SYNTHESIS OF NEW TETRACYCLIC OXAZOLOCARBAZOLES AS FUNCTIONALIZED PRECURSORS TO ANTIOXIDATIVE AGENTS, ANTIOSTATINS AND CARBAZOQUINOCINS

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Abstract — New tetracyclic oxazolo[4,5-c]carbazole and oxazolo[5,4-c]carbazole ring systems as functionalized precursors to antioxidative antiostatins $(A_{1,4} \text{ and } B_{2,5})$ and carbazoquinocins (A-F) were synthesized.

Antioxidative substances are now considered to be prospects as protective agents against a variety of diseases such as ischemia-reperfusion, autoimmune diseases, cardiovascular diseases, cancer-initiation and aging process.¹ Recently, antioxidative antiostatins $(A_1 \text{ to } A_4 \text{ and } B_2 \text{ to } B_5)^2$ and carbazoquinocins (A to F)^{3,4} have been isolated from *Streptomyces cyaneus* 2007-SV₁ and *Streptomyces violaceus* 2448-SVT2, respectively, and their structures have been elucidated by spectroscopic evidences and by comparison with spectral data⁵ of the related carazostatin (Chart 1). Synthesis of these carbazole alkaloids is vital to the advancement of this field.



Chart 1

In seeking an efficient precursor for synthesizing these highly-substituted carbazole alkaloids, we assumed that a new type of tetracyclic oxazolo[5,4-c]carbazole (4) would be a functionalized key-intermediate.

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Herein, we report the synthesis of novel tetracyclic oxazolocarbazoles (4 and 5) as functionalized precursors to antiostatins (1 and 2) and carbazoquinocins (3) (Chart 2).



Recently, we found that the carbazole nucleus can be synthesized in good yield by an allene-mediated electrocyclic reaction generated from the 3-alkenyl-2-propargylindole derivative in the presence of *t*-BuOK.⁶ For the synthesis of this oxazolo[5,4-*c*]carbazole (4), we utilized this synthetic strategy in an extensive study. We initially attempted to use a cross-coupling reaction between 2-formyl-3-iodoindole (9)⁷ and trimethylsilylethoxymethyl (SEM)-oxazolone (7: 99%) prepared from oxazolone (6)⁸ (Scheme 1). Treatment of SEM-oxazolone (7) with *t*-BuLi at -78 °C followed by addition of tributyltin chloride gave the stannyloxazolone (8), which was subjected to the cross-coupling reaction with 3-iodoindole (9) [Pd(PPh₃)₄, 100 °C, 4 h, in DMF] to give about a 1:1 mixture of two isomeric 3-oxazolylindoles (10a and/or 10b). The mixture could be separated by silica gel column chromatography [EtOAc/hexane (3:17)] to give the faster moving product and the slower moving product (33 and 29% yields from 9), respectively. As a result, the directed metalation of the SEM-oxazolone (7) with *t*-BuLi did not work regioselectively.

Each structures of two separable 3-oxazolylindoles could not be elucidated by spectroscopy. Therefore, we tentatively speculated that the faster moving product is 3-(4-oxazolyl) indole (10a) and both products independently lead to tetracyclic carbazoles as shown in Schemes 2 and 3.



Treatment of indole (10a) with NaH followed by addition of benzenesulfonyl chloride or chloromethyl methyl ether (MOM-Cl) gave N-benzenesulfonyl-2-formylindole (11a: 67%) and N-MOM-2-formylindole (11b: 98%), respectively. Subsequent Grignard reaction of 2-formylindole (11a and 11b) with ethynylmagnesium bromide in THF yielded the propargyl alcohols (12a: 86% and 12b: 98%), which were protected with MOM-Cl and ethyl diisopropylamine to give MOM-ethers (13a: 95% and 13b: 99%). Then N-benzenesulfonylindole (13a) was heated at 90 °C for 3 h in the presence of *t*-BuOK (2 eq.) in *t*-BuOH/THF (3:1) to give the tetracyclic carbazole (4a: 24%).⁹ The N-MOM-indole (13b) was heated at 90 °C for 0.5 h in the presence of tetrabutylammomiun fluoride (TBAF; 5 eq.) in THF to produce the tetracyclic carbazole (4b: 80%). An exchange of base was not effective in either reaction.

On the other hand, the tentative product (10b) was converted to N-benzenesulfonylindole (14a: 46%) and N-MOM-indole (14b: 94%) by similar methods (Scheme 3). Grignard reaction of 2-formylindoles (14a and 14b) with ethynylmagnesium bromide in THF yielded the propargyl alcohols (15a: 93% and 15b: 86%), which were protected with MOM-Cl and ethyl diisopropylamine to give MOM-ethers (16a: 83% and

16b: 95%). *N*-Benzenesulfonylindole (**16a**) was heated at 90°C for 3 h in the presence of *t*-BuOK (2 eq.) in *t*-BuOH/THF (3:1) to yield the tetracyclic carbazole (**5a**: 11%).⁹ In contrast, the *N*-MOM-indole (**16b**) was heated at 90 °C for 0.5 h in the presence of TBAF (5 eq.) in THF to give the tetracyclic carbazole (**5b**:



Scheme 3

82%). An exchange of base in an each reaction was also not effective in this case. The *N*-MOM-protecting group was better than the *N*-benzenesulfonyl-protecting group for the synthesis of tetracyclic oxazolocarbazole ring systems. For the deprotection of *N*- and *O*-MOM groups, compounds (**4b**) and (**5b**) were treated with 6N HCl in ethylene glycol¹⁰ to give phenols (**4c**: 96% and **5c**: 85%), respectively. Subsequent treatment of **4c** and **5c** with trifluoromethanesulfonic anhydride and pyridine produced triflates (**4d**: 74% and **5d**: 94%) (Schemes 2 and 3).

The structures of two tetracyclic N-benzenesulfonylcarbazoles (4a and 5a) were analyzed from the 2D-NOESY nmr spectra (Chart 3). In the ¹H-nmr spectrum of 4a, the correlation was observed between









methylene protons (δ 5.44) of the SEM group and the aromatic proton (δ 8.17) at C-5 position of carbazole ring. In the ¹H-nmr spectrum of **5a**, the correlation was observed between methylene protons (δ 5.61) of the SEM group and the methyl protons (δ 2.69) at the C-2 position of carbazole ring. Therefore, the structures of *N*-benzenesulfonylcarbazole (**4a**) derived from the faster moving product (**10a**) was the oxazolo[4,5-*c*]carbazole. The structure of another *N*-benzenesulfonylcarbazole (**5a**) derived from the slower moving product (**10b**) was the oxazolo[5,4-*c*]carbazole. Furthermore, the faster moving product was the 3-(4-oxazolyl)indole (**10a**) and the slower moving product was the 3-(5-oxazolyl)indole (**10b**) as tentatively speculated.

This benzo-annelation may proceed through either electrocyclic reaction of allene intermediates (17 and 19) derived from 3-oxazolyl-2-propargylindoles (13 and 16) or an ionic process such as 18 and 20 (Scheme 4). At present, this reaction may proceed by an allene-mediated electrocyclic reaction rather than the latter process.

In conclusion, although the cross-coupling reaction between 7 and 9 did not proceed regioselectively, two separable 3-oxazolylindole $(10a \text{ and } 10b)^{11}$ led to two types of isomeric oxazolocarbazoles (4a-b and 5a-b). The structures of two oxazolocarbazoles (4a and 5a)¹² could be determined from their 2D-NOESY nmr spectra, and the structures of 4b and 5b¹³ could be also elucidated because the same materials (10a and 10b) were used. Both oxazolo[4,5-c]carbazole (4d) and oxazolo[5,4-c]carbazole (5d)¹⁴ might be efficient precursors for the syntheses of these highly-substituted carbazoles (1, 2 and 3). Further studies are now in progress.

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- 11. Compound (10a): mp 121-123 °C (Et₂O-pentane); ir (KBr) ν 3290, 1743, 1732 cm⁻¹; ¹H-nmr (CDCl₃) δ 0.04 (9H, s), 0.97 (2H, t, J=8 Hz), 3.63 (2H, t, J=8 Hz), 5.05 (2H, s), 7.06 (1H, s), 7.10-7.81 (4H, m), 10.28 (1H, s); ms m/z: 358 (M⁺). Compound (10b): mp 141-142 °C (Et₂O); ir (KBr) ν : 3294, 1759 cm⁻¹; ¹H-nmr (CDCl₃) δ : 0.06 (9H, s), 1.02 (2H, t, J=8 Hz), 3.60 (2H, t, J=8 Hz), 4.96 (2H, s), 6.99 (1H, s), 7.10-7.75 (4H, m), 9.76 (1H, s); ms m/z: 358 (M⁺).
- Compound (4a): ir (KBr) ν 1760, 1427, 1182 cm⁻¹; ¹H-nmr (400 MHz, CDCl₃) δ 0.01 (9H, s), 0.92 (2H, t, J=8 Hz), 2.48 (3H, s), 3.55 (3H, s), 3.76 (2H, t, J=8 Hz), 5.32 (2H, s), 5.44 (2H, s), 7.15 (2H, t, J=8 Hz), 7.27 (2H, d, J=8 Hz), 7.29 (1H, t, J=7.5 Hz), 7.36 (1H, t, J=8 Hz), 7.46 (1H, t, J=7.5 Hz), 8.17 (1H, t, J=7.5 Hz), 8.23 (1H, t, J=7.5 Hz); ms m/z: 568 (M⁺). Compound (5a): Ir (KBr) ν 1786, 1410, 1180 cm⁻¹; ¹H-nmr (400 MHz, CDCl₃) δ 0.01 (9H, s), 0.99 (2H, t, J=8 Hz), 2.69 (3H, s), 3.60 (3H, s), 3.71 (2H, t, J=8 Hz), 5.59 (2H, s), 5.61 (2H, s), 7.18 (2H, t, J=8 Hz), 7.32 (2H, d, J=8 Hz), 7.34 (1H, t, J=7.5 Hz), 7.38 (1H, t, J=8.5 Hz), 7.49 (1H, t, J=8.5 Hz), 7.87 (1H, t, J=8.5 Hz), 8.25 (1H, t, J=8.5 Hz); ms m/z: 568 (M⁺).
- 13. Compound (4b): mp 120-121.5 °C (Et₂O); ir (KBr) ν 1782 cm⁻¹; ¹H-nmr (CDCl₃) δ 0.00 (9H, s), 1.00 (2H, t, J=8 Hz), 2.51 (3H, s), 3.27 (3H, s), 3.60 (3H, s), 3.85 (2H, t, J=8 Hz), 5.09 (2H, s), 5.62 (2H, s), 5.95 (2H, s), 7.20-7.59 (3H, m), 8.27-8.45 (1H, m); ms m/z: 472 (M⁺). Compound (5b): mp 80-83 °C (pentane); ir (KBr) ν 1781 cm⁻¹; ¹H-nmr (CDCl₃) δ 0.00 (9H, s), 0.98 (2H, t, J=8 Hz), 2.69 (3H, s), 3.22 (3H, s), 3.62 (3H, s), 3.74 (2H, t, J=8 Hz), 5.11 (2H, s), 5.36 (2H, s), 5.93 (2H, s), 7.07-7.60 (3H, m), 8.00-8.25 (1H, m); ms m/z: 472 (M⁺).
- 14. Compound (4d): mp 164-166 °C (decomp) (Et₂O); ir (KBr) v 1769, 1431, 1140 cm⁻¹; ¹H-nmr

 $(\text{CDCl}_3) \delta 0.00 \text{ (9H, s)}, 1.01 \text{ (2H, t, } J=8 \text{ Hz}), 2.56 \text{ (3H, s)}, 3.87 \text{ (2H, t, } J=8 \text{ Hz}), 5.69 \text{ (2H, s)}, 7.16-7.58 \text{ (3H, m)}, 8.27-8.52 \text{ (1H, m)}; \text{ ms } m/z: 516 \text{ (M}^+). Compound (5d): mp 158-159 °C (Et_2O); ir (KBr) <math>\nu$ 1765, 1402, 1190 cm⁻¹; ¹H-nmr (CDCl₃) δ 0.00 (9H, s), 0.99 (2H, t, $J=8 \text{ Hz}), 2.70 \text{ (3H, s)}, 3.72 \text{ (2H, t, } J=8 \text{ Hz}), 5.40 \text{ (2H, s)}, 7.20-7.57 \text{ (3H, m)}, 8.07-8.40 \text{ (1H, m)}; \text{ ms } m/z: 516 \text{ (M}^+).$

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