

Figure 6. Schematic representation of the magnetic orbitals centered on the Cr(III) ion and the Ni(II) ions in CrNi<sub>3</sub>.

or not coupled. This difference is emphasized in Figure 5.

## **Discussion and Conclusion**

We want first to turn back to the symmetry considerations and to analyze the nature of the magnetic orbitals involved in the Cr(III)-Ni(II) interactions within CrNi<sub>3</sub>.

J in (2) refers to a Cr(III)-Ni(II) pair. Therefore, it is justified to consider the symmetry of the Cr(ox)Ni bridging network, close to  $C_{2\nu}$ , instead of the overall symmetry of CrNi<sub>3</sub>, close to  $C_{3\nu}$ . In this  $C_{2v}$  symmetry, the unpaired electrons of Cr(III) occupy orbitals transforming as  $a_1 + a_2 + b_2$  and those of Ni(II) orbitals transforming as  $a_1 + b_1$ . These orbitals are shown in Figure 6. J may be written as a sum of  $J_{ij}$ 's contributions involving pairs of interacting orbitals as<sup>1</sup>

$$J = \frac{1}{3}(J_{a1a1} + J_{a1b1} + J_{a2a1} + J_{a2b1} + J_{b2b1} + J_{b2b1})$$
(8)

In (8), all the  $J_{ij}$ 's are positive, since they involve orthogonal orbitals, except  $J_{a1a1}$ . This contribution, however, may be expected to be rather small. Indeed, the  $a_1(z^2)$  orbital centered on nickel is weakly delocalized in the plane of the oxalato bridge. Among the positive contributions,  $J_{a1b1}$  is certainly the most important

since the  $a_1$  orbital centered on chromium and the  $b_1$  orbital centered on nickel are both situated in the plane of the bridging network and may efficiently interact. It can be noticed here that the interaction between Cu(II) and VO<sup>2+</sup> ions through the oxalato ligand in (tmen)Cu(ox)VO(ox) with tmen = N, N, N', N'-tetramethylethylenediamine also involves orthogonal b<sub>1</sub> and a<sub>1</sub> magnetic orbitals.<sup>21</sup> The interaction parameter J, however, has been found to be almost negligible  $(J \le 1 \text{ cm}^{-1})$ . The more efficient character of the ferromagnetic exchange pathway in CrNi3 could be due to the fact that the a1 magnetic orbital around Cr(III) would be more delocalized toward the oxygen atoms of the bridge than the  $a_1$  magnetic orbital of VO<sup>2+</sup>. Indeed, Cr(III) is certainly located in the plane of the oxalato bridge whereas the V(IV) ion is pulled out of this plane toward the oxygen atom of the vanadyl group.

To conclude, we recall that one of our goals is to design molecular-based ferromagnets.<sup>10,11</sup> Our strategy along this line consists of synthesizing high-spin molecules or chains and then assembling them in a ferromagnetic fashion within the crystal lattice. To obtain high-spin molecules, we are exploring two different approaches. The former is the achieving of ferromagnetic interactions due to the orthogonality of the magnetic orbitals. CrNi<sub>3</sub> provides a spectacular example of the possibilities of this approach. A  $S = \frac{9}{2}$  ground state, if no longer unique,<sup>22-24</sup> is still quite unusual. The latter strategy consists of polarizing high peripheral spins due to antiferromagnetic interactions with a small central spin. We have attempted to apply this approach by using manganese(II) instead of nickel(II) in the synthesis process in order to obtain CrMn<sub>3</sub>. Since the Cr(III)-Mn(II) interaction is expected to be antiferromagnetic, 1-4 the ground state of CrMn<sub>3</sub> would be characterized by the spin  $S = 3S_{Mn} - S_{Cr} = 6$ . Unfortunately, we have not yet succeeded in synthesizing such a compound.

Registry No. 1, 14217-01-7; 2, 117686-66-5; CrNi<sub>3</sub>, 117709-37-2;  $[Ni(Me_6-[14]-ane-N_4)](ClO_4)_2, 52553-45-4.$ 

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# Electronic Structure and Enhanced Reactivity of Carbon Dioxide Coordinated with a Rhodium(I) Complex. An ab Initio MO Study

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An ab initio MO study has been carried out on  $RhCl(AsH_3)_4(CO_2)$  and the isolobal adduct  $(NH_3)(CO_2)$ . Although  $RhCl(AsH_3)_4(CO_2)$  and the isolobal adduct  $(NH_3)(CO_2)$ .  $H_3$ )<sub>4</sub>(CO<sub>2</sub>) has a pseudooctahedral structure with a 4d<sup>8</sup> electron configuration, the most stable state is calculated to be a singlet. This result agrees well with the experimentally reported diamagnetism. The reactivity of carbon dioxide for electrophilic attack is predicted to be much more enhanced in  $RhCl(AsH_3)_4(CO_2)$  than in  $(NH_3)(CO_2)$ , which is rationalized in terms of the coordinate bonding nature of carbon dioxide. The coordinate bond of CO<sub>2</sub> to RhCl(AsH<sub>3</sub>)<sub>4</sub> is described by three-orbital mixing of Rh d<sub>2</sub> and CO<sub>2</sub>  $\pi$  and  $\pi^*$  orbitals; the main interaction is a charge transfer from the Rh d<sub>2</sub> to the CO<sub>2</sub>  $\pi^*$  orbital, into which the CO<sub>2</sub>  $\pi$  orbital mixes in an antibonding fashion with the Rh d<sub>z</sub> orbital. This orbital mixing accumulates the electron population on the O atom of CO<sub>2</sub>, raises the CO<sub>2</sub>  $\pi$  and nonbonding  $\pi$  orbital energies, and increases the O p<sub> $\pi$ </sub> contribution in the HOMO. All of these results enhance the reactivity of CO<sub>2</sub> with electrophiles.

#### Introduction

There has been much current interest in the fixation of carbon dioxide into organic substances.<sup>2</sup> During the last decade, intensive efforts have been devoted to the preparation and the characterization of transition-metal carbon dioxide complexes,<sup>3-10</sup> because

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Chart I



"See Reference 37 for these notations.

formation of transition-metal complexes is believed to be one of the most powerful and universal ways of activating inert molecules. In several pioneering studies, fixation of carbon dioxide with transition-metal complexes has succeeded.<sup>11-24</sup> However, some difficulties are still found; for instance, only a limited number of metal complexes can be used for coordination of carbon dioxide, and catalytic fixation of carbon dioxide into organic substances is not yet easy.

In this light, it is worthwhile to investigate the electronic structure and reactivity of carbon dioxide coordinated to transition-metal complexes. Molecular orbital methods are expected to be effective in such investigation, and several MO studies of transition-metal carbon dioxide complexes have been reported.<sup>25,26</sup>

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Figure 1. Geometries of the complexes examined: partially optimized structure of  $RhCl(AsH_3)_4(CO_2)$  and optimized structure of  $(NH_3)(CO_2)$ .

However, little work has been performed to investigate how much carbon dioxide is activated by coordination to transition-metal complexes.

In this work, the electronic structure of a pseudooctahedral  $\eta^1$ -C-coordinated carbon dioxide complex, RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>), is studied with an ab initio MO method. This complex is an interesting subject for a theoretical study from the following points of view: (1) diamagnetism has been reported for this complex,<sup>27</sup> although a d-d triplet state generally is expected to be the ground state for a pseudooctahedral complex with a d<sup>8</sup> electron configuration, as shown in Chart I, and (2) enhancement of electrophilic attack to carbon dioxide has been predicted in this complex<sup>5b</sup> as well as in a similar  $\eta^1$ -C-coordinated carbon dioxide complex, IrCl(dmpe)<sub>2</sub>(CO<sub>2</sub>).<sup>28</sup> MO calculations were also carried out on  $RhCl(AsH_3)_4(NH_3)$  and a van der Waals complex,  $(NH_3)(CO_2)$ , to clarify characteristics of transition-metal carbon dioxide complexes by comparing  $RhCl(AsH_3)_4(CO_2)$  with these complexes. Through this theoretical study, we hope (a) to elucidate why the ground state of  $RhCl(AsH_3)_4(CO_2)$  is a singlet, in spite of its pseudooctahedral 4d<sup>8</sup> electron configuration, (b) to characterize the carbon dioxide ligand by comparing it with the usual NH<sub>1</sub> ligand, (c) to investigate differences between the charge-transfer type complex, RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>), and the van der Waals complex,  $(NH_3)(CO_2)$ , and (d) to assess how much carbon dioxide is activated toward electrophilic attack in RhCl(AsH<sub>1</sub>)<sub>4</sub>(CO<sub>2</sub>) and  $(NH_3)(CO_2)$  and to elucidate the origin of the activation.

#### **Computational Details**

The standard ab initio closed-shell Hartree-Fock (HF) calculations were carried out on singlet states of RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>), RhCl(As- $H_3)_4(NH_3)$ , and  $(NH_3)(CO_2)$  with the GAUSSIAN 82 program,<sup>29</sup> and ab initio unrestricted Hartree-Fock (UHF) calculations were performed on triplet states of  $RhCl(AsH_3)_4(CO_2)$  and  $RhCl(AsH_3)_4(NH_3)$ . Further, MP2 calculations were carried out on these complexes with a frozen-core approximation, by using molecular orbitals attained above. We recognize that the singlet state calculated with the RHF method can not be compared in general with the triplet state calculated with the UHF method. As will be shown later, however, the singlet state of  $RhCl(AsH_1)_4(CO_2)$ is calculated to be much more stable (>30 kcal/mol) than the triplet state. The general trend for the introduction of the electron correlation effect is that it stabilizes the closed-shell RHF state more than the open-shell UHF state. Actually, as will be shown later as well, the RMP2 calculation for the singlet state and the UMP2 calculation for the triplet state increase the relative stability of the singlet. Therefore, we may conclude that the full account of the electron correlation effect is not likely to change the ordering of the two states and that the relative stabilities of the singlet and triplet states would be reasonably accounted for in calculations based on RHF, RMP2, UHF, and UMP2 methods.

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Table I. Comparison of Several Spin States of RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>), RhCl(AsH<sub>3</sub>)<sub>4</sub>(NH<sub>3</sub>), and RhCl(AsH<sub>3</sub>)<sub>4</sub> (Energies in kcal/mol)

		RhCl(AsH <sub>3</sub> ) <sub>4</sub> (CO <sub>2</sub> )				RhCl(AsH <sub>3</sub> ) <sub>4</sub> (NH <sub>3</sub> )		RhCl(AsH <sub>3</sub> ) <sub>4</sub>		
		<sup>1</sup> S	<sup>3</sup> <b>T</b> <sub>1</sub>	<sup>3</sup> T <sub>2</sub>	<sup>3</sup> T <sub>3</sub>	<sup>1</sup> S	<sup>3</sup> T <sub>1</sub>	<sup>1</sup> S	<sup>3</sup> T <sub>1</sub>	
$\Delta E$	HF	0.0 <sup>b</sup>	31.2	46.4	124.9	0.0 <sup>d</sup>	-48.0	0.01	-1.9	
	MP2	0.0 <sup>c</sup>	53.4			0.0 <sup>e</sup>	-33.2	0.08	9.7	
INT	HF	55.7 <sup>h</sup>	14.5 <sup>i</sup>							
	MP2	65.7	22.0							
DEF	HF	57.5 <sup>j</sup>	57.5 <sup>j</sup>							
	MP2	38.6	38.6							
BE	HF	$-1.8^{k}$	-42.9'			-42.5 <sup>k</sup>	5.61			
	MP2	27.0	-16.6			-37.4	-4.3			

"See Chart I for notations of spin states. R(Rh-C) = 2.02 Å and R(Rh-N) = 2.23 Å. b-254.7145 au. c-255.5155 au. d-123.4336 au.  $e^{-123.9614}$  au.  $f^{-67.3996}$  au.  $e^{-67.4154}$  au. h Interaction energy (INT) =  $E_t(L_{dist}) + E_t[RhCl(AsH_3)_4:({}^{1}S)] - E_t[RhCl(AsH_3)_4:({}^{1}S)]$ , where "dist" means the distorted structure taken in the Rh(I) complex and L is either CO<sub>2</sub> or NH<sub>3</sub>. Interaction energy (INT) =  $E_t(L_{dist}) + E_t[RhC]$  $(AsH_3)_4:({}^3T_1)] - E_t[RhCl(AsH_3)_4L:({}^3T_1)]$  where "dist" means the distorted structure taken in the Rh(I) complex and L is either CO<sub>2</sub> or NH<sub>3</sub>. <sup>1</sup> Deformation energy (DEF) =  $E_t(L_{eq})$ . <sup>k</sup> Binding energy (BE) =  $E_t(L_{eq}) + E_t[RhCl(AsH_3)_4:({}^1S)] - E_t[RhCl(AsH_3)_4:({}^1S)]$ , where "eq" means the equilibrium structure and  $\hat{L}$  is either CO<sub>2</sub> or NH<sub>3</sub>. <sup>1</sup>Binding energy (BE) =  $E_t(L_{eq}) + E_t[RhCl(AsH_3)_4:(^3T_1)] - E_t[RhCl(AsH_3)_4:(^3T_1)]$ where "eq" means the equilibrium structure and L is either  $CO_2$  or  $NH_3$ .

A (3s 3p 4d) primitive set, contracted to a [2s 2p 2d] set, was used to represent the 4d, 5s, and 5p orbitals of  $Rh.^{30}$  Primitive sets of (3s 3p), contracted to [2s 2p] sets, were employed to represent the ns and np valence orbitals of Cl and As (n = 3 for Cl and 4 for As).<sup>31</sup> The [Kr] core of Rh was replaced by a relativistic effective core potential (ECP) proposed by Hay.<sup>30</sup> The [Ne] core of Cl and the [Ar] core of As were replaced by ECP's.<sup>31</sup> For C, N, and O atoms, MIDI-4 sets were used.<sup>32</sup> Standard (3s) and (4s) primitives, contracted to minimal and [2s] sets, were employed for the H atoms of AsH<sub>3</sub> and NH<sub>3</sub>, respectively,<sup>33</sup> in which the scaling factor was taken to be 1.188 for the former and 1.20 for the latter, respectively. The d-polarization function  $(\zeta = 0.879)^{32}$  was added to the basis set of the N atom only in the case of  $(NH_3)(CO_2)$ .

The geometry of RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>) was taken from the experimental structure of  $RhCl(diars)_2(CO_2)$  (diars = o-phenylenebis(dimethylarsine)),36,58 while the structure of AsH3 was assumed to be the same as the experimental structure of the free AsH<sub>3</sub> molecule.<sup>34</sup> Only the Rh-C distance was roughly optimized with parabolic fitting of total energies, keeping the structure of CO<sub>2</sub> fixed. We also tried to optimize the Rh-N distance in RhCl(AsH<sub>3</sub>)<sub>4</sub>(NH<sub>3</sub>) on HF and MP2 levels, in which the structure of the RhCl(AsH<sub>3</sub>)<sub>4</sub> moiety and that of the NH<sub>3</sub> ligand were assumed to be the same as the structure in  $RhCl(AsH_3)_4(CO_2)$  and the experimental structure of the free NH3 molecule, respectively. However, the total energy decreases monotonously with a lengthening of the Rh-N distance in the range of the usual coordinate bond distance (Rh-N = 1.9-2.35 Å). The Rh-N distance in RhCl(AsH<sub>3</sub>)<sub>4</sub>(NH<sub>3</sub>) was, therefore, rather arbitrarily taken to be 2.23 Å from the experimental structure of  $[RhCl(NH_3)_5]^{2+.34}$ 

The geometry of  $(NH_3)(CO_2)$  was optimized with the energy gradient method at the Hartree-Fock level. At the MP2 level, only the N-C distance was reoptimized with parabolic fitting of total energies, keeping the other geometrical parameters fixed. The geometries of the complexes examined are shown in Figure 1.

#### **Results and Discussion**

**Binding Energy of CO<sub>2</sub> Coordination.** The Rh–C distance was optimized on the <sup>1</sup>S state, since this electron configuration is calculated to be a ground state, as described later. The optimized value (2.02 Å) at the HF level agrees well with the experimental value (2.05 Å). Introducing the electron correlation effect at the MP2 level slightly lengthens it to 2.13 Å. The interaction energy (INT) of  $CO_2$  coordination, which is defined as a stabilization energy relative to the distorted structure of CO<sub>2</sub>, is calculated to be 56 kcal/mol at the HF level and 66 kcal/mol at the MP2 level, as shown in Table I. This difference in INT between HF and MP2 levels does not seem significant. However, introducing electron correlation effects significantly influences the binding energy (BE), which is a stabilization relative to the equilibrium

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Figure 2. Energy levels of the Rh  $d_{z^2}$  and  $d_{x^2-y^2}$  orbitals obtained with the UHF-MO calculations for the  ${}^{3}T_{1}$  state.

structure of CO<sub>2</sub>: BE = -2 kcal/mol at the HF level and +27 kcal/mol at the MP2 level.<sup>35</sup> Also, deformation energy (DEF), which is defined as the destabilization energy required to distort  $CO_2$  from its equilibrium structure to the distorted one in the Rh(I) complex, substantially depends on electron correlation effects: DEF = 58 kcal/mol at the HF level and 39 kcal/mol at the MP2 level. This large electron correlation effect on DEF seems to be a main contributor of the electron correlation effects on BE (note the BE is represented as a difference between INT and DEF; BE = INT - DEF).

In RhCl(AsH<sub>3</sub>)<sub>4</sub>(NH<sub>3</sub>), however, the optimized bond distance was not found for the Rh-NH<sub>3</sub> bond, as described above, where the optimization was tried on the  ${}^{3}T_{1}$  state (vide infra). Furthermore, the BE calculated is very small (see Table I).<sup>35</sup> Thus, further optimization was stopped and RhCl(AsH<sub>3</sub>)<sub>4</sub>(NH<sub>3</sub>) is considered here a model compound to be compared with RhCl- $(AsH_3)_4(CO_2)$  (note that our purpose is not to carry out exact investigation of RhCl(AsH<sub>3</sub>)<sub>4</sub>(NH<sub>3</sub>) but to clarify characteristics of  $RhCl(AsH_3)_4(CO_2)$  by comparing these two complexes).

Spin States of RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>) and RhCl(AsH<sub>3</sub>)<sub>4</sub>(NH<sub>3</sub>). The lowest singlet and several triplet states are compared in Table I. In RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>), the singlet <sup>1</sup>S state  $[(d_{r^2})^2]$ ; see Chart I] is the most stable and a d-d triplet  ${}^{3}T_{1} [(d_{z^{2}})^{1}(d_{x^{2}-y^{2}})^{1}]$  state is significantly less stable than the <sup>1</sup>S state by over 30 kcal/mol.<sup>36</sup>

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<sup>(35)</sup> The BE values described were estimated without taking into account the basis set superposition error (BSSE) and the geometrical relaxation of RhCl(AsH<sub>3</sub>)<sub>4</sub>. The BE value would be reduced considerably by correction of these two factors; for instance, the BSSE value was estimated to be about 5-10 kcal/mol in a similar  $\eta$ -C-coordinated CO<sub>2</sub> complex,  $[Co(alcn)_2(CO_2)]^-$  (alcn = HNCHCHCHO<sup>-</sup>), where rather small basis sets were used.<sup>25d</sup>

Table II. Mulliken Populations of RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>) and Its NH<sub>3</sub> Analogue<sup>a,b</sup>

		$RhCl(AsH_3)_4(CO_2)$		RhCl(AsH	$H_{3}_{4}(NH_{3})$	(NH <sub>2</sub> )(CO <sub>2</sub> )
		<sup>1</sup> S	<sup>3</sup> T <sub>1</sub>	<sup>1</sup> S	<sup>3</sup> T <sub>1</sub>	<sup>1</sup> S
~	Rh d <sub>z</sub> 2	1.45 (-0.50)°	$0.91 \ (-0.22)^d$	0.69 (-0.06)°	1.20 (0.07) <sup>d</sup>	7.98 (0.02) (N)
	$CO_2$ or $NH_3$	22.53 (0.53)	22.33 (0.33)	9.96 (-0.04)	9.91 (-0.09)	$22.017(0.017)(CO_{2})$
	C	5.37 (0.05)	5.43 (0.12)	. ,		5.30 (-0.03)
	0	8.58 (0.24)	8.45 (0.11)			8.36 (0.02)

 ${}^{a}R(Rh-C) = 2.02$  Å, R(Rh-N) = 2.23 Å, and R(N-C) = 2.87 Å.  ${}^{b}$  Values in parentheses are changes in Mulliken population upon coordination of CO<sub>2</sub> or NH<sub>3</sub>. Positive values mean an increase and negative values mean a decrease.  ${}^{c}$  Referenced to the <sup>1</sup>S state of RhCl(AsH<sub>3</sub>)<sub>4</sub>.  ${}^{d}$  Referenced to the <sup>3</sup>T<sub>1</sub> state of RhCl(AsH<sub>3</sub>)<sub>4</sub>.

Chart II



The electron correlation effect at the MP2 level lowers the singlet state more than the triplet, as one would expect. The other types of triplet,  ${}^{3}T_{2} [(d_{2})^{1}(CO_{2} \pi_{\parallel}^{*})^{1}]$  and  ${}^{3}T_{3} [(d_{2})^{1}(CO_{2} \pi_{\perp}^{*})^{1}]$  (the  $\pi_{\parallel}^{*}$  is on the plane consisting of Rh and CO<sub>2</sub>, and the  $\pi_{\perp}^{*}$  is perpendicular to this plane),<sup>37</sup> which arise from electron configuration of the charge-transfer type, are also much less stable at the Hartree–Fock level (further MP2 calculations were not carried out for these states, because introduction of the electron correlation effect is expected to stabilize the singlet state more than the triplet state as described above). These results agree well with the experimentally reported diamagnetism of RhCl(diars)<sub>2</sub>(CO<sub>2</sub>).<sup>27</sup> In RhCl(AsH<sub>3</sub>)<sub>4</sub>(NH<sub>3</sub>), on the other hand, the <sup>3</sup>T<sub>1</sub> state is much more stable than the <sup>1</sup>S state at both the HF and the MP2 levels, which agrees with our general expectation that a pseudooctahedral complex tends to have the d–d triplet ground state when a central metal has a d<sup>8</sup> electron configuration, in particular for 3d elements.

The difference in energy between Rh  $d_{z^2}$  and  $d_{x^2-y^2}$  orbitals is considered one of the key factors for the relative stability of <sup>1</sup>S and <sup>3</sup>T<sub>1</sub> states. The energy levels of these orbitals are obtained from the UHF calculations of the <sup>3</sup>T<sub>1</sub> state, in RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>), its NH<sub>3</sub> analogue, and the uncoordinated RhCl(AsH<sub>3</sub>)<sub>4</sub> (see Figure 2). The uncoordinated RhCl(AsH<sub>3</sub>)<sub>4</sub> exhibits a moderate difference in energy between these two orbitals. As shown in Table I, the relative energies of <sup>1</sup>S and <sup>3</sup>T<sub>1</sub> are close to each other, and there is a change in the order upon going from HF to MP2. We will not pursue this inversion, since we are not concerned with the spin multiplicity of the uncoordinated RhCl(AsH<sub>3</sub>)<sub>4</sub> but with





Figure 3. Stabilization in energy caused by H<sup>+</sup> attack to coordinated CO<sub>2</sub>. R(Rh-C) = 2.02 Å (experimental).

changes in d orbital energies upon coordination of CO<sub>2</sub> and NH<sub>3</sub>. Coordination of carbon dioxide with RhCl(AsH<sub>3</sub>)<sub>4</sub> causes significant mixing of Rh d<sub>z<sup>2</sup></sub> and CO<sub>2</sub>  $\pi_{\parallel}^*$  orbitals, to form the 13a<sub>1</sub> orbital, as shown in Chart II. This significant mixing is reflected in the Mulliken populations given in Table II.<sup>38</sup> In the <sup>1</sup>S state, coordination of carbon dioxide remarkably decreases the electron population of the Rh d<sub>z<sup>2</sup></sub> orbital and substantially increases the

<sup>(36)</sup> The structure of a compound depends on the spin state, in general. To get a correct energy difference between the singlet and triplet states, optimization must be carried out on both states. Here, however, we are interested in the nature of the electronic structure for the X-ray experimental geometry of RhCl(diars)<sub>2</sub>(CO<sub>2</sub>) and we have not performed full geometry optimization. In a preliminary optimization at the UHF/MP2 level, the total energy of the triplet state was found to decrease monotonously as the Rh-CO<sub>2</sub> distance increases from 1.9 to 2.2 Å. This suggests that the triplet state is unbound for CO<sub>2</sub> coordination (at 2.35 Å, the total energy increases, due to contamination of higher spin states).

<sup>(37)</sup> The  $\pi$  and  $\pi^{\bullet}$  orbitals that are on the plane consisting of the Rh and CO<sub>2</sub> moieties are denoted as the  $\pi_{\parallel}$  and  $\pi_{\parallel}^{\bullet}$  orbitals. The other  $\pi$  and  $\pi^{*}$  orbitals, being perpendicular to this plane, are denoted as the  $\pi_{\perp}$  and  $\pi_{\perp}^{*}$  orbitals.

<sup>(38)</sup> Mulliken populations given in Table II are obtained at the HF level. This seems reasonable, since the interaction between RhCl(AsH<sub>3</sub>)<sub>4</sub> and the distorted CO<sub>2</sub> would be discussed, semiquantitatively at least, on the HF level. Furthermore, a preliminary SD-CI study of Rh(I)-CO<sub>2</sub> shows that the maximum change in Mulliken AO populations due to electron correlation effect is less than  $10^{-3.39}$ 

<sup>(39)</sup> The geometry of Rh-CO<sub>2</sub> was taken to be the same as in the Rh-CO<sub>2</sub> core of RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>) and the same basis sets were used as in this work. The SD-CI calculation was carried out with the MELD program written by E. R. Davidson and his collaborators in University of Washington. A total of 17 173 spin-adapted configurations were examined with the perturbation selection (threshold = 10<sup>-4</sup> hartree), and the resultant 4029 spin-adapted configurations were used in the variational SD-CI calculation. The contribution of main configuration was 0.93.



Figure 4. Changes in Mulliken populations caused by H<sup>+</sup> attack to coordinated CO<sub>2</sub>. A positive sign means an increase in Mulliken population, and a negative sign means a decrease. R(Rh-C) = 2.02 Å (experimental).

electron population of carbon dioxide. In the  ${}^{3}T_{1}$  state, similar but smaller changes in the Mulliken populations take place. This interaction stabilizes the 13a1 orbital, increases the energy difference between the  $13a_1$  and  $6b_2$  orbitals, as shown in Figure 2, and makes the <sup>1</sup>S state the most stable. Coordination of  $NH_{3}$ , however, remarkably raises the Rh  $d_{z^2}$  orbital energy but hardly changes the Rh  $d_{x^2-y^2}$  orbital energy. This result is easily understood by considering that NH<sub>3</sub> has a lone-pair orbital but does not have any good acceptor orbital available for effective charge-transfer interaction. Certainly, the electron populations of NH<sub>3</sub> and the Rh d<sub>2</sub> orbital are hardly influenced by NH<sub>3</sub> coordination (see Table II). Therefore, the Rh  $d_{z^2}$  orbital causes only a four-electron destabilizing interaction with the doubly occupied lone-pair orbital of  $NH_3$ , and the  $d_{z^2}$  orbital energy is significantly pushed up by NH<sub>3</sub> coordination. Consequently, the energy difference between Rh  $d_{z^2}$  and  $d_{z^2,y^2}$  orbitals decreases upon NH<sub>3</sub> coordination, which makes the  ${}^{3}T_{1}$  state the most stable.

Electrophilic Attacks of H<sup>+</sup> and CH<sub>3</sub><sup>+</sup> on the  $\eta^1$ -C-Coordinated  $CO_2$ . The attacks of H<sup>+</sup> and  $CH_3^+$  on the coordinated carbon dioxide will be theoretically studied. Since we are interested in a qualitative conclusion, the reaction course of electrophilic attack was not optimized but electrophiles such as  $H^+$  and  $CH_3^+$  were placed rather arbitrarily at 1.5 and 2.0 Å from the oxygen atom, respectively (see Chart III) and the  $\theta$  angle was varied only in the case of the H<sup>+</sup> attack. The stabilization energy resulted from the H<sup>+</sup> attack is given as a function of  $\theta$  in Figure 3. While the stabilization energy by the H<sup>+</sup> attack is slightly larger at the MP2 level than at the HF level, both HF and MP2 methods result in very similar dependences of stabilization energy on the  $\theta$  value. It is also noted that the stabilization energy in  $(NH_3)(CO_2) \leftarrow H^+$ is about half of that in RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>) $\leftarrow$ H<sup>+</sup> at both the HF and the MP2 levels. The best value of  $\theta$  is about 120° in  $(NH_3)(CO_2) \leftarrow H^+$  and about 90° in RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>) \leftarrow H^+. In RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>) $\leftarrow$ H<sup>+</sup>, the electron population of H<sup>+</sup> is the greatest and that of  $\overline{CO}_2$  is the smallest around  $\theta = 90^\circ$ , as shown in Figure 4,40 which suggests that the charge transfer from CO<sub>2</sub> to H<sup>+</sup> reaches a maximum around  $\theta = 90^{\circ}$ . In (NH<sub>3</sub>)(CO<sub>2</sub>) $\leftarrow$ H<sup>+</sup>, Chart IV



CT=Charge transfer interaction

**Table III.** Attack of  $CH_3^+$  on Coordinated  $CO_2$  in RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>) and (NH<sub>3</sub>)(CO<sub>2</sub>)<sup>*a*</sup>

	RhCl(As	$H_3)_4(CO_2)$	(NH <sub>3</sub> )(CO <sub>2</sub> )		
	$\theta = 90^{\circ}$	$\theta = 120^{\circ}$	$\theta = 90^{\circ}$	$\theta = 120^{\circ}$	
$\Delta E \text{ kcal/mol}$	-64.7	-81.5	5.7	-9.6	
changes in Mulliken pop. <sup>c</sup>					
Rh or N	0.004	0.004	0.041	0.039	
CO,	-0.031	-0.008	-0.254	-0.189	
AsH	0.098	-0.093			
Cl	-0.117	-0.113			
CH <sub>3</sub> +	0.798	0.453	0.284	0.212	

<sup>a</sup>See Chart III for  $\theta$ . R(Rh-C) = 2.02 Å and R(N-C) = 2.87 Å. The CH<sub>3</sub><sup>+</sup> was placed at a 2.0-Å distance from the oxygen atom. <sup>b</sup>The negative value means the stabilization in energy resulting from the CH<sub>3</sub><sup>+</sup> attack, and vice versa. <sup>c</sup>The positive value means an increase in Mulliken population upon CH<sub>3</sub><sup>+</sup> attack, and vice versa.

the charge transfer from CO<sub>2</sub> to H<sup>+</sup> becomes stronger with decreasing  $\theta$ , whereas the stabilization energy is the largest around  $\theta = 120^\circ$ . These results suggest that the charge-transfer interaction plays a key role in the H<sup>+</sup> attack to RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>) but a different interaction is important in the (NH<sub>3</sub>)(CO<sub>2</sub>) system. A candidate for such an interaction is the electrostatic interaction between CO<sub>2</sub> and H<sup>+</sup>. A free CO<sub>2</sub> molecule has a quadruple moment of  $-4.2 \times 10^{-26}$  cgsu, and consequently the electrostatic interaction favors the H<sup>+</sup> attack from the O end, as shown in Chart IV. The situation would be similar in (NH<sub>3</sub>)(CO<sub>2</sub>) where geometrical and populational changes caused by the CO<sub>2</sub> coordination is remarkably small. Probably, the best  $\theta$  value of 120° is a result of balance between the charge transfer and the electrostatic interactions.

Since  $CH_3^+$  is considered a more realistic model as an electrophile than  $H^+$ , the  $CH_3^+$  attack to the coordinated  $CO_2$  in

<sup>(40)</sup> Again, Mulliken populations at the HF level were used for this discussion, by considering the following results: (1) the stabilization energy by H<sup>+</sup> attack is only slightly larger at the HF level than at the MP2 level, and (2) both MP2 and HF methods result in a very similar dependence of stabilization energy on the θ value.

Chart V



RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>) and (NH<sub>3</sub>)(CO<sub>2</sub>) was examined at  $\theta = 90^{\circ}$ and 120°. The stabilization energy caused by the  $CH_3^+$  attack is large in RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>) $\leftarrow$ CH<sub>3</sub><sup>+</sup>, but very small in (NH<sub>3</sub>)(CO<sub>2</sub>) $\leftarrow$ CH<sub>3</sub><sup>+</sup>, as shown in Table III. Changes in Mulliken populations, given in Figure 4 and Table III,<sup>40</sup> exhibit interesting differences between these two reaction systems. First, the increases in electron populations of H<sup>+</sup> and CH<sub>3</sub><sup>+</sup> are much larger in the  $RhCl(AsH_3)_4(CO_2)$  system than in the  $(NH_3)(CO_2)$  system. Second, the CO<sub>2</sub> moiety loses little electron density upon electrophilic attack in the RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>) system, but it loses a lot in the  $(NH_3)(CO_2)$  system. Third, electron populations of AsH<sub>3</sub> and Cl ligands decrease upon the  $CH_3^+$  attack. All these results suggest that the charge-transfer interaction from CO<sub>2</sub> to an electrophile is much larger in the RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>) system than in the  $(NH_3)(CO_2)$  system and that electrons transferred from  $CO_2$  to the electrophile are efficiently supplied by Rh but are not effectively supplied by NH<sub>3</sub>. Nevertheless, the atomic population of Rh slightly increases upon electrophilic attack, because electrons are again supplied to Rh by AsH<sub>3</sub> and Cl ligands. This redistribution of electrons is summarized in the schematical picture in Chart V. Such an electron flow is difficult in (N- $H_3$ )(CO<sub>2</sub>), and consequently, the reactivity of CO<sub>2</sub> coordinated with  $RhCl(AsH_3)_4$  is much more enhanced than that in (N- $H_3$ )(CO<sub>2</sub>). This difference between (NH<sub>3</sub>)(CO<sub>2</sub>) and RhCl- $(AsH_3)_4(CO_2)$  is discussed later.

Electronic Structure of Coordinated Carbon Dioxide. Finally, the enhanced reactivity of coordinated carbon dioxide is discussed in relation to the coordinate bond of carbon dioxide. The difference density map  $\left\{=\rho [RhCl(AsH_3)_4(CO_2)] - \rho [RhCl(AsH_3)_4]\right\}$  $-\rho[CO_{2 \text{ dist}}]$  (dist = the distorted structure) given in Figure 5A offers clear features of electron distribution:<sup>38-40</sup> First, electron density substantially decreases in the regions of the Rh d<sub>2</sub> orbital and the trans-positioned Cl ligand and remarkably increases in the region of carbon dioxide. Second, the electron density does not increase much on the carbon atom but increases very much on the oxygen atom. In Figure 5B, a contour map of the HOMO of RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>) is pictured. In this orbital, the oxygen  $p_{\tau}$ contribution is much larger than the carbon  $p_r$  contribution, which reflects the abovementioned electron distribution. This feature of HOMO is easily explained in terms of a mixing of three orbitals, Rh d<sub>2</sub>, CO<sub>2</sub>  $\pi_{\parallel}$  and  $\pi_{\parallel}^*$  orbitals, as shown in Chart VI; the CO<sub>2</sub>  $\pi_{\parallel}^*$  orbital overlaps in a bonding phase with the HOMO of RhCl(AsH<sub>3</sub>)<sub>4</sub> which mainly consists of the Rh  $d_{z^2}$  and Cl p, orbitals. Into this bonding overlap, the  $CO_2 \pi_{\parallel}$  orbital mixes in an antibonding phase with the Rh d<sub>2</sub> orbital. Consequently, this mixing increases the oxygen  $p_{\pi}$  contribution but reduces the carbon  $p_{\pi}$  contribution to the HOMO, leading to the significant increase in electron density around the oxygen atoms.



Figure 5. Difference density map and contour map of the HOMO in RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>). (A) Difference density =  $\rho$ [RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>)] -  $\rho$ [RhCl(AsH<sub>3</sub>)<sub>4</sub>] - $\rho$ [CO<sub>2</sub>] ±0.1, ±0.05, ±0.005, ±0.001, ±0.005, ±0.0003. (B) Contour map: ±0.25, ±0.20, ±0.15, ±0.10, ±0.075, ±0.050, ±0.025. R(Rh-C) = 2.02 Å (experimental).

An enhancement of reactivity of the  $\eta^1$ -C-coordinated carbon dioxide is also explained by the above-described orbital mixing of HOMO. When the electrophilic attack to the oxygen atom is a charge-control reaction, the reactivity of coordinated carbon dioxide is expected to be enhanced very much, because the electron density of the oxygen atom is significantly increased by the  $\eta^{1}$ -C-coordination of carbon dioxide. When the electrophilic attack proceeds under frontier control, the energy level and the composition of HOMO are important. In the free molecule of  $CO_2$ , HOMO is a nonbonding  $\pi$  (n $\pi$ ) orbital and is positioned at -14.6 eV. the  $CO_2$  coordination with RhCl(AsH<sub>3</sub>)<sub>4</sub> raises the energy levels of  $n\pi_{\parallel}$  and  $n\pi_{\perp}$  orbitals to -10.2 and -10.4 eV, respectively, probably owing to electron accumulation on the oxygen atoms that is induced by the strong charge-transfer interaction from Rh to CO<sub>2</sub>. Furthermore, the HOMO of RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>), lying at -8.1 eV, contains a large  $p_{\pi}$  orbital of oxygen atoms arising from the orbital mixing of Chart VI. Thus, it is reasonably concluded that the strong charge-transfer interaction also enhances the frontier-controlled electrophilic attack to the oxygen atom in the  $\eta^1$ -C-coordinated CO<sub>2</sub> complex.

The electronic structure of coordinated carbon dioxide can be compared in RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>) and (NH<sub>3</sub>)(CO<sub>2</sub>), since the reactivity of the former is much more enhanced than that of the latter in spite of both having the same  $\eta^1$ -C-coordinated carbon dioxide. Coordination of carbon dioxide with NH<sub>3</sub> only slightly increases the electron population of carbon dioxide, as shown in Table II, and the binding energy of carbon dioxide with NH<sub>3</sub> is only 4.8 kcal/mol on the HF level and 4.7 kcal/mol on the MP2 level. The HOMO of this complex is the lone pair of NH<sub>3</sub>, which is much lower in energy than that in RhCl(AsH<sub>3</sub>)<sub>4</sub> and mixes very little with the  $\pi_{\parallel}^*$  orbital of carbon dioxide. These are reasons why the distortion of coordinated carbon dioxide is very small, as depicted in Figure 1. At the same time, the coordination of CO<sub>2</sub> with NH<sub>3</sub> only slightly raises the energy level of the  $n\pi$  orbital (-13.8 eV) and causes little contribution from the oxygen  $p_{\tau}$  orbital to the HOMO. Thus, the very weak charge-transfer interaction from NH<sub>3</sub> to CO<sub>2</sub> is the reason that carbon dioxide in  $(NH_3)(CO_2)$ exhibits much less reactivity than carbon dioxide in RhCl(As- $H_{3}_{4}(CO_{2}).$ 

#### Conclusions

The ground state of RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>) is calculated to be a singlet, despite the fact that it has a pseudooctahedral d<sup>8</sup> electron configuration. On the other hand, RhCl(AsH<sub>3</sub>)<sub>4</sub>(NH<sub>3</sub>) is calculated to have a d-d triplet ground state, as one might expect. This difference between RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>) and its NH<sub>3</sub> analogue reflects the characteristic feature of carbon dioxide ligand. The  $\eta^1$ -C-coordinating carbon dioxide is a Lewis acid and accepts

electrons from the Rh d<sub>z<sup>2</sup></sub> orbital through the strong bonding interaction between CO<sub>2</sub>  $\pi_{\parallel}^*$  and Rh d<sub>2</sub> orbitals, leading to significant stabilization of the Rh  $d_{z^2}$  orbital. On the other hand, the NH<sub>3</sub> ligand has a lone pair and is a typical Lewis base, which destabilizes the doubly occupied  $d_{z^2}$  orbital with a four-electron destabilizing interaction between these two orbitals. RhCl(As- $H_{3}_{4}(CO_{2})$  can be viewed as a charge-transfer complex between carbon dioxide and RhCl(AsH<sub>3</sub>)<sub>4</sub>, because of a significant charge transfer from Rh to carbon dioxide. Such a significant chargetransfer interaction accumulates electrons on the terminal oxygen atoms. Simultaneously, this interaction raises the energy level of  $\pi$  and  $n\pi$  orbitals of carbon dioxide and increases the oxygen  $p_{\pi}$  contribution to the HOMO originating mainly from the Rh  $d_{r^2}$  orbital. Consequently, the electrophilic attack to the oxygen

atom of CO<sub>2</sub> is much enhanced in RhCl(AsH<sub>3</sub>)<sub>4</sub>(CO<sub>2</sub>). On the other hand, little charge transfer occurs in  $(NH_3)(CO_2)$ , where the complexed carbon dioxide is much less reactive with an electrophile than the carbon dioxide of  $RhCl(AsH_3)_4(CO_2)$ .

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# Electron Correlation Effects on the Ground-State Structure and Stability of Triborane(9)

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Coupled-cluster and many-body perturbation (MBPT) theories are applied to a study of the ground-state structure and thermodynamic stability of triborane(9). Electron correlation effects are shown to have a dramatic influence on the predicted equilibrium structure of B<sub>3</sub>H<sub>9</sub>, shortening the nominally nonbonded B. B distances by 0.15 Å relative to the minimum-energy self-consistent-field structure. Reaction energies for  $B_2H_6 + BH_3 \rightarrow B_3H_9$  are also sensitive to correlation effects, which stabilize the product by approximately 20 kcal/mol. For a [4s3pld]/[3s1p] Gaussian basis set, the MBPT(4) electronic reaction energy is -7.9 kcal/mol. Introduction of thermal and entropic effects, however, favors the reactants. At room temperature, the Gibbs free energy change for the reaction is predicted to be +4.6 kcal/mol, suggesting that B<sub>3</sub>H<sub>9</sub> does not serve to trap BH<sub>3</sub> during the pyrolysis of diborane.

## Introduction

Although triborane(9) (see Figure 1) has not been characterized experimentally, its formation and subsequent destruction are believed to play an important role in the pyrolysis of diborane  $(B_2H_6)$ . The mechanism for this important sequence of reactions, which served as the original synthetic route to the higher boranes,<sup>1</sup> has not been unambiguously determined. It is widely believed, however, that the process is initiated by the three elementary reactions<sup>2</sup>

$$B_2H_6 \rightleftharpoons 2BH_3 \tag{1}$$

$$BH_3 + B_2H_6 \rightleftharpoons B_3H_9 \tag{2}$$

$$B_3H_9 \rightleftharpoons B_3H_7 + H_2 \tag{3}$$

with either step 2 or 3 rate-limiting. The ephemeral existence of a triboron hydride has been supported by mass spectroscopy, but no thermochemical or kinetic information pertaining to reactions 2 and 3 exists. Consequently, ab initio calculations appear to provide the most suitable means for studying this important process. Here too, however, the boranes present a problem. The quasi degeneracy of the boron 2s and 2p atomic orbitals leads to a number of configurations that make important contributions to the exact molecular wave function. Hence, the simple molecular orbital description is not suitable for quantitative theoretical studies of this class of compounds. Recent advances in quantum-chemical theory and computational speed have made the calculation of highly correlated molecular wave functions routinely possible for small to moderately sized systems. In the past few years, we have

carried out large-scale calculations on a number of electron-deficient molecules, including BH<sub>3</sub> and B<sub>2</sub>H<sub>6</sub>,<sup>4</sup> B<sub>2</sub>H<sub>4</sub>,<sup>5</sup> and BeB<sub>2</sub>H<sub>8</sub>.<sup>6</sup> Currently, our efforts are directed toward application of these techniques to the diborane pyrolysis mechanism. In this paper, we report results of recent calculations on the ground-state structure and energy of triborane(9), a molecule that has proven to be unusually sensitive to electron correlation effects.

#### Calculations

Calculations reported here were carried out with the ACES program system, developed by Bartlett and collaborators.<sup>7</sup> Two basis sets have been used in this study: the fully polarized [3s2p1d]/[2s1p] and [4s3p1d]/[3s1p] sets used by Redmon, Purvis, and Bartlett<sup>8</sup> [hereafter termed (321/21) and (431/31)]. In addition, some calculations were performed with a basis derived from (321/21) by deleting the hydrogen polarization functions [(321/2)]. Unconstrained geometry optimizations of  $B_3H_9$  (as well as  $B_2H_6$  and  $BH_3$ ) were performed with both basis sets at two levels of theory: the self-consistent-field (SCF) approximation and many-body perturbation theory,<sup>9</sup> carried out to second order [MBPT(2)]. At (321/21)-MBPT(2) equilibrium geometries, energy differences were calculated at a variety of highly correlated levels: MBPT through full

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<sup>(1)</sup> For an early history of the pyrolysis reactions, see: Hughes, R. L.; Smith, I. C.; Lawless, E. W. In Production of the Boranes and Related Research; Holzmann, R. T., Ed.; Academic Press: London, 1967.