

Coordination Mode and Bonding Nature of Carbon Dioxide in d^8 $[\text{Co}(\text{alcn})_2(\text{CO}_2)]^-$ ($\text{alcn} = \text{HNCHCHCHO}^-$). An *ab Initio* MO Study

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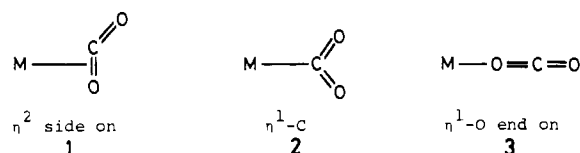
A theoretical study, based on *ab initio* MO calculations, has been carried out on a d^8 Co(I)-CO₂ complex, $[\text{Co}(\text{alcn})_2(\text{CO}_2)]^-$ ($\text{alcn} = \text{HNCHCHCHO}^-$), which has been examined as a model of the well-known η^1 -C-coordinated CO₂ complex $\text{M}[\text{Co}(\text{R-salen})(\text{CO}_2)]$ (R-salen = alkyl- (R-) substituted *N,N'*-ethylenebis(salicylideneaminato); M = alkali-metal cation). The η^1 -C coordination mode is calculated to be the most stable among the three possible coordination modes (η^2 side-on, η^1 -C coordination, and η^1 -O end-on modes), whereas the η^2 side-on and η^1 -O end-on modes appear to be unstable. These results are well rationalized in terms of molecular orbital interactions, taking into account the electrostatic interaction between CO₂ and $[\text{Co}(\text{alcn})_2]^-$. On the basis of the same criteria, the coordination mode of CO₂ is predicted for various transition-metal complexes. Driving forces for the CO₂ bending and effects of an alkali-metal counteranion are also investigated; the CO₂ bending strengthens the charge-transfer interaction from the Co d_{z^2} orbital to the CO₂ π^* orbital and weakens two pairs of four-electron destabilizing interactions, i.e., Co d_{z^2} -CO₂ π and Co d_{xy} -CO₂ $n\pi$ ($n\pi$ = the nonbonding π orbital). The presence of a Li⁺ cation greatly enhances the CO₂ coordination through electrostatic interaction between Li⁺ and CO₂ and polarization of CO₂ by Li⁺.

Introduction

There is much current interest in transition-metal carbon dioxide complexes with the aim of carbon dioxide fixation into organic substances, since coordination to transition-metal ions is one of the most powerful ways of activating inert molecules.² Over the last decade, intense efforts have been made to synthesize and characterize transition-metal carbon dioxide complexes. Unfortunately, carbon dioxide very rarely forms stable adducts with transition metals, and only a restricted number of transition-metal carbon dioxide complexes have been isolated and structurally characterized.³⁻⁷ Furthermore, effective catalytic reduction of carbon dioxide to useful organic substances has not been generally successful, except for the case of a few pioneering works.^{7c,8-11}

In this light, we need fundamental information concerning bonding nature, stereochemistry, reactivity, and electronic structure of transition-metal carbon dioxide complexes. Such information can be obtained from a theoretical study of transition-metal carbon dioxide complexes. Yet, only a few MO investigations have been reported,¹²⁻¹⁴ and the above-mentioned issues have not been fully elucidated. A comprehensive discussion of the coordination mode is still lacking, and factors determining the coordination mode

Chart I



are also ambiguous, although one of the characteristic features of transition-metal carbon dioxide complexes is the presence of several coordination modes, i.e. the η^1 -C mode (1), the η^2 side-on mode (2), and the η^1 -O end-on mode (3) (Chart I). Some of these modes have been discussed in an *ab initio* MO study of $\text{Ni}(\text{P-H}_3)_2(\text{CO}_2)$ ¹³ and an EH-MO study of $[\text{Co}(\text{NH}_3)_4(\text{CO}_2)]^+$.¹⁴ However, both studies do not seem sufficient; for example, in the *ab initio* MO study a detailed discussion of the η^1 -C mode was not reported, and in the EH-MO study the electrostatic interaction, which might be important for determining the relative stability of the three coordination modes, is hardly taken into account.

Hence, the interaction between carbon dioxide and a metal center should be theoretically investigated in more detail, in order to get convincing information about the coordination modes. We present here an *ab initio* MO study of the η^1 -C-coordinated Co(I)-CO₂ complex $[\text{Co}(\text{alcn})_2(\text{CO}_2)]^-$ ($\text{alcn} = \text{HNCHCHCHO}^-$), either with or without the Li⁺ counteranion. This complex is believed to be a realistic model of $\text{M}[\text{Co}(\text{R-salen})(\text{CO}_2)]$ (M = alkali-metal cation; R-salen = alkyl- (R-) substituted *N,N'*-ethylenebis(salicylideneaminato)), which is a well-known η^1 -C-coordinated carbon dioxide complex.⁴ Our goal is (a) to elucidate why the η^1 -C coordination mode is stable but why the other modes are not isolated in the Co(I) complex, (b) to clarify the driving force necessary to distort carbon dioxide from its equilibrium linear structure to the bent one in the Co(I) complex, and (c) to delineate the effect of an alkali-metal counteranion on the CO₂ coordination. It is also our intention with this work to summarize the results of MO studies of transition-metal carbon dioxide complexes and to present general rules for predicting the coordination mode by considering the nature of the metal center.

Computational Details

All-electron *ab initio* MO calculations of $[\text{Co}(\text{alcn})_2]^-$, $[\text{Co}(\text{alcn})_2(\text{CO}_2)]^-$, and $\text{Li}[\text{Co}(\text{alcn})_2(\text{CO}_2)]$ were carried out with two different basis sets by using the IMSPAK¹⁵ and ASTERIX¹⁶ program systems. In a

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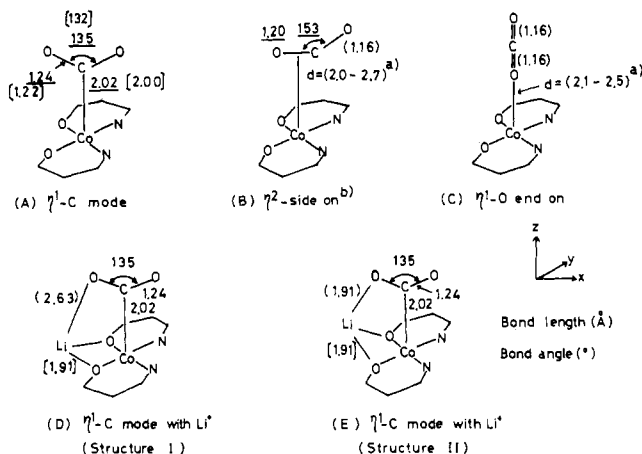


Figure 1. Geometry of Co(I)-CO₂ complexes examined here: underline, optimized value; [], experimental value; (), assumed value. (a) No local minimum was found in this region examined. (b) The geometry of the CO₂ part was optimized at $d = 2.0 \text{ \AA}$.

smaller basis (SB) set, a (12s 7p 5d) primitive set contracted to [5s 3p 3d],¹⁷ the usual 4-31G, and the 31G basis sets¹⁸ were used for the cobalt, the first-row, and the hydrogen atoms, respectively. Geometry optimization and preliminary investigation of Co(I)-CO₂ complexes were carried out with this basis set. A larger basis (LB) set was employed for an energetic comparison of various structures and a discussion of the bonding nature. In the LB set, a (14s 9p 5d) primitive set was contracted to [6s 4p 3d] for cobalt.¹⁹ Standard (9s 5p) and (4s) sets²⁰ were contracted to [3s 2p] and [2s] for the first-row and hydrogen atoms, respectively. For the lithium atom, a (9s 4p) primitive set was contracted to [3s 2p].²¹

A closed-shell singlet state was assumed for [Co(alcn)₂]⁻, [Co(alcn)₂(CO₂)]⁻, and Li[Co(alcn)₂(CO₂)], as described in our preliminary work,²² since diamagnetism has been experimentally reported in Na[Co(R-salen)],^{4b} Na[Co(R-salen)(CO₂)], and Na[Co(R-salen)(py)(CO₂)]²³ (py = pyridine).

The structures of Co(I)-CO₂ complexes examined here are displayed in Figure 1. Some geometrical parameters important for the carbon dioxide coordination were optimized, while the remaining geometrical parameters of the Co(alcn)₂ moiety were taken from appropriate references and fixed during optimization. For instance, the geometries of the CoN₂O₂ core and the alcn ligand were taken from the experimental structures of K[Co(Pr-salen)(CO₂)]^{4b} and [Co(salen)₂]²⁴ (salen = bis(salicylaldehyde) ethylenediimine), respectively, assuming a planar structure of the Co(alcn)₂ part. In the η¹-C mode, the Co-C and C-O distances and the OCO angles were optimized independently, assuming a C_s symmetry^{4b} and equal C-O bond distances (Figure 1A).^{25a} In the

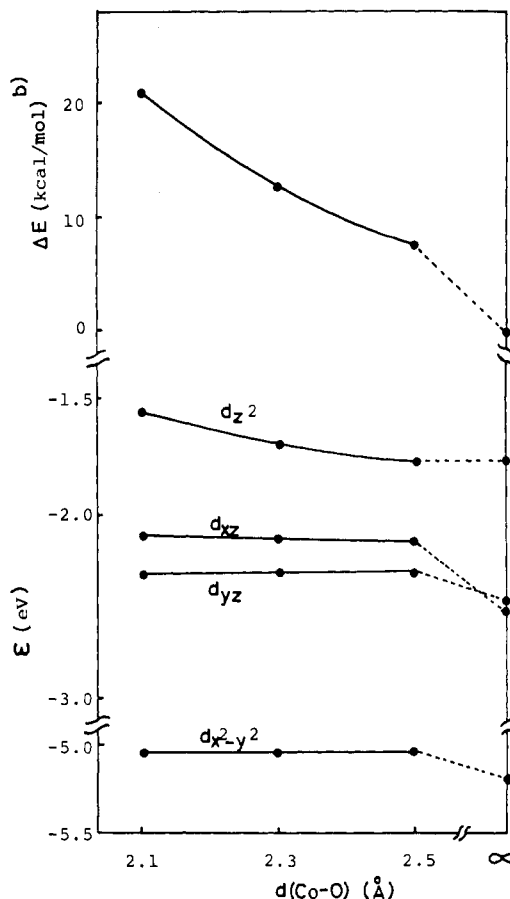


Figure 2. Variation of total energy and energy level of d orbitals near the HOMO as a function of the $R(\text{Co-O})$ distance in the η¹-C end-on mode (see note a). (a) The small basis set is used. (b) $\Delta E = E_{\text{t}}[\text{Co}(\text{alcn})_2(\text{CO}_2)] - E_{\text{t}}[\text{Co}(\text{alcn})_2] - E_{\text{t}}(\text{CO}_2)$.

η² side-on mode, the coordinated C=O bond was placed on the xz plane perpendicularly to the z axis. The coordinated C=O bond length and the OCO angle of carbon dioxide were optimized at $R = 2.0$ and 2.5 \AA (see Figure 1B for R), while the other C=O bond length was kept at 1.160 \AA (the experimental value of carbon dioxide).^{25b} In the η¹-O end-on mode, the CO₂ ligand was placed on the z axis perpendicularly to the Co(alcn)₂ plane, assuming the two C=O bond distances to be 1.160 \AA and varying only the Co-O distance. Although the examination of this mode seems rather brief, it was found certainly that this mode is unlikely even in a local minimum, as will be described later.

To investigate the nature of the bonding, the energy decomposition analysis (EDA), proposed by Morokuma et al.,²⁶ was applied here. Since this EDA method has been reported elsewhere,²⁶ only its outline is given here. The total complex, [Co(alcn)₂(CO₂)]⁻, is considered to consist of two fragments, the [Co(alcn)₂]⁻ part and the CO₂ ligand. The binding energy (BE), the deformation energy (DEF), and the interaction energy (INT) are defined, as follows:

$$\text{BE} = E_{\text{t}}\{\text{Co}(\text{alcn})_2(\text{CO}_2)\}_{\text{opt}} - E_{\text{t}}\{\text{Co}(\text{alcn})_2\} - E_{\text{t}}(\text{CO}_2)_{\text{eq}} \\ = \text{DEF} + \text{INT} \quad (1)$$

$$\text{DEF} = E_{\text{t}}(\text{CO}_2)_{\text{dist}} - E_{\text{t}}(\text{CO}_2)_{\text{eq}} \quad (2)$$

$$\text{INT} = E_{\text{t}}\{\text{Co}(\text{alcn})_2(\text{CO}_2)\}_{\text{opt}} - E_{\text{t}}\{\text{Co}(\text{alcn})_2\} - E_{\text{t}}(\text{CO}_2)_{\text{dist}} \quad (3)$$

The subscripts "eq" and "dist" denote the equilibrium structure and distorted structure, respectively (note that CO₂ is distorted in the Co(I)-CO₂ complex). INT is then divided into several terms

$$\text{INT} = \text{ES} + \text{EX} + \text{FCTPLX} + \text{BCTPLX} + \text{R} \quad (4)$$

i.e. electrostatic (ES), exchange repulsion (EX), forward charge transfer from CO₂ to [Co(alcn)₂]⁻ (FCTPLX), back charge transfer from [Co-

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(25) (a) A rotation of CO₂ around the Co-C bond was not examined here, considering the rather large size of [Co(alcn)₂(CO₂)]⁻. The orientation of the CO₂ ligand was assumed to be the same as that in K[Co(R-salen)(CO₂)].^{4b} (b) The length of the C=O bond was optimized to be 1.158 \AA with the 4-31G set, which is very close to the experimental value. Sutton, E., Ed. *Tables of Interatomic Distances and Configurations in Molecules and Ions*; Special Publication No. 18; The Chemical Society: London, 1965.

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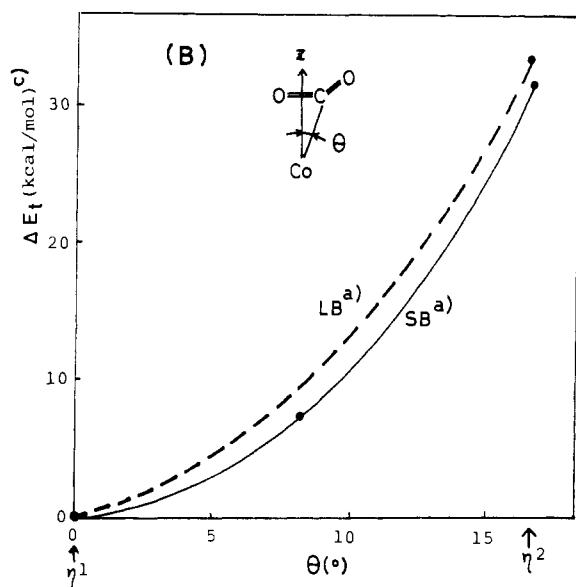
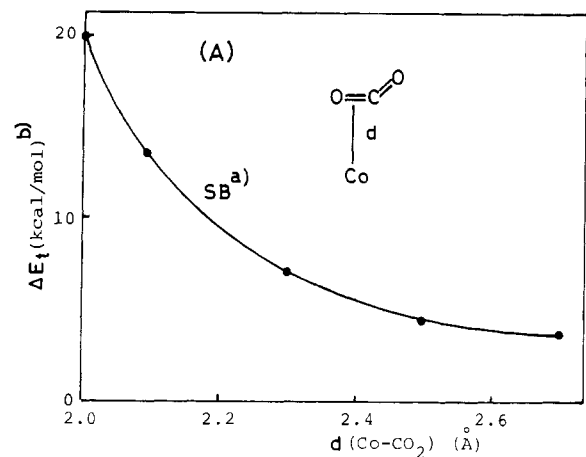


Figure 3. Energy changes along the dissociation path of the CO_2 ligand in the η^2 side-on $[\text{Co}(\text{alcn})_2(\text{CO}_2)]$ (A) and along the linear transit between the η^1 -C mode and the η^2 side-on mode of $[\text{Co}(\text{alcn})_2(\text{CO}_2)]$ (B). (a) SB = small basis set. LB = larger basis set. See the text (computational details) for SB and LB. (b) The zero of energy for the separate molecules. (c) Zero of energy refers to the η^1 -C mode.

$(\text{alcn})_2]^-$ to CO_2 (BCTPLX), and a higher order remaining term (R).²⁷

Results and Discussion

Preliminary Investigation of Three Coordination Modes. The optimized structure of the η^1 -C mode agrees well with the experimental structure, as shown in Figure 1A. The binding energy of CO_2 in this coordination mode is calculated to be 6.2 kcal/mol by using the LB set.^{28a} This value seems rather small for a usual

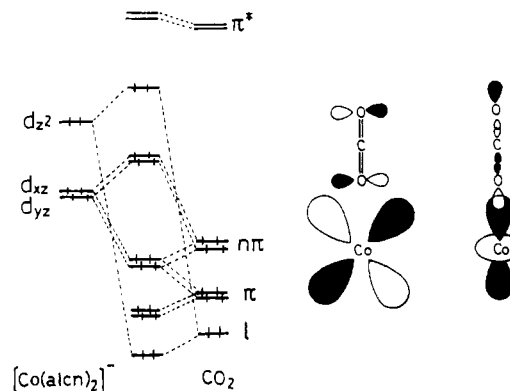
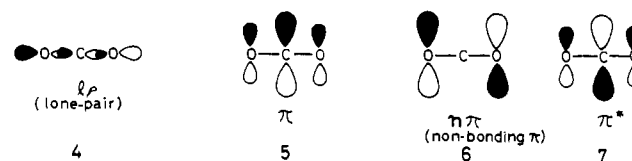


Figure 4. Schematic orbital correlation diagram and the important four-electron destabilizing interactions for the η^1 -O end-on mode.

Chart II



coordination bond. The presence of the Li^+ cation, however, leads to a greater value of ca. 20 kcal/mol (in the LB set calculation).^{28b} Thus, it is reasonably concluded that the η^1 -C mode is a stable coordination mode and that a Li^+ counterion plays an important role in stabilizing this complex.

The potential energy curve of the η^1 -O end-on mode, computed with the SB set, is shown as a function of the distance R between cobalt and oxygen atoms in Figure 2 (see Figure 1C for the definition of R). This curve is apparently repulsive in the range of $R = 2.1$ – 2.5 Å, although the SB set tends to overestimate the binding energy. The lengthening of the $\text{C}=\text{O}$ bond was examined, in order to consider the weakening of the $\text{C}=\text{O}$ bond upon coordination. Such calculations, however, resulted in further destabilization by ca. 4 and 6 kcal/mol for the lengthening (0.05 Å) of one and two $\text{C}=\text{O}$ bonds, respectively. We cannot rule out the possibility that some binding energy would be found at an R distance longer than 2.5 Å. But, the interaction between cobalt and carbon dioxide would be very weak for such a long distance and would not correspond to a normal coordination bond. Thus, the η^1 -O end-on mode appears to be unlikely in the $\text{Co}(\text{I})$ complex, which will be supported later by an orbital interaction diagram.

The potential curve for the η^2 side-on mode is repulsive (see Figure 3A).^{31a} The fact that the η^2 mode is less stable than the η^1 -C mode is also well exemplified by the conversion to the η^1 -C mode from the η^2 mode in which the geometry change was

(27) Carbon dioxide has the Lewis acidic center on the carbon atom and the Lewis basic center on the oxygen atom. In the η^1 -C mode, the acidic center interacts with the cobalt of $[\text{Co}(\text{alcn})_2]^-$. In this light, the forward charge transfer might be defined as the charge transfer from $[\text{Co}(\text{alcn})_2]^-$ to CO_2 , strictly speaking. However, the usual definitions of FCTPLX and BCTPLX are used to avoid the confusion with the general concept that the coordinate bond is mainly formed by the charge transfer from ligand to metal.

(28) (a) An MO calculation with the SB set yields a binding energy of 13.6 kcal/mol. In this calculation, the basis set superposition error (BSSE) of the η^1 -C mode was estimated to be 14.5 kcal/mol with the ghost orbital (GO) method²⁹ and 4.2 kcal/mol with the ghost virtual orbital (GVO) method.³⁰ The true value of BSSE would lie between these two values, since the GO method overestimates the BSSE. A small binding energy is, therefore, expected for this mode. (b) The BSSE value for the LB set should be smaller than the value for the SB set. The value of 20.0 kcal/mol for the binding energy would not be significantly decreased after correction of the BSSE.

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(31) (a) The reoptimization of the CO_2 part at $R = 2.5$ Å resulted in a very small binding energy of 2 kcal/mol (the SB set calculation). The BSSE value was, however, estimated to lie between 6.8 and 2.4 kcal/mol, which were calculated by the GO and GVO methods,^{29,30} respectively. Even the smaller value of BSSE cannot lead to the binding energy for the CO_2 coordination after correction of the BSSE. (b) In the η^2 mode, the distance R was taken to be 2.02 Å (the same distance as that of the η^1 -C mode) and the geometry of the CO_2 ligand was assumed to be the same as the optimized one at $R = 2.0$ Å (differences in the structure and energy between $R = 2.0$ Å and $R = 2.02$ Å are not expected to be large). Both the geometry change modeled by a linear transit and the assumed geometry of the η^2 mode seem rather arbitrary. In this light, the conversion to the η^1 -C from the η^2 mode must be examined in more detail, in future. However, it appears to reasonably conclude that the η^2 mode is less stable than the η^1 -C mode, since any effective stabilization was not found in a normal coordinating distance, as described above.

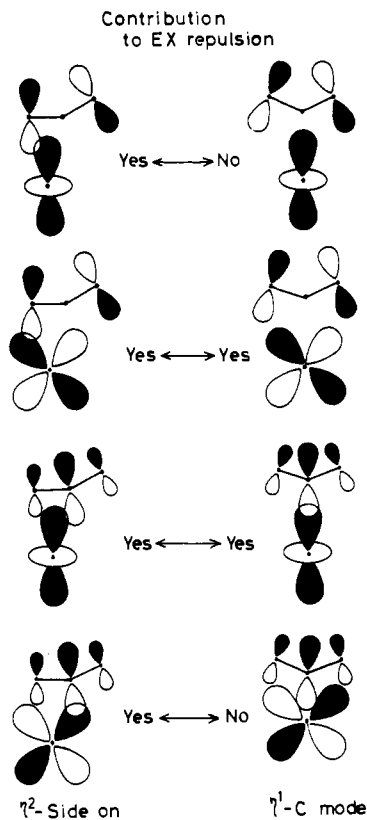


Figure 5. Four-electron destabilizing interactions in the η^1 -C mode and η^2 side-on mode.

modeled by a linear transit between these two limiting structures.^{31b} The total energy given in Figure 3B shows a continuous decrease on going to the η^1 -C mode from the η^2 mode, again suggesting that the η^2 side-on mode does not even remain in a local minimum and is less stable than the η^1 -C mode.

From these results, we can draw a reasonable conclusion that the η^1 -C mode is the only stable coordination mode in the d⁸ [Co(alcN)₂(CO₂)]⁻ complex.

Why Is the η^1 -O End-On Mode Unstable? Before starting a discussion of the three coordination modes, it is instructive to briefly examine important orbitals of carbon dioxide and [Co(alcN)₂]⁻. In the CO₂ ligand (Chart II) the O-end lone pair (4), the π (5), the nonbonding $n\pi$ (6), and the π^* (7) orbitals are important for interaction with the transition metal, of which the first three are doubly occupied, the $n\pi$ is the HOMO, and the π^* orbital is the LUMO. In the η^1 -C and η^2 side-on modes, the π , $n\pi$, and π^* orbitals are classified into two types, those belonging to the plane consisting of Co and CO₂ and the others being perpendicular to this plane. The former are expected to play a key role in the interaction between Co and CO₂, as will be discussed later, and are denoted by π_{\parallel} , $n\pi_{\parallel}$, and π^*_{\parallel} . The latter are labeled π_{\perp} , $n\pi_{\perp}$, and π^*_{\perp} . [Co(alcN)₂]⁻ has a HOMO, mainly composed of the Co d_{z^2} orbital, at a rather high energy level, -2.36 eV (the LB set calculation). The Co d_{xz} and d_{yz} orbitals lie lower in energy than the HOMO by ca. 0.6 eV. The empty Co 4s and 4p_z orbitals, which might be important for the charge-transfer interaction from CO₂ to Co, are found remarkably high in energy above some π^* orbitals of the alcN ligand, suggesting a very weak Lewis acidity for the [Co(alcN)₂]⁻ system.

From symmetry considerations, the η^1 -O end-on mode is expected to involve several σ -type interactions between the lone pair (lp) of CO₂ and Co 3d_{z²}, 4s, and 4p_z orbitals and also π -type interactions between CO₂ π , $n\pi$, and π^* and Co d_x orbitals. Stabilizing interactions arise from the CO₂ lp -Co 4s, CO₂ lp -Co 4p_z, and CO₂ π^* -Co d_x pairs, whereas destabilizing interactions arise from the CO₂ lp -Co d_{z^2} , CO₂ π -Co d_x , and CO₂ $n\pi$ -Co d_x pairs. Both the d_x and d_{z^2} orbitals of cobalt are calculated to be raised in energy by the coordination of CO₂ (see the lower part of Figure 2). Also, CO₂ approaching Co hardly stabilizes the lone

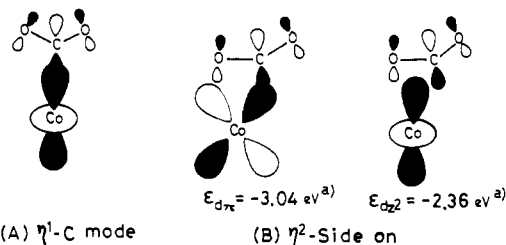


Figure 6. Important charge-transfer interactions in the η^1 -C mode and η^2 side-on mode. (a) Orbital energy in [Co(alcN)₂]⁻. The LB set is used.

Table I. Changes in Electron Population Caused by the Coordination of Carbon Dioxide^a and Energy Decomposition Analysis of the Interaction between CO₂ and [Co(alcN)₂]⁻ (kcal/mol)

	η^2 side on ^b	η^1 -C mode	
		bent CO ₂ ^c	linear CO ₂ ^d
Electron Populations			
Co	-0.18	-0.40	-0.24
s	+0.04	+0.09	+0.04
p	+0.01	-0.07	-0.10
d	-0.23	-0.43	-0.17
d _{z²}	-0.07	-0.63	-0.24
d _{xz}	-0.19	0.08	0.04
CO ₂	+0.28	+0.71	+0.31
Energies			
BE	27.0	-6.2	54.8
DEF	16.0	49.2	13.1
INT	11.0	-55.4	41.5
ES	-85.2	-67.9	-83.7
EX	147.6	103.8	170.2
FCTPLX	-14.9	-12.1	-10.8
BCTPLX	-34.1	-53.0	-29.1
R	-2.4	-26.2	-5.1

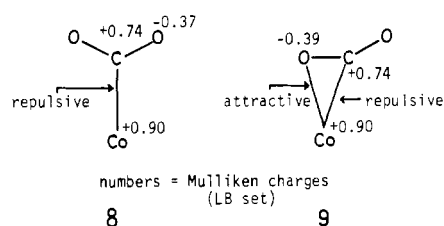
^a The LB set is used. ^b This structure is the same as that of η^2 side on given in Figure 1B. ^c The optimized geometry. ^d Only the OCO angle is changed to 180° from the optimized structure.

pair (lp) and $n\pi$ orbitals.³² Furthermore, the antibonding interactions are found in the Co d_x and d_o orbitals, as shown in the right half of Figure 4. These results, suggesting that the destabilizing interactions are predominant, are well explained by a schematic picture of the orbital interaction diagram sketched in Figure 4. A characteristic of this diagram is two pairs of four-electron destabilizing interactions, one between the Co d_{z^2} orbital and the lone pair of CO₂ and the other between the Co d_x orbital and the $n\pi$ orbital of CO₂. Such an unfavorable situation arises from the presence of high-lying occupied d_{z^2} and d_x orbitals and the absence of a good accepting orbital in [Co(alcN)₂]⁻. From these results it is concluded that the η^1 -O end-on coordination is repulsive owing to four-electron destabilizing interactions.

Comparison of the η^1 -C Mode with the η^2 Side-On Mode. Now, let us investigate why only the η^1 -C mode is stable and why the η^2 side-on mode does not even remain in a local minimum. In the comparison of the η^1 -C mode with the η^2 side-on mode, the four-electron destabilizing interactions will be examined first. As schematically shown in Figure 5, the η^2 side-on mode involves four pairs of four-electron destabilizing interactions, i.e. Co d_{z^2} -CO₂ $n\pi_{\parallel}$, Co d_{z^2} -CO₂ π_{\parallel} , Co d_{xz} -CO₂ $n\pi_{\parallel}$, and Co d_{xz} -CO₂ π_{\parallel} . In the η^1 -C mode, on the other hand, two of them, the Co d_{z^2} -CO₂ $n\pi_{\parallel}$ and Co d_{xz} -CO₂ π_{\parallel} pairs, cannot cause a four-electron destabilizing interaction because of their symmetry properties (note that the orbitals belonging to the same symmetry can contribute to the orbital interaction). Consequently, the η^1 -C mode suffers less from the four-electron destabilization than the η^2 side-on mode. This result is also corroborated by the energy decomposition

(32) $\epsilon_{n\pi} = -10.88$ eV at $R_{Co-O} = 2.5$ Å and -10.78 eV at $R_{Co-O} = 2.1$ Å, $\epsilon_{lp} = -16.35$ eV at $R_{Co-O} = 2.5$ Å and -16.44 eV at $R_{Co-O} = 2.1$ Å (the SB set calculation).

Chart III



analysis; as compiled in Table I, the EX repulsion, which includes the four-electron destabilizing interaction as a main contributor, is larger in the η^2 side-on mode than in the η^1 -C mode.

In addition to the above-mentioned preferable situation, the η^1 -C mode receives remarkably large stabilization from an interaction between the Co d_{z^2} and CO₂ $\pi^*_{||}$ orbitals (see Figure 6). This interaction significantly decreases the electron population of the Co d_{z^2} orbital and substantially increases the electron population of CO₂ upon the CO₂ coordination, as shown in Table I. The η^2 side-on mode also experiences similar charge-transfer interactions, one between the Co d_{z^2} and CO₂ $\pi^*_{||}$ orbitals and another between the Co d_{xz} and CO₂ $\pi^*_{||}$ orbitals. They are rather weak, however; the Co d_{z^2} orbital cannot overlap well with the $\pi^*_{||}$ orbital of CO₂, since the positive overlap between the Co d_{z^2} and carbon p_x orbitals is significantly decreased by the negative overlap between the Co d_{z^2} and oxygen p_x orbitals, as shown in Figure 6B. The Co d_{xz} orbital cannot cause a strong charge-transfer interaction between Co and CO₂ in spite of its good overlap with the $\pi^*_{||}$ orbital of CO₂, since this orbital lies lower in energy than the Co d_{z^2} orbital (see Figure 6B for the energy levels of these d orbitals). Reflecting the weakness of these interactions, the η^2 coordination of CO₂ leads to a smaller decrease in the Co atomic population and a smaller increase in the electron population of CO₂ than the η^1 -C coordination. This result is also supported by the energy decomposition analysis. The BCTPLX term includes a charge-transfer interaction between Co and CO₂ as a main contributor. This term offers larger stabilization in the η^1 -C mode than in the η^2 side-on mode (see Table I), indicating that the η^1 -C mode can cause a stronger charge transfer from Co to CO₂ than the η^2 side-on mode.

The electrostatic interaction, on the other hand, disfavors the η^1 -C mode relative to the η^2 side-on mode. The energy decomposition analysis clearly indicates that the η^1 -C mode receives smaller stabilization from the ES term than the η^2 side-on mode (see Table I). This result can be easily understood by considering the following electron distribution of the interacting species. The carbon atom is positively charged (+0.73 e Mulliken charge), but the oxygen atom is negatively charged (-0.37 e) in carbon dioxide (distorted structure). The cobalt atom is positively charged (+0.90 e), in spite of a negative whole charge of [Co(alcn)₂]⁻. In the η^1 -C mode, the carbon atom is placed near the cobalt atom, as shown in Chart III (8), yielding an electrostatic repulsion between them. In the η^2 side-on mode, however, the electrostatic repulsion between cobalt and carbon atoms is compensated, to some extent, by the electrostatic attraction between cobalt and oxygen atoms, because both the carbon and the oxygen atoms interact with the cobalt atom (see 9 of Chart III).

In conclusion, the η^1 -C mode is stable due to the strong charge-transfer interaction between Co and CO₂ and the weak four-electron destabilizing interaction, in spite of the unfavorable situation found in the electrostatic interaction. The η^2 side-on mode is, on the other hand, unstable in [Co(alcn)₂(CO₂)]⁻, because the strong four-electron destabilizing interaction cannot be overwhelmed by the weak charge-transfer interaction and rather strong electrostatic interaction.

The above discussion and results of a previous study¹³ of Ni(PH₃)₂(CO)₂ provide us with useful rules to predict the coordination mode of CO₂ in transition-metal complexes. When the metal part has a d_{z^2} orbital as the HOMO, the η^1 -C mode can yield a stronger charge-transfer interaction and suffers less from the four-electron destabilizing interaction than the η^2 side-on mode. As a result, the η^1 -C mode is more stable than the η^2 side-on mode.

Chart IV

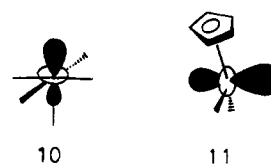


Table II. Effect of the Coexisting Li⁺ Cation on the CO₂ Coordination to [Co(alcn)₂(CO₂)]^{-a}

	Mulliken population			
	Li[Co(alcn) ₂]		Li[Co(alcn) ₂ (CO ₂)] ⁻	
	I	II	I	II
Co	25.88	25.90	25.47	25.50
d_{z^2}	1.84	1.83	1.24	1.14
CO ₂			22.63	22.68
C			5.48	5.46
O ₁			8.60	8.68
O ₂			8.55	8.54
Li	2.23	2.26	2.25	2.26

^aThe LB set is used. See Figure 1D,E for structures I and II.

From the point of view of the electrostatic interaction, the η^1 -C mode is inferior to the η^2 side-on mode, if the central metal is positively charged. In this light, the most desired situation for this mode is the presence of the HOMO mainly composed of a d_x orbital and a low oxidation state of the metal. [Co(R-salen)(CO₂)]⁻⁴ and [RhCl(diars)₂(CO₂)],⁶ which are well-known as η^1 -C-coordinated CO₂ complexes, satisfy these conditions, because both have a d^8 -electron configuration of low oxidation state (+1) and their HOMO is mainly composed of the d_x orbital (see 10 of Chart IV). Consideration of these factors also supports the η^1 -C mode inferred for [IrCl₂L₃(CO₂)]⁻ and [Fe(η -C₅H₅)(CO)₂(CO₂)]⁻,^{33,34} because the [IrCl₂L₃]⁻ and [Fe(η -C₅H₅)(CO)₂]⁻ fragments have a d_x type orbital as the HOMO (see 10 and 11, respectively, in Chart IV).

When the metal part has a HOMO mainly composed of a d_x orbital like Ni(PR₃)₂L (L = η^2 -ligand such as olefin, acetylene, CO₂, CS₂, etc.), the η^2 side-on mode is considerably stabilized by the π -type back-donative interaction.¹³ However, if the metal has a doubly occupied d_x orbital, a four-electron destabilizing interaction arises from the overlap of the d_x orbital with the π and $n\pi$ orbitals of CO₂. Thus, the best situation for this mode is the presence of a d_x orbital as the HOMO and an empty d_x orbital pointing to the CO₂ ligand. This situation is found in [Nb(η -C₅H₄Me)₂(CH₂SiMe₃)(CO₂)].⁵ The HOMO of the [Nb(η -C₅H₄Me)₂(CH₂SiMe₃)] fragment is a d_x type orbital, whereas the d_x orbital is empty.³⁵ Mo(PR₃)₄(CO)₂ also satisfies these two conditions, which seems an important reason that this complex is very stable in spite of coordination of two carbon dioxide molecules.⁷ Unfortunately, Ni(PR₃)₂(CO)₂ has both doubly occupied d_x and d_x orbitals pointing to the CO₂ ligand. Probably, this is one of the reasons that the η^2 side-on structure of the complex deviates, to some extent, toward the η^1 -C structure³ (note that the Ni-C distance is shorter than the Ni-O distance by 0.15 Å).

Bending of the η^1 -C-Coordinated Carbon Dioxide. In all of the transition-metal carbon dioxide complexes whose structures have been verified experimentally,^{4b} the carbon dioxide is significantly bent from its equilibrium linear structure. This is one of the characteristic features of CO₂ coordination.

In [Co(alcn)₂(CO₂)]⁻, the linear structure of CO₂ does not yield

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Table III. Energy Decomposition Analysis of the Interaction between Li⁺ and CO₂^a (kcal/mol)

INT	ES	EX	PL(CO ₂)	CT(Li→CO ₂)	PL(Li)	CT(CO ₂ →Li)	R
-10.3	-8.5	9.9	-11.5	0	0	-1.8	+1.6

^aThe LB is used. The structure of CO₂ is taken to be the same as in [Co(alcn)₂(CO₂)]⁻.

any stabilization upon CO₂ coordination,^{36a} as shown in Table I, while coordination of the bent CO₂ offers moderate stabilization. This means that the bending of CO₂ is essential for the formation of a stable Co(I)-CO₂ complex. The CO₂ bending significantly increases the electron population of CO₂ but remarkably decreases the electron population of the Co d_{z²} orbital (see Table I). Such electron redistribution suggests that the bending of CO₂ greatly enhances the charge transfer from the Co d_{z²} orbital to CO₂.^{36c} This conclusion is also supported by an energy decomposition analysis, as follows. The BCTPLX term, which includes the charge transfer from Co to CO₂ as a main contributor, yields much larger stabilization in the bent structure than in the linear one. The enhancement of this interaction by the bending is easily explained in terms of the π*_{||} orbital of CO₂. This orbital energy is greatly stabilized by the bending of CO₂; for example, it lies at 3.0 eV in the linear structure but lowers to -0.5 eV in the bent one. Such energy lowering of the π*_{||} orbital yields a strong charge-transfer interaction between Co d_{z²} and CO₂ π*_{||}.

Besides the above-mentioned charge-transfer interaction, the four-electron destabilizing interaction also contributes to the driving force for the CO₂ bending. As easily seen in Figure 5, the bending of CO₂ decreases two kinds of overlap contributing a four-electron destabilizing interaction, one between Co d_{z²} and CO₂ π_{||} orbitals and the other between Co d_π and CO₂ nπ_{||} orbitals. Such decreases of these overlaps weaken the four-electron destabilizing interaction in the bent structure. The energy decomposition analysis also indicates that the bending of CO₂ reduces destabilization from the EX term, as shown in Table I.

Of the above-described two factors, the decrease in the EX repulsion is about 3 times as large as the increase in the BCTPLX stabilization, suggesting that the weakening of the four-electron destabilizing interaction contributes more to the CO₂ bending than the enhancement of the charge-transfer interaction. In the case of Ni(PH₃)₂(CO₂), the BCTPLX stabilization increases significantly upon the CO₂ bending,^{36b} as for [Co(alcn)₂(CO₂)]⁻. This enhancement of the charge-transfer interaction by the CO₂ bending is explained easily in terms of energy lowering of the CO₂ π*_{||} orbital in this complex as well as in [Co(alcn)₂(CO₂)]⁻. A difference between Ni(PH₃)₂(CO₂) and [Co(alcn)₂(CO₂)]⁻ is found in the EX term: in Ni(PH₃)₂(CO₂), the bending of CO₂ has been reported to weaken the EX repulsion to a lesser extent than it strengthens the BCTPLX stabilization.^{36b} The Ni d_π orbital overlaps little with the p_π orbital of the noncoordinating oxygen atom in the η² side-on mode (see Figure 4). Therefore, the bending of CO₂ hardly decreases overlap between Ni d_π and CO₂ nπ_{||} orbitals and that between Ni d_σ and CO₂ π_{||} orbitals. Since the four-electron destabilizing interaction arises from these overlaps, the bending of CO₂ weakens rather slightly the four-electron destabilization in the η² side-on mode.

In summary, the driving force for the CO₂ bending is essentially traced to the weakening of the four-electron destabilizing interaction and secondarily to the enhancement of the charge-transfer interaction between Co and CO₂ in the η¹-C mode. In the η² side-on mode, the driving force is the enhancement of the charge-transfer interaction supplemented by the weakening of the four-electron destabilizing interaction.

(36) (a) In the linear structure, the OCO angle was assumed to be 180°, but the remaining geometrical parameters were taken to be the same as those of the optimized structure of Figure 1A. (b) Going to the bent structure from the linear one, the BCTPLX stabilization increases by 29 kcal/mol but the EX repulsion decreases by 17 kcal/mol. (c) Hoffmann and co-workers have proposed previously that the CX₂ coordination (X = O or S) can be made possible if CX₂ is promoted to an excited state.¹⁴ Their proposal is similar to our explanation given here, since the π*_{||} orbital of CO₂ is populated and CO₂ is bent in the excited state.

Role of the Coexisting Li⁺ Cation in the CO₂ Coordination. In M[Co(R-salen)(CO₂)], an interaction between the acidic alkali-metal cation and the basic oxygen atom of carbon dioxide has been proposed to play an important role in the CO₂ coordination.⁴ To investigate the role of an alkali-metal cation, MO calculations were carried out on Li[Co(alcn)₂] and Li[Co(alcn)₂(CO₂)]. Both Li[Co(R-salen)] and Li[Co(R-salen)(CO₂)] have been isolated, and the latter has been described as coordinating CO₂ the most strongly among the Li⁺, Na⁺, and K⁺ analogues.^{4b} Since the position of Li⁺ in Li[Co(R-salen)(CO₂)] has not been reported, two types of structures were examined:³⁷ In structure I (Figure 1D), the Li⁺ cation is placed at the same position as in the experimental structure of Li[Co(R-salen)].^{4b} In structure II (Figure 1E), the Li⁺ cation is equidistant from the two oxygen atoms of the two alcn ligands and from one oxygen atom of carbon dioxide, as found experimentally for the position of K⁺ in K[Co(R-salen)(CO₂)].^{4b} In both calculations, the geometry of the Co(alcn)₂(CO₂) moiety was assumed to be the same as in the optimized structure without Li⁺.

The binding energy for CO₂ coordination^{38a} is calculated to be 5.8 kcal/mol in structure I and 20.0 kcal/mol in structure II,^{38b} while moving Li⁺ from the position in I to that in II in Li[Co(alcn)₂] causes a very small destabilization of only 2 kcal/mol (see Table II). In spite of a great difference in the binding energies between structures I and II, only small differences are found in the electron populations between these two structures, except for the d_{z²} orbital population of cobalt (see Table II); the CO₂ coordination decreases the d_{z²} orbital population by 0.69 e in structure II and by 0.60 e in structure I. Also, the electron population of CO₂ is somewhat larger in structure II than in structure I. These differences found between the two structures suggest that the charge-transfer interaction between Co d_{z²} and CO₂ π*_{||} is stronger in structure II than in structure I. The weak charge-transfer interaction of structure I is probably due to the fact that in this structure the Li⁺ cation would stabilize the cobalt d_{z²} orbital in energy more than it would the π*_{||} orbital of CO₂ because of its position near Co. In the structure II, since the Li⁺ cation is equidistant from CO₂ and [Co(alcn)₂], both the CO₂ π* and the Co d_{z²} orbitals become stable in energy to a similar extent. Thus, the Li⁺ cation hardly weakens the charge-transfer interaction in structure II but weakens it in structure I, which seems one of the important reasons for the displacement of the Li⁺ cation.

The electron population of Li⁺ exhibits little change upon the coordination of CO₂ in both structures I and II, as shown in Table III. The energy decomposition analysis of the interaction between the naked Li⁺ and CO₂³⁹ exhibits the importance of ES interaction, EX repulsion, and polarization of CO₂ by Li⁺ (Table III). The absence of an effective charge-transfer interaction accords with

- (37) Two kinds of K⁺ cation, K₁⁺ and K₂⁺, are found in K[Co(R-salen)(CO₂)]: The K₁⁺ cation interacts with carbon dioxide and R-salen (see Figure 1D,E). The K₂⁺ cation is surrounded by four oxygen atoms of two carbon dioxides and two oxygen atoms of two R-salen's (see Figure 1 of ref 4b). We have investigated only the former type of alkali-metal cation, which has been described to be more important than the latter.^{4b}
- (38) (a) The binding energy is defined as follows: $E_i[\text{Li}[\text{Co}(\text{alcn})_2(\text{CO}_2)]_{\text{I or II}} - E_i[\text{Li}[\text{Co}(\text{alcn})_2]_{\text{I}} - E_i(\text{CO}_2)_{\text{eq}}$, where the subscripts I and II denote "structure I" and "structure II", respectively. (b) M[Co(R-salen)(CO₂)] is synthesized in tetrahydrofuran (THF), pyridine (py), or toluene. In the cases of THF and py solutions, the solvation cannot be neglected, which means that the calculated binding energy is overestimated owing to no consideration of solvent. Nevertheless, the effect of Li⁺ is considered to be reasonably explained in a qualitative sense, at least. Furthermore, the solvation does not seem important for the CO₂ coordination, since toluene can be used as solvent.
- (39) The positions of Li⁺ and CO₂ are taken as being the same as in structure II of Li[Co(alcn)₂(CO₂)].
- (40) Unfortunately, the energy decomposition analysis for the interaction between [Co(alcn)₂]⁻ and CO₂ was unsuccessful in the presence of Li⁺.

the observation that the Li atomic population hardly changes upon CO₂ coordination in Li[Co(alcN)₂(CO)₂] (see Table II).

In conclusion, the presence of an alkali-metal cation is found to enhance the coordination of carbon dioxide by the electrostatic attractive interaction between Li⁺ and CO₂ and the polarization of CO₂ by Li⁺.

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Neutral Chain Chloride- and Bromide-Bridged Platinum(II,IV) Complexes of 1,2-Diaminocyclohexane: Synthesis and Electronic, Infrared, Raman, and Resonance Raman Studies

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The synthesis and electronic, infrared, Raman, and resonance Raman spectra of the linear-chain complexes [Pt(*trans-dach*)X₂][Pt(*trans-dach*)X₄] (X = Cl, Br; *dach* = 1,2-diaminocyclohexane) are reported. The electronic spectra are characterized by broad, intense intervalence bands at ca. 25 000 cm⁻¹ for X = Cl and ca. 18 200 cm⁻¹ for X = Br. The temperature dependences of the infrared and resonance Raman spectra are discussed with particular reference to the Raman-active ν₁, ν₄(X–Pt^{IV}–X) mode and the role of unresolved components of this band in determining (a) the dependence on the exciting line of the ν₁ band wavenumber and (b) the excitation profile of ν₁.

Introduction

The complexes under study here, of stoichiometric formula Pt(*trans-dach*)X₃, where X = Cl or Br and *dach* = 1,2-diaminocyclohexane, were synthesized as part of continuing research into the antitumor activity of dihalo-amine complexes of platinum.^{1–4} A X-ray study⁵ of Pt(*trans-dach*)Cl₃ has indicated that the structure could be refined equally well in the space group *Pmnm* (centrosymmetric, with a disordered Cl⁻ above and below the PtCl₂N₂ plane) or in *Pmn2*₁ (noncentrosymmetric, 5-coordinate platinum(III)). The present spectroscopic study removes the ambiguity in favor of the former.

Additionally the temperature dependence of the Raman spectra, specifically the exciting-line dependence of the wavenumber of ν₁, ν₄(X–Pt^{IV}–X), and the form of its excitation profile are discussed in terms of relative intensity changes in unresolved components of ν₁.

Experimental Section

(i) Preparations. Materials. K₂[PtCl₄] was purchased from Johnson Matthey, Seabrook, N.J., and 1,2-diaminocyclohexane, from Aldrich Chemical Co. *trans*-(+)-*S,S*-*dach* and *trans*-(-)-*R,R*-*dach* were purchased from Alfa Thiokol/Ventron Division, Danver, MA 01923.

Methods. Dihalogeno(1,2-diaminocyclohexane)platinum(II), sulfato-platinum(II)-water, sulfato(*trans*-(+)-*S,S*)-1,2-diaminocyclohexane-platinum(II)-water, and sulfato(*trans*-(-)-*R,R*)-1,2-diaminocyclohexane-platinum(II)-water were prepared by established methods.^{4,6,7}

The (+)-*S,S*- and (-)-*R,R*-(*trans-dach*)PtX₃ complexes were synthesized by mixing stoichiometric quantities of the appropriate [Pt^{II}(*trans-dach*)(SO₄)] and [Pt^{IV}(*trans-dach*)(SO₄)X₂] in water, in the presence of excess of sodium halide.

Pt(*trans-dach*)Cl₃ was synthesized by adding [Pt(*trans-dach*)Cl₂], prepared from a racemic mixture of the *trans* amine, to concentrated HCl and heating for several hours.

All of the complexes were washed with acetone and diethyl ether and finally dried in vacuo.

Anal. Calcd for [Pt(*trans*-(+)-*S,S*-*dach*)Br₂][Pt(*trans*-(+)-*S,S*-*dach*)Br₄]: C, 13.11; H, 2.55; N, 5.10; Br, 43.71. Found: C, 13.63; H, 2.90; N, 5.25; Br, 42.33. Calcd for [Pt(*trans*-(-)-*R,R*-*dach*)Br₂][Pt(*trans*-(-)-*R,R*-*dach*)Br₄]: C, 13.11; H, 2.55; N, 5.10. Found: C, 13.64; H, 2.26; N, 5.02. Calcd for [Pt(*trans*-(+)-*S,S*-*dach*)Cl₂][Pt(*trans*-(+)-*S,S*-*dach*)Cl₄]: C, 17.32; H, 3.37; N, 6.73. Found: C, 17.53; H, 3.30; N, 6.69. Calcd for [Pt(*trans*-(-)-*R,R*-*dach*)Cl₂][Pt(*trans*-(-)-*R,R*-*dach*)Cl₄]: C, 17.32; H, 3.37; N, 6.73. Found: C, 17.14; H, 3.34; N, 6.45. Calcd for [Pt(*dach*)Cl₂][Pt(*dach*)Cl₄]: C, 17.32; H, 3.37; N, 6.73. Found: C, 17.24; H, 3.42; N, 6.61.

(ii) Instrumentation. Electronic spectra were recorded on a Cary 14 spectrometer at 295 K as Nujol mulls of the samples between quartz plates.

Infrared spectra were recorded in the region 650–20 cm⁻¹, as wax disks of the complexes, with a Bruker IFS 113V interferometer. An RIIC liquid-nitrogen cryostat was used to obtain spectra at ca. 80 K.

Raman spectra were recorded on a Spex 14018/R6 spectrometer (RCA C 31034A photomultiplier). Exciting radiation was provided by Coherent Radiation Model CR 12 and CR 3000 K lasers. Samples were in the form of pressed disks, either of the pure complex or of a mixture with K₂[SO₄]. Raman spectra were recorded at ca. 40 K on an Air Products Displex Cryostat and at ca. 80 K with use of liquid nitrogen and a Dewar assembly. The usual technique for determining sample temperatures via Stokes/anti-Stokes ratios is invalid at resonance, and the use of a thermocouple would require that it be placed at the focal point of the laser beam on the crystal surface—a technical impossibility for Raman measurements. Thus, only nominal sample temperatures can be

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