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CRISS-CROSS CYCLOADDITION REACTIONS WITH HEXAFLUOROACETONE AZINE. MECHANISM AND SOME SYNTHETIC APPLICATIONS

\bold{K} laus Burger, *a Lothar Hennig, a Olaf Zeika, b and Andrea Lux b

^a Department of Organic Chemistry, University of Leipzig, Johannisallee 29, D-04103 Leipzig, Germany ^b Novaled GmbH, Tatzberg 49, D-01307 Dresden, Germany E-mail: burger@organik.chemie.uni-leipzig.de

Abstract – Using hexafluoroacetone azine as model compound we have shown that azomethine imines are the [1.1]intermediates of the criss-cross cycloaddition. The readily available trifluoromethyl substituted azomethine imines are reactive species exhibiting considerable synthetic potential for the construction of partially fluorinated heterocycles and polymers.

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 $*$ Dedicated to Prof. Barry M. Trost on the occasion of his 65th birthday

1. Introduction

In 1917 two milestone papers were published by Bailey *et al.* describing the first examples of tandem cycloaddition reactions of hetero-1,3-dienes.^{1,2} They found that benzalazine (1) and cyanic acid react to give a [2:1] adduct which they ascribed structure (**2**) (Scheme 1). The new reaction type represents a [1,3-2,4] cycloadditon of two CC double bond units to an azine skeleton. Consequently, the authors named the reaction criss-cross cycloaddition.

Over many years the discussion about the mechanism of the criss-cross cycloaddition was a controverisal issue. Tipping *et al.* proposed the structure of a Diels-Alder adduct for the [1:1] intermediate,³ while Huisgen⁴ interpreted the criss-cross cycloaddition as a sequence of two consecutive $[3+2]$ cycloaddition steps with an azomethine imine as the intermediate.

For many years the synthetic potential of the "criss-cross" cycloaddition for heterocyclic chemistry was virtually neglected. The situation changed immediately when the [1:1] intermediate of the criss-cross cycloaddition was isolated^{5,6} and fully characterized.⁶ The isolation of azomethine imines as stable [1:1] adducts enabled the development of a new type of the criss-cross cycloaddition, namely the "mixed" criss-cross cycloaddition.⁷ Furthermore, in 1975 the first examples of criss-cross cycloaddition reactions with acetylenes were described. $8-10$

2. Mechanism of the Criss-Cross Cycloaddition (1,3-2,4 Addition)

2.1. 1,5-Diazabicyclo[3.3.0]octanes

The breakthrough in criss-cross cycloaddition chemistry came in 1974, when a preparatively simple synthesis for hexafluoroacetone azine (3) was disclosed¹¹ which easily can be run in a 100 g scale. Crystalline [1:1] adducts (**4a, 4b**) were obtained when olefins like isobutylene and 1,1-diphenylethylene were reacted with equimolar amounts of 3 in a sealed tube at room temperature, neat or in solution.^{5,6} Separation of the [1:1] adducts from minor amounts of criss-cross by-products was achieved by

sublimation or recrystallization from hexanes. When the colorless crystals of the [1:1] adducts were dissolved in organic solvents yellow or green solutions were obtained. Above their melting points, the samples show a green color, changing back to colorless when the samples cyrstallize on cooling.

Based on the NMR spectroscopic data the structure of a Diels-Alder adduct (**5**) could be ruled out for the [1:1] adducts.⁶ Finally, X-Ray structure analysis unambigously revealed that the intermediate of the criss-cross cycloadditon of azines is an azomethine imine (**4**) 12 confirming Huisgens postulat (Scheme 2).^{4,13} The dipolmoments of **4a** ($R = CH_3$, 5.04 D) and **4b** ($R = C_6H_5$, 5.13 D) were measured in benzene, the values are in agreement with a 1,3-dipolar species.

2.2. 1,5-Diazabicyclo[3.3.0]octa-2,6-dienes. Valence Isomerization

At room temperature hexafluoroacetone azine (**3**) readily adds two equivalents of alkynes to give the corresponding criss-cross cycloadducts (6) , $9a,14$ which are thermolabile. The temperature necessary to start a tandem electrocyclic ring cleavage depends on the substitution pattern. While the valence isomerization of **6a** $(R = H)$ is complete at 120 ^oC (bath temperature) within 2 h, the transformation of **6b** $(R =$ phenyl) is quantitative after heating up to 150 °C (bath temperature) for 3 h. Therefore, [2:1] adducts obtainend by Tipping *et al.* in a sealed tube reaction at 170 $\mathrm{^{\circ}C^{5}}$ are open-chain valence isomers (7) and not [1.5]-diazabicyclo[3.3.0]octa-2,6-dienes (**6**) as they originally postulated.

The reaction of **3** with methyl propiolate gave a mixture of three isomers $(8 - 10)^{14}$ of different thermostability. While compound (8) undergoes ring opening $(8 \rightarrow 11)$ at $130 - 140$ °C, 10 is stable even

on heating to 200 °C for 30 min. Compound (9) was unchanged after heating to 170 °C for 15 h. However, photochemical ring opening ($9 \rightarrow 12$) was achieved quantitatively within 3 h, indicating that the right location of the substituents is responsible for the stability of the bicyclic system. Surprisingly, the retro reaction $(12 \rightarrow 9)$ takes place on standing at room temperature within a few days (Scheme 4).

When **3** was reacted with two equivalents of ethoxypropyne, ¹⁹F NMR spectroscopy reveals that after stirring the reaction mixture for 8 d at 0° C and further 3 d at room temperature the azomethine imine (14) was formed in very good yields and can be trapped by various dipolarophiles to give mixed criss-cross cycloaddition products ($14 \rightarrow 15$). Trapping reactions with propiolates occur regiounspecifically ($14 \rightarrow$ **16a,b**) (Scheme 5).15

In contrast, azomethine imine (17) formed from 3 and diethylaminopropyne at -50 $\rm{^{\circ}C}$ to -20 $\rm{^{\circ}C}$, without trapping reagent already at 0° C rearranges to give an open chain product $(20)^{15}$ Two mechanistic alternatives *via* intermediates (**18**) and (**19**) have been discussed.

3. Structure and Reaction Behavior of the [1:1] Adducts (Azomethine Imines)

The trifluoromethyl substituted azomethine imines (**4**) are reactive species and show considerable synthetic potential, *i.e.* for the construction of partially fluorinated heterocycles.

3.1. Addition of Nucleophiles

Alcohols add to azomethine imines (**4**) to give 1,3-adducts (**21**).16 In contrast, treatment with ethane thiol results in a reduction of the 1,3-dipolar skeleton $(4 \rightarrow 22)$. Compounds (22) are also obtainable on treatment of (4) with LiAlH₄ or by catalytic hydrogenation.

[1:1] Adducts from **4** and phosphites were assigned the structure of [7,7,7-trifluoro-2,2,6-tris(trifluoromethyl)-1,5-diaza-5-heptenylidene]phosphoranes (**24**).17 A reaction sequence including a $[3+1]$ cycloaddition to give a five-coordinated phosphorus species (23) followed by a $[2+2]$ cycloreversion ($4 \rightarrow 23 \rightarrow 24$) plausibly explains the experimental facts.

3.2. [3+2] Cycloaddition versus Heterolytic Cleavage¹⁸

Hexafluoroacetone azine (**3**) readily reacts with open-chain and cyclic enol ethers to give azomethine imines $(25, 30, 30)$ and $34)$. When 2 equivalents of vinylether $CH₂=CHOR$ were added in a temperature range of -20 to -10 °C criss-cross cycloadducts (26) were formed. Both cycloaddition steps occur regiospecifically. The influence of solvent polarity on the diastereomeric ratio is negligable. However the diastereomeric ratio can be manipulated by varying the size of substituent R. Monitoring the progress of the reaction by 19F NMR spectroscopy we found that the diastereomeric ratio **26** : **26´** changed from 3:2 to 4:1 on substitution of $R = C_2H_5$ by n-C₄H₉ and i-C₄H₉ respectively.

Compounds (26) $(R = C_2H_5)$ start to eliminate ethanol already below room temperature, at 100 °C (3 h) 26 was nearly completely transformed into $1,5$ -diazabicyclo $[3.3.0]$ oct-2-enes (27) . At about 140 °C a second mol of ethanol was split off to give the 1,5-diazabicyclo[3.3.0]octa-2,6-diene (**6a**) which itself is thermolabile (*vide supra*) ($6a \rightarrow 7a$). However, a mechanistic alternative pathway to 7a seems possible $(27 \rightarrow 28 \rightarrow 29 \rightarrow 7a)$.

Azine (3) and α -substituted enol ethers $CH_2=CR^2-OR^1$ even when the latter were added in excess react to give exclusively [1:1] adducts. ¹⁹F NMR spectral analysis reveals that the adduct formed first is an azomethine imine (**30**), which rearranges to give hydrazones (**32**) and (**33**). The incorporation of a second substituent R^2 into skeleton position C-5 causes an elongation of the C(5)-N(1)-bond which now becomes sensitive to heterolytic cleavage especially in polar media at elevated temperatures. The zwitterionic species (**31**) formed stabilizes by proton transfer.

Cyclic enol ether like 2,3-dihydrofuran and 2,3-dihydro-4*H*-pyran studied so far add to **3** to give azomethine imines (**34**) which have been isolated and fully characterized. Compound (**34a**) (n=1) is susceptible to add a second molecule of the enol ether to give the criss-cross cycloadduct (**35**), while compound (**34b**) (n=2) in polar solvents or in the presence of proton and Lewis acids rearrange to give hydrazones (**36**).

Hexafluoroacetone azine (**3**) and enamines react analogously.18

3.3. [2,3] Sigmatropic Rearrangements

Azomethine imines (**37**) generated from **3** and 2,3-dimethylbutadiene on heating undergo a [2,3] sigmatropic rearrangement ($37 \rightarrow 38$) to give tetrahydropyridine derivatives.¹⁹

3.4. 3-Trifluoromethylpyrazoles from 1*H***-3-Pyrazolines**

On treating **3** with olefins of type R^1 -CH=CH- R^2 -CH=CH-R2 2-[3,3-bis(trifluoromethyl)-1-pyrazolin-1-ylio]- 1,1,1,3,3,3-hexafluoro-2-propanides (**39**) are initially formed, which are capable to react further to give criss-cross cycloadducts or rearrange to give $1H$ -3-pyrazolines $(40)^{20,21}$ The rate of the proton migrations depends on the substituent pattern of the olefins. Especially in the case of terminal olefins with bulky substituents the yields of 1*H*-3-pyrazolines (**40**) are high because the competing [3+2] cycloaddition to give criss-cross adducts is sterically unfavorable.

5,5-Bis(trifluoromethyl)-1*H*-3-pyrazolines (**40**) have been transformed into pyrazoles (**41**) by flow pyrolysis at 450 $^{\circ}$ C.^{3,22} We found that this heteroaromatization process already occurs below 200 $^{\circ}$ C. In certain cases the CHF₃-elimination already starts on prolonged standing at room temperature.²³

3.5. *N-***(Perfluoro-***t***-butyl)pyrazoles. [1,4]-Trifluoromethyl Group Migration**

An efficient approach to *N*-(perfluoro-*t*-butyl)-3-trifluoromethylpyrazole (**42**) was achieved starting from **3** as fluorinated building block. Azomethine imines (**14**) obtained from **3** and ethoxyacetylenes are the key intermediates of the synthesis. Surprisingly, a [1,4]-trifluoromethyl group migration already occurs on prolonged standing of the 1,3-dipolar species (14) at room temperature.²⁴ Again heteroaromatization is the driving force of the rearrangement $(14 \rightarrow 42)$. To the best of our knowledge this is the first case of a construction of a perfluoro-t-butyl group *via* trifluoromethyl group [1,4]-migration.

4. Mixed Criss-Cross Cycloaddition and Consecutive Reactions

4.1. 1.5-Diazabicyclo[3.3.0]octanes

Bicyclic systems with highly variable substitution patterns are easily obtainable *via* mixed criss-cross cycloaddition using different 1,3-dipolarophiles for both cycloaddition steps.

4.2. 1.5-Diazabicyclo[3.3.0]oct-2-enes. Valence Isomerization

1,5-Diazabicyclo[3.3.0]oct-2-enes are readily available *via* different routes applying the concept of the mixed criss-cross cycloaddition. Consecutive addition of an olefin and an acetylene to the hexafluoroacetone azine skeleton $(3 \rightarrow 4 \rightarrow 43)$ and consecutive addition of an olefin and an enolether followed by elimination of alcohol $(3 \rightarrow 4 \rightarrow 44)$ are now standard strategies for the synthesis 1,5-diazabicyclo[3.3.0]oct-2-enes.

1,5-Diazabicyclo[3.3.0]oct-2-enes are interesting candidates for ring transformations. There are two options to start the reaction sequence, namely *via* electrocyclic ring opening or *via* [3+2] cycloreversion. Since both reactions are orbital symmetry controlled processes, therefore the reaction pathway which finally is observed very much depends on the substituent pattern.

On heating compounds of type (**44**) undergo an electrocyclic ring opening to give 1,5-dipolar species (**45**).25 The extended dipolar system reacts with dipolarophiles as 1,3-dipole to give thermally unstable [3+2] cycloadducts (45 \rightarrow 46), e.g. tetracyanoethylene is added site selectively across the CC double bond. Already at room temperature a [3+2] cylcoreversion process takes place. The over-all reaction represents a metathesis $(45 \rightarrow 47)^{26}$ giving ready access to cyano-substituted azomethine imines and 1,3-dienes.

4.3. 1-Vinyl-1*H***-pyrazoles**

When the mixed criss-cross cycloadduct (**48**) obtained from **3**, 2,3-dimethylbutadiene and phenylacetylene was submitted to thermolysis, 1-vinylpyrazole (**53**) was formed. The multistep procedure can be understood as a sequence of orbital symmetry controlled reactions, namely [3+2] cycloreversion $(48 \rightarrow 49)$,²⁷ electrocyclic ring closure $(49 \rightarrow 51)$, electrocyclic ring opening $(51 \rightarrow 52)^{28}$ and finally $[1,5]$ hydrogen shift $(52 \rightarrow 53)$.

4.4. 4*H***-5,6-Dihydro-1,2-diazepines**

When 2-phenyl-4,4,8,8-tetrakis(trifluoromethyl)-1,5-diazabicyclo[3.3.0]oct-2-enes (**54**) were heated (bath temperature: 200 °C), elimination of 1,1-bis(trifluoromethyl)ethylene occurs. The NMR spectra indicate that a symmetrical compound is formed. MS spectrometry shows a ring contraction $[M - N_2]^+$ indicating that a azine subunit is still present in the new compound which we ascribe structure (**59**) based on the spectroscopical data. Again a domino reaction²⁹ nicely explains the unexpected formation of 5,5-bis(trifluoromethyl)-4*H*-5,6-dihydro-1,2-diazepine (**59**).30 The reaction sequence starts with an electrocyclic ring opening with formation of a 1,5-dipol $(54 \rightarrow 55)$ (*vide supra*). Further steps which are involved in this cascade are a [3+2] cycloreversion, proton migration, 7-*endo* trig ring closure³¹ and finally proton migration to give a cyclic azine system (**59**).

Structure (**59)** was proved by a ring transformation consisting of photobromination, dehydrobromination and finally thermally induced heteroaromatization **(59→ 61**).

4.5. Site Selective [3+2] Cycloaddition of Tetracyanoethylene

Cycloaddition reactions to tetracyanoethylene (TCNE) generally proceed with high site selectivity accross the CC double bond. Examples were the nitrile group acts site selectively as dipolarophile or dienophile are rare.32

Azomethine imines (**4)** react with tetracyanoethylene in refluxing benzene to give dark red crystalline adducts we ascribe structure (62) ³³. The [3+2] cycloaddition process occurs site and regio specifically across one of nitrile groups.

4.6. Stable Triaziridines. Dipol Metathesis

Triaziridines³⁴ and pentazoles³⁵ are important members of the rare class of nitrogen homocycles. Azimines (**64**) are the result of a dipol metathesis consisting of a [3+2] cycloaddition of trifluoronitrosomethane to azomethine imine (**4**) and successive [3+2] cycloelimination of hexafluoroacetone.³⁶ Ring closure to give the valence isomer was achieved on irradiation with a photo lamp ($\lambda = 253.7$ nm) at room temperature.³⁷ The triaziridines (65) formed were purified by distillation *in vacuo* because they are thermally stable up to 80 °C.

Since triaziridines (**65**) like other three-membered heterocycles are valence isomers of 1,3-dipoles (**64**), they can be transformed into azimines on heating above $100\,^{\circ}\text{C}^{37}$ The photochemical ring closure and the thermal ring cleavage can be repeated several times confirming the structural assignment.

5. Polymerization *via* **Criss-Cross Cycloaddition**

The reaction of hexafluoroacetone azine with linear α ,ω-diolefins was used to synthesize a new type of polymers (66) with diazabicyclo^[3.3.3]octane-diyl subunits in the main chain.³⁸ The polymerization process represents a sequence of [3+2] cycloaddition reactions. The solubility of the polymers strongly depends upon the flexibility of the polymer chain which is a function of the chain length. In contrary,

cyclic dienes like 1,4-cyclohexadiene and 1,5-cyclooctadiene do not react with hexafluoroacetone azine under identical reaction conditions (no solvent, room temperature).

Soluble polymers (67) have been obtained on reaction with substituted linear α , ω -diolefines, e.g. O-acylated 1,6-heptadien-4-ols.³⁹

The reaction between hexafluoroacetone azine and bis(bicyclo[2.2.1]hept-5-en)-2,3-dicarboximides (dinadimides) yields polymers (**68**) with number-average molar masses between 1700 and 5700 depending on the reactants.40 In contrast to the above results, **3** and dimaleimides do not react to form polymers.^{41,42}

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