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NEW SYNTHESIS OF *t*-BUTYL 1,2-DIHYDRO-1-OXAAZULENE-3-CARBOXYLATES USING LITHIUM TRIMETHYLSILYLDIAZO-METHANE

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**Abstract** – Lithium trimethylsilyldiazomethane reacts with t-butyl aryloxypyruvates to give t-butyl 1,2-dihydro-1-oxaazulene-3-carboxylates *via* alkylidenecarbene intermediates.

Alkylidenecarbenes are attractive intermediates in organic synthesis.<sup>1</sup> We have already demonstrated that the lithium salt of trimethylsilyldiazomethane (TMSC(Li)N<sub>2</sub>) smoothly reacts with carbonyl compounds to generate alkylidenecarbenes which undergo various types of reactions to give homologous alkynes, aldehydes, and heterocycles.<sup>2</sup> Furthermore, we have found that TMSC(Li)N<sub>2</sub> chemoselectively reacts with 4-aryl-2-oxobutanoates<sup>3</sup> and *N*-methylanilides of  $\alpha$ -keto acids<sup>4</sup> at the carbonyl moiety giving 2,3-dihydroazulenes and 1-aza-1,2-dihydroazulen-2-ones *via* intramolecular cycloaddition of the generated alkylidenecarbenes to a benzene ring, followed by ring expansion, respectively (Scheme 1). Our continuous interest in this area has led us to investigate the reaction of aryloxypyruvates with TMSC(Li)N<sub>2</sub> giving 1,2-dihydro-1-oxaazulene derivatives. In this paper, the details are described.



Scheme 1.



Scheme 2. *Reagents and conditions.* i, *t*-butyl glycidate (1.1-2.2 eq.), NaH (0.3–1.0 eq.), *t*-BuOMe, rt–reflux, 43–65 h, 34–67 %; ii, Dess-Martin periodinane (3.0 eq.),  $CH_2Cl_2$ , rt, 3 h, 59–87 %.  $R^1$ ,  $R^2$ ,  $R^3 = H$ , H, H (**a**); H, Me, H (**b**); H, MeO, H (**c**); H, Br, H (**d**); H, CF<sub>3</sub>, H (**e**); Me, H, Me (**f**).

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Table 1. Examination of reaction conditions.

C PhO	COOBu <sup>t</sup> Solvent, Conditi	$\frac{N_2}{\text{ons}}$ $O$ COOR		
Entry	$\frac{2}{\text{TMSC(metal)}N_2}$	Solvent	Conditions	Yield
1	$TMSC(Li)N_2 (1.2 \text{ eq.})^a$	Et <sub>2</sub> O	$-78$ °C, 1 h $\rightarrow$ reflux, 2 h	19 %
2	$TMSC(Li)N_2(1.2 \text{ eq.})^a$	THF	$-78 \text{ °C}, 1 \text{ h} \rightarrow 40 \text{ °C}, 2 \text{ h}$	_ <sup>f</sup>
3	$TMSC(Li)N_2$ (1.2 eq.) <sup>a</sup>	<i>i</i> -Pr <sub>2</sub> O	$-78 \text{ °C}, 1 \text{ h} \rightarrow 40 \text{ °C}, 2 \text{ h}$	8 %
4	$TMSC(Li)N_2 (1.2 eq.)^a$	t-BuOMe	$-78 \text{ °C}, 1 \text{ h} \rightarrow 40 \text{ °C}, 2 \text{ h}$	6 %
5	$TMSC(Li)N_2 (1.2 eq.)^a$	$Et_2O$ -TMEDA (1.2 eq.)	-78 °C, 1 h → reflux, 2 h	- <sup>f</sup> (85 %) <sup>g</sup>
6	$TMSC(Na)N_2 (1.2 eq.)^{b}$	Et <sub>2</sub> O	-78 °C, 1 h → reflux, 2 h	4 %
7	$TMSC(K)N_2 (1.2 \text{ eq.})^{c}$	Et <sub>2</sub> O	-78 °C, 1 h → reflux, 2 h	$-^{\mathrm{f}}$
8	$TMSC(MgBr)N_2 (1.2 eq.)^d$	Et <sub>2</sub> O	-78 °C, 1 h → reflux, 2 h	$-{}^{\rm f}$ (42 %) <sup>g</sup>
9	$TMSC(Li)N_2 (2.0 \text{ eq.})^a$	Et <sub>2</sub> O	$-78 \text{ °C}, 1 \text{ h} \rightarrow \text{reflux}, 2 \text{ h}$	28 %
10	$TMSC(Li)N_2$ (2.0 eq.) <sup>e</sup>	Et <sub>2</sub> O	-78 °C, 1 h → reflux, 2 h	27 %

<sup>a</sup> Prepared from TMSCHN<sub>2</sub> and LDA. <sup>b</sup> Prepared from TMSCHN<sub>2</sub> and NaHMDS. <sup>c</sup> Prepared from TMSCHN<sub>2</sub> and KHMDS. <sup>d</sup> Prepared from TMSCHN<sub>2</sub>, LDA and MgBr<sub>2</sub>. <sup>e</sup> Prepared from TMSCHN<sub>2</sub> and *n*-BuLi. <sup>f</sup> Not obtained. <sup>g</sup> Obtained the aldol product (**5**).

The synthesis of *t*-butyl aryloxypyruvates (1) as substrates is shown in Scheme 2. The epoxy-opening reaction of *t*-butyl glycidate<sup>5</sup> by phenols (2) yielded  $\alpha$ -hydroxy esters (3), which were oxidized by Dess-Martin periodinane giving the desired 1.

The reaction conditions were optimized on the basis of reaction using *t*-butyl phenoxypyruvate (**1a**) (Table 1). Treatment of **1a** with TMSC(Li)N<sub>2</sub> (1.2 eq.), prepared from TMSCHN<sub>2</sub> and LDA, in Et<sub>2</sub>O gave the desired *t*-butyl 1,2-dihydro-1-oxaazulene-3-carboxylate (**4a**) in 19 % yield (Entry 1). Et<sub>2</sub>O was found to be the solvent of choice though THF, *i*-Pr<sub>2</sub>O and *t*-BuOMe were also tried (Entries 1-4). Interestingly, reaction in Et<sub>2</sub>O containing TMEDA as an additive afforded the aldol product (**5**), and **4a** was not obtained at all (Entry 5). This result was probably due to an increase in basicity of TMSC(Li)N<sub>2</sub> such as TMSC(Na)N<sub>2</sub>, TMSC(K)N<sub>2</sub> and TMSC(MgBr)N<sub>2</sub> were quite ineffective. When two equivalents of TMSC(Li)N<sub>2</sub> were used, the best result was obtained and the yield of **4a** increased to 28 % (Entry 9).<sup>6</sup> The use of *n*-BuLi, instead of LDA, for the preparation of TMSC(Li)N<sub>2</sub> was also applicable in this reaction (Entry 10).

$R^1$ $R^2$ $R^3$	O COOBu <sup>t</sup>	TMSC(L	Li)N <sub>2</sub> (2.0 eq.) Et <sub>2</sub> O h → reflux, 2 h	$R^{2}$ $COOBu^{t}$		
Entry	$R^1$	$R^2$	$R^3$	Substrate	Yield	
1 <sup>a</sup>	Н	Н	Н	1a	28 %	
2	Н	Me	Н	1b	31 %	
3	Н	MeO	Н	1c	23 %	
4	Н	Br	Н	1d	28 %	
5	Н	$CF_3$	Н	1e	22 %	
6	Me	Η	Me	1f	20 % <sup>b</sup>	

Table 2. The synthesis of *t*-butyl 1,2-dihydro-1-oxaazulene-3-carboxylates.

<sup>a</sup> Shown in Entry 9 of Table 1.<sup>b</sup> Obtained a mixture of **4f** and its isomer (1.7:1).

Next, reactions using other *t*-butyl aryloxypyruvates were carried out under the optimized reaction conditions described above (Table 2). Various aryloxypyruvates bearing methyl (**1b**), methoxy (**1c**), bromo (**1d**) and trifluoromethyl groups (**1e**) at the 4-position of the benzene ring reacted with TMSC(Li)N<sub>2</sub> to give the desired **4b-e** in 22-31 % yields (Entries 2-5). In these cases, substituents on the benzene ring of **1** had no effect on the yields of **4**. Reaction with (3,5-dimethylphenoxy)pyruvate (**1f**) also proceeded but the product was a mixture of **4f** and its isomer (concerning the position of double bonds) in 20 % yield (Entry 6). Unfortunately, reaction with *N*,*N*-diisopropyl-phenoxypyruvamide<sup>7</sup> or phenoxypropan-2-one gave a complex mixture and the desired **1**,2-dihydro-1-oxaazulene derivative was not obtained.

In conclusion, we have found that the reaction of *t*-butyl aryloxypyruvates with lithium trimethylsilyldiazomethane gave *t*-butyl 1,2-dihydro-1-oxaazulene-3-carboxylates bearing substituents on the 7-membered ring. Although the yield was still unsatisfactory, this synthetic method allows us to easily synthesize *t*-butyl 1,2-dihydro-1-oxaazulene-3-carboxylates from *t*-butyl acrylate.

## EXPERIMENTAL

All melting points were measured on a Yanagimoto micro melting points apparatus and are uncorrected. IR spectra were recorded on a SHIMADZU FTIR-8400S spectrophotometer. <sup>1</sup>H and <sup>13</sup>C NMR spectra were recorded on a JEOL JNM-EX-270 spectrometer (<sup>1</sup>H, 270 MHz; <sup>13</sup>C, 67.8 MHz). MS spectra were recorded on a JEOL JMS-SX-102A spectrometer.

*t*-Butyl 2-hydroxy-3-phenoxypropioate (3a). To a stirred solution of phenol (1.88 g, 20 mmol) in *t*-butyl methyl ether (4 mL) were added NaH (400 mg, 60 % dispersion in oil, 10 mmol) and *t*-butyl glycidate (3.17 g, 22 mmol) under argon atmosphere. After being stirred at 45 °C for 43 h, the mixture was quenched with H<sub>2</sub>O and extracted with EtOAc (2 times). The organic extracts were washed with water and saturated brine, dried over Na<sub>2</sub>SO<sub>4</sub>, and concentrated in vacuo. The residue was purified by Kugelrohr

distillation (1.0 mmHg, 150 °C) to give **3a** (3.17 g, 67 %). Colorless needles, mp 67-68 °C (Et<sub>2</sub>O-hexane). IR (nujol): 3018, 1734 cm<sup>-1</sup>. <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$ : 1.48 (s, 9H), 3.21 (d, 1H, *J* = 6.5 Hz), 4.18-4.28 (m, 2H), 4.36-4.40 (m, 1H), 6.89-6.91 (m, 3H), 7.25-7.31 (m, 1H). <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$ : 28.0, 69.8, 70.3, 83.0, 114.6, 121.1, 129.0, 158.4, 171.2. MS (EI): *m*/*z* 238 (M<sup>+</sup>), 94 (bp). HRMS calcd for C<sub>13</sub>H<sub>18</sub>O<sub>4</sub>: 238.1205, found: 238.1216.

*t*-Butyl 2-hydroxy-3-(4-methylphenoxy)propioate (3b). Prepared from 4-methylphenol (541 mg, 5.0 mmol) and *t*-butyl glycidate (865 mg, 6.0 mmol). The residue was purified by Kugelrohr distillation (1.2 mmHg, 140 °C) to give 3b (516 mg, 41 %). Colorless crystals, mp 98-100 °C (hexane). IR (nujol): 3439, 1734 cm<sup>-1</sup>. <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$ : 1.47 (s, 9H), 2.28 (s, 3H), 3.21(br s, 1H), 4.14-4.24 (m, 2H), 4.35-4.37 (m, 1H), 6.80 (d, 2H, *J* = 8.4 Hz), 7.07 (d, 2H, *J* = 8.4 Hz). <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$ : 20.6, 28.1, 70.0, 70.3, 83.1, 114.5, 129.8, 130.4, 156.3, 171.3. MS (EI): *m/z* 252 (M<sup>+</sup>), 108 (bp). HRMS calcd for C<sub>14</sub>H<sub>20</sub>O<sub>4</sub>: 252.1362, found: 252.1368.

*t*-Butyl 2-hydroxy-3-(4-methoxyphenoxy)propioate (3c). Prepared from 4-methoxyphenol (621 mg, 5.0 mmol) and *t*-butyl glycidate (865 mg, 6.0 mmol). The residue was purified by Kugelrohr distillation (1.0 mmHg, 150 °C) to give 3c (644 mg, 48 %). Colorless needles, mp 74-75 °C (Et<sub>2</sub>O-hexane). IR (nujol): 3439, 1740 cm<sup>-1</sup>. <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$ : 1.48 (s, 9H), 3.21 (d, 1H, *J* = 6.1 Hz), 3.77 (s, 3H), 4.16-4.19 (m, 2H), 4.30-4.35 (m, 1H), 6.79 (d, 2H, *J* = 8.4 Hz), 7.07 (d, 2H, *J* = 8.7 Hz). <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$ : 28.1, 55.7, 70.4, 70.7, 83.1, 114.5, 115.7, 152.6, 154.0, 171.3. MS (EI): *m/z* 268 (M<sup>+</sup>), 212 (bp). HRMS calcd for C<sub>14</sub>H<sub>20</sub>O<sub>5</sub>: 268.1311, found: 268.1309.

*t*-Butyl 3-(4-bromophenoxy)-2-hydroxypropioate (3d). Prepared from 4-bromophenol (346 mg, 5.0 mmol) and *t*-butyl glycidate (634 g, 4.4 mmol). The residue was purified by Kugelrohr distillation (1.4 mmHg, 168 °C) to give 3d (263 mg, 42 %). White powder, mp 96-97 °C. IR (nujol): 3434, 1732 cm<sup>-1</sup>. <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$ : 1.47 (s, 9H), 3.21 (d, 1H, *J* = 6.5 Hz), 4.14-4.24 (m, 2H), 4.36 (br s, 1H), 6.78 (d, 2H, *J* = 8.3 Hz), 7.37 (d, 2H, *J* = 8.3 Hz). <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$ : 28.1, 70.1, 70.2, 83.3, 113.4, 116.4, 132.2, 157.6, 171.0. MS (EI): *m*/*z* 318 (M<sup>+</sup>), 316 (M<sup>+</sup>), 57 (bp). HRMS calcd for C<sub>13</sub>H<sub>17</sub>O<sub>4</sub><sup>79</sup>Br: 317.0310, found: 317.0337.

*t*-Butyl 2-hydroxy-3-(4-trifluoromethylphenoxy)propioate (3e). Prepared from 4trifluoromethylphenol (811 mg, 5.0 mmol) and *t*-butyl glycidate (865 mg, 6.0 mmol). The residue was purified by Kugelrohr distillation (1.4 mmHg, 168 °C) to give **3e** (513 mg, 34 %). Colorless crystals, mp 121-122 °C (Et<sub>2</sub>O). IR (nujol): 3437, 1730 cm<sup>-1</sup>. <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$ : 1.57 (s, 9H), 3.27 (br s, 1H), 4.22-4.32 (m, 2H), 4.38-4.42 (m, 1H), 6.96 (d, 2H, *J* = 8.7 Hz), 7.54 (d, 2H, *J* = 8.7 Hz). <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$ : 28.0, 70.0, 70.1, 83.5, 114.5, 115.4, 126.8, 126.9, 160.8, 170.9. MS (EI): *m/z* 306 (M<sup>+</sup>), 162 (bp). HRMS calcd for C<sub>14</sub>H<sub>17</sub>O<sub>4</sub>F<sub>3</sub>: 306.1079, found: 306.1087. *t*-Butyl 3-(3,5-dimethylphenoxy)-2-hydroxypropioate (3f). Prepared from 3,5-dimethylphenol (611 mg, 5.0 mmol) and *t*-butyl glycidate (793 g, 5.5 mmol). The residue was purified by Kugelrohr distillation (1.1 mmHg, 155 °C) to give 3f (559 mg, 42 %). Colorless oil. IR (neat): 3434, 1736 cm<sup>-1</sup>. <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$ : 1.48 (s, 9H), 2.28 (s, 6H), 3.19 (d, 1H, *J* = 6.6 Hz) 4.13-4.23 (m, 2H), 4.35 (t, 1H, *J* = 3.0 Hz), 6.53 (s, 2H), 6.61 (s, 2H). <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$ : 21.4, 28.0, 69.7, 70.3, 112.3, 122.9, 139.0, 158.4, 171.3. MS (EI): *m/z* 266 (M<sup>+</sup>), 122 (bp). HRMS calcd for C<sub>15</sub>H<sub>22</sub>O<sub>4</sub>: 266.1518, found: 266.1526.

*t*-Butyl phenoxypyruvate (1a). To a solution of *t*-butyl 2-hydroxy-3-phenoxypropioate (2a) (218 mg, 0.91 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (4 mL) was added Dess-Martin periodinane (1.16 g, 2.74 mmol) and the mixture was stirred at rt for 3 h. After the mixture was diluted by Et<sub>2</sub>O, a 1:1 mixture of saturated NaHCO<sub>3</sub> aq. and saturated Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> aq. was added and the mixture was further stirred overnight. The mixture was extracted with Et<sub>2</sub>O (3 times). The organic extracts were washed with water and saturated brine, dried over Na<sub>2</sub>SO<sub>4</sub>, and concentrated in vacuo. The residue was purified by column chromatography on silica gel (Fuji Sylisia BW-200MH, hexane:EtOAc = 7:1) to give **1a** (183 mg, 85 %). Pale yellow oil. IR (neat): 1746, 1734 cm<sup>-1</sup>. <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$ : 1.56 (s, 9H), 5.09 (s, 2H), 6.91 (d, 2H, *J* = 8.1 Hz), 7.01 (t, 1H, *J* = 7.6 Hz), 7.27-7.33 (m, 2H). <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$ : 27.9, 70.9, 85.1, 114.8, 121.9, 129.5, 157.4, 159.3, 189.7. MS (EI): *m*/*z* 236 (M<sup>+</sup>), 107 (bp). HRMS calcd for C<sub>13</sub>H<sub>16</sub>O<sub>4</sub>: 236.1049, found: 236.1056.

*t*-Butyl (4-methylphenoxy)pyruvate (1b). Prepared from 2b (510 mg, 2.0 mmol) and Dess-Martin periodinane (2.57 g, 6.1 mmol). The residue was purified by column chromatography on silica gel (Fuji Sylisia BW-200MH, hexane:EtOAc = 7:1) to give 1b (437 mg, 86 %). Pale yellow oil. IR (neat): 1755, 1720 cm<sup>-1</sup>. <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$ : 1.56 (s, 9H), 2.29 (s, 3H), 5.04 (s, 2H), 6.81 (d, 2H, *J* = 8.4 Hz), 7.08 (d, 2H, *J* = 8.4 Hz). <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$ : 20.6, 27.8, 71.3, 85.0, 114.7, 129.9, 131.2, 155.4, 159.4, 189.9. MS (EI): *m/z* 250 (M<sup>+</sup>), 121 (bp). HRMS calcd for C<sub>14</sub>H<sub>18</sub>O<sub>4</sub>: 250.1205, found: 250.1200.

*t*-Butyl (4-methoxyphenoxy)pyruvate (1c). Prepared from 2c (553 mg, 2.1 mmol) and Dess-Martin periodinane (2.62 g, 6.2 mmol). The residue was purified by column chromatography on silica gel (Fuji Sylisia BW-200MH, hexane:EtOAc = 7:1) to give 1c (430 mg, 78 %). Yellow oil. IR (neat): 1749, 1734 cm<sup>-1</sup>. <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$ : 1.56 (s, 9H), 3.77 (s, 3H), 5.09 (s, 2H), 6.80-6.87 (m, 4H). <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$ : 27.9, 55.7, 72.0, 85.0, 114.6, 116.1, 151.7, 154.6, 159.3, 190.1. MS (EI): *m/z* 266 (M<sup>+</sup>), 210 (M<sup>+</sup>- <sup>*t*</sup>Bu), 57 (bp). HRMS calcd for C<sub>14</sub>H<sub>18</sub>O<sub>5</sub>: 266.1154, found: 266.1154.

*t*-Butyl (4-bromophenoxy)pyruvate (1d). Prepared from 2d (266 mg, 0.84 mmol) and Dess-Martin periodinane (1.07 g, 2.5 mmol). The residue was purified by column chromatography on silica gel (Fuji Sylisia BW-200MH, hexane:EtOAc = 7:1) to give 1d (227 mg, 86 %). Pale yellow oil. IR (neat): 1747, 1732 cm<sup>-1</sup>. <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$ : 1.56 (s, 9H), 5.07 (s, 2H), 6.79 (d, 2H, *J* = 9.1 Hz), 7.39 (d, 2H, *J* = 9.1 Hz). <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$ : 27.9, 71.1, 85.3, 114.2, 116.5, 132.3, 156.6, 159.1, 189.1. MS (EI): *m/z* 316 (M<sup>+</sup>), 314 (M<sup>+</sup>), 57 (bp). HRMS calcd for C<sub>13</sub>H<sub>15</sub>O<sub>4</sub><sup>79</sup>Br: 314.0154, found: 314.0152.

*t*-Butyl (4-trifluoromethylphenoxy)pyruvate (1e). Prepared from 2e (500 mg, 1.6 mmol) and Dess-Martin periodinane (2.08 g, 4.9 mmol). The residue was purified by column chromatography on silica gel (Fuji Sylisia BW-200MH, hexane:EtOAc = 7:1) to give 1e (295 mg, 59 %). Colorless crystals, mp 78-79 °C (hexane). IR (nujol): 1751 cm<sup>-1</sup>. <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$ : 1.57 (s, 9H), 5.15 (s, 2H), 6.97 (d, 2H, *J* = 8.6 Hz), 7.56 (d, 2H, *J* = 8.6 Hz). <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$ : 27.9, 70.7, 85.4, 114.7, 122.1, 123.8, 124.3, 126.1, 159.0, 159.8, 188.7. MS (EI): *m/z* 304 (M<sup>+</sup>), 248 (M<sup>+</sup>- <sup>*t*</sup>Bu), 175 (bp). HRMS calcd for C<sub>14</sub>H<sub>17</sub>O<sub>4</sub>F<sub>3</sub>: 304.0922, found: 304.0929.

*t*-Butyl (3,5-dimethylphenoxy)pyruvate (1f). Prepared from 2f (175 mg, 0.66 mmol) and Dess-Martin periodinane (936 mg, 2.0 mmol). The residue was purified by column chromatography on silica gel (Fuji Sylisia BW-200MH, hexane:EtOAc = 7:1) to give 1f (121 mg, 70 %). Yellow needles, mp 84-85 °C (Et<sub>2</sub>O-hexane). IR (nujol): 1751, 1726 cm<sup>-1</sup>. <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$ : 1.56 (s, 9H), 2.28 (s, 6H), 5.04 (s, 2H), 6.53 (s, 2H), 6.65 (s, 1H). <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$ : 21.5, 27.9, 70.9, 85.0, 112.5, 123.7, 139.3, 157.5, 159.4, 190.0. MS (EI): *m/z* 264 (M<sup>+</sup>), 135 (M<sup>+</sup>-COCO<sub>2</sub><sup>*t*</sup>Bu), 57 (bp). HRMS calcd for C<sub>15</sub>H<sub>20</sub>O<sub>4</sub>: 264.1362, found: 264.1368.

*t*-Butyl 1,2-dihydro-1-oxaazulene-3-carboxylate (4a). To a stirred solution of diisopropylamine (95 mg, 0.94 mmol) in Et<sub>2</sub>O (4 mL) was added dropwise *n*-butyllithium (1.60 M in hexane solution, 0.59 mL, 0.94 mmol) at -78 °C under argon atmosphere, and the mixture was stirred for 20 min. TMSCHN<sub>2</sub> (1.55 M in hexane solution, 0.60 mL, 0.94 mmol) was added dropwise at -78 °C, and the mixture was stirred for 10 min. A solution of *t*-butyl phenoxypyruvate (3a) (111 mg, 0.47 mmol) in Et<sub>2</sub>O (0.6 mL) was added dropwise. This mixture was stirred at -78 °C for 1 h, then refluxed for 2 h. After being quenched with H<sub>2</sub>O, the mixture was extracted with EtOAc (3 times). The organic extracts were washed with water and saturated brine, dried over Na<sub>2</sub>SO<sub>4</sub>, and concentrated in vacuo. The residue was purified by column chromatography on silica gel (Fuji Sylisia BW-200MH, hexane:EtOAc = 30:1) to give 4a (29 mg, 27 %). Red oil. IR (neat): 1686 cm<sup>-1</sup>. <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$ : 1.46 (s, 9H), 5.36 (s, 2H), 5.63 (d, 1H, *J* = 9.3 Hz), 5.79 (dd, 1H, *J* = 8.2 and 11.0 Hz), 6.12 (dd, 1H, *J* = 9.3 and 11.0 Hz), 6.23 (dd, 1H, *J* = 8.2 and 11.9 Hz), 7.22 (d, 1H, *J* = 11.9 Hz). <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$ : 27.8, 78.1, 79.7, 104.9, 112.7, 123.3, 123.8, 133.5, 134.4, 144.6, 162.1, 172.1. MS (EI): *m/z* 232 (M<sup>+</sup>), 176 (M<sup>+</sup>-<sup>t</sup>Bu), 131(bp). HRMS calcd for C<sub>14</sub>H<sub>16</sub>O<sub>3</sub>: 232.1100, found: 232.1100.

*t*-Butyl 4-(*t*-butoxycarbonyl)-3,5-diphenoxy-4-hydroxy-2-oxopentanoate (5). Prepared from 3a (61 mg, 0.26 mmol), TMSC(Li)N<sub>2</sub> (0.31 mmol) and TMEDA (36 mg, 0.31 mmol). The residue was purified by column chromatography on silica gel (Fuji Sylisia BW-200MH, hexane:EtOAc = 30:1) to give 5 (52 mg, 85 %). Colorless needles, mp 127-128 °C (Et<sub>2</sub>O). IR (nujol): 3522, 1747, 1728 cm<sup>-1</sup>. <sup>1</sup>H NMR (CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$ : 1.36 (s, 9H), 1.42 (s, 9H), 3.99 (br s, 1H), 4.30 (d, 1H, *J* = 9.6 Hz), 4.34 (d, 1H, *J* = 9.6 Hz), 5.71 (s, 1H), 6.87-7.02 (m, 6H), 7.29 (m, 4H). <sup>13</sup>C NMR (CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$ : 27.8, 27.8, 69.8, 79.2, 79.5, 84.3,

*t*-Butyl 1,2-dihydro-6-methyl-1-oxaazulene-3-carboxylate (4b). Prepared from 3b (132 mg, 0.53 mmol) and TMSC(Li)N<sub>2</sub> (1.1 mmol). The residue was purified by column chromatography on silica gel (Fuji Sylisia BW-200MH, hexane:EtOAc = 30:1) to give 4b (41 mg, 31 %). Red solid, mp 46-48 °C. IR (nujol): 1682 cm<sup>-1</sup>. <sup>1</sup>H NMR (CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$ : 1.46 (s, 9H), 1.90 (s, 3H), 5.32 (s, 2H), 5.56 (d, 1H, *J* = 9.3 Hz), 6.03 (d, 1H, *J* = 9.3 Hz), 6.20 (d, 1H, *J* = 12.2 Hz), 7.23 (d, 1H, *J* = 12.2 Hz). <sup>13</sup>C NMR (CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$ : 25.3, 27.8, 77.5, 79.6, 104.3, 112.2, 123.4, 130.7, 132.4, 137.8, 143.9, 162.3, 169.8. MS (EI): *m/z* 246 (M<sup>+</sup>), 190 (bp). HRMS calcd for C<sub>15</sub>H<sub>18</sub>O<sub>3</sub>: 246.1256, found: 246.1257.

*t*-Butyl 1,2-dihydro-6-methoxy-1-oxaazulene-3-carboxylate (4c). Prepared from 3c (99 mg, 0.37 mmol) and TMSC(Li)N<sub>2</sub> (0.75 mmol). The residue was purified by column chromatography on silica gel (Fuji Sylisia BW-200MH, hexane:EtOAc = 30:1) to give 4c (23 mg, 23 %). Red solid, mp; 73-74 °C. IR (nujol): 1678 cm<sup>-1</sup>. <sup>1</sup>H NMR (CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$ : 1.47 (s, 9H), 3.56 (s, 3H), 5.28 (s, 2H), 5.51 (d, 1H, *J* = 9.4 Hz), 5.63 (d, 1H, *J* = 9.4 Hz), 6.19 (d, 1H, *J* = 12.8 Hz), 7.36 (d, 1H, *J* = 12.8 Hz). <sup>13</sup>C NMR (CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$ : 27.8, 54.7, 76.9, 79.7, 101.8, 106.5, 113.0, 124.1, 132.0, 142.5, 154.8, 162.3, 165.4. MS (EI): *m/z* 262 (M<sup>+</sup>), 205 (bp). HRMS calcd for C<sub>15</sub>H<sub>18</sub>O<sub>4</sub>: 262.1205, found: 262.1205.

*t*-Butyl 6-bromo-1,2-dihydro-1-oxaazulene-3-carboxylate (4d). Prepared from 3d (172 mg, 0.55 mmol) and TMSC(Li)N<sub>2</sub> (1.1 mmol). The residue was purified by column chromatography on silica gel (Fuji Sylisia BW-200MH, hexane:EtOAc = 30:1) to give 4d (48 mg, 28 %). Red solid, mp 121-123 °C. IR (nujol): 1688 cm<sup>-1</sup>. <sup>1</sup>H NMR (CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$ : 1.47 (s, 9H), 5.33 (s, 2H), 5.40 (d, 1H, *J* = 9.7 Hz), 6.40 (d, 1H, *J* = 11.7 Hz), 6.51 (d, 1H, *J* = 9.7 Hz), 7.08 (d, 1H, *J* = 11.7 Hz). <sup>13</sup>C NMR (CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$ : 27.7, 78.0, 80.4, 102.9, 115.7, 116.5, 123.6, 134.9, 137.8, 142.4, 161.7, 171.4. MS (EI): *m/z* 312 (M<sup>+</sup>), 310 (M<sup>+</sup>), 254 (bp). HRMS calcd for C<sub>14</sub>H<sub>15</sub>O<sub>3</sub><sup>79</sup>Br: 310.0205, found: 310.0202.

*t*-Butyl 1,2-dihydro-1-oxa-6-trifluoromethylazulene-3-carboxylate (4e). Prepared from 3e (273 mg, 0.90 mmol) and TMSC(Li)N<sub>2</sub> (1.8 mmol). The residue was purified by column chromatography on silica gel (Fuji Sylisia BW-200MH, hexane:EtOAc = 30:1) to give 4e (60 mg, 22 %). Red solid, mp <30 °C. IR (nujol): 1659 cm<sup>-1</sup>. <sup>1</sup>H NMR (CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$ : 1.47 (s, 9H), 5.39 (s, 2H), 5.58 (d, 1H, *J* = 9.6 Hz), 6.24 (d, 1H, *J* = 12.5 Hz), 6.55 (d, 1H, *J* = 9.6 Hz), 7.27 (d, 1H, *J* = 12.5 Hz). <sup>13</sup>C NMR (CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$ : 27.7, 78.6, 80.8, 101.8, 124.3, 128.6, 128.7, 132.8, 142.4, 161.5, 174.7. MS (EI): *m/z* 300 (M<sup>+</sup>), 244 (M<sup>+</sup>- <sup>*t*</sup>Bu), 199 (bp). HRMS calcd for C<sub>15</sub>H<sub>15</sub>O<sub>3</sub>F<sub>3</sub>: 300.0973; found: 300.0979.

*t*-Butyl 1,2-dihydro-3,5-dimethyl-1-oxaazulene-3-carboxylate (4f). Prepared from 3f (86 mg, 0.33 mmol) and TMSC(Li)N<sub>2</sub> (0.65 mmol). The residue was purified by column chromatography on silica gel (Fuji Sylisia BW-200MH, hexane:EtOAc = 30:1) to give a mixture of 4f and its isomer (17 mg, 20 %, 4f : its isomer = 1.7 : 1). Red oil. IR (nujol): 1688 cm<sup>-1</sup>. 4f, <sup>1</sup>H NMR (CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$ : 1.70 (s, 9H), 2.27 (s, 3H),

2.30 (s, 3H), 5.30 (s, 2H), 5.62 (s, 1H), 5.72 (s, 1H), 7.17 (s, 1H); its isomer, <sup>1</sup>H NMR (CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$ : 1.54 (s, 9H), 1.95 (s, 3H), 2.00 (s, 3H), 4.86 (s, 2H), 6.54 (s, 1H), 6.63 (s, 1H), 7.55 (s, 1H). MS (EI): *m/z* 260 (M<sup>+</sup>), 203 (M<sup>+</sup>- <sup>*t*</sup>Bu), 159 (bp). HRMS calcd for C<sub>16</sub>H<sub>20</sub>O<sub>3</sub>: 260.1412; found: 260.1414.

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