -1,5-diene) $\mathrm{Mo}(\mathrm{CO})_4$ complexes, 258 while

reacts with both CO and $P(OPh)_{3}$ to give $(\eta^{4}$ -1,5-diene) $\mathrm{Mo(CO)_4}$ and $(\eta^4\text{-}1,\!5\text{-}diene)\mathrm{Mo(CO)_3^{\!\top}\!P(OPh)_3^{\!\top}\!com\text{-}$ plexes, respectively.^{247,259,260}

Within the arene series, the lability (primarily enthalpy controlled) decreases with increasing alkyl substitution, and parallels the trend in ground-state bond enthalpy contributions $[Cr-C_6H_6 (177 \text{ kJ mol}^{-1}) \approx Cr C_6H_5\dot{M}$ e (173) < $Cr-C_6H_3\dot{M}$ e₃ (191) < $Cr-C_6Me_3$ (230)].^{261a} Although containing electron-withdrawing substituents, the greater lability of anisole and N_,Ndimethylaniline may be partially attributable to their

ability to stabilize the n^4 -coordinated intermediate by resonance. Within the borazine series, it may be noted that the rate is much more sensitive to nitrogen substituent than boron, and spectroscopic results show that the nitrogens mainly determine the donor characteristics of borazines. The ordering of ligand lability in the series (arene) $Cr(CO)_3 < (chpt)Cr(CO)_3 < (borazine)$ - $Cr(CO)₃$ is also consistent with the trend in bond enthalpy contributions $Cr-C_6H_6$ (177 kJ mol⁻¹) > Cr-chpt (150) > Cr-B₃N₃Et₆ (104). The order of lability in terms of metal (Mo \triangleright Cr \approx W) is not reflected in bond enthalpy contributions which are in the order W- $-C_6H_3Me_3$ (334 kJ mol⁻¹) > Mo (279) > Cr (191),^{261b} a feature that is also common to the hexacarbonyls.

Kinetic studies give no information about steps subsequent to the rate-determining step, and some caution must be exercised in interpretation of eq 21, since such a rate law may result if the initial reaction of Scheme XXII is regarded as a rapid preequilibrium. Two cases may be envisaged:

(i) a rapid preequilibrium followed by a D pathway to the product

$$
(\eta^6\text{-ene})\mathbf{M(CO)}_3 + \mathbf{L} \stackrel{K}{\Longleftarrow} (\eta^4\text{-ene})\mathbf{M(CO)}_3\mathbf{L}
$$

$$
(\eta^4\text{-ene})\mathbf{M(CO)}_3\mathbf{L} \stackrel{k'}{\longrightarrow} \text{products}
$$

for which

$$
k_{\text{obsd}} = \frac{k'K[\text{L}]}{1 + K[\text{L}]} \tag{22}
$$

Thus, at low [L], a first-order dependence on [L] may be found.

(ii) a rapid preequilibrium followed by I_d formation of products

$$
(\eta^6\text{-ene})M(CO)_3 + L \stackrel{K}{\rightleftharpoons} (\eta^4\text{-ene})M(CO)_3L
$$

 $(\eta^4\text{-ene})M(CO)_3L + L \stackrel{k'}{\longrightarrow} \text{products}$

for which

$$
k_{\text{obsd}} = \frac{k' K [L]^2}{1 + K [L]}
$$
 (23)

Thus, at low [L], a second-order dependence on [L] may be found. Indeed, displacement of triene from $(chpt)M(CO)₃$ (M = Cr, Mo, W) by MeCN and from $(\text{chpt})\text{Mo}(\text{CO})_3$ by PhCN to give fac-M $(\text{CO})_3(\text{RCN})_3$ proceed by such a rate law

$$
\frac{-d[S]}{dt} = k_{\text{obsd}}[S][L]^2 \tag{24}
$$

However, the results indicate a K value of $>>2 \text{ mol}^{-1}$ dm³, implying that the $(\eta^4$ -ene)M(CO)₃L intermediate of Scheme XXII predominates, yet none is observed spectroscopically. The second-order dependence on [L] seems best explained by assuming that $k_3[\text{RCN}] \ll k_{-2}$ in eq 20, in which case

$$
k_{\rm obsd} = \frac{k_2 k_3 [\rm RCN]^2}{k_{-2}} \tag{25}
$$

This assumption is not inconsistent with the lower nucleophilicity of RCN as compared to $P(OR)_3$.^{262,263} Displacement of $C_7H_7^+$ from $(C_7H_7)M(CO)_3^+$ by

 $MeCN²⁶⁴$ and of chpt from (chpt)Cr(CO)₃ by PhCN²⁶³ proceed via the simple second-order rate eq 21. The reaction of Cp₂Ni with P(OEt)₃ to give Ni[P(OEt)₃]₄ proceeds via a rate law of type 24, and may represent a genuine case of a rapid preequilibrium.²⁶⁵

Arene exchange reactions of the types

$$
(i)^{266-268}
$$

 $(\text{arene})M(CO)_3 + \text{arene}' \rightleftharpoons (\text{arene}')M(CO)_3 + \text{arene}$

 $are = \text{arene}' = \text{benzene}$, toluene, naphthalene

 (i) ²⁶⁶⁻²⁶⁸

$$
(chpt)M(CO)3 + arene \rightarrow (arene)M(CO)3 + chpt
$$

have been characterized kinetically in hydrocarbon solvents. Reactions i and ii involving the more weakly coordinated naphthalene and chpt ligands proceed via a two-term rate law of the type

$$
\frac{-d[S]}{dt} = k_1[S] + k_2[S][\text{arene}] \qquad (26)
$$

at consistent with competing D and I_d pathways, although the [arene] dependent term makes only a small contribution to the overall rates. The exchange reactions of the more strongly bound ligands listed in (i) obey the unusual rate law

$$
\frac{-d[S]}{dt} = k_1[S]^2 + k_2[S][\text{arene}'] \tag{27}
$$

The dependence on $[S]^2$ has been attributed to an initial thermal decomposition to give CO which then reacts with substrate to give a $(\eta^4$ -arene) $M(CO)_4$ intermediate that undergoes arene exchange. The lability of the leaving ligand (naphthalene > chpt > benzene) again agrees with the trend in metal-ligand bond enthalpy contributions, although the order in terms of metal (Mo $>$ W $>$ Cr) again does not.

In solvents of greater polarity such as cyclohexanone or THF, arene exchange proceeds at faster rates that are essentially independent of the concentration or nature of the entering arene, but dependent as before on the leaving arene. Entropies of activation are strongly suggestive of an I_d mechanism involving solvent $participation.²⁶⁹⁻²⁷²$

The substitution of $Mo(CO)_{6}$ by nbd to give (nbd)- $Mo(CO)₄$ and by arenes and chpt to give (arene)Mo- $(CO)_3$ and $(chpt)Mo(CO)_3$, respectively, also obeys a two-term rate law of type 26, with the *k2* term again making only a minor contribution. K_1 values are significantly smaller than the k_1 value associated with phosphine substitution, and also depend on the *nature* of the incoming ligand; these results imply a competition of released CO with the incoming polyene. Relative rates of substitution by arenes increase in the order $C_6H_5F < C_6H_6 < C_6H_5Me < C_6H_4Me_2 < C_6Me_6$; however, the relative rates of substitution chpt > benzene may be contrasted with the ease of displacement (chpt > benzene) mentioned previously, and may reflect the greater loss of resonance energy associated with formation of the $(\eta^2$ -benzene) $Mo(\breve{CO})_5$ intermediate in the rate-determining step.273-276

The relevance of these exchange studies to catalytic reactions involving $M(CO)₆$ may be noted. Thus, M- $(CO)_6$ (M = Cr, Mo, W) photocatalyze the hydrogenation, isomerization, $277a-g$ and hydrosilylation²⁷⁸ of 1,3dienes and the dimerization of nbd.²⁷⁹ These reactions Scheme XXIII

$$
3^{7} - 6, H, M (60)_{3}^{*} + I = \sum_{k=1}^{K} 3^{5} - 6, H, M (60)_{3}I
$$
\n
$$
60 + 3^{6} - 6, H, I M (60)_{2} (acotone) \sum_{k=2}^{K-2} 3^{6} - 6, H, I M (60)_{3}
$$
\n
$$
\downarrow k_{3}
$$
\n
$$
3^{7} - 6, H, M (60)_{2}I \rightarrow acotone
$$

proceed via formation of the $(\eta^4$ -diene) $M(CO)_4$ complex, and the ability of (arene)Cr(CO)₃^{280a,b} and fac-Cr- $(CO)₃(MeCN)₃²⁸¹$ complexes to thermally catalyze the same reaction (albeit at higher temperatures) is also consistent with polyolefin exchange. Photoassisted isomerization of monoolefins by $\mathrm{M(CO)_6}$ proceeds via initial formation of $M(CO)_{5}$ (olefin) complexes.^{282a,b}

Products other than those resulting from polyene displacement have been reported. Thus, $(C_7H_7)M$ - $(CO)₃⁺$ (M = Cr, Mo, W) reacts with a variety of halides and pseudohalides to give $(C_7H_7)M(CO)_2X$ complexes.²⁸³ A stopped flow NMR study of the reaction with I⁻ in acetone reveals the surprising series of steps shown in Scheme XXIII.²⁸⁴ Rapid attack at the metal is followed by rate-determining transfer from metal to the ring, followed by acetone substitution and final transfer of iodide back to the metal. Reaction of softer nucleophiles such as phosphines, phosphites, and acetylacetonate with $(C_7H_7)\hat{M}(CO)_3^+$ results in nucleophilic addition to the ring, and kinetic studies indicate no direct metal involvement in the reaction.²⁸⁵⁻²⁸⁹

VIII. Complexes Based on M(CO)⁵

Although the thermal substitution of $Fe(CO)_{5}$ has been reported at temperatures as low as $60^{\circ}C^{200}$ experiments with carefully purified $Fe(CO)_5$ show that it does not undergo substitution below 90[°]C, at which temperature, slow decomposition occurs to give impurities which catalyze the substitution.²⁹¹ However, $Ru(CO)₅$ is more labile, undergoing substitution with PPh_3 by a D mechanism (Table XII).²⁹² Reactions must be conducted under an atmosphere of CO, since in the absence of CO, trimerization of the $Ru(CO)₄$ intermediate to $Ru_3(CO)_{12}$ occurs. The trend in lability $Ru > Fe$ parallels the group 6 trend $Mo > Cr$, and across the transition series, the trend in CO lability Mo $<$ Ru $<$ Pd may be noted, with Pd(CO)₄ being detected only in low temperature matrices.²⁹³ A direct comparison of Fe and Ru can be obtained from the substitution reactions

$$
M(CO)4(PR3) + PR3 \rightarrow trans \cdot M(CO)3(PR3)2 + CO
$$

$$
M = Ru, PR3 = PPh3, PPh2Me, PBu3
$$

$$
M = Fe, PR3 = PPh3
$$

which also proceed via a CO-dissociative pathway (Table XII). The lower *AH** for Ru and the essentially nonlabilizing nature of $PR₃$ may be noted. The rate constant in terms of PR_3 (PPh₃ > PPh₂Me > PBu₃) follows the order of decreasing cone angle, indicating a steric acceleration in the reaction.291,294,295

Reactions involving $Fe(CO)_4$ intermediates have been characterized involving dissociative loss of the more Scheme XXIV

$$
F_0(C0)_t \begin{array}{ccc} & \xrightarrow{k_1} & F_0(C0)_t \rightarrow 0 \\ & & \xrightarrow{k_{-1}} & F_0(C0)_t \rightarrow 0 \\ & & & \xrightarrow{\downarrow} & F_0(C0)_t \end{array}
$$

Scheme XXV

2Fe(CO), 0 $F_{\mathbf{e}_2}(\mathbf{c}_0)$ **,** $\overrightarrow{c_{\mathbf{c}_0}}$ (\mathbf{c}_0) ₃**Fe** $\overrightarrow{F_{\mathbf{e}}}$ **Fe** (\mathbf{c}_0) **,** $\overrightarrow{c_{\mathbf{c}}}$ **PPh**₃ $\overrightarrow{F_{\mathbf{e}}}(\mathbf{c}_0)$ **, (PPh,)2 Fe(CO),**

weakly bound olefin ligand in $\text{(olefin)}\text{Fe}(\text{CO})_4$ complexes:

 (i) ^{296a-e}

 $(CH_2CHX)Fe(CO)_4 + L \rightarrow Fe(CO)_4L + CH_2CHX$ $L = CO$, As Ph_3 , Sb Ph_3 , P Ph_3 , py; X = OEt, Bu, Ph, Cl, Br

(ii)^{296f}
$$
(XC_6H_4N=CH=CHPh)Fe(CO)_4 + L \rightarrow Fe(CO)_4L + XC_6H_4N=CH=CHPh
$$

L = PPh₃

(iii)²⁹⁷ (CH₂CHPh)Fe(CO)₄ + olefin
$$
\rightarrow
$$
 (olefin)Fe(CO)₄ + styrene

olefin = cycloheptene, cyclooctene, methyl acrylate

For reactions i and iii in the presence of excess styrene, *k2/k-i* values may be obtained that are in the order py > PPh_3 > AsPh₃ SbPh₃ > CO \approx methyl acrylate, in agreement with the relative nucleophilicities of the various ligands. The range of values spanned (1.0 for methylacrylate to 8.9 for py) indicates a more discriminating nature for $Fe(CO)₄$ as compared to $M(CO)₅$ $(M = Cr, Mo, W)$. Equilibrium constants for the olefin exchange reaction

$$
(CH2CHX)Fe(CO)4 + styrene (styrene)Fe(CO)4 + CH2CHX
$$

can be obtained directly $((X, K_{eq}) \text{ OEt}, 6.7; \text{Bu}, 0.62;$ Ph, 1.00; CN, 9.2 \times 10⁻²; CO₂Me, 8.7 \times 10⁻³). The presence of the conjugative electron-withdrawing groups especially lowers the energy of the π^* olefinic orbital, thus increasing the amount of back donation. The importance of this is also evident in the order of olefin lability in reaction i (L = CO) [OEt \approx Bu > Ph >> CN \approx CO₂Me] which is primarily enthalpy controlled. In the absence of excess olefin or L, trimerization of Fe- $(CO)₄$ to give $Fe₃(CO)₁₂$ occurs.^{297,298} Olefin, rather than CO, dissociation is also consistent with ground-state bond enthalpy contributions that are in the order Fe- C_2H_4 (96.7 kJ mol⁻¹) < Fe-CO (116.3).²⁹⁹

The reaction of $\mathrm{(CH_2CHX)Fe(CO)_4}$ with PPh_3 is unusual in that the disubstituted complex $Fe(CO)₃(PPh₃)₂$ is also isolated under conditions where $Fe({\rm CO})_4 {\rm PPh}_3$ is known not to undergo thermal substitution:

$$
(CH2CHX)Fe(CO)4 + (2 - \alpha)L \rightarrow \alpha Fe(CO)4L +(1 - \alpha)Fe(CO)3L2 + ol + (1 - \alpha)CO + CH2CHX
$$

The value of α is essentially independent of X. Other reactions in which $Fe(CO)_4$ is probably generated, namely the thermal^{300a,b} and photochemical³⁰¹ reaction of $Fe(CO)_5$ and the reactions of $Fe_2(CO)_9^{302}$ and Fe_3 - $(CO)_{12}^{303a,b}$ with PPh₃, also yield mixtures of mono- and Scheme XXVI

Scheme XXVII

disubstituted products. This had been attributed mechanistically to either

(i) the presence of two reactive forms of $Fe(CO)₄$ in solution, one of which reacts with $PPh₃$ to give the disubstituted product. Matrix photolysis^{304a-e} of Fe(C- O ₅ in certain matrices (Ar, CH₄) is known to yield a mixture of "naked" C_{2v} Fe(CO)₄ and a C_{2v} solvated $Fe(CO)₄S$ species, in contrast to $M(CO)₆$ where only the $M(CO)_{5}S$ species is observed. Although α is solvent dependent, there is no consistent variation of *a* with solvent coordinating power.

(ii) dimerization of $Fe(CO)₄$ followed by CO loss and reaction with PPh_3 (Scheme XXV). Annealing of matrices containing $Fe(CO)_4$ indeed yields $Fe_2(CO)_8$ in both bridged and nonbridged forms.

(iii) dissociation of CO from $Fe(CO)_4$ which is competitive with capture of $Fe(CO)₄$ by $PPh₃$ (Scheme XXVI). Indeed, the dependence of rate on *Pco* and $[PPh₃]$ are in agreement with this postulate, as are combined electron affinity and appearance potential measurements^{305,306} that allow estimation of enthalpies for the stepwise losses

$$
Fe(CO)_5 \rightarrow Fe(CO)_4 + CO \quad 2.4 \text{ eV}
$$

\n
$$
Fe(CO)_4 \rightarrow Fe(CO)_3 + CO \quad 0 \text{ eV}
$$

\n
$$
Fe(CO)_3 \rightarrow Fe(CO)_2 + CO \quad 1.4 \text{ eV}
$$

\n
$$
Fe(CO)_2 \rightarrow Fe(CO) + CO \quad 1.0 \text{ eV}
$$

\n
$$
Fe(CO) \rightarrow Fe + CO \quad 0.9 \text{ eV}
$$

and show the extreme lability associated with $Fe(CO)₄$. Scheme XXVI is also relevant to the ability of irongroup carbonyls to catalytically isomerize olefins. Thus, a variety of terminal olefins CH_2 = $\text{CH}(\text{CH}_2)_n\text{CH}_3$ (n = 2,3,5,9,10) are thermally isomerized to isomeric mixtures

 a_{c} chd = 1,3-cyclohexadiene; chpd = 1,3-cycloheptadiene; cod = 1,5-cyclooctadiene; cot = cyclooctatetraene.

of internal olefins by using catalysts such as Fe- ${\rm (CO)_5}^{307$ a-f $\rm Fe_3(CO)_{12}^{-307}$ a,d $\rm Ru_3(CO)_{12}^{-307}$ g $\rm Fe_2(CO)_9$, 307 a,d $M(CO)₂(PPh₃)₃$ ^{307h} and (styrene) $F_e²(CO)₄$ ⁵⁰⁷ⁱ Where equilibrium is attained, the ratio of olefin isomers reflects their thermodynamic order of stability.^{307a,i} Deuterium labeling and other studies $308a^{-1}$ show that the species directly responsible for isomerization is most likely the π -allyl hydride intermediate (A) of Scheme XXVII, although the lack of a deuterium isotope effect^{308a} shows that neither oxidative addition or reductive elimination are rate determining. The conditions required for use of the catalysts suggest that (olefin) \dot{M} (CO)₄ or (olefin) M (CO)₂(PPh₃)₂ complexes are initially formed; 309 however, these complexes are unlikely to be the direct precursor of (A) since in the absence of excess olefin, $\text{(olefin)}\text{Fe(CO)}_4$ complexes are known to decompose to give $Fe₃(CO)₁₂$ and unisomerized olefin.^{297,298,311a,b} The most likely direct precursor is the $\text{(olefin)}_2\text{Fe(CO)}_3$ complex shown in Scheme XXVII. (Olefin)₂M(CO)₃ complexes (M = Fe, Ru) of

nonisomerizable olefins such as methyl acrylate have been prepared, and show considerable lability of *one* of the complexed olefins. $312a-c$

 $Fe({\rm CO})_5$, 313a-d $Ru_3({\rm CO})_{12}$, 314 and the mixed-ligand series $Fe(CO)_x(PF_3)_{5-x}^5$ ($x = 1-4$)³¹⁵ also photocatalyze olefin isomerization. Here, the precursor $(\text{olefin})_2\text{M} (CO)$ ₃ complexes may be produced also by further photochemical substitution of initially formed (ole- $\lim_{\epsilon \to 0} M({\rm CO})$ ₄ complexes^{312a-c} or as primary photoproducts. Matrix photolysis of Fe(CO)_{5} is known to produce amounts of $\text{Fe}(\text{CO})_3$,^{302d} and $\text{Fe}(\text{CO})_3(\text{PPh}_3)_2$ has been identified as a primary photoproduct of the substitution of $Fe({\rm CO})_5^{313a}$

 $Fe(CO)_{5}^{316a,b}$ and (dimethyl fumarate) $Fe(CO)_{4}^{316c}$ thermally catalyze the hydrogenation of olefins, and $Fe(CO)_5$ photolytically catalyzes the hydrogenation^{313a} and hydrosilylation³¹⁷ of olefins, reactions that may be assumed to proceed via an $(H)(R)Fe(CO)_3(olefin)$ intermediate $(R = H, SiR_3)$ (Scheme XXVII) that undergoes the usual insertion and reductive elimination reactions. $Fe(CO)₂(PPh₃)₃$ reacts with $H₂$ to give stable $cis\text{-}\text{H}_2\text{Fe(CO)}_2(\text{PPh}_3)_2$, which also acts as a hydrogenation catalyst.^{307h}

The hydride $H_2Fe(CO)_4$ also catalyzes olefin isomerization^{318a,b} and while it has been proposed to decompose to give $Fe(CO)_3$ as an intermediate, 319 its catalytic activity may better be ascribed to an M-H addition-elimination or free-radical mechanism.

The preference of iron-group elements for coordination to conjugated rather than nonconjugated dienes is well established, and indeed, iron group carbonyls $[Fe({\rm CO})_5$, $Fe_2({\rm CO})_9$, $Fe_3({\rm CO})_{12}$, and ${\rm Ru}_3({\rm CO})_{12}]$ thermally catalyze the isomerization of acyclic^{320a,b,d} and cyclic^{320a,c,d,f},g 1,5- and 1,4-dienes to 1,3-dienes, with the $(\eta^4$ -1,3-diene) $\mathrm{Fe(CO)_3}$ complex itself being isolated under conditions where it is thermally stable. Indeed, preparations of the most synthetically useful of these complexes, those based on $(cyclohexa\ddot{d}iene)Fe(CO)₃,³²¹$ conventionally use reaction of $Fe(CO)_5$ with substituted 1,4-cyclohexadienes that are readily available from Birch reduction of aromatics. Coordinated η^4 -1,5- and -1,4-dienes have been isolated under milder conditions, and are known to isomerize intramolecularly to the η^4 -1,3-diene complexes (Table XII).

(i)^{320a,322} (1,5-cod)Fe(CO)₃
$$
\rightarrow
$$
 (1,3-cod)Fe(CO)₃

 (ii) ³²³

 $(1,4$ -cycloheptadiene)Fe(CO)(1,3-cyclohexadiene) \rightarrow (l,3-cycloheptadiene)Fe(CO)(l,3-cyclohexadiene)

Scheme XXIX

The results are most consistent with the allyl hydride mechanism of Scheme XXVIII in which dechelation of the diene, rather than oxidative addition is rate determining. While such a mechanism involving an additional diene exchange step may be invoked to explain the *catalytic* isomerizations observed, evidence indicates that at least part of the isomerization follows the pathway of Scheme XXVII in which the diene is coordinated only in a η^2 fashion.³⁰⁸ Scheme XXVIII may also be invoked to explain the observed substituent migration in thermolyses of $(diene)Fe(CO)₃ complex$ **eg_325a,b**

The formation of $(diene)Fe(CO)_3$ complexes most probably involves the intermediacy of the $(\eta^2$ -diene)- $\text{Fe}(\text{CO})_4$ derivative, and the η^2 to η^4 conversion has been studied kinetically.

(j)316c

(dimethyl fumarate)Fe(CO)₄ + methyl sorbate \rightarrow (methyl sorbate)Fe(CO)₃ + dimethyl fumarate + CO

(iii)^{296f} (
$$
\eta^2
$$
-PhN=CH=CHPh)Fe(CO)₄ \rightarrow
(η^4 -PhN=CH=CH=CHPh)Fe(CO)₃ + CO

$$
\begin{array}{c}\n\text{(iv)}^{326} \quad (\eta^2\text{-PhCH}=\text{CH}-\text{COR})\text{Fe}(\text{CO})_4 \rightarrow \\
\text{(n4-PhCH}=\text{CH}-\text{COR})\text{Fe}(\text{CO})_3 + \\
\text{(1 + }\alpha)\text{PhCH}=\text{CH}-\text{COR} + (2-\alpha)\text{CO} + \\
\text{(1 - }\alpha)\text{Fe}(\text{CO})_5\n\end{array}
$$

Like other reactions of $(\text{olefin})\text{Fe(CO)}_4$ complexes, these proceed via initial rate-determining olefin dissociation to give $Fe(CO)₄$ (Scheme XXIX); the dependence of reaction ii on $P_{\rm CO}$ is again consistent with dissociation of $Fe(CO)₄$ to $Fe(CO)₃$. Reaction i presumably proceeds via initial olefin exchange of the type previously described.

Both $(\eta^4$ -diene)Fe(CO)₃ and $(\eta^4$ -heterodiene)Fe(CO)₃ complexes undergo reactions with group 5 ligands in which the diene or heterodiene ligand is displaced. Comparisons with other $Fe(CO)_4L$ or $Fe(CO)_3L_2$ systems must be treated with caution, since both (diene) and (heterodiene) $Fe(CO)$ ₃ complexes adopt square-pyramidal structures with the diene in the basal plane, rather than trigonal-bipyramidal geometries. Hetero-

TABLE XIII. Activation Parameters for Reactions of ML₄ Complexes

	$\Delta H^*, \ \Delta S^*,$	kJ JK^{-1}	
reaction	mol^{-1} mol ⁻¹		ref
$\mathrm{Ni}(\mathrm{CO})_{4} + \mathrm{L} \rightarrow$			
$Ni(CO)$ ₂ L + CO			
$L = PPh$, (toluene)	93.2	35	343a
$L = PPh$, (hexane)	101.2	56	
$L = PPh$, (toluene)	85.2	-8	343b
$L = P(OCH_2)_3$ CMe (toluene)	91.9	30	
$Ni(CO)4 + *CO$	100.3	55	343d
$\text{Nif } P(\text{OEt})_n \downarrow + \text{CyNC} \rightarrow$			
$\text{Ni}[\text{P}(\text{OEt})_{3}]_{3}\text{CyNC} + \text{P}(\text{OEt})_{3}$			
benzene	109.5	-8	352
hexane	134.2	79	
$M(PF_3)_+ + CyNC \rightarrow$			
$M(PF_3)$ ₃ CyNC + PF ₃			
$M = Ni$ (heptane)	121.2	59	358
$M = Ni$ (toluene)	117.0	46	
$M = Pt$ (hexane)	100.3	75	
$M = Pt$ (toluene)	96.0	50	
$Ni(CO)$, L, + PBu, \rightarrow			
$Ni(CO)_{2}(L)(PBu_{3}) + L$			
$L = P(CH, CH, CN)$,	106.5	44	346

diene complexes are the most labile, and several reactions have been investigated kinetically.

The results show that the reactions can be described by Scheme XXXA and B involving ring-opening mechanisms whose initial steps are competing D and I_d dechelation of the olefinic bond in (i) and (ii) and the π -ketonic bond in (iii) and (iv). The η^2 intermediates (A and A') may be observed spectroscopically³³⁰ and may be isolated in some cases.^{331a,b} Steady-state treatment of (A/A') yields the rate law

$$
k_{\text{obsd}} = \frac{k_1 k_3 \text{[L]}}{k_{-1} + k_3 \text{[L]}} + k_2 \text{[L]} \tag{28}
$$

Ph

and in agreement with this, curved, nonlimiting plots

of k_{obsd} against [L] are found. Reaction ii may be seen to be the reverse of (i), and although it reaches equilibrium, the path to equilibrium proceeds via Scheme XXXA with $k_2 = 0$ due to the low nucleophilicity of CO. The synthetically important olefin exchange reaction (iv) has been shown to proceed via the slightly more complicated Scheme XXXC involving *reversible* D and I_d formation of intermediate (A'') .

 $(Diene)Fe(CO)$ ₃ complexes are much less labile than these heterodiene derivatives, although several examples have been characterized kinetically.

(i)³³² (cot)Fe(CO)₃ + 2L
$$
\rightarrow
$$
 cot + Fe(CO)₃L₂

 \cot = cyclooctatetraene, L = PPh_3 , PPh_2Et , PBu_3L_2 = diphos

(ii)³²³ (1,4-cycloheptadiene)Fe(CO)(chd) +2L \rightarrow $(chd)Fe(CO)L₂$

$$
chd = 1,3-cyclohexadiene, L = PPh3, CO
$$

(iii)³³³ (1,3-cod)Fe(CO)₃ + 2PPh₃
$$
\rightarrow
$$

1,3-cod + Fe(CO)₃(PPh₃)₂

 $(iv)^{333}$ (chpt)Fe(CO)₃ + PPh₃ \rightarrow $(chpt)Fe(CO)₂PPh₃ + Fe(CO)₃(PPh₃)₂$

$$
chpt = cycloheptatriene
$$

 $M = Fe$, Ru; L = PP!₁₃, P(OR)₃, PR₃

 $(vi)^{333}$

 $(chd)Fe(CO)₃ + PPh₃ \rightarrow (chd)Fe(CO)₂PPh₃ + CO$

For reactions in which π -ligand displacement is observed, the lower lability of the diene is reflected in the pure second-order nature of the rate law

$$
k_{\text{obsd}} = k_2[\text{L}] \tag{29}
$$

and in the associated activation parameters (Table XII), which are consistent with an I_d ring-opening mechanism. The order of diene lability (which is primarily enthalpy controlled) is $\cot > 1,3\text{-}\mathrm{cod} > \text{chpt} >> \text{chd}$; this order seems most closely related to the relief of steric strain in the diene on proceeding to the presumed $(\eta^2$ -diene)Fe(CO)₃L intermediate. The diene–Fe bond enthalpy contribution has been estimated as 184 kJ mol⁻¹ which is approximately twice that of the C_2H_4 -Fe bond (96.7) .²⁹⁹ A comparison with the Fe-CO bond enthalpy contribution $(116.3 \text{ kJ mol}^{-1})$ thus shows that diene displacement is not unexpected, although caution must be used because of the I_d nature of the substitution. Indeed, in reactions iv and v, CO displacement is competitive, while in (vi), it is the sole reaction observed. These products are formed by rate-determining dissociative loss of CO (Table XII); the low *AH** for (v) may be ascribed to the labilizing effect of the trans M-C σ bond; the ΔH^* value for (vi), however, indicates an essentially nonlabilizing nature for the diene.

Little other information is available on the labilizing nature of ligands in five-coordinate systems. The phosphine exchange reaction

trans-PPh₃Fe(CO)₃C(OEt)R + L
$$
\rightarrow
$$

\ntrans-LFe(CO)₃C(OEt)R + PPh₃
\nL = PBu₃, P(OPh)₃, PCy₃

which proceeds via rate-determining loss of $PPh₃$ (Table XII) indicates a trans-labilizing character for the carbene ligand.³³⁵ One interesting reaction is that of the tetraazabutadiene complex of Scheme XXXI.³³⁶ Although resulting in carbonyl substitution, it proceeds with second-order kinetics and activation parameters

(Table XII). This has been attributed to the ability of the ligand to transform easily without bond breaking from a η^4 to a η^2 coordination in the rate-determining step.

Although $Fe(CO)_5$ is known to react with I⁻ to give $Fe({\rm CO})_4$ ⁻³³⁷ the only reaction with anionic nucleophiles that has been investigated kinetically338a,b is that with OH⁻ mentioned previously.

(i)
$$
M(CO)_5 + OH^- \rightarrow M(CO)_4(COOH)^-
$$
\n(ii)

 $M(CO)_{4}(COOH)^{-} + OH^{-} \rightarrow M(CO)_{4}(COO)^{2-} + H_{2}O$

(iii)
$$
M(CO)_4(COO)^{2-} + H_2O \rightarrow HM(CO)_4^- + HCO_3^-
$$

$$
M = Fe, Ru, Os
$$

The linear dependence of rate on [OH⁻] and [OMe⁻] in reactions involving $Fe(CO)_5$ clearly demonstrates the rate-determining nature of the nucleophilic attack, and the ordering of reactivity $Os > Ru >> Fe$ is reminiscent of the reactivity order observed on reaction of $M(CO)₆$ with MeLi.

Investigations of other $ML₅$ systems are relatively rare. The complexes $Co(CNR)_{5-x}(PR_3)_x + (x = 0,1,2)$ undergo intermolecular exchange by a dissociative process with the rate increasing in the order *x =* 2 < $1 < 0.^{339}$ Rh $[P(\text{OMe})_3]_5^+$ similarly undergoes intermolecular exchange with activation parameters (Table XII) that are consistent with a D mechanism.³⁴⁰

Of the substitution reactions of the complex XCo- $(CO)₄$ [X = H, R, COR, ER₃ (E = Si, Ge, Sn)] that have been studied kinetically, only the acyl complexes undergo substitution unambiguously by a D mecha n **ism**^{341a,b} (Table XII).

$$
\begin{array}{c}\n\text{RCOCo(CO)}_4 + \text{L} \rightarrow \text{RCOCo(CO)}_3\text{L} + \text{CO} \\
\text{L} = \text{PPh}_3, \text{P}(\text{OR})_3 \\
\text{RCOCo(CO)}_3\text{L} + \text{L} \rightarrow \text{RCOCo(CO)}_2\text{L}_2 \\
\text{L} = \text{P}(\text{OR})_3\n\end{array}
$$

Rates of both reactions are independent of [L], and a comparison of ΔH^* values again shows the essentially nonlabilizing nature of the $P(OR)$ ₃ ligand. Rates increase with the size of R, indicating a steric acceleration in the reaction, and the ordering $R = Ph > Me > CF₃$ is consistent with π -donor labilization of the type described for $MeCOMn(CO)_5$. The rate of *CO exchange in $MeCOCo(CO)_4$ is in agreement with the rates of the substitution reactions.³⁴²

Finally, it may be noted that (unlike six-coordinate systems), mechanistic conclusions based on product stereochemistry are meaningless in five-coordinate complexes because of the low barriers to intramolecular exchange in both square-pyramidal and trigonal-bipyramidal geometries.

IX. Complexes Based on ML⁴

Ni(CO)4 thermally undergoes both mono- and disubstitution:

(i)^{343a-f} Ni(CO)₄ + L \rightarrow Ni(CO)₃L+ CO (ii) ³⁴⁶ $Ni(CO)₃L + L \rightarrow Ni(CO)₂L₂ + CO$ $(iii)^{347}$ Ni $(CO)_4 + L-L \rightarrow Ni(CO)_2(L-L) + 2CO$

(iv) ³⁴⁶ Ni(CO)₂P₂ + P'
$$
\rightarrow
$$
 Ni(CO)₂PP' + P

$$
P = PR_3
$$

Ni(CO)₃P + P' \rightarrow Ni(CO)₃P' + P

TABLE XIV. Parameters for Dissociation of MP_n Complexes $(M = Ni, Pt, Pd; n = 2-4)$

Activation parameters, together with the results of *C0 exchange experiments and thermal decomposition studies,³⁴⁴ demonstrate conclusively the D nature of the substitution involving rate-determining CO dissociation. The ΔH^* values may be seen to be less than the mean thermochemical Ni-CO bond dissociation energy of 146 kJ mol⁻¹.³⁴⁵ The disubstitution step ii and the reaction iii with chelating ligands also proceed by D pathways, as do the phosphine exchange reactions in (iv) (Table XIII). Rates of $*$ CO exchange are in the order $Ni(CO)_4$ $>$ N_i(CO)₃L $>$ N_i(CO)₂L₂,³⁴³e in keeping with the nonlabilizing nature of phosphine ligands.

Although $Pd(CO)₄$ and $Pt(CO)₄$ are unstable under normal conditions, comparisons of the three metals may be obtained from the ML_4 series $[L = PR_3, P(OR)_3];$ relevant data are collected in Table XIV. Extensive dissociation is observed in many cases, a feature of obvious importance in the catalytic activity of complexes of this type:

$$
(i) \tML_4 \rightleftharpoons ML_3 + L
$$

(ii)
$$
ML_3 \rightleftharpoons ML_2 + L
$$

In the case of nickel, dissociation to ML_3 only is observed; a comparison of ΔG° for Pt(PEt₃)₄ and Ni- $(PEt₃)₄$ indicates a greater dissociation of the platinum

complex, and indeed, PtL_2 complexes may be observed and isolated, although no further dissociation of $PtL₂$ is detectable. Equilibria in the palladium series closely parallel those of the platinum series, although *AH°* and ΔS° values (Table XIV) are not usually available due to the faster *rates* of exchange of free and complexed phosphine.

For phosphines, increasing dissociation to $ML₃$ and $ML₂$ may be seen to be primarily a function of steric size (cone angle), while data on the nickel phosphite series show the same dependence of K_d on the cone angle of the phosphite. The influence of the cone angle on ΔH° and ΔS° is, however, rather subtle. Comparison of values for the complexes $Pt(PR_3)_4$ (PR₃ = PMePh₂, PEt₃, PBu₃) shows that the increasing degree of dissociation is primarily entropy controlled, and only in cases of ligands that create severe steric congestion (significant nonbonded ligand-ligand interaction) is there a dramatic lowering of ΔH . Similar behavior is seen in the nickel phosphite series, although entropy changes are somewhat masked by the larger *AH°* values.

Electronic effects are, however, evident in a comparison of phosphine and phosphite complexes of similar cone angle. Thus, $Ni(PPh₃)₄$ is completely dissociated at room temperature, whereas $\rm{Ni}[P(O\text{-}o\text{-}tolyl)_3]_4$ has a K_d value of 4×10^{-2} mol dm⁻³. This has been

attributed to the greater π -acceptor character of the phosphite which is thus able to better stabilize the 4-coordinate electron-rich center. Similar conclusions are reached from studies of the exchange reaction

$$
NilL_4 + 4L' \rightleftharpoons NilL_{4-n}L'_n + nL
$$

When L is the good π -acceptor phosphite P(O-p- $C_6H_4OMe_3$, the extent of substitution in terms of \hat{L}' , $PBu₃ > PPh₃ > P(O-o-tolyl)₃$, roughly parallels the increasing cone angle of L'. In contrast, when L is the poor π acceptor PMe₃, the order is reversed, with the best π acceptor $[P(O-0-tolyl)]$ giving the greatest degree of substitution.³⁵⁰

Exchange between free and complexed ligand in the MP4 series proceeds exclusively by a D mechanism. The slower exchange of phosphites as compared to phosphines is primarily an enthalpy-controlled process. Within both the Ni-phosphite and Pt/Pd-phosphine series, the rates of exchange increase as the cone angle of the ligand increases, consistent with a steric acceleration in the reaction. Activation parameters for the $Pt(PR_3)₄$ series (Table XIV) indicate that this is primarily *entropy* controlled. Rates of exchange vary in the order Pd $>$ Pt \approx Ni. The greater lability of the second-row element is not unexpected; however, activation parameters show, quite surprisingly, that (at least as far as Pt and Pd are concerned) this is also entropy controlled, since in fact $\Delta H^*(\text{Pd}) > \Delta H^*(\text{Pt})$. It may be noted, however, that a comparison of parameters for $M[P(OEt)₃]$ ₄ (M = Pd, Pt) indicates that ΔH^* is controlling here. Exchange at $Pt(PR_3)_3$ complexes occurs by competing dissociative and associative pathways, while exchange at $Pt(PR_3)_2$ complexes is purely associative, as indicated by the activation parameters in Table XIV.

Kinetic studies of the substitution reactions below agree with these exchange studies.

(i)³⁵² Ni[P(OR)₃]₄ + CyNC
$$
\rightarrow
$$

Ni[P(OR)₃]₃CyNC + P(OR)₃
(ii)³⁵⁸ M(PF₃)₄ + CyNC \rightarrow M(PF₃)₃CyNC + PF₃

Reactions are independent of [CyNC], with the order of lability Ni < Pt in reaction ii being enthalpy controlled. Rates for (i) increase in the order $P(OCH₂)₃Pr$ $<< P(OMe)₃ < P(OEt)₃ < P(OPh)₃$, again consistent with steric acceleration in the reaction.

X. Nltrosyl, Cyclopentadlenyl, and AIIyI Complexes

 $M = Ni$, Pt

Complexes containing these ligands are grouped together because, in principle, by transformation from 3e⁻ \rightarrow 1e⁻ donor (NO, allyl) or 5e⁻ \rightarrow 3e⁻ \rightarrow 1e⁻ donor (cyclopentadienyl), these ligands offer low-energy associative pathways for substitution, even though they may not be displaced themselves (in contrast to the polyolefin ligands discussed previously).

Reactions of the nitrosyl complexes $Mn(CO)_4NO$, $Co(CO)_{3}NO$, and $Fe(CO)_{2}(NO)_{2}$ with group 5 ligands have been studied extensively³⁵⁹⁻³⁶⁴ and the results may be encompassed within the mechanism shown in Scheme XXXII for $Co(CO)_{3}NO$. In noncoordinating solvents such as cyclohexane, benzene, toluene, and nitromethane, the mechanism may be viewed as comScheme XXXII

$$
c_{0}(c_{0}), n_{0} \xrightarrow{k_{1} \atop k_{2} \atop k_{3} \atop k_{4}} c_{0}(c_{0})_{2}n_{0} + c_{0}
$$
\n
$$
\cdot 5 \frac{1}{8} \cdot 5
$$
\n
$$
(3)c_{0}(c_{0}), n_{0} \xrightarrow{\text{fast } -C_{0} \atop t_{1}} c_{0}(c_{0})_{2}(n_{0})
$$

peting dissociative and associative pathways, although only for poorly basic ligands such as $AsPh₃$ does the dissociative pathway make a significant contribution to the overall rate. For reactions of $Co(CO)_{3}NO$ and Fe- $(CO)₂(NO)₂$ in coordinating solvents such as THF, acetonitrile, or dimethyl sulfoxide, a much greater dissociative contribution is apparent from the observed rate law; activation parameters, however (Table XV), show that this is more properly regarded as the solvent-assisted substitution shown in Scheme XXXII. Values of k_2 show the usual dependence on \triangle HNP. *CO exchange³⁶⁵ with $Co(CO)_{3}NO$ proceeds at rates that are independent of P_{CO} and which agree with k_1 values obtained from the substitution reactions. Surprisingly, however, both $Co(CO)_{3}NO$ and $Fe(CO)_{2}(NO)_{2}$ undergo exchange with *N0 at rates that are linear with $P_{\rm NO}$ with ΔH^* values much less than those that are linked with associative CO substitution.³⁶⁶

Both $Co(CO)_{3}NO$ and $Fe(CO)_{2}(NO)_{2}$ react with bidentate ligands via rate-determining associative CO loss to give $Fe(NO)_2(L-L)$ and $Co(CO)(NO)(L-L)$ complexes,³⁴⁷ and the conversion of the monosubstituted $Co(CO)₂(NO)L, Fe(CO)(NO)₂L$ and $Mn(CO)₃(NO)L$ complexes into the disubstituted derivative on further reaction with L has also been studied kinetically. 359,367,368 Competing dissociative and associative pathways are again observed, with the overall rates being slower than those of the initial monosubstitution. The ligand exchange reaction

$$
Co(CO)2(NO)L + L' \rightarrow Co(CO)2(NO)L' + L
$$

proceeds via an associative pathway.³⁶⁹ Within the constraints of steric hindrance, reactions proceed fastest in cases where L is a good π acceptor, and thus able to better stabilize the presumed five-coordinate intermediate.

The predominance of the associative pathway has been attributed to the ease of the $3e^- \rightarrow 1e^-$ transformation. Indeed, matrix photolysis^{370a-d} of $Mn({\rm CO})_4{\rm NO},$ $Mn(CO)(NO)₃$, and $Cp\bar{V}(CO)(NO)₂$ yields [in addition to $Mn(CO)_{3}NO$ and $Mn(NO)_{3}$] the species $Mn(CO)_{4}$ - $(NO^{\#})$, $Mn(CO)(NO)₂(NO^{\#})$, and $CpV(CO)(NO)(NO^{\#})$ in which infrared studies indicate a le~-donor character for NO*. Quantum yields for photolytic substitution of $Mn(CO)₄NO$ are in agreement with this hypothesis.^{370e} $Co(CO)_{3}NO$ and $Fe(CO)_{2}(NO)_{2}$ yield only products of CO loss on matrix photolysis.370f,g

Carbonyl substitution in the cyclopentadienyl systems

$$
(i)^{371} \qquad CpV(CO)_4 + L \rightarrow CpV(CO)_3L + CO
$$

(ii)^{372a}
$$
\text{CpM(CO)}_2 + \text{L} \rightarrow \text{CpM(CO)}\text{L} + \text{CO}
$$

$$
\text{M} = \text{Co}, \text{Rh}
$$

has been studied kinetically. Reaction i is independent of [L] and proceeds with typical dissociative activation parameters. Reaction ii, however, shows a linear dependence on [L] with typical associative parameters, consistent with a η^5 to η^3 transformation in the rate-

determining step. Indeed, matrix photolysis of CpFe- (CO) ₂Me at high dilution has been shown to result in $a \eta^5$ to η^3 change in coordination of the cyclopentadienyl ligand.^{370h} Interestingly, however, $CpCo(PPh₃)₂$ reacts with PMe₃ to give $\text{CpCo}(PPh_3)(PMe_3)$ by a rate-determining dissociative loss of PPh_3 .^{372b} The isoelectronic $CpMn(CO)₃$ and $CpMn(CO)₂CS$ complexes are, unfortunately, inert to carbonyl substitution.^{373,374} While kinetic studies of the reactions below have been reported, these proceed exclusively via rate-determining dissociation of the weakly bound olefin or sulfide ligand.

 (i) ^{373,374}

 $\text{CpMn}(\text{CO})_2(\text{olefin}) + \text{L} \rightarrow \text{CpMn}(\text{CO})_2\text{L} + \text{olefin}$ (ii)³⁷⁵ CpMn(CO)(CS)(cyclooctene) + L \rightarrow $CpMn(CO)(CS)L + cyclooctene$

 (iii) ³⁷⁶

$$
CpMn(CO)2SR2 + PR3 \rightarrow CpMn(CO)2PR3 + SR2
$$

Rates for reaction i increase with increasing electrondonating character of the olefin substituent, whereas reaction iii shows the characteristic increase in rate with increasing size of R.

Interesting reactions of mixed nitrosyl-cyclopentadienyl complexes have been reported.

(i)³⁷⁷
$$
(\eta^5 \text{-}Cp)\text{Re}(\text{NO})(\text{CO})\text{R} + \text{PMe}_3 \rightarrow
$$

\nR = H, Me, CH₂OH
\n $C_5H_5\text{Re}(\text{NO})(\text{CO})(\text{PMe}_3)\text{R} \rightarrow$
\n $(\eta^1 \text{-}Cp)\text{Re}(\text{NO})(\text{CO})(\text{PMe}_3)_2\text{R}$

(ii)³⁷⁸
$$
(\eta^5 \text{-}Cp)W(CO)_2NO + PMe_3 \rightarrow
$$

\n $C_5H_5W(CO)_2(NO)PMe_3 \rightarrow (\eta^5 \text{-}Cp)W(CO)(NO)PMe_3$
\n
$$
+ (\eta^1 \text{-}Cp)W(CO)_2(NO)PMe_3
$$

The n^1 -complex of (i) may be isolated and characterized by crystallography, whereas the η^1 -species of (ii) may be observed by NMR at low temperature and decomposes on warming to yield the product of carbonyl substitution. Both reaction rates are linear with [PMe₃] and are thought to proceed via intermediates A and B; neither can be observed spectroscopically, and assignment as containing either a 1e⁻ NO or a n^3 -Cp ligand is not possible. The isoelectronic cationic species $\text{CpMn}(\text{CO})_2\text{NO}^+,^{379}$ $\text{CpMn}(\text{CO})(\text{CS})(\text{NO})^+,^{380}$ and $\text{CpM}(\text{CO})(\text{NO})_2$ ⁺³⁸¹ (M = Mo, W) undergo facile carbonyl substitution compared to $CpMn(CO)$ ₃ on reaction with $PR₃$, although this may be ascribed to the labilizing effect of NO.

The η^3 - $\rightarrow \eta^1$ -allyl transformation is a well-established pathway for syn \rightleftharpoons anti exchange in allyl complexes, and is also an important factor in their considerable catalytic activity. Three systems have been the subject of kinetic study.

(j\341b,343c

$$
(ally)Co(CO)3 + L \rightarrow (ally)Co(CO)2L + CO
$$

$$
L = PPh3, P(OR)3
$$

$$
i) (ally)Co(CO) \cdot L + L \rightarrow (ally)Co(CO) \cdot L + CO
$$

$$
L = P(OR)_3
$$

$$
L = P(OR)_3
$$

$$
\begin{array}{ll}\n\text{(iii)}^{382a-c} & (C_3H_4X)Fe(CO)_2NO + L \rightarrow \\
& (C_3H_4X)Fe(CO)(NO)L + CO\n\end{array}
$$

Both mono- and disubstitution of (allyl)Co(CO)_3 are independent of [L], and in conjunction with activation parameters (Table XV), indicate a rate-determining CO dissociation. While the isoelectronic (allyl) $Mn(CO)₄$ is known to undergo mono- and disubstitution,^{383a,b} no kinetic studies have been reported.

In contrast, the mixed allyl-nitrosyl complexes of (iii) undergo substitution by PR_3 and $P(OR)_3$ at rates that depend linearly on [L] (with the exception of the 2-Me complex for which competing dissociative and associative pathways are evident). For the strongly electronwithdrawing substituents $(X = CN, Cl, Br)$, the intermediates have been isolated and shown to be $(\eta^1$ - $C_3H_4X)Fe(CO)_2(NO)L$ complexes, which then undergo a first-order internal chelation. The similarity of the dependence of the rate on the nature of L indicates that reactions of the aryl- and alkyl-substituted derivatives proceed in the same way, although intermediates cannot be isolated. Simple plots of log *k* against AHNP are not obtained here; steric bulk of the ligand is much more significant due to the spatial requirements of the allyl ligand.

XI. AcId- and Base-Catalyzed Substitution Reactions

Many carbonyl substitution or exchange reactions proceed at enhanced rates in the presence of stoichiometric, or, more usually, catalytic amounts of acids or bases (taking these terms in their broadest context).

A. Base-Catalyzed Substitution

In general, the key step in reactions of this kind involve either intermediate metal coordination of a catalyst molecule that is strongly cis labilizing or transScheme XXXIII

$$
M \longrightarrow CO \begin{array}{c} \begin{array}{c}\n & \text{OPR}_3 \\
 \downarrow \\
 \hline\n M \longrightarrow CO \end{array} & \begin{array}{c}\n & \text{OPR}_3 \\
 \downarrow \\
 \hline\n M \longrightarrow CO \end{array} & \begin{array}{c}\n & \text{OPR}_3 \\
 \downarrow \\
 \hline\n M \longrightarrow 13_{CO} & \begin{array}{c}\n \cdot R_3 P_0 \\
 \downarrow \\
 \hline\n M \longrightarrow 13_{CO}\n \end{array} & \begin{array}{c}\n 13_{CO} \\
 \uparrow \\
 \hline\n M \longrightarrow 13_{CO}\n \end{array}
$$

formation of one carbonyl ligand into a strongly cislabilizing moiety by base attack at the carbonyl carbon.

As an example of the first case, reaction of $(\eta^6$ chpt)Cr(CO)₃, (py)Cr(CO)₅, (piperidine)M(CO)₅ (M = Mo, W), (piperidine)₂M(CO)₄, or (py)₃M(CO)₃ with $13CO$ in the presence of Bu_3PO all yield essentially 100% labelled $M({}^{13}CO)_6$, and (py)Fe(CO)₄ similarly yields approximately 70% enriched $Fe^{(13}CO)_{5}^{5}$. 384a,b In the case of $(\eta^6$ -chpt)Cr(CO)₃, the reaction intermediate may be identified as $Cr(CO)₃(Bu₃PO)₃$ formed by triene displacement, which is converted to $Cr(^{13}CO)_6$. The enhanced exchange of the carbonyls is attributed to the highly labilizing nature of the oxygen-bonded phosphine oxide ligand, and the general process may be viewed in terms of Scheme XXXIII. The reaction requires the presence of one easily displaced ligand L, as $M(CO)₆$ or $Fe(CO)$ ₅ do not exchange themselves in the presence of $Bu₃PO$. In the cases where L is an aliphatic amine, displacement of the amine is also accelerated by hydisplacement of the amine is also accelerated by hy-
drogen-bonded adduct formation with Bu.PO $61,62$ and in the presence of phosphines rather than 13° C_{only} In the presence of phosphines rather than \sim O, only the (PR \sim)M(CO)₅ complex is isolated due to preferential coordination of the $M(C)$ ₅ intermediate by PR₃ rather coordination
than D DO.

Nucleophilic attack at the carbonyl carbon to yield stable products has already been noted, and such an interaction may also be responsible for the base-catalyzed substitutional lability of several metal complexes. For example, $[{\rm Mn(CO)_5(MeCN)}]^+$ reacts with py in acetonitrile or nitromethane to give $[Mn(CO)_{5}py]^{+}$ and $[Mn(CO)₃(py)₃]⁺$, respectively,^{385a-c} at rates that far exceed those for substitution by PPh_3 in acetonitrile or exchange with $CD₃CN$. In acetonitrile, this is attributed to initial attack of pyridine at the carbonyl carbon to $\frac{1}{2}$ of miliar attack of pyriding at the carbony carbon to vield an $(Mn(CO)_4(MeCN)Z)^+$ intermediate (Scheme) XXXIV) in which the substitutional lability is enhanced by the strongly cis-labilizing nature of Z. Kinetic results indicate that the py ligand of the product $[{\rm Mn}({\rm CO})$ - $({\rm rv})$ ⁺ is that which initially attacks the carbonyl carbon; thus, steps 3 and 4 of Scheme XXXIV may in fact be coincident. Reaction in nitromethane is thought to proceed via a similar mechanism involving not py attack, but attack by $CH₂NO₂$ generated from the solvent [i.e., $Z = C(O)ON OCH₂⁻]$. Here, labilization and solvent $[1e, Z - C(0)C_1C_2]$. Here, abinzation not only of MeCN but also of the carbonyls as well to $\frac{1}{2}$ into 01 MeV₁ but also of the carbonyis as well to $\frac{1}{2}$ or $\frac{1}{2}$ is observed. Activation paramegive $[Mn(CO)_3(pp)_3]^T$ is observed. Activation parameters $(MH^* = 81.2 \text{ kJ} \text{ m} \text{m}^{-1} \text{ A} \text{G}^* = -26 \text{ J} \text{ K}^{-1} \text{ m} \text{m}^{-1} \text{ in}$ served. Activation param
AS* = -26 J K⁻¹ mol⁻¹ $\text{Hers} \left(\Delta H^* = 81.2 \text{ KJ} \text{ mol}^2, \Delta G^* = 720 \text{ J K}^2 \text{ mol}^2 \text{ in} \right)$ muromethane; $\Delta H^* = 50.5$, $\Delta S^* = -130$ in accomunity are consistent with a rate-determining step (2) but in-(1); these values may be contrasted with activation (1); these values may be contraste
parameters for $(Mn(C))/(MeCN)1^+$ ed with activation
/CD.CN exchange $\frac{1}{6}$ (Magneters for $\frac{1}{2}$ Mn(CO)₅(MeCN)]^{$\frac{1}{2}$} CD₃CN
(AH* = 116 kJ mol⁻¹ AS* = 23 J K⁻¹ mol⁻¹ $(M^* = 116 \text{ kJ mol}^{-1}, \Delta S^* = 23 \text{ J K}^{-1} \text{ mol}^{-1})$ which is dissociative in character.

The oxidation of coordinated CO to $CO₂$ in step 7 of Scheme XXXIV may be noted. One reagent which has generally been used to effect stoichiometric carbonyl substitution in Fe(CO)_{5} and M(CO)_{6} is $\text{Me}_{3}\text{NO}^{386a-d}$ for which the general reaction

$$
L' + L_nMCO + Me_3NO \rightarrow L_nML' + Me_3N + CO_2
$$

$$
\rm Scheme~XXXIV\\
$$

(1) Mn(CO)₅(MeCN)⁺ + py
$$
\stackrel{K}{\rightleftharpoons}
$$
 Mn(CO)₄(MeCN) \mathbb{Z}^+
\n(2) Mn(CO)₄(MeCN) \mathbb{Z}^+ $\frac{k_1}{k_{-1}}$ Mn(CO)₄ \mathbb{Z}^+ + MeCN
\n(3) Mn(CO)₄ \mathbb{Z}^+ + py $\stackrel{k_2}{\longrightarrow}$ Mn(CO)₄(py) \mathbb{Z}^+
\n(4) Mn(CO)₄(py) \mathbb{Z}^+ $\stackrel{k_3}{\longrightarrow}$ Mn(CO)₅py⁺ + py $(\mathbb{Z} = C(O)NC_sH_s$ only)
\n(5) Mn(CO)₄(py) \mathbb{Z}^+ $\stackrel{k_4}{\longrightarrow}$ Mn(CO)₃(py) \mathbb{Z}^+ + CO
\n(6) Mn(CO)₃(py) \mathbb{Z}^+ + py $\stackrel{k_5}{\longrightarrow}$ Mn(CO)₃(py)₂ \mathbb{Z}^+
\n(7) Mn(CO)₃(py)₂ \mathbb{Z}^+ $\stackrel{k_6}{\longrightarrow}$ Mn(CO)₃(py)₂⁺ + CO₂ + CH₂NO₂⁻
\n(8) Mn(CO)₃(py)₂⁺ + py $\stackrel{\text{fast}}{\longrightarrow}$ Mn(CO)₃(py)₃⁺

may be written. This reaction may also be viewed as proceeding via nucleophilic attack at carbonyl carbon followed by elimination and trapping of the L_nM intermediate.

Substitution of $Fe(CO)_5$ by primary and secondary saturated amines may also proceed via a similar mechanism. Initial adduct formation (2:1 for pyrrolidine, 3:1 for piperidine) may be demonstrated unambiguously. $387a-c$ The stoichiometry is reminiscent of the second-order dependence on $\text{[RNH}_2]$ of reactions involving attack of $RNH₂$ at carbonyl carbon mentioned previously, and analogous structures may be described for these iron complexes. The substitution of Fe(C- $O₂(NO)₂$ is also catalyzed by the addition of amine.³⁶⁴

B. Acid-Catalyzed Substitution

Acid-catalyzed substitution has been less extensively
udied protenation of $Fe(CO)$ to give $HF_2(CO)$ + studied. Protonation of $Fe(CO)_5$ to give $HFe(CO)_5$ results in an enhanced lability towards CO exchange.³⁸⁸ This may, however, be associated with a low-energy ligand migration pathway involving the Fe-H bond (vide infra).

Recently, it has been found that a variety of transi- tion-metal salts $(\text{Rh}^{\text{I}}, \text{Co}^{\text{II}}, \text{Ni}^{\text{II}}, \text{Ru}^{\text{II}})$ catalyze the substitution of $Fe({\rm CO})_5$ and $M({\rm CO})_6$ and other complexes by phosphine, phosphite, and isonitrile ligands. The reactions are not radical initiated, and perhaps the most likely mode of carbonyl activation involves an initial L_nM -CO--- M^{Π} isocarbonyl interaction.^{3898-f} In contrast, catalytic substitution using metal oxides or oxide-supported metals does appear to proceed via free-radical intermediates. $390a-c$

XII. Ligand Migration Reactions and Reactions Proceeding via Free-Radical Intermediates

There is increasing evidence that some stoichiometric substitution reactions proceed via the intermediacy of free radicals in which the high substitutional lability of the radical and the chain nature of the mechanism are the important features in determining the high rates of substitution observed.391a,b

A. Metal Hydride, Alkyl, and Hallde Complexes

Studies on many complexes of this type show that the free-radical contribution to substitution may be represented by Scheme XXXV. Reaction 1 represents initiation that may occur via adventitious impurities or Scheme XXXVI

added initiator [usually a small amount of M_2L_{2n} followed by photolysis]. It may be noted that the freeradical pathway is frequently in competition with normal D or I_d substitution, and may be reduced or eliminated by rigorous purification of materials and exclusion of light. Reactions 2 and 3 represent radical substitution and chain propagation, respectively, while reactions 4-6 represent possible chain-termination steps. Scheme XXXV describes only monosubstitution; the disubstituted products also formed in several cases may be accounted for by a similar mechanism.

1. Metal Hydrides and Alkyls

Radical pathways have been established unambiguously for substitution of $HM(CO)_5$ (M = Mn, Re), $39\frac{2}{9}$ -d $HM(CO)₃Cp$ (M = Mo, W),^{393a} and $HOs(CO)₄Me^{393b}$ by phosphines and phosphites. Such a pathway seems a possibility for the rapid substitution of $\mathrm{HCo(CO)_{4,}}^{341a}$ and the kinetics of the thermal decomposition of $HCo(CO)₄$ to give $H₂$ and $Co₂(CO)₈$ may be explained on the basis of initial generation of $Co(CO)_4$ radicals via a reaction of type $1.\overline{^{394}}$

In the case of $\text{HMn}(\text{CO})_5$, the non-radical pathway has been clearly defined.^{392c,d} Neither the rate law

$$
\frac{-d[HMn(CO)_5]}{dt} = k_{\text{obsd}}[HMn(CO)_5][PR_3]
$$

nor the results of ¹³CO exchange experiments are consistent with a simple cis labilization of CO as described for other $XMn(CO)₅$ complexes, and the hydride migration pathway of Scheme XXXVI provides the best alternative. Hoffmann et al.³⁹⁵ have recently provided a detailed theoretical analysis of this ligand migration pathway, and it is of interest to compare their results with experiment.

a. Kinetics and Mechanism. The conventionally accepted mechanism for ligand migration is shown in
Scheme XXXVII. Labelling experiments are in Labelling experiments are in agreement, showing no incorporation of *C0 into the acyl group in the reactions of ^{13}CO or ^{14}CO with $(CO)_5$ MnMe to give $(CO)_4$ ^{(*}CO)Mn(COMe)^{396a,b} or the reaction of $(PPh_3)(CO)_3COH_2Ph$ with ¹³CO to give $(PPh₃)(CO)₂$ ⁽¹³CO)CoCOCH₂Ph.³⁹⁷

Hoffmann's calculations are based on an estimation of the activation energy for reaction 1 of Scheme XXXVII for $(CO)_{5}MnX$ species. It is found that intermediate A is only slightly lower in energy than the

Scheme XXXVII

transition state and differs only in the degree of distortion of the square pyramid. This indicates a significant contribution from the *k-i* step, and assuming that k_{-1} >> $k_2[L]$, a steady-state treatment of Scheme XXXVII yields

$$
k_{\text{obsd}} = \frac{k_1 k_2 \text{[L]}}{k_{-1}}
$$

A linear dependence on $[PR_3]$ has already been noted in the substitution of $(CO)_5$ MnH in hexane,^{392c} and the reactions of $(CO)_{5}MnR$,^{398a-c} Cp(CO)₂FeR,^{399a-c} and $\text{Cp(CO)}_3\text{MoR}^{399b,400a-e}$ with various ligands to give $(CO)₄(L)MnCOR, Cp(CO)(L)FeCOR, and Cp(CO)₂$ -(L)MoCOR complexes, generally in noncoordinating solvents, exhibit a similar dependence on [L].

Steady-state treatment of Scheme XXXVII assuming $k_2[L]$ >> k_{-1} yields

$$
k_{\rm obsd} = k_1
$$

and migration reactions that are independent of [L] in relatively noncoordinating solvents have been reported.^{400f} One may also note that the cis migration described in Scheme XXXVII does not necessarily imply a cis geometry for the product in octahedral systems. While the $(CO)₄(L)MnCOR$ complexes formed are invariably of cis geometry, reaction of [(diars)Fe- $(CO)_3\text{Me}$ ⁺ with ligands or ¹³CO yields $[(\text{dias})\text{Fe}$ $(CO)₂(L)COMe$ ⁺ in which L is trans to the acetyl group.^{400f} The geometry of the product reflects the site preference of the COR ligand in the square-pyramidal intermediate. In cases where σ -donor character is most important, preferential occupation of the axial position is observed, whereas π interaction is maximized in a basal position.^{116,117a} The π interaction is also greatly sensitive to the degree of distortion of the square pyramid, and general predictions do not seem possible. In any case, the difference in energy of the axial vs. basal coordination will be small relative to the activation energy for the initial cis migration.

Both the rate and rate law for the above ligand migrations are markedly dependent on solvent. As the coordinating power of the solvent increases, the rate increases and the rate law gradually changes from first order in [L] in noncoordinating solvents to one that is independent of [L] in strongly coordinating solvents. These observations seem best interpreted in terms of an increasingly dominant catalysis by the solvent of the migration step 1 of Scheme XXXVII by some means other than solvent-metal coordination (possibly adduct formation at the carbonyl carbon). Calculations show no lowering of the activation energy by solvent-metal coordination in the transition state, and increasingly strong coordination of intermediate A by solvent should result in *slower* rates of product formation.

b. Effect of X. Calculations on $(CO)_5MnR$ show a decreasing activation energy for reaction 1 of Scheme

 XXXVII in the order Me (83.6 kJ mol⁻¹) > Et (64.9) > Pr (62.8), which is attributable in part to the decreasing electronegativity of R and in part to the increasing delocalization of the carbon coefficient of the σ -bonded carbon. In general, the activation energy decreases with decreasing electronegativity of X, with the important exception of hydrogen which has a lower activation energy of 68.8 kJ mol⁻¹ than Me due to a compensating stabilization of the orbital which develops into the C-H bond and which is absent where $X = Me$. One may note the contrast in the ordering of activation energies ($Me > H$) with ground-state bond dissociation enthalpies which are in the order Me- $Mn(CO)_{5}$ (116.9) or 129.2 kJ mol⁻¹)⁴⁰¹ < H-Mn(CO)₅ (267 kJ mol⁻¹).⁴⁰²

Experiment indeed shows an increasing rate of ligand migration for $(CO)_{5}MnR$ complexes in the order CF_{3} $< \tilde{M}$ e $< Et < Pr^{403}$ and a similar ordering is found for $\text{Cp(CO)}_2\text{FeR}^{399a}$ and $\text{Cp(CO)}_3\text{MoR}^{400a}$ complexes. The ordering of the second-order rate constants for ligand migration in hexane of HMn(CO)₅ with PBu₃ (1.2 \times 10⁻³ dm³ mol⁻¹ s⁻¹)^{392c} and MeMn(CO)₅ with cyclohexylamine $(2.70 \times 10^{-5})^{398a}$ is also consistent with the above calculations.

Ligand migration studies on the benzyl complexes $\mathrm{Cp}(\rm \breve{C}O)_2\mathrm{FeCH}_2\mathrm{C}_6\mathrm{H}_4\mathrm{X}^{399c}$ and $\mathrm{Cp}(\rm CO)_3\mathrm{MoCH}_2\mathrm{C}_6\mathrm{H}_2$ $A^{\chi^{400d,e}}$ also show the importance of steric acceleration in the reaction, particularly where X is a bulky ortho substituent.

c. **Effect of Metal and Metal Geometry.** Calculations show a higher barrier for ligand migration in $\text{MeRe}(\text{CO})_5$ (140 kJ mol⁻¹) as compared to $\text{MeMn}(\text{CO})_5$ (83.6), and indeed, both $MeRe(CO)_5$ and $HRe(CO)_5$ are unreactive under conditions where the Mn analogues yield products of ligand migration.^{392,399} A similar ordering of reactivity (Fe $>$ Ru $>$ Os) is observed in the ligand migration reactions of $Cp(CO)_{2}MR$ (M = Fe, \widetilde{Ru} ⁴⁰³ and the substitution reactions of $H_2M(CO)_4$ (M $=$ Fe, Ru, Os). 404

Calculations on $MeCo(CO)_4$ show that the barrier to ligand migration is effectively that of pseudorotation to bring the methyl from its ground-state axial position⁴⁰⁵ to an equatorial position in the trigonal bipyramid. This is calculated to be 51.3 kJ mol⁻¹ and may be compared with an experimentally measured value for intramolecular exchange in $CF_3Co(CO)_4$ of 49.4 kJ mol⁻¹.⁴⁰⁶ Indeed, RCo(CO)₄ complexes exhibit a very facile ligand migration on reaction with CO to give $RCOCo(CO)₄$ or with L to give $RCOCo(CO)₃$ L com- $\n **plexes.**^{407a-e}\n$

The intermediacy of formyl complexes in the substitution of metal hydrides requires that the decarbonylation step (3) in Scheme XXXVI be facile, and some comment on the kinetic and thermodynamic stability of formyl as opposed to acyl complexes is in order. Since C-H and C-C bonds differ in energy by only 25 kJ mol⁻¹, ΔG for the reaction

$$
M-X + CO \rightarrow M-COX
$$

$$
X = H,R
$$

will be determined primarily by a comparison of M-H with M-C bond strengths. These indicate that formyl-metal complexes are indeed less thermodynamically stable than acyl complexes with respect to the above reaction, and only recently has the first example of a reversible migration of hydrogen to CO been obScheme XXXVIII

served.⁴⁰⁸ However, only small amounts of formyl complexes are required to function as intermediates, and factors that influence the *rate* of decarbonylation would seem to be more important. Kinetic and labelling studies of the decarbonylation of acyl complexes show that the reaction proceeds via the reverse of Scheme XXXVII, i.e., a rate-determining loss of terminal CO followed by a rapid ligand migration to generate the metal alkyl or combination with L' to give the substituted acyl (Scheme XXXVIII). Thus, rates of substitution of $RCOMn(CO)_{\kappa}$ complexes parallel those of decarbonylation,4098,1' while the orderings of the rates of decarbonylation MeCOCo(CO)₄ >> MeCOMn- $(CO)_5^{341a}$ and MeCOMn $(CO)_5$ >> MeCORe $(CO)_5^{403}$ parallel those of the initial methyl migration, as required by the principle of microscopic reversibility. Also in agreement, substitution of MeCOMn(CO)₅ is essentially solvent independent,⁴¹⁰ and reaction of $Me^{13}COMn(CO)_5$ with PPh_3 yields exclusively Me¹³COMn(CO)₄PPh₃.^{411a} Similarly, decarbonylation of CpFe(CO)² ¹³COMe proceeds to give only CpFe- $(CO)(^{13}CO)$ Me.^{411b} Labelling studies on the decarbonylation of $cis\text{-}[(CO)_4\text{Re}(CO\text{Me})(COPh)]$ ⁻ to [(CO)4Re(COMe)Ph]" are also consistent with rate-determining terminal CO loss, 411c and additionally indicate some fluxional character for the five-coordinate intermediate so produced.

If decarbonylation of formyl complexes proceeds via the same pathway, then the principle of microscopic reversibility would indeed indicate a lower activation energy for CO loss compared to the acyl complex, based on the calculations of Hoffmann. Of the studies of decarbonylation of formyl complexes which have been reported, the reaction

$$
[Fe(CO)_4CHO]^{-} \rightarrow HFe(CO)_4^{-} + CO
$$

has been the best characterized.⁴¹² It proceeds at room temperature or below, depending on the cation $(L_i^+>$ $Ne^{+} > K^{+} > PPN^{+}$, and is thought to occur via ratedetermining terminal CO loss. The order in terms of cation may be linked to its strength of binding to the formyl oxygen—the greater the binding, the greater the lability of the terminal carbonyls. The reverse reaction

$$
CO + HFe(CO)4 \rightarrow [Fe(CO)4CHO]
$$

has not been reported.⁴¹³ However, the related alkyl migration reaction

$$
RFe(CO)4 + L \rightarrow [RCOFe(CO)3L]^{-}
$$

does exhibit the expected linear dependence of rate on [L].⁴¹⁴ The dependence of rate on cation $(Li^+ > Na^+$ $>$ PPN⁺) is also consistent with a cationic catalysis of the migration step, and the cation—OC-Fe interaction is quite evident from crystallographic and infrared studies.^{4158-e} Other decarbonylation reactions of formyl complexes are less well characterized; pathways other

than ligand migration are evident, primarily those involving transfer of hydrogen as hydride.⁴¹⁶

Although free radicals have not been implicated in the thermal reactions of *saturated* alkyl complexes, there is some evidence that M-R homolysis is competitive with CO dissociation in the ultraviolet photolysis of species such as $\mathrm{CpFe(CO)_{2}R, ^{417a-d}CDM(CO)_{3}R}$ (M = Cr, Mo, W),^{417a,418a-e} RMn(CO)₅,⁴¹⁹ and R₃SiCo- $(CO)₄$.^{420a,b} Photolysis of HCo $(CO)₄$ ^{421a,b} and HMn- $(CO)_{5}^{422a,b}$ also results in competitive CO loss and M-H bond homolysis.

Free radicals have been implicated in the thermal reactions of *unsaturated* metal alkyl complexes, as noted below:

(a)⁴²³ CpFe(CO)₂(
$$
\eta
$$
¹-Cp) + P(OPh)₃ \rightarrow
 CpFe(CO)[P(OPh)₃](η ¹-Cp) + CO

(b)⁴²⁴ $\text{CpFe(CO)}_2(\eta^1\text{-allyl}) + \text{SnCl}_2 \rightarrow$ $CpFe(CO)$ ₂Sn(CH₂CH=CH₂)Cl₂

 $(c)^{425}$ $PhCH₂ML_n + SO₂ \rightarrow PhCH₂SO₂ML_n$ $ML_n =$ cobaloxime, rhodoxime

 $(d)^{426}$ RML_n + BrCCl₃ → RCCl₃ + BrML_n

 $R = CH_2Ph$, $CH_2CH=CH_2$, $ML_n = cobaloxime$

In general, the reactions may be viewed as occurring by the initiation step (1) of Scheme XXXV where X is R and Q is either adventitious or deliberately added.

2. Metal Halide Complexes

Free-radical pathways have been established for the substitution of $\mathrm{CpW(CO)_3Br^{391a}}$ and $\mathrm{CpRu(CO)_2Br}$ and its pentamethyl analogue.⁴²⁷ The initiation may again be viewed as reaction 1 of Scheme XXXV where $X =$ Br. The non-radical pathway for the ruthenium complexes has also been defined under rigorous conditions as a normal D pathway, and this is the pathway adopted by the related complexes $CpFe(CO)_2$ ¹⁴²⁸ and $CpMo (\text{CO})_3\text{Cl}$ ^{429a-c} One exception appears to be $(\eta^5 \text{indenyl})\text{Mo(CO)}_3\text{Cl}^{429c}$ which exhibits a ligand-dependent term in its rate law; this has been attributed to a slippage of the indenyl ligand to a η^3 coordination in the intermediate or transition state. Activation parameters are given in Table XV.

There is some evidence that homolysis or heterolysis of M-halide bonds is competitive with CO loss in the ultraviolet photolysis of $M(CO)₅X$ complexes (M = Mn, $Re; X = Cl, Br$. 430

3. Metal-Metal Bonded Complexes

There is currently a great deal of interest in the role of radical intermediates in the thermal substitution reactions of metal-metal dimers, and, by extension, metal cluster complexes.^{391a} A detailed discussion is, however, beyond the scope of this review.

B. Structure and Reactivity of Radicals

Matrix photolysis of $HMn(CO)_{5}$ (M = Mn, Re) yields $M({\rm CO})_5$ radicals; the Mn analogue has a C_{4v} structure with an axial radial angle of 96⁸.⁴²² Matrix photolysis of $Co(CO)_{4}NO^{431}$ or low-temperature cocondensation experiments^{432a,b} yield $M(CO)_4$ radicals ($M = Co$, Rh, Ir); the Co analogue has a *CSu* geometry with an axial

radical angle of approximately 100°.

Neutral ML_n radicals can commonly be generated for chemical study by ultraviolet irradiation into a transition characterized as $\sigma \rightarrow \sigma^*$ of the metal-metal bond of the M_2L_{2n} dimer.⁴³³ Thus, the results of matrix and flash photolysis, spin trapping and chemical trapping [primarily by reaction with halogenated hydrocarbons to give XML_n], quantum yield and synthetic measurements show clearly that such radicals are formed from the photolysis of $M_2(CO)_{10}$ (M = Mn, Re),^{434a-m} Mn- Re(CO)_{10} , 434a substituted derivatives of $\text{Mn}_2(\text{CO})_{10}$, 434e, f $\text{Cp}_2\text{M}_2(\text{CO})_4$ (M = Fe, Ru), $^{434f,435a-d}$ $\text{Cp}_2\text{M}_2(\text{CO})_6$ (M = Cr, Mo, W , $434f, 435d, 436a-d$ the mixed-metal dimers $(CO)_{5}M'$ - $M(CO)_{3}Cp$ (M' = Mn, Re; M = Mo, W),⁴³⁷ and $Co_2(CO)_8$ and its substituted derivatives.^{431,434f}

Only in two instances have competing processes been identified:

(i) Flash photolysis of $\text{Cp}_2\text{M}_2(\text{CO})_6$ yields, in addition to $\text{CpMo}(\text{CO})_3$, a longer lived intermediate^{436b,d} that has been assigned a $Cp(CO)₂Mo-(C=O)-Mo(CO)₃Op$ structure containing one coordinatively unsaturated Mo atom. Careful wavelength studies show that it is this intermediate which is responsible for formation of $\text{Cp}_2\text{Mo}_2(\text{CO})_5\text{L}$ and the disproportionation products $[CpMo(CO)₂L₂][CpMo(CO)₃]$ derived from this by further photolysis. The disproportionation products $\text{CpMo}(\text{CO})_3\text{X}$ and $\text{CpMo}(\text{CO})_3$ isolated from photolysis of $\text{Cp}_2\text{Mo}_2(\text{CO})_6$ and X⁻ may also be derived from this same intermediate.⁴⁴² An additional intermediate observed in the flash photolysis of $Cp_2Fe_2(CO)_4^{435c}$ may be assigned an analogous structure, and may be trapped as $Cp(CO)_2Fe-(C=O)-Fe(CO)(L)Cp$ at low temperature $[L = P(OPr - i)_{3}]^{443}$

(ii) Photolysis of $Mn_2(CO)_{10}$ also yields a second intermediate; while it may be assigned a related $\rm (C3)_5Mn-(C=O)-Mn(CO)_4$ structure,⁴⁴⁴ flash photolytic and synthetic studies indicate that it is best regarded as 15-electron $Mn(CO)₄$ derived from $Mn(CO)₅$ by CO loss. This does not imply a facile dissociative loss of CO from thermally generated $Mn(CO)_{5}$. Molecular beam studies⁴⁴⁵ show that the energy of the photon required for homolysis $(398 \text{ kJ mol}^{-1})$ is much higher than the sum of the energies required for Mn-Mn cleavage (92 kJ mol^{-1}) and excess kinetic energy (63 m) kJ mol⁻¹). The remaining energy is greater than the Mn-CO bond energy (84 kJ mol⁻¹), and thus CO loss probably occurs from a vibrationally excited $Mn(CO)_{5}$ radical. In the case of $\text{Re}_2(\text{CO})_{10}$ where both Re-Re $(214 \text{ kJ mol}^{-1})$ and Re-CO $(164 \text{ kJ mol}^{-1})$ bond energies are higher, CO loss from $Re(CO)_{5}$ is not observed.

The rates of recombination for $CpFe(CO)_{2}$, $CpM (CO)_3$, and $M(CO)_5$ measured from flash photolysis (1-5) \times 10⁹ dm³ mol⁻¹ s⁻¹) are in agreement with those obtained for $M(CO)_{5}$ radicals from pulse radiolysis studies^{446a,b} and indicate an essentially diffusion-controlled process. Rates of bimolecular reaction with CCI_4 are less rapid $(10^4 - 10^7 \text{ dm}^3 \text{ mol}^{-1} \text{ s}^{-1})$ and indicate an ordering of reactivity Re(CO)_5 > Mn(CO)_5 > CpW- $(CO)₃$. 446b, 436c A more complete ordering of radical reactivity in this respect has been obtained from photochemical cross-coupling experiments $(Re(CO)_{5} > Mn (CO)_5 > CpW(CO)_3 > CpMo(CO)_3 > CpFe(CO)_2 >$ $Co(CO)₄$.⁴⁴⁷

The efficient operation of Scheme XXXV requires that the radical substitution reaction (3) be rapid. From Scheme XXXIX

(l)ML"-e - ^ML ⁿ + (2) MLⁿ ⁺ + L' -»MLⁿ .,L' ⁺ + L (3) MLⁿ .,L^M + MLn -> MLⁿ + + MLⁿ .,L'

the few reactions reported below on which kinetic information is available, it would appear that this most likely involves a low-energy associative pathway:

(a)⁴⁴⁸
$$
Re(CO)5 + L \rightarrow Re(CO)4L + CO
$$

$$
L = PPh3, PBu3
$$

(b)^{449a,b} Mn(CO)₃L₂ + CO
$$
\rightarrow
$$
 Mn(CO)₄L + L
L = PBu-*n*₃, PBu-*i*₃

 C^{1450} V(CO)₆ + PPh₃ \rightarrow V(CO)₅PPh₃ + CO

Reaction a has been studied indirectly by competition experiments. The $Mn(CO)₃L₂$ radicals of (b) are formed from extended photolysis of $Mn_2(CO)_{8}L_2$ and are stable with respect to dimerization because of the steric bulk of L; the product $Mn(CO)_4L$ radicals do, however, undergo dimerization to give $Mn_2(CO)_{8}L_2$ as the isolated product.⁴⁵¹ All the reactions exhibit a perfect first-order dependence of rate on the concentration of entering ligand, and activation parameters for $V(CO)_6$ (ΔH^* = 41.8 kJ mol^{-1} , $\Delta S^* = -116 \text{ J K}^{-1} \text{ mol}^{-1}$ are consistent with an associative process which is 10^{10} faster than the I_d component of the substitution of $Cr(CO)_6$. Nucleophilic attack at the stereochemically active half-filled orbital of Re(CO)_5 or $\text{Mn(CO)}_3\text{L}_2$ provides a reasonable pathway; for $V(CO)_6$, the hole in the bonding t_{2g} orbital set permits nucleophilic attack at a triangular face of the octahedron.

With the increasing availability of 17-electron complexes stable enough for kinetic investigation,⁴⁵² a greater understanding of the factors affecting radical lability seems likely.

C. Substitution by Oxidation-Reduction Catalysis

Catalytic substitution of 18-electron complexes containing only π -acid ligands may be initiated by either chemical or electrochemical oxidation or reduction.

1. Oxidation

Catalytic substitution initiated by oxidation has been reported for the reactions below:

(a)⁴⁵³
CpMn(CO)₂(MeCN) + L
$$
\rightarrow
$$
 CpMn(CO)₂L + MeCN

$$
L = PPh3, AsPh3, t-BuNC
$$

(b)⁴⁵⁴ cis-L₂M(CO)₄ + L'
$$
\rightarrow
$$

cis-LL'M(CO)₄ + cis-L'₂M(CO)₄

$$
L = \text{MeCN}, L' = \text{PPh}_3, t\text{-BuNC}, M = \text{Mo}, W
$$

 (c) ⁴⁵⁴

$$
W(CO)3(MeCN)3 + 3L \rightarrow W(CO)3L3 + 3MeCN
$$

L = t-BuNC

(d)⁴⁵⁴
$$
pyW(CO)5 + L \rightarrow LW(CO)5 + py
$$

Oxidation of only a few percent of the starting material is required to initiate substitution, and the results are Scheme XL

(1)
$$
ML_n + e^- \rightarrow ML_n^-
$$

\n(2) $ML_n^- - L \xrightarrow{fast} ML_{n-1}^-$
\n(3) $ML_{n-1}^- + L' \rightarrow ML_{n-1}L' + L$
\n(4) $ML_{n-1}L' + ML_n \rightarrow ML_{n}^- + ML_{n-1}L$
\n(5) $2ML_n^- \rightarrow M_2L_{2n-2}^{2-}$

Scheme XLI

$$
L_{n}M-ML_{n} + e^{-} \longrightarrow L_{n}M ML_{n}
$$
\n
$$
L_{n}M ML_{n} + L' \longrightarrow L_{n}M M L_{n-1}L' + L
$$
\n
$$
L_{n}M M L_{n-1}L' + L_{n}M - M L_{n} \longrightarrow L_{n}M M L_{n-1}L' + L_{n}M M L_{n}
$$
\n
$$
L_{n}M M L_{n-1}L' + L_{n}M M M L_{n}
$$

best interpreted in terms of the radical chain pathway of Scheme XXXIX. With the exception of the initiation step, the similarity to Scheme XXXV may be noted; particularly, a rapid substitution of the cation radical is seen to be necessary. The possibility of catalytic substitution by oxidation emphasizes the need for rigorous exclusion of air and other oxidizing impurities in kinetic studies of 18-electron complexes.

The radicals $Cr({\rm CO})_{6}^{+}$, $Fe({\rm CO})_{5}^{+}$, $Ni({\rm CO})_{4}^{+}$, $\rm CpMn$ - $(CO)₃$ ⁺, and $CpFe(CO)₂(COR)⁺$ may be generated in solvents such as acetonitrile or trifluoroacetic acid, 455a-d and have lifetimes ranging from less than a second to several minutes at room temperature. The order of stability $(Cr > Fe > Ni)$ parallels that of the neutral radicals $(Mn > Co)$.

2. Reduction

It has recently been shown that the monosubstitution of $Fe(CO)_5^{456}$ and $M(CO)_6^{457}$ (M = Cr, Mo, W) may be effected by catalytic electrochemical reduction; the results seem most consistent with Scheme XL. Electron addition is followed by rapid ligand loss^{455e} to give the 17-electron radical anion of (2), which is assumed to undergo rapid substitution and chain propagation. Unfortunately, chain termination by dimerization is competitive, and although good yields of the $M(CO)_{5}L$ complexes are obtained (50-90%), yields of $Fe(CO)₄L$ are low (10%) due to competitive formation of $Fe₂$ - $(CO)_8^2$. Indeed, reduction of $Fe(CO)_5$ or $M(CO)_6$ in the absence of L yields only $\text{Fe}_2(\text{CO})_8^2$ or $\text{Cr}_2(\text{CO})_{10}^2$ as detectable species.^{458a-c} Reduction of (maleic anhy $dride)Fe(CO)₄$ does, however, yield detectable concentrations of (maleic anhydride) $Fe(CO)_2$, which is labile towards substitution.^{459a} The anion radicals $Cr(CO)_{5}^{2}$, $Fe(CO)_2$, and $Ni(CO)_2$ have been characterized by $\mathbf{r} \in (\mathcal{O} \mathcal{O})_4$, and $\mathbf{N} \mathcal{O} \mathcal{O} \mathcal{O}$, have been characterized by matrix techniques, however, $459b^{-d}$ and have the C_{tot} , C_{tot} and D_{3h} symmetries of their isoelectronic neutral analogues $\text{Mn}(\text{CO})_5$, $\text{Co}(\text{CO})_4$, and $\text{Cu}(\text{CO})_3$. Very recently, it has been shown that (diazadiene) $M(CO)₄$ complexes $(M = Cr, Mo, W)$ undergo both catalytic reductive and oxidative substitution.⁴⁶⁰

One interesting development concerns the catalytic reductive substitution of metal cluster complexes such as $Co_2(CO)_{6}(\mu-C_2Ph_2)^{456}$ and related complexes⁴⁶¹ and $\text{Ru}_3(\text{CO})_{12}.^{462}$ a-c The reactions most likely proceed via electron addition to the σ^* M-M orbital to generate a formally 17-electron center that undergoes rapid substitution and electron transfer (Scheme XLI). Anion radicals such as $Mn_2(CO)_{10}$, $Mn_2(CO)_{8}(PR_3)_2$, and

Ligand Substitution Reactions

 $\rm{Co_2(CO)_6(PR_3)_2^-}$ have been detected by $\rm{ESR}, ^{463a-d}$ and have been postulated as intermediates in both thermal^{464a,b} and photochemical^{434b} substitution reactions of metal-metal dimers.

Redox catalysis is currently an area of active interest, and more information is needed especially on the lability of the charged radicals and on the nature of the electron-transfer process.

D. Relevance to Catalytic Organic Reactions⁴⁶⁵

CIDNP studies show clearly that the hydrogenation of α -methylstyrene by HMn(CO)₅ proceeds via the intermediacy of $Mn({\rm CO})_5$ and $PhCMe_2$ radicals,⁴⁶⁶ while the stoichiometric hydrogenation of anthracene by $HCO(CO)₄⁴⁶⁷$ and of cyclopropenes^{468a} and 1,1-diphenylethene^{468b} by $\text{HC}_0(CO)_4$ and $\text{HMn}(\text{CO})_5$ and the hydroformylation of 3,3-dimethyl-l,2-diphenylcyclopropene468c also proceed via initial hydrogen-atom transfer. A rate-determining transfer of hydrogen from metal to CO has also been suggested for the homogeneously catalyzed hydrogenation of CO to methanol.⁴⁰²

Although more experimental evidence is not available, it seems likely that radicals may be implicated in other catalytic reactions involving olefin isomerization and hydrogenation, and hydroformylation.

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