

SYNTHETIC STUDIES ON OPTICALLY ACTIVE  $\beta$ -LACTAMS.<sup>1</sup> ASYMMETRIC  
SYNTHESIS OF  $\beta$ -LACTAMS BY THE CYCLOCONDENSATION UTILIZING  
CHIRAL HETEROCYCLIC COMPOUNDS DERIVED FROM L-(+)-TARTARIC  
ACID AND (S)-GLUTAMIC ACID

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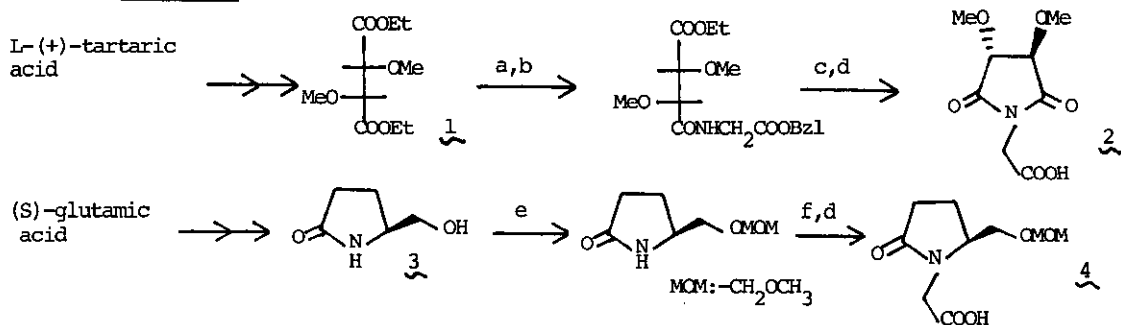
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Abstract—— Asymmetric cyclocondensation of the chiral heterocyclic compounds (2,4) with imines (5) gave either cis- or trans- $\beta$ -lactams with high diastereomeric purity up to 96%, and the optically pure phenylalanine derivative (9) was obtained from the  $\beta$ -lactams produced.

The chiral synthesis of  $\beta$ -lactam ring systems has been extensively studied, and the common procedure for preparing  $\beta$ -lactams is the cyclocondensation of a ketene species with an imine. Although several asymmetric syntheses of  $\beta$ -lactams by the [2+2] cyclocondensation were reported,<sup>2</sup> there have been no application of chiral ketene species to the asymmetric  $\beta$ -lactam formation.<sup>3</sup> Here, we describe our studies on models for a highly diastereoselective cyclocondensation of activated glycine derivatives bearing the chiral heterocycles derived from L-(+)-tartaric acid and (S)-glutamic acid as ketene species.

As illustrated in Scheme 1, the chiral tartarimide derivative<sup>4</sup> (2, mp 60°C,  $[\alpha]_D^{20} +172^\circ$  (c=0.8, CHCl<sub>3</sub>)) and the chiral 2-pyrrolidinone derivative (4,  $[\alpha]_D^{20} +6.2^\circ$  (c=2, EtOH)) were prepared from (+)-diethyl dimethoxysuccinate (1)<sup>5</sup> and (S)-5-hydroxymethyl-2-pyrrolidinone (3)<sup>6</sup> in 40% and 45% yields, respectively. Compounds 2 and 4 (1.3 eq.) were converted into the corresponding mixed anhydrides with trifluoroacetic anhydride,<sup>7</sup> and were condensed with imines (5) in the presence of triethylamine in methylene chloride for 20 h to afford the  $\beta$ -lactams as a mixture of diastereomers. Each isomer was separated by column

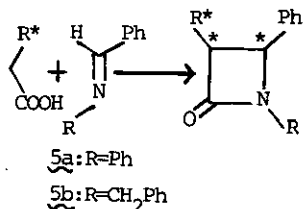
**Scheme 1**



Reagents: (a) aq. NaOH (1 eq.), (b) Gly-OBzl·p-TsOH,  $(\text{EtO})_2\text{P}(\text{O})\text{CN}$ , TEA, (c) Na powder, toluene, (d)  $\text{H}_2/\text{Pd-C}$ , (e) MOMCl, *N,N*-diethylaniline, (f) NaH,  $\text{BrCH}_2\text{COOBzl}$ , THF.

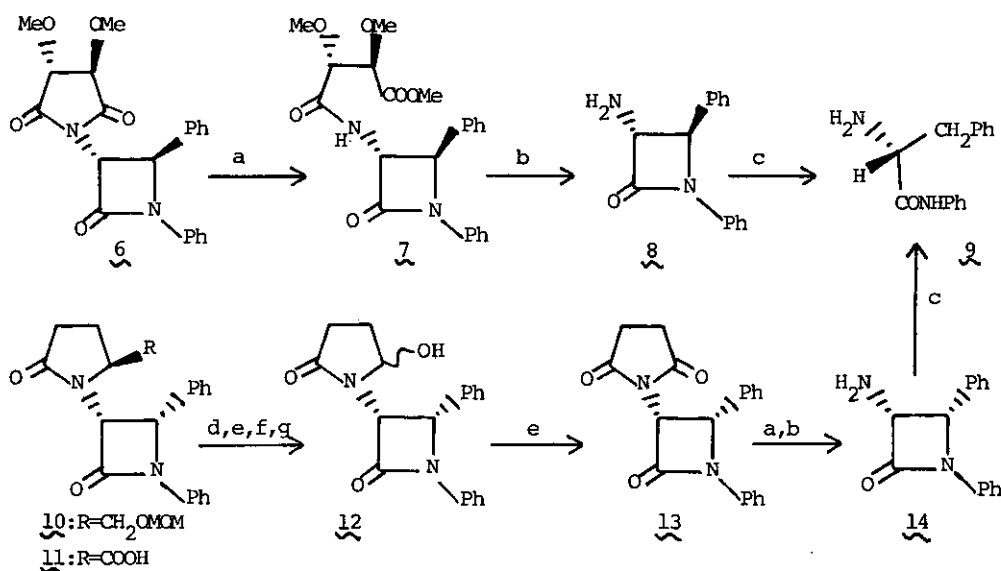
Table Asymmetric Induction in the  $\beta$ -Lactam Formation

Reagent	Reaction Temp. (°C)	Imine	Yield (%)	cis/trans Ratio	Ratio of diastereomers	Asymmetric induction (%)
2	0	5a	47	0/100	84/16 <sup>a)</sup>	68
2	-20	5a	40	0/100	87/13 <sup>a)</sup>	74
4	0	5a	71	86/14 <sup>b)</sup>	97/3 <sup>c)</sup>	94
4	-20	5a	62	84/16 <sup>b)</sup>	98/2 <sup>c)</sup>	96
4	0	5b	55	95/5 <sup>b)</sup>	95/5 <sup>c)</sup>	90



a) Ratio of the trans-diastereomers.  
 b) The minor diastereomer of the trans- $\beta$ -lactam was not detected.  
 c) Ratio of the cis-diastereomers.

**Scheme 2**



Reagents: (a) NaOMe (1 eq.), MeOH, (b)  $\text{PCl}_5$ , pyridine,  $\text{CH}_2\text{Cl}_2$ ; MeOH;  $\text{H}^+$ , (c)  $\text{H}_2/10\% \text{Pd-C}$ , EtOH, (d) 10% HCl, MeOH, 55%, (e) Jones reagent, (f)  $\text{Pb}(\text{OAc})_4$ , DMF, AcOH, (g) 50% AcOH, 50°.

chromatography on silica gel ( $\text{CHCl}_3:\text{AcOEt}:\text{Hex.}=10:1:1$  or  $\text{CHCl}_3:\text{AcOEt}=5:1$  as eluent), and the ratio of the isomers was calculated by HPLC. Summarized results were listed in the Table. Employing the reagent 2, trans- $\beta$ -lactams ( $J_{3,4}=2$  Hz) were formed with 74 % asymmetric induction. On the other hand, cis- $\beta$ -lactams ( $J_{3,4}=5$  Hz) were predominantly formed with high diastereoselectivity (up to 96 % de) using the reagent 4.

The chiral auxiliaries in the  $\beta$ -lactams produced were successfully removed leaving the  $\beta$ -lactam ring intact to afford 3-amino-2-azetidinone derivatives as follows (Scheme 2). The isolated major diastereomer 6 (mp 233°C,  $[\alpha]_D^{20} +188^\circ$  (c=0.4,  $\text{CHCl}_3$ )) was treated with sodium methoxide (1 eq.) in methanol to give the amide derivative (7), and the selective cleavage of the amide bond via methyl imino ether ( $\text{PCl}_5$ , pyridine,  $\text{CH}_2\text{Cl}_2$ ; MeOH;  $\text{H}^+$ ) gave trans-3-amino-1,4-diphenyl-2-azetidinone (8,  $[\alpha]_D^{20} -74^\circ$  (c=0.5,  $\text{CHCl}_3$ )) in 40 % yield with the recovery of (+)-dimethyl dimethoxysuccinate. Successive treatments of 11, prepared from the major diastereomer 10 (mp 183°C,  $[\alpha]_D^{20} +34^\circ$  (c=0.4,  $\text{CHCl}_3$ )) by MOM group cleavage and Jones oxidation, with lead tetraacetate (DMF-AcOH) and 50% aqueous acetic acid introduced the hydroxy group at the  $\text{C}_5$ -position of the 2-pyrrolidinone moiety. The imide derivative (13) obtained from 12 by Jones oxidation was treated under the same conditions as described above to provide cis-3-amino-1,4-diphenyl-2-azetidinone (14, mp 209°C,  $[\alpha]_D^{20} +193^\circ$  (c=0.84,  $\text{CHCl}_3$ )) in 42 % yield from 10. Since the optically pure (R)-phenylalanine derivative (9, mp 63°C,  $[\alpha]_D^{20} +138^\circ$  (c=0.3,  $\text{CHCl}_3$ ), identical with an authentic sample of (R)-9) was obtained from 8 and 14 by N-C<sub>4</sub> bond cleavage,<sup>8</sup> the asymmetric synthesis of phenylalanine was also attained, and the absolute configurations of the newly formed asymmetric carbons were determined as (3R,4R) for 8 and (3R,4S) for 14.

The method described here using the chiral reagents 2 and 4 might be highly stereoselective and provides a versatile synthesis of the chiral  $\beta$ -lactam ring systems. Further synthetic studies on optically active  $\beta$ -lactams using these reagents are under investigation.

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