TAUTOMERISM AND ISOMERISM OF HETEROCYCLES [2]

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Abstract - This review describes the tautomerism of various heterocyclic compounds between the enamine and methylene imine forms, between the enamine and enol imine forms, and between the azo and hydrazone forms together with the isomerism of some heterocycles.

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I. Introduction

In a preceding review as the Part 1,¹ we introduced the tautomerism and isomerism of manifold heterocyclic compounds in solution or solid state. The present review as the Part 2 describes the tautomerism of diverse heterocyclic compounds between the enamine and methylene imine forms, between the enamine and enol imine forms, and between the azo and hydrazone forms along with the isomerism of several heterocycles.

II. Tautomerism

II-1. Tautomerism Between Enamine And Methylene Imine Forms

The tautomerism between the enamine A and methylene imine B (or azomethine C) forms (Scheme 1) has been reported since early 1960s for side-chained heterocyclic compounds including pyridines (1),2,3 quinolines (2),4-6 pyrazine (3),7 pteridines (4),8,9 and quinoxalines (5,6)4,10,11 (Chart 1). A monograph¹² summarized the studies on the tautomerism of the above heterocyclic compounds reported in 1960-1973, and our review ¹⁰ introduced the papers on the tautomerism of quinoxalines published in 1966-1985. This review

describes the various works, which are not involved in the above monograph and review.

II-1-a. Quinolines

The quinaldyl ketones (7) (16 derivatives) coexisted as the enamine A and methylene imine B forms with a predominance of the A form, which was supported by the ${}^{1}\text{H-nmr}$ spectral data in CDCl₃ or dioxane- $d_{8}{}^{13}$ (Scheme 2). In

6b X=NR', R=SMe

Enamine Form A

Methylene Imine Form B

 R^1 = alkyl, Ph, COOEt, CN R^2 = H, Me, Ph

Scheme 3

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Enamine Form A

Azomethine Form C

Table 1

			Tautomer Rati		
Compound	\mathbb{R}^1	\mathbb{R}^2	Α	С	
0	3.4	OM	100	•	
8a	Me	CN	100	0	
8b	CH ₂ Cl	CN	100	0	
8c	CH_2I	CN	100	0	
8d	Ph	CN	100	0	
8e	Me	COOEt	100	0	
8f	Ph	COOEt	100	0	
8g	t-Bu	Me	0	100	
8h	Ph	Me	0	100	
8i	Ph	CH2Ph	0	100	
8j	Me	CH_2Ph	100	0	

the α -substituted quinaldyl ketones (8), the tautomer ratios of the enamine form A to the azomethine form C depended on the kind of the substituents 13,14

When R² is a strong electron-withdrawing group, (Scheme 3, Table 1). compounds occurred as the enamine form A (8a-f) in CDCl3. To the contrary, when R2 is alkyl and R1 is phenyl or alkyl, compounds existed as the azomethine form C (8g-i) in CDCl3. In contrast to the result of compound (8i), the quinaldyl ketone (8j) surprisingly occurred as the enamine form A, but not as the azomethine form C, in CDCl315 (Scheme 4). When a trace of acid is present in the solution, compound (8j) equilibrates between the A and C forms in a ratio of 60 to 40, presumably via a protonated intermediate (8j-CH+) (Scheme 5).

Scheme 4

Enamine Form A

Scheme 5

$$CH_2Ph$$
 H^+
 $Bj - CH^+$
 CH_2Ph
 H^+
 $H^ H^ H^-$

The quinaldyl disulfones (9a,b) existed as the azomethine form C in DMSO- d_6 , and compounds (9e,f) having an excellent electron-withdrawing group (CN, keto) occurred as the enamine form A in CDC \S^{16} (Schemes 6,7, Table 2). In compounds

Scheme 6

$$SO_2R^2$$

$$9$$

$$SO_2R^2$$

$$O$$

$$R^1$$

Enamine Form A

Azomethine Form C

Scheme 7

Enamine Form A

Azomethine Form C

Table 2

				Tautomer Ratio	
Compound	R1	\mathbb{R}^2	Solvent	Α	С
9a	SO ₂ Me	Me	DMSO-d ₆	0	100
9b	SO_2^- Ph	Ph	DMSO- d_6	0	100
9c	COOEt	Me	CDCl3	0	100
9d	COOMe	Ph	CDCl ₃	35	65
9e	CN	Me	$CDCl_3$	100	0
9f			CDCl3	100	0

(9c,d) possessing the ester group, the azomethine form C predominated over the enamine form A in CDC β . The methine proton signals were observed at δ 5.38-5.66 ppm.

The quinoline (10) coexisted as the enamine A (80%) and azomethine C (20%) forms in $CDCl_3^{17}$ (Scheme 8).

The enamine form A of the quinaldyl ketone (7a) occurred in the *cis-s-cis* conformation, while the *N*-methyl derivative (11) existed in the *trans-s-cis* conformation, which was supported by the 1 H-nmr spectral data for the C 3-H proton signals of compounds (7a, 10, and 11) in CDCl 3 18,19 (Chart 2). The anisotropy due to the C=O group is eminent in compounds (10) and (11).

Chart 2

$$\delta 6.9 \blacktriangleleft \qquad \delta 9.21 \blacktriangleleft \qquad \delta 9.14 \blacktriangleleft \qquad \qquad \delta 9.$$

Besides the above investigations, there have been some theoretical studies dealing with the tautomeric equilibrium constants K_T , 18,20,21 pKa values, 18,20 and some other factors. 21

II-1-b. 1,2,4-Triazino[4,3-a]quinoxalin-5-ones

The tautomeric structure of the 1,2,4-triazino[4,3-a]quinoxalin-5-ones (12) was clarified to be solvent dependent from the 1 H-nmr and ir spectral data. Compounds (12a,b) occurred as the enamine form A in DMSO- d_6 , while compounds (12a,b) existed as the methylene imine form B in nujol [ir v (C = O) 1715, 1680 (12a), 1712, 1680 (12b)] 22 (Scheme 9).

12a R=Ph, 12b R= C_6H_4 -p-OMe

II-1-c. 1,2,4-Triazolo[4,3-a]quinoxalines And Tetrazolo[1,5-a]quinoxalines The ¹H-nmr spectral data of the 1,2,4-triazolo[4,3-a]quinoxaline (13) and tetrazolo[1,5-a]quinoxaline (14a) in DMSO- d_6 or TFA exhibited the tautomeric equilibria among the enamine A, methylene imine B, and enamine A' forms²³ (Scheme 10, Table 3). The A' form was supported by the NOE between the N₅-H and vinyl proton signals, but the ratio of A to A' could not be obtained.

Table 3

		Tautom	Tautomer Ratio		
Compound	Solvent	Aa	В		
13	DMSO-d ₆	89	11		
	D-T (1:4)b	80	20		
	TFA- d_1	67	33		
14a	DMSO-d ₆	91	9		
	D-T (1:4)b	67	33		
	TFA- d_1	50	50		

a - Ratio including the A' form

b - DMSO-d₆/TFA (1:4)

Moreover, the NH, vinyl, and methylene protons of compounds (13) and (14a) were deuterized in DMSO- d_6 /D₂O (Scheme 11). The formation of the species AD and BD would be due to an electron-donating nature of the N₁₀ atom as shown in

Scheme 12. This mechanism may be supported by the results displayed in Scheme 13, wherein only NH protons are deuterized in DMSO- $d_6/D_2O.4,10,11$

Scheme 11

The tautomers of the tetrazolo[1,5-a]quinoxalines (14b-e) (Chart 3) were clarified as shown in Table 4.24

Chart 3

Table 4

Compound	Tautomer	Spectroscopy
14b	Aa	¹ H-nmr
14c	С	ir
14 d	С	ir
14e	В	ir
	a - A (90%)	

The 4-carbamoylmethylene-1,2,4-triazolo[4,3-a]quinoxalines (15a,b) and 4-carbamoylmethylenetetrazolo[1,5-a]quinoxalines (16a-c) coexisted as the AD+ and

Scheme 14

$$CI \leftarrow N \leftarrow N \rightarrow D$$
 AD^{+}
 AD^{+}

Scheme 14

 $CI \leftarrow N \leftarrow N \rightarrow D$
 AD^{+}
 AD^{+}

15a R=Me, 15b R=OD

BD+ forms in TFA- d_1^{25} (Schemes 14,15, Tables 5,6). The data of Table 6 indicate

Table 5

	Tautome	
Compound	AD+	BD+
15a	79	21
15b	76	24

Table 6

	Tautom	er Ratio	Parent Amine in
Compound	AD+	BD+	Side Chain (p <i>Ka</i>)
16a	100	0	4-Aminopyridine (9.17)
16 b	83	17	2-Aminopyridine (6.86)
16c	68	32	3-Aminopyridine (5.98)

that the tautomer ratios of AD+ to BD+ depend on the pKa of the parent amines in the side chain carbamoyl moiety.

II-1-d. Quinoxalines

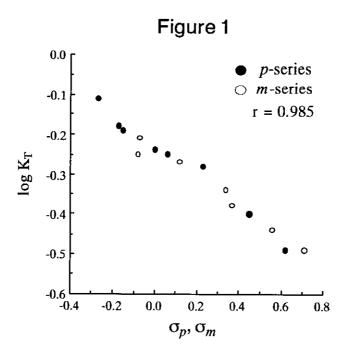
The tautomer ratios of the enamine form A to the methylene imine form B have been shown to depend on temperature or solvent from the nmr spectral data of the side-chained quinoxalines such as 5 and 6 (section II-1, Chart 1), which are measured in DMSO- d_6 , TFA, or CDCl₃.⁴,10 Moreover, there have been the theoretical studies concerning the solvent effects on the tautomeric equilibrium constants K_T ([B]/[A]) catalyzed by acid or base in compound (5a)²⁶ (Scheme 16, Table 7) and concerning the determination of the K_T and ΔH values by the ¹H-nmr spectral data of compounds (5a,b).²⁷ The p- and m-substituted 3-aryl-

	Table 7	
Solvent	Catalyst	$K_T([B]/[A])$
МеОН	AcOH NEt3	2.95 3.05
CHCl ₃ PhMe C ₆ H ₁₂	AcOH AcOH NEt3	1.3 0.4 0.24
C01117	14113	U.Z.T

carbamoylmethylene-2-oxo-1,2,3,4-tetrahydroquinoxalines (17) (Scheme 17) have recently been elaborated, and their tautomeric equilibrium constants K_T

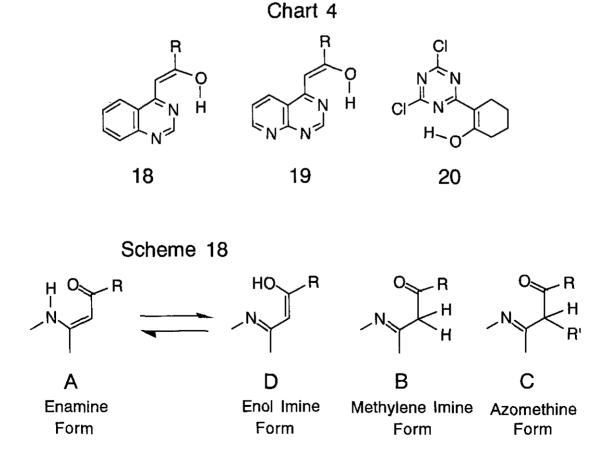
R:p- and m-Substituents

([B]/[A]) were obtained by the 1 H-nmr spectral data in DMSO- d_{6} or DMSO- d_{6} /TFA (2:1). 28 As the result, the logK_T values were found to correlate with the Hammett σ_{p} and σ_{m} values in DMSO- d_{6} /TFA (2:1) (correlation coefficient r = 0.985) (Figure 1). The σ_{p} and σ_{m} values lie between +0.62 and -0.27 and between +0.71 and



-0.08. These data indicated that the larger σ values effected a decrease in the K_T ([B]/[A]) values, namely, an increase in the ratios of the tautomer A. These results mean that the smaller pKa of a base in the side chain increases the ratio of the tautomer A in compounds (17), which opposes the results of compounds (16a-c) in TFA- d_1 . This discrepancy would be due to the structural and/or functional difference between compounds (17) and (16), which was caused by the condensed tetrazole ring of compounds (16). The solvents were also different in both systems [17 in TFA- d_1 , 16 in DMSO- d_6 /TFA (2:1)].

II-2. Tautomerism Between Enamine And Enol Imine Forms There have been several side-chained heterocycles (18-20) (Chart 4) exhibiting



the tautomerism between the enamine A and enol imine D forms, which do not involve the methylene imine B or azomethine C form $^{12,29-32}$ (Scheme 18). The quinazolines $(18)^{29}$ and pyrido[2,3-d]pyrimidines $(19)^{30}$ were found to occur as the enol imine form D from the ir (KBr), uv (in EtOH), and nmr (in CDC[3]) spectral data, and the 1,3,5-triazine (20) was also reported to predominate as the enol imine form D in CDC[3] (nmr), CHC[3] (ir), aqueous base (uv), but occurred as the enamine form A in aqueous acid (uv) and MeOH (uv) (Scheme 19).

II-2-a. 1,3,5-Triazines

The ¹H-nmr spectral data of the 1,3,5-triazines (22, 23, and 24a-f) in CDCl₃ revealed the existence as the enamine form A³³ (Schemes 20-22, Table 8), while the 1,3,5-triazine (25) occurred as the enol imine form D(Scheme 23). The X-ray crystal structure analysis for compound (24c) clarified the existence as the enamine form A, but not as the enol imine D and azomethine C (Chart 5) forms. The number of the enol or enamine units in the molecule exerted a great influence on the tautomerism.

Enamine Form A

Enol Imine Form D

Scheme 21

Enamine Form A

Enol Imine Form D

Table 8

		Chemical Shift (8 ppm) in CDCl3			
_		Enamine Form A Enol Imine Form			-
Compound	Tautomer	CHO	NH	Vinyl	OH
2.2					
22	Α	8.25	12.60		
23	Α	8.77	13.40		
		8.80	14.20		
24a	Α	8.87	13.40		
24b	Α	8.55	13.90		
25	D			8.41	11.2

Enamine Form A

Enol Imine Form D

24 R = Ph (a), Et (b), Me (c), n-Pr (d), C_6H_4 -p-Ol (e), C_6H_4 -p-OMe (f)

Scheme 23

Enamine Form A

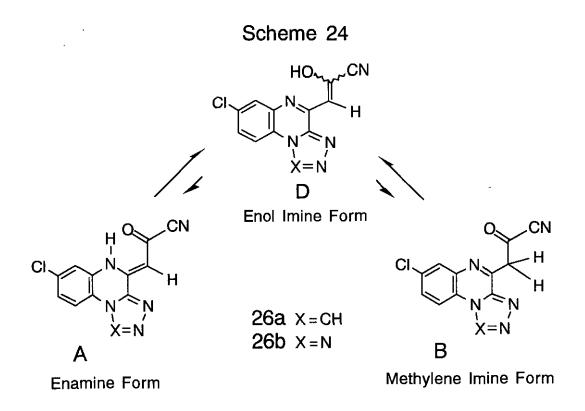
Enol Imine Form D

25 R = Et

Chart 5

Azomethine Form C

II-2-b. 1,2,4-Triazolo[4,3-a]quinoxaline And Tetrazolo[1,5-a]quinoxaline The 1,2,4-triazolo[4,3-a]quinoxaline (26a) and tetrazolo[1,5-a]quinoxaline (26b) existed as the enol imine form D in DMSO- d_6^{23} (Scheme 24). The enamine form A was denied from the 13 C-nmr spectral data for the C₄, C_{1'}, and C_{2'} carbons (Chart 6), and the methylene imine form B was excluded by the 1 H-nmr spectral data.



II-3. Tautomerism Between Azo And Hydrazone Forms

The tautomerism between the hydrazone A and azo B forms has been reported since early 1880s,³⁴ and the tautomeric characters of 4-arylazo-1-naphthol (27) (Scheme 25), 2-arylazo-1-naphthol (28), 1-arylazo-2-naphthol (29), 2-arylazo-3-naphthol (30) (Chart 7), and many other related compounds are summarized in monographs,³⁵ which introduce the extensive theoretical studies.

Chart 6

Values in $\delta\,$ ppm

Chart 7

Concerning the heterocyclic compounds, our previous review described the tautomerism of quinoxalines (31) between the hydrazone imine A and diazenyl enamine B forms¹⁰ (Scheme 26), and a monograph represented the tautomeric character of pyridine and pyrazole derivatives.³⁶ This review introduces some works published after the above monographs and review.

Hydrazone Imine Form A

Diazenylenamine Form B

31 R = H, COOMe, $X = o_{-}, m_{-}, p_{-}CI$

II-3-a. Thiazole

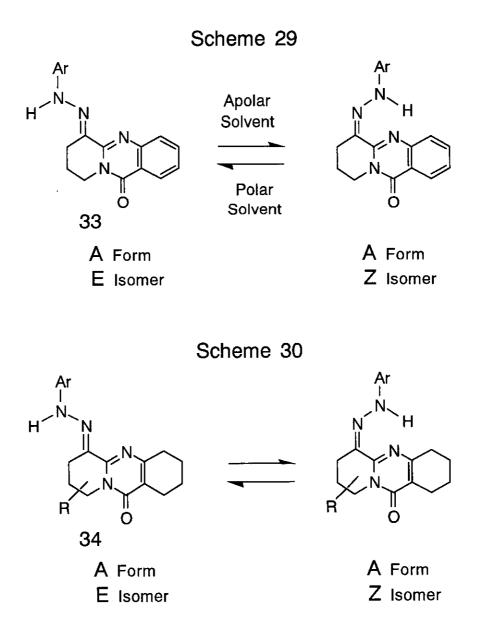
The ¹H-nmr spectral data of the 2-isopropylidenehydrazinylthiazole (32) manifested the occurrence as the azo form B in DMSO- d_6 ³⁷ (Scheme 27). This result is

consistent with the O'Connor's conclusion³⁸ that the freshly prepared phenylhydrazones of aliphatic ketones and aldehydes exist as the hydrazone form A, which rapidly tautomerizes into the azo form B. However, the dihydrochloride (32-H⁺) favored the hydrazone form (32-AH⁺) (Scheme 28).

II-3-b. Pyrido[2,1-b]quinazolines

The 1 H- and 13 C-nmr spectral data of the tetrahydropyrido[2,1-b]quinazolines (33) revealed the occurrence as the hydrazone imine form A, which was further found to predominate as the Z isomer in CDCl₃, but to prefer the E isomer in DMSO- d_{6}^{39} (Scheme 29). In the octahydropyrido[2,1-b]quinazolines (34a-d), the

Z isomer was predominant in CDC \S and DMSO- d_6 , and the ratio of the Z isomers were determined in CDC \S and DMSO- d_6^{40} (Scheme 30, Table 9).



II-3-c. Quinoxalines

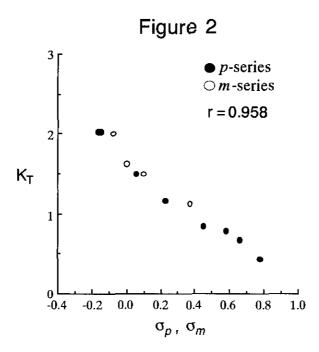
The p- and m-substituted 3-arylhydrazonomethyl-2-oxo-1,2-dihydroquinoxalines (35) coexisted as the hydrazone imine A and diazenylenamine B forms in

Table 9

		Ratio of Z Isomer		
Compound	R	in CDCl3	in DMSO-d ₆	
34a	H	100	80	
34b	9-Me	95	70	
34c	8-Me	100	90	
34d	7-Me	100	100	

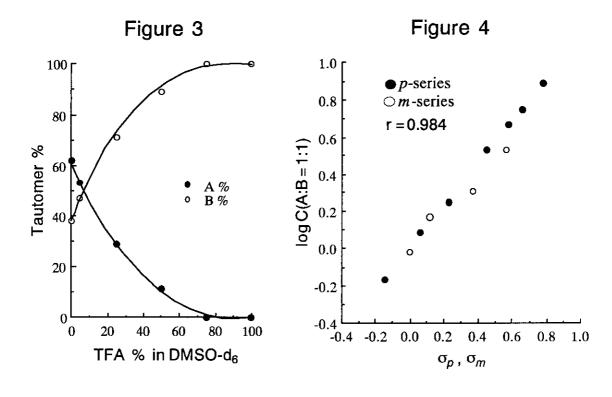
DMSO- d_6^{41-43} (Scheme 31), wherein the p- and m-substituents excerted an influence on the tautomeric equilibrium constants. Namely, the Hammett σ_p and σ_m values were found to correlate with the tautomeric equilibrium constants K_T ([A] /[B]) in DMSO- d_6 (correlation coefficient r = 0.958), wherein the σ_p and σ_m values were between +0.78 and -0.17 and between +0.37 and -0.08, respectively^{42,43} (Figure 2). The larger Hammett σ values (electron-withdrawing substitu-

ents) decreased the K_T values, while the smaller Hammett σ values (electron-donating substituents) increased the K_T values. On the other hand, the tautomer ratios of A to B in compounds (35) fluctuated in TFA/DMSO- d_6 .^{43,44} The increase in the concentration of TFA increased the ratios of the B form, which exclusively



existed in TFA. As an example, the fluctuation curves of the p-H (σ = 0) derivative is shown in Figure 3. The intersection of the fluctuation curves exhibits a TFA concentration giving a 1:1 tautomer ratio [C(A:B = 1:1)], which is observed in all compounds (35). The larger Hammett σ values increased the C(A:B = 1:1) values, while the smaller σ values decreased the C(A:B = 1:1) values. Thus, the Hammett σ_p and σ_m values were clarified to correlate with the log C(A:B = 1:1) values (correlation coefficient r = 0.984) (Figure 4). The Hammett σ_p and σ_m values lie between +0.78 and -0.15 and between +0.56 and 0, respectively.

The isomerization mechanism of compounds (35) between the tautomers A and B in DMSO- d_6 media and acidic media is summarized in Scheme 32. The tautomer A is predominant in DMSO- d_6 media of compounds with the smaller σ values, and the protonation of the tautomer A gives the species AH+. The electron-donating substituents increase the electron density of the side chain nitrogen atom, which promotes the isomerization of the species AH+ into the resonance isomer BH+. Subsequently, the C(A:B = 1:1) values are lower in



compounds with the smaller σ values. To the contrary, the tautomer **B** is predominant in DMSO- d_6 media of compounds with the larger σ values, and the protonation of the tautomer **B** affords the species BH⁺. Since the electron-withdrawing substituents decrease the electron density of the side chain nitrogen atom, the higher acid concentration is required for the protonation of this nitrogen atom. Consequently, the C(A:B = 1:1) values are higher in compounds with the larger σ values.

On the other hand, compounds (36) having the ester group on the hydrazone carbon exclusively occurred as the tautomer A in DMSO- d_6 regardless of the Hammett σ values of the substituent R¹ (Scheme 33).

Scheme 33

Hydrazone Imine Form A

Diazenylenamine Form B

36a
$$R^1 = NO_2$$
, $R^2 = H$ 36d $R^1 = Me$, $R^2 = H$
36b $R^1 = NO_2$, $R^2 = Me$ 36e $R^1 = Me$, $R^2 = Me$
36c $R^1 = H$, $R^2 = Me$

However, 3-pyrazolylhydrazonomethyl-2-oxo-1,2-dihydroquinoxaline (37a) provided the different tendency in substituent effects from that of compounds (36a-e). Compound (37a) predominated as the tautomer B in DMSO- d_6 in spite of the presence of the ester group on the hydrazone carbon ($R^1 = COOMe$), while compounds (37b,c) preferred the tautomer A in DMSO- d_6^{45} (Scheme 34, Table 10). Moreover, compound (37c) predominated as the tautomer B in DMSO- d_6/D_2O , which was reverse to the preference of the tautomer A in DMSO- d_6 (Table 10).

37a $R^1 = COOMe$, $R^2 = COOEt$, $R^3 = H$ 37b $R^1 = H$, $R^2 = COOEt$, $R^3 = H$ 37c $R^1 = COOMe$, $R^2 = CN$, $R^3 = Me$

Table 10

		Tautomer Ratio		
Compound	Solvent	Α	В	
37a	DMSO-d ₆	15	85	
37b	DMSO- d_6	100	0	
37c	DMSO- d_6	95	5	
	DMSO- d_6 /D ₂ O	37	63	

II-3-d. 1,2,4-Triazolo[4,3-a]quinoxalines And Tetrazolo[1,5-a]quinoxalines The 1 H-nmr spectral data of the side-chained 1,2,4-triazolo[4,3-a]quinoxalines (38a,b) and tetrazolo[1,5-a]quinoxalines (39a,b) manifested the coexistence as the hydrazone imine A and diazenylenamine B forms 46 (Scheme 35). The tautomer ratios of A to B in DMSO- d_{6} or TFA- d_{1} depended on the nature of the pyrazole ring, but not the condensed azole ring (Table 11). Namely, the tautomer A was predominant in DMSO- d_{6} media of compounds (38a) and (39a) and in TFA- d_{1} media of compounds (38b) and (39b). The predominant tautomer in DMSO- d_{6} was reversed in TFA- d_{1} , and *vice versa*.

COOEt
$$H$$
 R^2 R^1 R^2 R^1 R^2 R^2

Hydrazone Imine Form A

Diazenylenamine Form B

38a X = CH, $R^1 = H$, $R^2 = COOEt$ 39a X = N, $R^1 = H$, $R^2 = COOEt$

38b X = CH, R^1 = Me, R^2 = CN 39b X = N, R^1 = Me, R^2 = CN

Table 11

		Tautome	er Ratio	
	in DM	ISO-d ₆	in TE	$A-d_1$
Compound	Α	В	Α	В
38a	67	33	41	59
38b	42	58	57	43
39a	100	0	24	76
39b	33	67	100	0

III. Isomerism

III-1. Epimerization

III-1-a. 6.5'(R)- And 6.5'(S)-Cyclouridines

The 5'(S)-mesyl derivative (41) and 5'(S)-acetyl derivative (43) were prepared from the 6.5'(S)-cyclouridine (40)⁴⁷ (Scheme 36). The 5'(S)-mesyl derivative (41) was stable in refluxing 2-butanone, but it rapidly equilibrated with the 5'(R)-mesyl derivative (42) on addition of sodium benzoate or triethylamine,

Scheme 36 MeO₂SQ **BzONa** O. MeO₂SO 41 40 AcO **Pyridine** AcC 43

which was confirmed by the nmr spectral data of the reaction mixture. The nmr study for the interconversion of the 5'(S)-acetyl derivative (43) and 5'(R)-acetyl derivative (44) in pyridine- d_5/D_2O provided an evidence that the mechanism of the 5'-epimerization involved a resonance-stabilized carbanion intermediate (Scheme 37). At 80 °C, both the C_5 and $C_{5'}$ hydrogens of the S isomer (43) undergo exchange for deuterium with the rate of exchange at the allylic $C_{5'}$ -

position exceeding that of the pyrimidine C_5 -position, and the deuteration from the rear side of $C_{5'}$ (retention) predominates the deuteration from the front side (inversion). The *S:R* ratio reaches an equilibrium value of 2:1 at 40 hours. The *R* isomer (44) could undergo inversion to give the *S* isomers (43a) and (43b). Namely, the carbanion derived from compound (44) would undergo deuteration preferentially from the rear side (inversion) to afford compound (43a) and eventually (43b), and compound (43b) would be expected to reequilibrate with compound (44b).

The reduction of the oxirane (45) gave the 5'-deoxy-5'-hydroxymethyl-6,5'(R)-cyclouridine (46) and 5'-deoxy-5'-hydroxymethyl-6,5'(S)-cyclouridine (47)⁴⁸ (Scheme 38). The structural assignment of the 5'R (46) and 5'S (47) isomers was based on the $J_{4',5'}$ values [5'R (46) $J_{4',5'}$ = 6.4 Hz, 5'S (47) $J_{4',5'}$ = 0 Hz]. The nmr studies in pyridine- d_5/D_2O indicated that the isomerization proceeded *via* a resonance-stabilized carbanion (48) as shown in Scheme 39.

III-2. Keto-Enol Isomerization

III-2-a. 5'-Formyl-6,5'(RS)-cyclouridine

Irradiation of the oxirane (45) provided crystalline photoproduct, which was confirmed as the aldehyde (49).⁴⁸ It is not clear from the ir spectrum whether compound (49) crystallizes in the aldehyde or enol form, but this compound exists as two enols (50 E) and (50 Z) in a ratio of 1:1.33, which was confirmed by the 1 H-nmr spectral data in DMSO- d_{6} including the NOE spectral data between the C₅-H and C₆-H protons (Scheme 40).

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