A SIMPLE SYNTHESIS OF BENZOCARBAZOLEQUINONES VIA o-NITROARYLATION OF 2-HYDROXY-1,4-NAPHTHOQUINONES

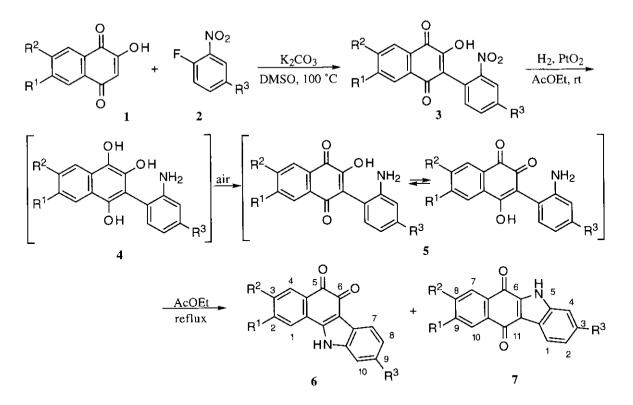
Kazuhiro Kobayashi,* Tetsuya Taki, Masataka Kawakita, Masaharu Uchida, Osamu Morikawa, and Hisatoshi Konishi

Department of Materials Science, Faculty of Engineering, Tottori University, Koyama-minami, Tottori 680-8552, Japan

Abstract- The reaction of 2-hydroxy-1,4-naphthoquinones (1a-c) with o-fluoronitrobenzenes (2a,b) in the presence of K₂CO₃ in DMSO at 100 °C gave 2-hydroxy-3-(2-nitroaryl)-1,4-naphthoquinones (3a-e) in moderate to fair yields, which in turn were transformed into the corresponding 11*H*-benzo[*a*]carbazole-5,6-dione (6a-e) and 5*H*-benzo[*b*]carbazole-6,11-dione (7a-e) derivatives in good yields by catalytic hydrogenation over PtO₂ in AcOEt at room temperature and 1 atm, followed by exposure to air and then heating at reflux temperature.

Carbazolequinone derivatives have attracted much synthetic attention because of their potential biological activities,¹ and number of works have been described on the synthesis of this class of compounds.² In this note we wish to describe a simple route to benzocarbazolequinone derivatives, such as 11*H*-benzo[*a*]carbazole-5,6-diones (**6a-e**) and 5*H*-benzo[*b*]carbazole-6,11-diones (**7a-e**), which relies on *o*-nitroarylation of 2-hydroxy-1,4-naphthoquinones (**1a-c**) at the 3-position with 2-fluoronitrobenzenes (**2a,b**), followed by a reduction-cyclization sequence induced by hydrogenation on PtO₂ of the resulting 2-hydroxy-3-(2-nitroaryl)-1,4-naphthoquinones (**3a-e**). In the course of our study, a similar approach was reported by Castedo *et al.*,^{2e} in which 3-(2-nitroaryl)ated 1,4-naphthoquinone derivatives, which were prepared by a three-step sequence from 2-[2-(2-nitroaryl)ethanoyl]phenylacetic acid derivatives, were transformed into the latter benzocarbazolequinone derivatives on treatment with NaBH₄. To date, however, very little work has been described on the synthesis of the former derivatives, apart from an unexpected production by rearrangement of the latter derivatives during purification using column chromatography on silica gel.^{2d}

The reactions of 2-hydroxy-1,4-naphthoquinones (1) with o-fluoronitrobenzenes (2) in DMSO at 100 °C in the presence of potassium carbonate proceeded uneventfully to result in exclusive formation of the C-



arylation products, 3-(2-nitroaryl)-2-hydroxy-1,4-naphthoquinones (3), in reasonable isolated yields shown in the Table. Any products, resulting from O-arylation, could not be detected in each of the reactions. The nitroarylated quinones (3) were readily converted into the benzocarbazolequinones (6) and (7) in good vields. Hydrogenolysis of 3 to 3-(2-aminoaryl)-1,2,4-trihydroxynaphthalenes (4) could be effected by using PtO₂ as a catalyst under atmospheric pressure of hydrogen in AcOEt at room temperature. Oxidation of these hydroquinones (4) on exposure to air resulted in formation of the corresponding aminoarvlated quinones (5), which were cyclized by bringing the solvent to reflux and further refluxing for a day to give 11H-benzo[a]carbazole-5,6-diones (6) (orange-yellow solids) and 5H-benzo[b]carbazole-6,11-diones (7) (dark-purple solids). At reflux times shorter than 10 h, we obtained reaction mixtures, containing products presumably resulting from intermolecular amination of 5, from which the desired products could be isolated only in poor yields. The synthesis of these carbazolequinones (6) and (7) is outlined in the Scheme, and the vields of the products are listed in the Table. The structures of the products were established by spectroscopic data and elemental analyses. For example, the structure of 7a was confirmed by a comparison of its physical and spectroscopic data with those reported previously;³ the IR spectrum showed a series of absorption bands due to the NH (3264 cm⁻¹) and para-quinone carbonyl groups (1648 cm⁻¹), and the ¹H NMR spectrum indicated a signal at δ 8.05–8.15 (2H, m) assignable to the 7- and 10-H. Assignment of the ortho-quinone structure of 6a was made on the basis of the IR spectrum, which exhibited a series of

Entry	1	2	3 (Yield/%)a	6 (Yield/%)a	7 (Yield/%)a
а	$1a (R^1 = R^2 = Me)$	2a (R ³ =H)	3a (59)	6a (75)	7a (24)
b	1a	2b (R ³ =Me)	3b (67)	6b (74)	7b (18)
c	1b (R ¹ =MeO, R ² =Me)	2a	3c (54)	6c (48)	7c (22)
d	1 b	2 b	3d (52)	6d (51)	7d (26)
e	1b (R ¹ =R ² =MeO)	2a	3e (49)	6e (45)	7e (41)

Table. Preparation of 2-hydroxy-3-(2-nitroaryl)-1,4-naphthoquinones (3) and their conversion to benzocarbazolequinones (6) and (7)

^aIsolated yields after recrystallization.

characteristic absorption bands assignable to NH (3188 cm⁻¹) and *ortho*-quinone carbonyl groups (1692 and 1630 cm⁻¹),⁴ and the ¹H NMR spectrum, which showed two signals at δ 7.96 (1H, d) assignable to the 1-H and δ 8.01 (1H, d) [or δ 8.05 (1H, dd)] assignable to the 4-H. The *o*-carbazolequinone derivatives (**6**) displayed the very polar chromatographic behavior, which has been reported previously.^{2d} The ratios of the products (**6**) and (**7**) shown in the Table indicate that methoxy substituents at the 5 and/or 6 positions of the quinones favors the formation of **7**. Although we have no firm explanation at this point, this may be attributed to the methoxy substituent(s), which play a role to lower the reactivity of the 4-carbon toward the nucleophilic attack of the amino group.

In summary, we have developed a novel and simple method for the synthesis of benzocarbazolequinone derivatives. The sequence should be of value in organic molecular transformations.

EXPERIMENTAL SECTION

The mps were determined on a Laboratory Devices MEL-TEMP II melting point apparatus and are uncorrected. The IR spectra were determined for KBr disks with a Perkin-Elmer 1600 Series FT IR spectrophotometer. The ¹H NMR spectra were determined in CDCl₃ for compounds (**3**) or in DMSO- d_6 for compounds (**6**) and (**7**), unless stated otherwise, using SiMe₄ as an internal reference with a JEOL JNM-GX270 FT NMR spectrometer operating at 270 MHz. *J* values are given in Hz. Low-resolution MS spectra were recorded on a JEOL AUTOMASS 20 spectrometer (Center for Joint Research and Development, this University). Hydroxyquinones (**1b**)⁵ and (**1c**)^{5,6} were prepared according to the reported procedure.⁵ All other chemicals used in this study were commercially available. All of the solvents used were dried over appropriate drying agents and distilled under argon prior to use.

2-Hydroxy-(2-nitrophenyl)-1,4-naphthoquinone (3a). General Procedure for the Nitroarylation of 2-Hydroxy-1,4-naphthoquinones (1). A mixture of 1a (2.0 g, 11 mmol), 2a (8.1 g, 57 mmol), and K_2CO_3 (1.9 g, 14 mmol) in DMSO (20 mL) was heated at 100 °C with stirring for 3

days under argon. The cooled mixture was diluted with Et₂O (50 mL) and extracted with sat. aq. NaHCO₃ five times. The combined extracts were acidified with 5% aq HCl. The yellow precipitate was collected and recrystallized from hexane-EtOAc to give **3a** (2.0 g, 59%): mp 262-263 °C; v_{max}/cm^{-1} 3321, 1676, 1639, 1521, 1340; δ_{H} 7.52 (1H, dd, *J* 7.6, 1.7), 7.59 (1H, td, *J* 7.6, 1.7), 7.71 (1H, td, *J* 7.6, 1.3), 7.75-7.85 (3H, m), 8.1-8.25 (3H, m); MS *m*/*z* 295 (M+, 1.7), 249 (19), 248 (100). Anal. Calcd for C₁₆H₉NO₅: C, 65.09; H, 3.07; N, 4.74. Found: C, 64.96; H, 3.15; N, 4.68.

2-Hydroxy-3-(4-methyl-2-nitrophenyl)-1,4-naphthoquinone (**3b**): mp 258-260 °C (hexane-EtOAc); v_{max}/cm^{-1} 3329, 1672, 1639, 1527, 1356; δ_{H} 2.51 (3H, s), 7.39 (1H, d, *J* 7.9), 7.5-7.55 (2H, m), 7.7-7.85 (2H, m), 8.00 (1H, s), 8.1-8.2 (2H, m); MS *m*/z 309 (0.96), 293 (3.8), 277 (22), 263 (100). Anal. Calcd for C₁₇H₁₁NO₅: C, 66.02; H, 3.58; N, 4.53. Found: C, 65.93; H, 3.53; N, 4.43.

2-Hydroxy-6-methoxy-3-(2-nitrophenyl)-1,4-naphthoquinone (**3c**): mp 248-251 °C (hexane-EtOAc); ν_{max}/cm⁻¹ 3330, 1653, 1641, 1593, 1524, 1341; δ_H 3.97 (3H, s), 7.20 (1H, dd, *J* 8.7, 2.9), 7.5-7.6 (2H, m), 7.61 (1H, d, *J* 2.5), 7.65-7.75 (2H, m), 8.12 (1H, d, *J* 8.7), 8.17 (1H, d, *J* 8.0); MS *m*/*z* 325 (M+, 26), 279 (100). Anal. Calcd for C₁₇H₁₁NO₆: C, 62.77; H, 3.41; N, 4.31. Found: C, 62.78; H, 3.50; N, 4.24.

2-Hydroxy-6-methoxy-3-(4-methyl-2-nitrophenyl)-1,4-naphthoquinone (**3d**): mp 251-252 °C (hexane-EtOAc); v_{max}/cm^{-1} 3346, 1652, 1642, 1593, 1527, 1329; $\delta_{\rm H}$ 2.50 (3H, s), 3.96 (3H, s), 7.19 (1H, dd, *J* 8.4, 2.6), 7.39 (1H, d, *J* 7.9), 7.51 (1H, d, *J* 7.9), 7.61 (1H, d, *J* 2.6), 7.64 (1H, br s), 7.99 (1H, s), 8.11 (1H, d, *J* 8.4); MS *m*/z 339 (M+, 32), 293 (100). Anal. Calcd for C₁₈H₁₃NO₆: C, 63.72; H, 3.86; N, 4.13. Found: C, 63.54; H, 3.87; N, 4.18.

2-Hydroxy-6,7-dimethoxy-3-(2-nitrophenyl)-1,4-naphthoquinone (3e): mp 271-273 °C (hexane-EtOAc); v_{max} /cm⁻¹ 3349, 1649, 1578, 1526, 1336; $\delta_{\rm H}$ 4.03 (3H, s), 4.05 (3H, s), 7.5-7.6 (6H, m), 8.16 (1H, d, J 8.4); MS *m/z* 355 (M+, 29), 309 (100). Anal. Calcd for C₁₈H₁₃NO₇: C, 60.85; H, 3.69; N, 3.94. Found: C, 61.02; H, 3.86; N, 3.68.

Benzo[*a*]carbazole-5,6-dione (6a) and benzo[*b*]carbazole-6,11-dione (7a). General Procedure for the Preparation of Benzocarbazolequinones (6) and (7). A solution of 3a (0.15 g, 0.50 mmol) in AcOEt (20 mL) containing PtO₂ (28 mg, 0.10 mmol) was stirred at rt for 30 min under a atmosphere of hydrogen (1 atm). The catalyst was then filtered off, and the filtrate was refluxed in the air for a day. After cooling to rt, the deep brown precipitate was collected by suction and recrystallized from THF to give 6a (92 mg, 75%): mp >400°C; v_{max}/cm^{-1} 3188, 1692, 1630, 1615; $\delta_{\rm H}$ 7.25-7.35 (2H, m), 7.5-7.6 (2H, m), 7.80 (1H, t, *J* 7.9), 7.96 (1H, d, *J* 7.9), 8.01 (1H, dd, *J* 7.9, 2.1), 8.05 (1H, d, *J* 7.9), 12.91 (1H, br s); MS *m/z* 247 (M⁺, 66), 219 (100). Anal. Calcd for C₁₆H₉NO₂: C, 77.72; H, 3.67; N, 5.66. Found: C, 77.78; H, 3.90; N, 5.62. The filtrate was concentrated *in vacuo* to afford a yellow solid, which was purified by recrystallization from hexane–AcOEt to give **7a** (30 mg, 24%): mp 308-309 °C (lit.,³ 307-310 °C); v_{max}/cm^{-1} 3264, 1648, 1620; $\delta_{\rm H}$ 7.37 (1H, t, *J* 7.9), 7.46 (1H, t, *J* 7.9 Hz), 7.60 (1H, d, *J* 7.9 Hz), 7.75-7.9 (2H, m), 8.05-8.15 (2H, m), 8.21 (1H, d, *J* 7.9), 13.0 (1H, br s).

9-Methylbenzo[*a*]**carbazole-5,6-dione** (**6b**): mp >400°C (THF); v_{max}/cm^{-1} 3178, 1695, 1616; $\delta_{\rm H}$ 2.45 (3H, s), 7.10 (1H, d, *J* 7.9), 7.33 (1H, s), 7.52 (1H, td, *J* 7.4, 1.1), 7.76 (1H, td, *J* 7.9, 1.6), 7.90 (1H, d, *J* 8.4), 7.94 (1H, dd, *J* 7.9, 1.6), 8.02 (1H, d, *J* 8.4), 12.50 (1H, br s); MS *m*/z 261 (M+, 63), 233 (100). Anal. Calcd for C₁₇H₁₁NO₂: C, 78.15; H, 4.24; N, 5.36. Found: C, 78.08; H, 4.46; N, 5.30. **3-Methylbenzo**[*b*]**carbazole-6,11-dione** (**7b**): mp 260 °C (hexane-EtOAc); v_{max}/cm^{-1} 3208, 1663, 1639; $\delta_{\rm H}$ 2.46 (3H, s), 7.21 (1H, d, *J* 9.0), 7.38 (1H, d, *J* 1.8), 7.75–7.85 (2H, m), 8.08 (1H, dd, *J* 7.5, 1.4), 8.09 (1H, d, *J* 7.4), 8.11 (1H, dd, *J* 7.5, 1.4), 14.64 (1H, br s); MS *m*/z 261 (M+, 100). Anal. Calcd for C₁₇H₁₁NO₂: C, 78.15; H, 4.24; N, 5.36. Found: C, 78.19; H, 4.20; N, 5.40.

2-Methoxybenzo[*a*]**carbazole-5,6-dione** (**6c**): mp >400 °C (THF); v_{max}/cm⁻¹ 3224, 1666, 1630, 1597; δ_H 3.96 (3H, s), 7.06 (1H, dd, *J* 8.4, 2.6), 7.26 and 7.31 (combined 2H, 2t, *J* 6.9 each), 7.55 (1H, d, *J* 6.9), 7.65 (1H, d, *J* 2.6), 7.92 (1H, d, *J* 8.4), 8.00 (1H, d, *J* 6.9), 14.50 (1H, br s); MS *m*/z 277 (M+, 100). Anal. Calcd for C₁₇H₁₁NO₃: C, 73.64; H, 4.00; N, 5.05. Found: C, 73.77; H, 4.25; N, 5.07.

9-Methoxybenzo[*b*]**carbazole-6,11-dione** (7c): mp 303-304 °C (hexane-EtOAc); ν_{max}/cm^{-1} 3230, 1658, 1589; $\delta_{\rm H}$ (CDCl₃) 3.98 (3H, s), 7.14 (1H, dd, *J* 8.4, 2.6), 7.35–7.55 (3H, m), 7.74 (1H, d, *J* 2.6), 8.12 (1H, d, *J* 8.4), 8.39 (1H, d, *J* 7.9), 9.35 (1H, br s); MS *m/z* 277 (M+, 100). Anal. Calcd for C₁₇H₁₁NO₃: C, 73.64; H, 4.00; N, 5.05. Found: C, 73.38 ; H, 3.70; N, 4.97.

2-Methoxy-8-methylbenzo[*a*]**carbazole-5,6-dione** (6d): mp >400°C (THF); v_{max}/cm^{-1} 3218, 1679, 1626, 1597; δ_{H} 2.44 (3H, s), 3.96 (3H, s), 7.03 (1H, dd, *J* 8.4, 2.6), 7.09 (1H, d, *J* 8.4), 7.31 (1H, s), 7.62 (1H, d, *J* 2.6), 7.86 (1H, d, *J* 8.4), 7.90 (1H, d, *J* 8.4), 12.7 (1H, br); MS *m/z* 291 (M+, 7.2), 263 (100). Anal. Calcd for C₁₈H₁₃NO₃: C, 74.22; H, 4.50; N, 4.81%. Found: C, 74.33; H, 4.39; N, 4.53.

9-Methoxy-3-methylbenzo[*b*]**carbazole-6,11-dione** (7**d**): mp 298-300 °C (hexane-EtOAc); ν_{max}/cm⁻¹ 3229, 1657, 1587; δ_H 2.45 (3H, s), 3.96 (3H, s), 7.19 (1H, d, *J* 8.4), 7.30 (1H, dd, *J* 8.4, 2.6), 7.37 (1H, s), 7.56 (1H, d, *J* 2.6), 8.05 (1H, d, *J* 8.4), 8.06 (1H, d, *J* 8.4), 12.8 (1H, br); MS *m/z* 291 (M+, 100). Anal. Calcd for C₁₈H₁₃NO₃: C, 74.22; H, 4.50; N, 4.81. Found: C, 74.32; H, 4.50; N, 5.01.

2,3-Dimethoxybenzo[a]carbazole-5,6-dione (6e): mp 346-347 °C (THF); v_{max}/cm-1 3281, 1646,

1626, 1590; $\delta_{\rm H}$ 3.88 (3H, s), 3.95 (3H, s), 7.2–7.3 (2H, m), 7.43 (1H, s), 7.52 (1H, d, J 7.4), 7.68 (1H, s), 7.95 (1H, dd, J 7.9, 2.1), and 12.67 (1H, br s); MS m/z 307 (M+, 100). Anal. Calcd for C₁₈H₁₃NO₄: C, 70.35; H, 4.26; N, 4.56. Found: C, 70.26; H, 4.38; N, 4.51.

8,9-Dimethoxybenzo[*b*]**carbazole-6,11-dione** (7e): mp 198-299 °C (hexane-EtOAc); v_{max}/cm⁻¹ 3240, 1652, 1644, 1579; δ_H 3.96 (3H, s), 3.98 (3H, s), 7.34 (1H, td, *J* 7.9, 1.6), 7.42 (1H, td, *J* 7.9, 1.6), 7.56 (1H, s), 7.58 (1H, d, *J* 7.9), 7.60 (1H, s), 8.13 (1H, d, *J* 7.9), 12.9 (1H, br); MS *m/z* 307 (M+, 100). Anal. Calcd for C₁₈H₁₃NO₄: C, 70.35; H, 4.26; N, 4.56. Found: C, 70.34; H, 4.23; N, 4.72.

ACKNOWLEDGEMENTS

We thank Mrs. Miyuki Tanmatsu of this Department for determining the mass spectra.

REFERENCES AND NOTES

- For recent reports, see: C. Ito, T.-S. Wu, and H. Furukawa, *Chem. Pharm. Bull.*, 1988, 36, 2377;
 K. Takeya, M. Itoigawa, and H. Furukawa, *Eur. J. Pharmacol.*, 1989, 169, 137; E. Fisher-Reimann, Eur. Patent, 1994, 592366 (*Chem.Abstr.*, 1994, 121, 108509d); Y.-L. Luo, T.-C. Chou, and C. C. Cheng, *J. Heterocycl. Chem.*, 1996, 33, 113 and refs therein.
- For recent synthetic approaches to carbazolequinone derivatives, see: a) M. Yogo, C. Ito, and H. Furukawa, Chem. Pharm. Bull., 1991, 39, 328; b) S. Bittner, P. Krief, and T. Massil, Synthesis, 1991, 215; c) P. J. O'Sullivan, R. Moreno, and W. S. Murphy, Tetrahedron Lett., 1992, 33, 535; d) A. Echavarren, N. Tamayo, and M. C. Paredes, Tetrahedron Lett., 1993, 34, 4713; e) J. C. Estevez, R. J. Estevez, and L. Castedo, Tetrahedron Lett., 1993, 34, 6479; f) H.-J. Knoelker and N. O'Sullivan, Tetrahedron, 1994, 50, 10893; g) B. Akermark, J. D. Oslob, and U. Heuschert, Tetrahedron Lett., 1995, 36, 1325; h) Y. A. Jackson, A. D. Billimoria, E. V. Sandanandan, and M. P. Cava, J. Org. Chem., 1995, 60, 3543 and refs therein.
- D. A. Taylor, M. M. Baradarni, S. J. Martinez, and J. A. Joule, J. Chem. Res. (S), 1979, 387;
 (M), 1979, 4801.
- K. Kobayashi, A. Sasaki, H. Takeuchi, and H. Suginome, J. Chem. Soc., Perkin Trans. 1, 1992, 115; H. Suginome, H. Sakurai, A. Sasaki, H. Takeuchi, and K. Kobayashi, Tetrahedron, 1994, 50, 8293 and refs therein.
- 5. C. R. Baillie and R. H. Thomson, J. Chem. Soc. C, 1966, 2184.
- 6. H. R. Bentley, W. Dawson, and F. S. Spring, J. Chem. Soc., 1952, 1763; O. Soga, A. Takuwa, and H. Doi, Nippon Kagaku Kai Shi, 1976, 1735.