Nanocrystalline ZnO for Knoevenagel Condensation and Reduction of the Carbon,Carbon Double Bond in Conjugated Alkenes

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A novel efficient and eco-friendly nanocrystalline ZnO catalyst was used for the Knoevenagel condensation and for the reduction of $C = C$ double bonds at room temperature in the absence of solvents.

Introduction. – Recently nanocrystalline inorganic oxides gained interest because of their different topical characteristics $[1-6]$. Having variable properties depending on the size of the particles, the inorganic oxides present enormous opportunities. Nanocrystalline semiconducting $II - IV$ metal oxides have been efficiently used as gas sensors and photocatalysts, whereas the catalytic properties of these materials are poorly explored [7-9]. Undoubtedly, one of the most important semiconductor materials is zinc oxide (ZnO). ZnO is a versatile smart material that has key applications as catalysts [10], sensors, piezoelectric transducers [11], transparent conductors [12], and surface-acoustic-wave devices [13]. Nanometer-sized particles of ZnO have very different physical and chemical properties compared with bulk material. When used as catalysts, their catalytic activity is expected to be enhanced not only because of their increased surface area, but also because of the changes of surface properties such as surface defects.

Control over the synthesis of monosubstituted malononitriles $(=$ propanedinitriles) has been a challenging problem in organic chemistry. The most general and direct route to monosubstituted malononitriles is the alkylation of malononitrile, but this method generally produces various amounts of disubstituted malononitriles by over-alkylation when malononitrile is the limiting agent. Indeed, symmetrically disubstituted malononitriles can be efficiently prepared in this manner [14]. Keeping the alkylating agent to a minimum causes the product to be contaminated with significant amounts of unreacted malononitrile, which must be separated from the desired monosubstituted malononitrile [15]. More selective methods for the synthesis of monosubstituted malononitriles follow a two-step reaction sequence. The first step is a *Knoevenagel* condensation between malononitrile and a ketone or aldehyde $[16-20]$. The intermediate alkylidenedinitrile is reduced in a second step to afford the desired monosubstituted malononitrile [21 – 24].

Knoevenagel condensations (KC) [16] [17] which are one of the most important $C-C$ bond forming reactions have been widely used in the synthesis of important intermediates or products for perfumes [25], pharmaceuticals [26], calcium antagonists [27], and polymers [28]. Thus, the development of new synthetic procedures for KC has

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attracted the attention of organic chemists. Recently, various methodologies have been developed for KC [16–20]. However, there still remains a need to develop a more efficient method, particularly in view of the disadvantages associated with some of the reported procedures such as the requirement of solvent, additional reagents, heating, long reaction times, costly and moisture-sensitive catalysts, special apparatus, *etc*. While designing a new catalyst, we thought that the use of a more effective electrophilic activating agent should accelerate the overall reaction rate, and a metal oxide, in particular nanocrystalline ZnO, which is composed of both Lewis acidic and Lewis basic sites [29], might be an ideal candidate for a closer inspection (Scheme 1).

Scheme 1. Knoevenagel Condensation Catalyzed by Nano-Flake ZnO. For \mathbb{R}^1 , \mathbb{R}^2 , and Ar, see Table 2.

Results and Discussion. – Initially, various ZnO crystals (commercial ZnO (CM-ZnO), nano-flake ZnO (NF-ZnO) (20-30 nm) [30], and nano-particle ZnO (NP-ZnO) $(35 \pm 5 \text{ nm})$ [31] were screened in the KC between 4-chlorobenzaldehyde (1e; $Ar = 4-Cl - C_6H_4$) and malononitrile (2; $R^1 = R^2 = CN$) (Scheme 1 and Table 1). Among the ZnO samples screened in the KC, the NF-ZnO under solvent-free conditions was found to be superior to the other ZnO in terms of yield of product 3e $(Ar = 4-Cl - C₆H₄, R¹ = R² = CN)$ and reaction time; this fast transformation did not require any heating.

Table 1. Knoevenagel Condensation Catalyzed by Different Crystallites of ZnO (0.004 g) of 4-Chlorobenzaldehyde (1e; Ar = 4-Cl – C₆H₄; 1 mmol) and Malononitrile (2; $R^1 = R^2 = CN$; 1 mmol) at 25°

Catalyst	Solvent	Time	Yield $[\%]$ ^a)
$NF-ZnO$	none	10 min	98
$NP-ZnO$	none	90 min	86
$CM-ZnO$	none	3 h	10 ^b
$NF-ZnO$	CH ₂ Cl ₂	3 h	43
$NF-ZnO$	MeCN	3 _h	40

^a) Yield of isolated 3e (Ar = 4-Cl–C₆H₄, R¹ = R² = CN). ^b) The yield was increased by raising the temperature to 80° for $6 - 7$ h.

To widen the scope, NF-ZnO was tested in the KC of various benzaldehydes $1a-1e$ with activated methylene compounds 2; the resulting products $3a-30$ were formed in excellent yields (Table 2).

Spurred by the success of KC, the reduction of some activated conjugated alkenes 3, which were synthesized by KC , was studied by using nanomaterials (Scheme 2). To the best of our knowledge, most investigated reductions of $C = C$ bonds in conjugated alkenes proceed much better in protic solvents such as alcohols, water, and/or aqueous EtOH, and such reductions do not occur under solvent-free conditions $[21 - 24]$.

Aldehyde	Ar	\mathbb{R}^1	\mathbb{R}^2	Product	Time $[h]$	Yield $\lceil \% \rceil^a$)
1a	Ph	CN	CN	3a	3.5	90
1 _b	$4-Me-C6H4$	CN	CN	3b	3	90
1c	$4-MeO-C6H4$	CN	CN	3c	2.5	90
1d	$2-MeO-C6H4$	CN	CN	3d	3	95
1e	4 -Cl-C ₆ H ₄	CN	CN	3e	10 min	98
1f	$4-OH-C6H4$	CN	CN	3f	3	90
1g	$4-NO_2-C_6H_4$	CN	CN	3g	10 min	98
1h	3 -Cl-C ₆ H ₄	CN	CN	3h	3	90
1 _i	2 -Cl-C ₆ H ₄	CN	CN	3i	30 min	90
1j	2-thienyl	CN	CN	3j	$\overline{2}$	90
1k	pyridin-4-yl	CN	CN	3k	5 min	98
11	furan-2-yl	CN	CN	31	3	95
1e	$4-CI-C6H4$	CN	CO ₂ Et	3m	4	90
1e	$4-Cl-C6H4$	CN	MeO		24	Ω
1e	4 -Cl-C ₆ H ₄	CO ₂ Et	Cl	3n	1	90
1e	$4 - C1 - C_6H_4$	CO, Et	CO, Et	30	5	90

Table 2. Knoevenagel Condensation Catalyzed by NF-ZnO $(0.004 g)$ at 25° under Solvent-Free Conditions (see also Scheme 1)

Among the ZnO samples screened in the reduction of 2-(4-chlorophenylmethylene) malononitrile (3e) with N aBH₄ as reducing agent under solvent-free conditions, the NF-ZnO was found to be superior to NP-ZnO and CM-ZnO in terms of yields and reaction times; the yields of product 4e are 87, 68, and 60% by using the NF-ZnO, NP-ZnO, and assorted crystals of CM-ZnO, respectively (Table 3).

Scheme 2. Reduction of C=C Bonds Catalyzed by Nano-Flake ZnO. For R^1 , R^2 , and Ar, see Table 4.

Table 3. Reduction of 2-[(4-Chlorophenyl)methylene]malononitrile (3e; Ar = 4-Cl-C₆H₄, R¹ = R² = CN; 1 mmol) with NaBH₄ (1 mmol) Catalyzed by Different Crystallites of ZnO (0.004 g) at 25° under Solvent-Free Conditions

^a) Yield of isolated **4e** (Ar = 4-Cl–C₆H₄, R¹ = R² = CN).

To widen the scope, a system composed of NF-ZnO under solvent-free conditions was evaluated in the reduction of different activated alkene derivatives with NaBH₄, i.e. of 3e, 3h, 3i, 3f, 3g, 3m, 3n (Table 4). When the aryl substituent at the methylene moiety of the activated alkene 3 is substituted by a Cl-atom such as in $3e$, $3h$, $3i$, $3m$, higher yields are observed than in the case of aryl substituents bearing other groups (*Entries* $1 - 3$ and 6). On the other hand, the NF-ZnO-promoted reductions of activated alkenes 3 bearing 2- or 3-substituted aryl substituents with either electron-withdrawing or electron-donating groups exhibit a decrease of yield when compared with the corresponding 4-substituted analogs, which may be ascribed to the steric hindrance for the unidirectional entry of reacting species (e.g., *Entries* 2 and 3). These catalytic reductions are very impressive when compared with other reducing systems such as $InCl₃/NaBH₄$ (i.e., $Cl₂InH$) and $Al₂O₃/NaBH₄$, which require more than the stoichiometric amount of reducing agent, offer low selectivity or conversions to the desired products, and need protic solvents (Table 5).

Table 4. Reduction of Activated Alkene Derivatives 3 with NaBH₄ Catalyzed by NF-ZnO at 25° under Solvent-Free Conditions (see also Scheme 2)

Entry	<i>Knovenagel</i> adduct Ar		\mathbf{R}^1	\mathbb{R}^2	Product	Time [min]	Yield $\lceil \% \rceil^a$)
	3e	$4-CI-C6H4$	CN	CN	4e		87
2	3h	$3-CI-C6H4$	CN	CN	4h		72
3	3i	2 -Cl-C ₆ H ₄	CN	CN	4i		83
$\overline{4}$	3f	$4-HO-C6H4$	CN	CN	4f		60
.5	3g	$4\text{-NO}_2 - C_6H_4$	CN	CN	4α		65
6	3 _m	$4\text{-}\mathrm{Cl}-\mathrm{C}_6\mathrm{H}_4$	CN	CO ₂ Et	4m		90
	3n	$4-CI-C6H4$	CO ₂ Et	Cl	4n	10	53

a) Yield of isolated product 4.

Table 5. Comparison of the Catalytic Efficiency of NF-ZnO and Various Catalysts for the Reduction of 3e

Catalyst	Time	Yield $\lceil % \rceil^a$
In, EtOH/H ₂ O, NH ₄ Cl [23a]	10 _h	82
InCl ₂ /NaBH ₄ , MeCN, r.t., H ₂ O [23b]	3 h	83
$NF-ZnO, r.t.$	2 min	87

Since the KC of malononitrile and 4-chlorobenzaldehyde $(1e)$ in the presence of NF-ZnO was accomplished without solvent, we hypothesized that reduction with NaBH₄ could be performed subsequently in the same pot also under solvent-free conditions. Thus, the condensation of malononitrile and 4-chlorobenzaldehyde (1e) was carried out at room temperature by absorption on 5 mol-% of NF-ZnO and determined to be complete (TLC monitoring (CH_2Cl_2) of malononitrile) within ca. 10 minutes. Then N a BH_4 was added, and all intermediate $3e$ was converted to product 4e within a couple of minutes (Scheme 3).

In an effort to determine the scope and limitation of this novel one-pot KC reduction methodology, various aldehydes 1 were treated with malononitrile under the conditions developed for 1e, which resulted in excellent yields of the corresponding products 4 (Table 6, Entries $1 - 11$). We also found that the reaction of some other activated methylene compounds with 1e were successful under these conditions, but the

Scheme 3. One-Pot Synthesis of Monosubstituted Malononitriles. For \mathbb{R}^1 , \mathbb{R}^2 , and Ar, see Table 6.

rates for the condensation on NF-ZnO decreased with less reactive methylene compounds (*Entries 12-16*), whereas the reduction with NaBH₄ proceeded without incident.

Table 6. Overall Yield of the One-Pot Synthesis of 4 by the KC-Reduction Methodology (see also Scheme 3)

Entry	Aldehyde	Ar	\mathbb{R}^1	\mathbb{R}^2	Product	Yield $[%]$ ^a)
$\mathfrak{1}$	1a	Ph	CN	CN	4a	95
$\overline{2}$	1c	$4-MeO-C6H4$	CN	CN	4c	98
3	1d	$2-MeO-C6H4$	CN	CN	4d	95
$\overline{4}$	1e	$4-Cl-C6H4$	CN	CN	4e	98
5	1f	$4-OH-C6H4$	CN	CN	4f	95
6	1g	$4-NO_2-C_6H_4$	CN	CN	4g	98
7	1h	$3-Cl-C6H4$	CN	CN	4h	95
8	1i	2 -Cl-C ₆ H ₄	CN	CN	4i	95
9	1j	2-thienyl	CN	CN	4j	95
10	1k	pyridin-4-yl	CN	CN	4k	98
11	11	furan-2-yl	CN	CN	41	95
12	1e	$4-Cl-C6H4$	CN	CO ₂ Et	4m	90
13	1e	$4-Cl-C6H4$	CN	MeO	-	Ω
15	1e	$4-Cl-C6H4$	CO ₂ Et	Cl	3n	90
16	1e	$4-Cl-C6H4$	CO ₂ Et	CO ₂ Et	30	90

a) Yield of isolated product 4.

NF-ZnO indeed displayed the highest activity compared to that of CM-ZnO, and NF-ZnO has Lewis acid sites (Zn^{2+}) and Lewis basic sites (O^{2-}) [29]. In the KC, an O^{2-} site of NF-ZnO takes up a proton of the malononitrile, and the resulting carbanion forms a complex with the Zn^{2+} site (the Zn^{2+} sites are also interacting with the C=O groups of the aldehyde (*Scheme 4*). Hence, the KC proceeds *via* the dual activation by NF-ZnO of both substrates, the nucleophile and the electrophile. The Lewis acid sites of NF-ZnO also activate NaBH₄ for the solvent-free reductions.

The above results indicate that the efficiency of the catalytic activity is dependent on the particle size and particle shape of ZnO. The SEM (scanning electron microscope) micrograph, FT-IR spectrum, and X-ray diffraction plot of NF-ZnO and NP-ZnO are illustrated in Fig. 1 and 2, respectively.

Conclusion. – We established that NF-ZnO is a highly active catalyst for both KC and reductions of $C = C$ bonds in activated conjugated compounds. Thus, NF-ZnO with its well-defined shape and size shows higher activity than other catalysts. The advantages of this safe protocol include a simple reaction setup not requiring Scheme 4. a) Wurtzite Structure of Crystalline ZnO. b) Wurtzite Structure of Crystalline ZnO in Front View. c) Proposed Mechanism for Knoevenagel Condensation Catalyzed by NF-ZnO.

specialized equipment, very mild reaction conditions, high product yields, short reaction times, and the limitation of solvents.

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Experimental Part

General. Commercial ZnO (CM-ZnO) was purchased from Merck. Samples of conventional ZnO, of nano-flake ZnO (NF-ZnO $(20-30 \text{ nm})$), and of nano-particle ZnO (NP-ZnO $(35+5 \text{ nm})$) were synthesized as reported in $[30][31]$, the latter two are characterized in Figs. 1 and 2.

Nanoflake Zinc Oxide (NF-ZnO). In a typical experiment, zinc acetate dihydrate (10 mmol) and $CO(NH₂)₂$ (0.2 mol) were dissolved in deionized H₂O (200 ml) at r.t. (\rightarrow transparent soln.), and the soln. was refluxed for 12 h. The mixture was cooled in cold water to stop the reaction. The product was centrifuged and washed with deionized H_2O and abs. EtOH and dried at 80 \degree for 8 h. The NF-ZnO (2 g) was obtained by calcining the precursor in a furnace in air at 400° for $2 \text{ h } [30]$.

Nanoparticle Zinc Oxide (NP-ZnO). Zinc acetate dihydrate (10.98 g) was dissolved in EtOH (300 ml) at 60° . Oxalic acid dihydrate (12.6) which was previously dissolved in EtOH (200 ml) at 50° was added slowly under stirring to the $Zn(OAc)_2$ soln. at 60°. A thick white gel formed, which was kept at 80° for drying. Then the solid was calcined at 500° for 2 h to yield NP-ZnO (5 g) [31].

Knoevenagel Condensation of 1 and 2: General Procedure. A benzaldehyde (1; 1 mmol) and an activated methylene compound 2 (1 mmol) were added to NF-ZnO (5 mol-%, 0.004 g) in a mortar. The

Fig. 1. a) SEM Micrograph of NF-ZnO. b) FT-IR Spectrum of NF-ZnO. c) X-Ray diffraction plot of NF-ZnO.

mixture was ground with a pestle, and the progress of the reaction was monitored by TLC (AcOEt/hexane 2 : 8). After the reaction was complete, AcOEt was added, and the mixture was centrifuged to separate the catalyst. The org. solvent was evaporated, and the residue was purified by chromatography (silica gel). The structures of the obtained *Knoevenagel* adducts 3 were confirmed by the ¹H-NMR and IR data and comparison with authentic samples obtained commercially or prepared by reported methods [18-20].

Fig. 2. a) SEM Micrograph of NP-ZnO. b) FT-IR Spectrum of NP-ZnO. c) X-Ray diffraction plot of NP-ZnO.

Reduction of Knoevenagel Adducts 3: General Procedure. The Knoevenagel adduct 3 (1 mmol) and NaBH4 (1 mmol) were added to NF-ZnO (5 mol-%, 0.004 g) in a mortar. The mixture was ground with a pestle, and the progress of the reaction was monitored by TLC (silica gel, CH_2Cl_2 ; nearly identical R_f

values of educt and product but only the educt was detected under UV light at 254 nm). CH₂Cl₂ (30 ml) was added, and the mixture was centrifuged to separate the catalyst. Then the soln. was poured into a separatory funnel with ice-water (20 ml). Excess hydride was destroyed, and the soln. was acidified with 3m HCl (8 ml). The org. phase was dried and concentrated, and the crude oily residue was purified by column chromatography (hexane/AcOEt 9:1). The structures of the obtained colorless oily reduction products 4 were confirmed by the ¹H-NMR and IR data and comparison with authentic samples obtained commercially or prepared by reported methods $[21-24]$.

One-Pot Preparation of 4. A benzaldehyde 1 (1 mmol), an activated methylene compound 2 (1 mmol), and NF-ZnO (5 mol-%, 0.004 g) were mixed in a mortar. The mixture was ground with a pestle, and the progress of the reaction was monitored by TLC (AcOEt/hexane 20 : 80). After the reaction was complete, $NABH_4$ (1 mmol, 0.04 g) was added, and the mixture was ground with a pestle, and after 5 – 10 min the reaction was complete (TLC monitoring). Workup was carried out as described above for the reduction of Knoevenagel adducts.

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