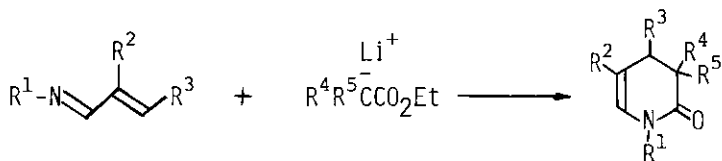


NEW SYNTHESIS OF FUNCTIONALIZED β -LACTAMS FROM AZABUTADIENE ANALOGUES
AND ESTER ENOLATES

Mitsuo Komatsu, Shinji Yamamoto, Yoshiki Ohshiro,* and Toshio Agawa
Department of Applied Chemistry, Faculty of Engineering, Osaka University
Yamada-oka 2-1, Suita, Osaka 565, Japan

Abstract - Reaction of the 2-azabutadiene **1** with α -lithioesters **2a,b** gave N-styryl-2-azetidiones **3a,b** in high yields in contrast to the δ -lactam formation of 1-azadienes with **2**. The azines **7a,b** also reacted with the enolate **2a** to result in β -lactam formation: N-alkylidenamino-2-azetidiones **8a,b** and/or 1,1'-bi(2-azetidione)s **9a,b** were obtained in good yields.

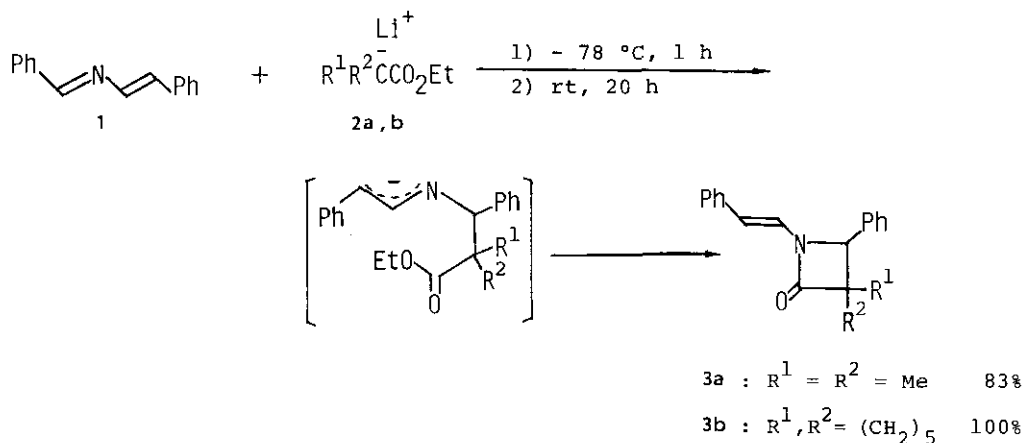
Azabutadienes are expected to be useful building blocks for nitrogen-containing heterocycles.¹ In the previous paper, we showed the facile synthesis of pyridone derivatives by the reaction of 1-azabutadienes with ester enolates.² The reaction seems to be better than cycloaddition of the 1-azadienes with ketenes³ because of high chemoselectivity.



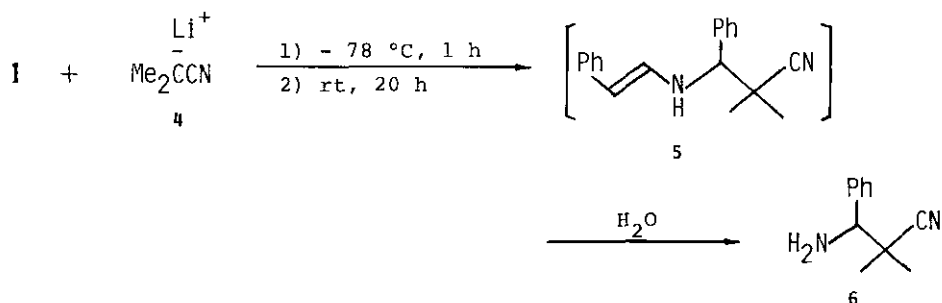
Here we wish to report a new reaction mode of azadienes with ester enolates giving β -lactams having a functional group. Recently functionalized monocyclic β -lactams renewed interest in biological activity,⁴ and the following reaction can be employed as a useful synthetic method for β -lactam derivatives.

To a solution of lithium diisopropylamide (6.5 mmol) in THF (18 ml) was added ethyl isobutyrate (0.84 ml, 6.25 mmol) in THF (3 ml) at -78°C and the mixture was stirred for 1 h. Then 1.05 g (5.0 mmol) of 1,4-diphenyl-2-aza-1,3-diene (**1**) in THF (3 ml) was added and was stirred for 1 h at the same temperature. The reaction mixture was allowed to stand at room temperature for 20 h. After usual work-up and concentration 1.15 g (83%) of 3,3-dimethyl-4-phenyl-1-styrylazetid-2-one (**3a**) was

obtained as a colorless solid. Similarly, 3,3-pentamethylene-4-phenyl-1-styryl-azetid-2-one (3b) was formed quantitatively from 1 and ethyl cyclohexanecarboxylate. The structures of the products were satisfactorily determined by spectral data (see Table 2). The results are in marked contrast to the δ -lactam formation from 1-azadienes and 2.²



The reaction of an azaallyl anion, formed by addition of a carbanion to a 2-azadiene, with a ketone is reported to result in C-C bond formation.⁵ However, in the present case, N-C bond formation was exclusively observed partly because of steric reason. When the corresponding α -cyanocarbanion 4 was employed instead of the enolate 2a, no cyclization product but the β -aminonitrile 6,⁶ which was formed by hydrolysis of the acyclic 1:1 adduct 5, was obtained in 63% yield.



The azines 7a,b, 2,3-diazabutadienes, were also reacted with the ester enolate to give the mono- β -lactams 8a,b and/or the N,N'-bi- β -lactams 9a,b according to the reaction conditions. The results are summarized in Table 1. 1,2-Cycloadditions of azines are less known than 1,4-cycloadditions^{7,8} and 1,3-cycloadditions (criss-cross reactions).⁷⁻¹¹ The azine 7b is reported to react with diphenylketene to give an azetidione derivative corresponding to 8, while the azine 7a reacts with

the ketene to give an oxazinone derivative via loss of propionitrile.¹²

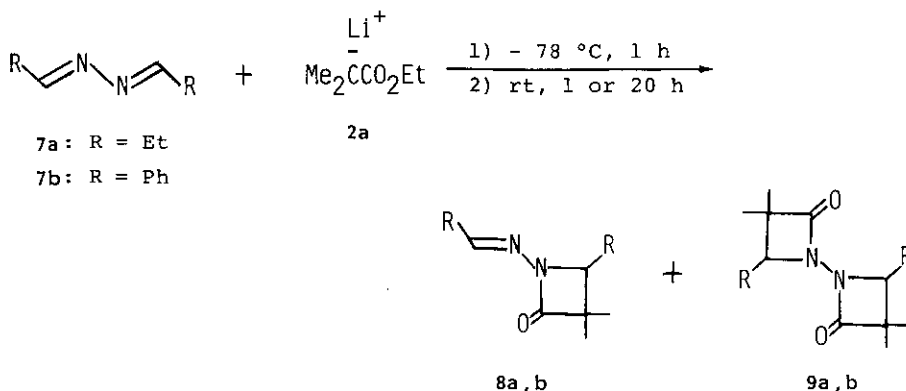


Table 1. The Reaction of the Azines 7 with the Enolate 2a.

| Azine R | Mole Ratio 7/2a | Solvent | Reaction Time at r.t. (h) | Yield (%) | |
|------------|--------------------|------------|------------------------------|-----------|-----|
| | | | | 8 | 9 |
| 7a Et | 1/1.2 | THF | 20 | 31 | -- |
| 7a Et | 1/1.2 | THF | 1 | 17 | 36 |
| 7a Et | 1/2.2 | THF | 1 | trace | 76 |
| 7b Ph | 1/1.2 | THF | 20 | 50 | -- |
| 7b Ph | 1/1.2 | THF | 1 | 59 | 18* |
| 7b Ph | 1/1.2 | THF-HMPA** | 1 | 85* | -- |
| 7b Ph | 1/2.2 | THF | 1 | 22* | 62* |

*Determined by NMR.

**2.4 equiv. of HMPA was added per 1 equiv. of 7.

The reactions were carried out in a similar manner to those of the 2-azadiene 1 except that the reaction was quenched after one-hour stirring at room temperature. The prolonged reaction time (20 h) suppressed the formation of the bi-β-lactams 9. The mole ratio of the azine and the enolate affects on the ratio of the mono- and bis-adducts. Propionaldehyde azine 7a seems to be more susceptible to bi-β-lactam formation than benzaldehyde azine 7b. Furthermore, addition of HMPA as a co-solvent resulted in selective and more effective formation of the mono-β-lactam 8b.

ACKNOWLEDGEMENT

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Table 2. Spectral Data for the Compounds 3, 8, and 9.

| Compd | mp (°C) or [bp] (°C/mmHg) | IR (cm ⁻¹) | ¹ H-NMR (CDCl ₃ , δ) | ¹³ C-NMR a) (CDCl ₃ , ppm) | MS (m/e) |
|-------|---|--|---|---|-----------------------|
| 3a | 121 (colorless needles from hexane) | 1730 (C=O) ^{b)} 1630 (C=C) | 0.78 (s, 3H, Me), 1.47 (s, 3H, Me), 4.67 (s, 1H, CH), 5.70 (d, J = 14.5 Hz, 1H, PhCH=), 6.9-7.3 (m, 11H, 2Ph and NCH=) | 17.6 (q, Me), 22.5 (q, Me), 56.0 (s, Me ₂ C), 66.8 (d, CH), 113.0 (d, PhCH=), 120.3 (d, NCH=), 170.4 (s, NC=O) | 277 (M ⁺) |
| 3b | pale yellow ^{d)} liquid | 1750 (C=O) ^{c)} 1640 (C=C) | 0.7-2.1 (m, 10H, (CH ₂) ₅), 4.58 (s, 1H, PhCH), 5.63 (d, J = 15.0 Hz, 1H, PhCH=), 6.8-7.4 (m, 1H, 2Ph and NCH=) | 22.1 (t), 23.4 (t), 25.2 (t), 27.6 (t), 33.4 (t), 60.6 (s, spiro-carbon), 66.9 (d, PhCH), 113.0 (d, PhCH=), 120.3 (d, NCH=) | 317 (M ⁺) |
| 8a | [85/0.2] ^{e)} (colorless liquid) | 1750 (C=O) ^{c)} 1640 (C=N) | 1.01 (dd, 3H, Me), 1.03 (t, 3H, Me), 1.16 (s, 3H, Me), 1.27 (s, 3H, Me), 1.4-2.5 (m, 4H, 2CH ₂), 3.50 (dd, 1H, EtCH), 8.00 (t, 1H, EtCH=) | 10.8 (q, Me), 11.0 (q, Me), 16.6 (q, 2Me), 22.6 (t, CH ₂), 22.9 (t, CH ₂), 50.4 (s, Me ₂ C), 50.5 (s, Me ₂ C), 70.3 (d, NCH), 71.4 (d, NCH), 173.2 (s, C=O), 173.6 (s, C=O) | 182 (M ⁺) |
| 9a | [140/0.2] ^{e)} (colorless liquid) | 1790 (C=O) ^{c)} 1760 (C=O) | 1.00 (t, 6H, 2Me) 1.20 (s, 6H, 2Me) 1.35 (s, 6H, 2Me) 1.70 (dq, 4H, 2CH ₂) 3.52 (t, 2H, 2EtCH) | — | 252 (M ⁺) |
| 8b | 145-146 (colorless needles from hexane) | 1760 (C=O) ^{b)} 1645 (C=N) | 0.83 (s, 3H, Me), 1.53 (s, 3H, Me), 4.90 (s, 1H, PhCH), 7.0-7.8 (m, 11H, 2Ph and PhCH=) | — | 278 (M ⁺) |
| 9b | 154.5-155 (colorless plates from hexane) | 1780 (C=O) ^{b)} 1750 (C=O) | 0.78 (s, 6H, 2Me) 1.42 (s, 6H, 2Me) 4.78 (s, 2H, 2PhCH) 7.30 (s, 10H, 2Ph) | — | 348 (M ⁺) |

a) Signals due to aromatic carbons are omitted.

b) In Nujol mull

c) neat

d) Isolated by preparative TLC (SiO₂-CHCl₃).

e) Distilled by bulb-to-bulb

method.

Elemental analyses were satisfactory for all the compounds except for 8a, which was more susceptible to moisture than the others.

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6. The compound **6**: bp 150 °C/0.2 mmHg; IR 3150-3450 (NH) and 2240 cm^{-1} (C≡N); $^1\text{H-NMR}$ (CDCl_3) δ 1.17 (s, 3H, Me), 1.40 (s, 3H, Me), 2.09 (s, 2H, NH_2), 3.15 (s, 1H, CH), 7.0-7.6 (m, 5H, Ph); MS (m/e) 174 (M^+), 173 ($\text{M}^+ - 1$).
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