ACS Award Address for Chemistry of Materials

Compounds That Contract on Heating[†]

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Various mechanisms to explain negative thermal expansion in oxides are presented. Our search for compounds having this unusual property has focused on the mechanism based on transverse thermal motion of oxygen in M-O-M linkages. Such a mechanism can give strong negative thermal expansion over broad temperature ranges. Examples of compounds where this mechanism operates to give negative thermal expansion are ZrW_2O_8 , the $Sc_2W_3O_{12}$ family, NbOPO₄, AlPO₄-17, and faujasite SiO₂.

Introduction

Usual explanations of thermal expansion start by considering the potential well of a diatomic molecule (Figure 1). As energy or temperature increases, vibrations increase. Due to the asymmetry of the potential well, excursions to longer interatomic distances are easier than to shorter interatomic distances. Thus, the average interatomic distance increases with increasing temperature. As bonds become stronger, the potential well becomes more symmetric and the vibrations therefore become more harmonic. For very strong bonds, there may be no detectable thermal expansion. The simplest way to achieve negative thermal expansion would be to reverse the potential well (Figure 1) so that with thermal excitation shorter distances become energetically favored over longer distances. There seems to be no example of such a situation for a diatomic molecule.

For larger molecules and for solids, the situation becomes more complicated. It has long been known that nonbonded interatomic distances may decrease with increasing temperature. In the case of CO_2 at low temperatures, the O–O distance decreases with increasing temperature due to bending modes of the CO_2 molecule. We will return later to this thermal striction mechanism as applied to solids.

Symmetry-Related Negative Thermal Expansion

One route to negative thermal expansion is based on increasing symmetry of polyhedra with increasing temperature. The best examples of this mechanism are ferroelectric compounds such as PbTiO₃. At temperatures above 490 °C, PbTiO₃ is cubic; its PbO₁₂ and TiO₆ polyhedra are perfectly regular. Below 490 °C the PbTiO₃ structure is tetragonal, and both the PbO₁₂ and TiO₆ polyhedra become increasingly distorted as temperature decreases. In the temperature region where PbTiO₃ becomes more distorted with decreasing temperature, it exhibits



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negative thermal expansion (Figure 2 and Table 1). Both the a and b axes show positive thermal expansion, but the c-axis

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Interatomic distance

Figure 1. Potential energy vs interatomic distance for a diatomic molecule. The dotted line shows the average interatomic distance.



Figure 2. Cell edges and volume vs temperature for PbTiO₃.

 Table 1.
 Thermal Expansion Coefficients

material	$lpha^a imes 10^6$
polypropylene	90
copper	16.6
Al_2O_3	8.8
silicon	3
SiO_2 (amorphous)	0.5
SiO_2 (faujasite)	-4.2
LiAlSiO ₄	-1
PbTiO ₃	-3.5
$Sc_2W_3O_{12}$	-2.2
$Lu_2W_3O_{12}$	-6.8
ZrW_2O_8	-8.7
AlPO ₄ -17	-11.7

^{*a*} $\Delta l/l$ per °C.

thermal contraction is large enough to give a small volume contraction. A rationalization for this behavior is based on the decrease of anion—anion repulsion as polyhedra become more regular. A consequence of this decreased repulsion is smaller polyhedra.

There is an alternate complementary explanation for the negative thermal expansion of tetragonal PbTiO₃. This focuses on the symmetry of the oxygen environment instead of the cation environment. In the tetragonal form of PbTiO₃, the Ti-O bonds are alternately long and short extending in linear chains along the *c* axis. These long and short bonds may be regarded as



Figure 3. Schematic of a shared edge for a MgO_6 octahedron and an AlO_4 tetrahedron.

single and double bonds, respectively. Above 490 °C in the cubic form of PbTiO₃, all Ti-O bonds are of equal length with a bond order of 1.5. It is well established from bond order vs bond distance relationships that a bond of 1.5 order is shorter than the average of the bonds with 1.0 and 2.0 order. Thus, as the unequal Ti-O bond lengths become equal, their average value decreases. From this approach, it follows naturally that the *c* axis in tetragonal PbTiO₃ will be longer than the *a* and *b* axes and that it will decrease with increasing temperature. Positive thermal expansion always occurs for the *a* and *b* axes along which the Ti-O bonds are all of equal length in the tetragonal as well as the cubic form of PbTiO₃. In tetragonal PbTiO₃, there is a complimentary long-short alteration of Pb-O bond lengths along the c axis. It is this factor that accounts for the much more pronounced negative thermal expansion in tetragonal PbTiO₃ relative to tetragonal BaTiO₃.

Networks with Bond Thermal Expansion

Another way to approach negative thermal expansion is to consider the behavior of certain networks. Lake¹ has described cellular solids consisting of bilayer ribs. Depending on the relative thermal expansion of each layer, negative thermal expansion may be produced in two dimensions. There is apparently no known example of this mechanism at the atomic level. Molecular dynamics calculations of a polyacetylene network have predicted negative thermal expansion.² This is perhaps related to negative thermal expansion observed in certain elastomers.³

A class of oxides that exhibit negative thermal expansion in one or two dimensions only has structures that are best viewed as network structures. Three important members of this class have found application due to their very low volume thermal expansion: corderite (Mg₂Al₄Si₅O₁₂), β -eucryptite (LiAlSiO₄), and NZP (NaZr₂P₃O₁₂). All three have hexagonal structures. For corderite and β -eucryptite, positive thermal expansion along a and b is coupled with negative thermal expansion along c. For NZP, c-axis positive thermal expansion is coupled with contraction along the *a* and *b* axes. The underlying mechanism is basically the same in all three of these compounds.⁴ It is actually normal bond expansion that gives rise to the basic features of the thermal expansion properties of corderite, β -eucryptite, and NZP. In these compounds, the thermal expansion of the Al-O, Si-O, P-O, and Zr-O bonds is small enough to ignore. We can consider then just the effect on structure of thermal expansion of Mg-O, Li-O, and Na-O bonds. A key feature of these compounds is edge- or facesharing polyhedra. This gives the possibility of polyhedron expansion in one or two directions only. Figure 3 shows a portion of the corderite structure. As Mg-O bonds lengthen

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Figure 4. Schematic of anisotropic thermal expansion in networks. The shaded areas represent layers in corderite and β -eucryptite but represent tubes in the case of NZP. As the layers or tubes undergo thermal expansion, they are pulled closer together in the other directions. The layer thickness and tube diameter do not change.

with increasing temperature, there is expansion only in the horizontal direction. Expansion in the vertical direction is inhibited by the cation-cation repulsion across the shared edge. This repulsion pulls the oxygen atoms at the edge into their contact position where they remain while Mg-O distances expand and contract. In corderite, the Mg $<_{0}^{O}$ >Al linkages form a layer which expands laterally while its thickness does not change significantly. Six-membered Si-O rings hold the Mg/Al/O layers together. The vertical contraction caused by horizontal expansion of layers is illustrated schematically in Figure 4. The wires holding the layers together are Si-O bonds, and the hinges are Si-O-Si and O-Si-O bond angles. Expansion in the *ab* plane directly causes contraction along *c*.

In both corderite and β -eucryptite, there are layers with polyhedra edge sharing only within the layers. In NZP, there is face sharing of ZrO₆ and NaO₆ octahedra along the *c* axis forming tubes. Cation-cation repulsion pulls the oxygen atoms of the shared faces to their contact distance, where they remain, while Na-O distances expand or contract with changing temperature. Thus, the tubes expand along the *c* axis as the Na-O distances expand with increasing temperature. The tubes do not increase their diameter but are pulled closer together by rotations of PO₄ tetrahedra linking the tubes together.

The mechanism just described gives excellent agreement with the observed thermal expansion behavior of corderite. In the case of β -eucryptite and some members of the NZP family, other factors must be considered for a complete explanation of their thermal expansion properties. Good Na⁺ conductivity occurs in NZP, and good Li⁺ conductivity occurs in β -eucryptite. The actual distribution of Na⁺ and Li⁺ in these lattices changes with changing temperature. Complete modeling of the thermal expansion of these compounds must include this changing distribution.

Rocking Polyhedra

The most fruitful area for negative thermal expansion in oxides appears to be open framework structures where the framework oxygen is coordinated to just two metal atoms. If the angle of the M–O–M linkage is 180° , transverse thermal motion of oxygen will pull the metal atoms closer together, provided that the M–O bonds are sufficiently strong that there is insignificant thermal expansion of these bonds. This is the same mechanism causing thermal striction of CO₂ at low temperatures. It is also a mechanism that has been invoked in oxides such as SiO₂. However, it seemed that this mechanism operated only over limited temperature regions that did not include room temperature. We now know, however, that this mechanism can give very strong negative thermal expansion over broad temperature ranges that include room temperature.

One issue with thermal striction of the M–O–M linkage is whether the displacement of oxygen should be viewed as transverse motion or as a torus which increases its diameter with increasing temperature. There is not likely one answer to this question. The torus is probably more appropriate for Si– O–Si and P–O–P linkages with an angle close to the unfavorable 180° angle. However, π bonding stabilizes the 180° angle for linkages such as W–O–W with hexavalent W; then, the transverse motion modeled as a thermal ellipsoid becomes more likely. Many cases may represent a hybrid situation.

Another issue with the oxygen vibrations of the M-O-M linkages is how to account for the correlated motions of the various oxygen atoms of the network. In fact, there is a simple way to accommodate this correlation. Each MO_x polyhedron can be considered as a rigid or semirigid polyhedron. Rocking of these linked polyhedra back and forth creates transverse thermal motion of the M-O-M linkages in a correlated manner. This is an approach that we had previously used to investigate the flexibility of various networks.⁵ A flexible network is one where polyhedra can easily rotate back and forth with little or no change in shape. Not all frameworks based on corner-shared polyhedra are flexible.

Dove et al.⁶ have taken a similar approach to describing features of framework structures. They define rigid unit modes (RUMs) that are rocking motions of polyhedra that do not change shape. Quasi-rigid unit modes (qRUMs) are rocking motions of polyhedra where small changes in polyhedral shape are required. A necessary condition for negative thermal expansion is the existence of RUMs or qRUMs.

A simple example of thermal rocking of polyhedra is illustrated in Figure 5 using the cubic ReO₃ structure. The structure is largest when the M–O–M angles are 180°. Rocking bends the M–O–M linkages, resulting in a volume decrease. No distance or angle within the MO₆ octahedron changes. Actually, ReO₃ itself does not show negative thermal expansion, possibly because the Re–O distance becomes larger as the Re–O–Re linkages bend away from 180°. This 180° bond angle is stabilized through π bonding.

For networks with oxygen in 2-fold coordination, there are constraints on possible formulas. For an oxide network based on corner-sharing octahedra only, the formula must be AO₃. For an oxide network based on corner-sharing tetrahedra only, the formula must be MO₂. For a network with only polyhedra corner sharing but with both tetrahedra and octahedra, there are several possibilities. Simple examples are AM_2O_7 , $A_2M_3O_{12}$, and AMO_5 where A and M are octahedral and tetrahedral cations, respectively. For the $A_2M_3O_{12}$ formula, each oxygen may be shared by one A and one M cation. For the AM_2O_7 formula, there are both A–O–M and M–O–M linkages, whereas for the AMO₅ formula, there are both A–O–M and

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Figure 5. Octahedra tilting back and forth to give thermal contraction.



Figure 6. Thermal expansion for some cubic AM_2O_7 compounds.

A–O–A linkages. All of these types can show negative thermal expansion behavior.

Figure 6 shows the thermal expansion of several members of the cubic AM_2O_7 family.⁷ Note that the tendency to exhibit negative thermal expansion behavior increases as the unit cell edge increases. This is a structure (Figure 7a) for which rocking motions of the linked tetrahedra and octahedra cannot occur without concurrent changes in polyhedral shapes. However, as polyhedra become larger, it is easier for them to change shape due to decreased anion—anion distances within polyhedra. Thus, the negative thermal expansion behavior in the cubic AM_2O_7 series is dependent on the more facile rocking motions of larger polyhedra.

Several members of the cubic AM_2O_7 family have a network collapse phase transition with decreasing temperature (Figure 6). Below this transition, the thermal expansion is positive and more normal. The structures through these transitions have been studied in detail for ZrP_2O_7 and ZrV_2O_7 .^{8–11} At room temperature, both of these compounds are cubic but with a 3 × 3 × 3 superstructure relative to their high-temperature structure. However, when this superstructure first appears on cooling from

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high temperatures, it is incommensurate.¹¹ We will come back to these network collapse transitions, which also occur in other structures. One way to suppress this phase transition is through solid solutions such as the ZrP_2O_7 – ZrV_2O_7 solid solution.¹²

There are two different structures to consider for the $A_2M_3O_{12}$ formula. One structure is the same as NZP (NaZr₂P₃O₁₂) without Na. This is a hexagonal structure, and an example is NbZrP₃O₁₂. These phases can exhibit negative volume thermal expansion.¹³ However, characteristics of the compounds reported with this structure are highly anisotropic thermal expansion and coefficients of thermal expansion which are strongly temperature dependent.

Another family of compounds with the A₂M₃O₁₂ formula ideally has a structure with orthorhombic symmetry (Figure 7b). This is a very large family where M can be Mo or W and A can be many trivalent cations ranging in size from Al^{3+} to the smaller rare-earth cations. The same structure also exists for $A_2MP_2O_{12}$ phases where A is Zr^{4+} or Hf^{4+} and M is W^{6+} or Mo⁶⁺. The thermal expansion in this family varies from positive to negative.¹⁴ Thus, solid solutions can be prepared which show essentially zero thermal expansion. In view of the orthorhombic symmetry, members of this family must have anisotropic thermal expansion. However, for some members, the expansion is nearly isotropic. More typically, negative thermal expansion occurs along the *a* and *c* axes and positive thermal expansion along the *b* axis. As in the case of the cubic AM_2O_7 family, coupled rocking of polyhedra cannot occur unless the polyhedra change shape while rocking.¹⁵ Thus, we might again expect stronger negative thermal expansion with larger unit cells based on larger cation size. Studies of Lu₂W₃O₁₂ confirm this expectations. The intrinsic linear thermal expansion for $Sc_2W_3O_{12}$ is $-2.2 \times 10^{-6} \text{ K}^{-1}$, while for Lu₂W₃O₁₂, which shows negative thermal expansion along all three axes, it is $-6.8 \times 10^{-6} \text{ K}^{-1.16}$ This is much more negative than for the NZP-related phases with the $A_2M_3O_{12}$ formula.

Very little is yet known about compounds of the AMO₅ or AOMO₄ family. We have recently studied¹⁷ the thermal expansion of tetragonal NbOPO₄. It has a phase transition at about 200 °C. Above that transition, negative thermal expansion is observed for the *a* and *b* axes coupled with positive thermal expansion for the *c* axis. Below the transition, all three axes show positive thermal expansion. The rocking motion related to the negative thermal expansion is illustrated in Figure 7c. Mirror planes present in the middle frame disappear on tilting

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Figure 7. (a, top left) The cubic AM_2O_7 structure as corner-sharing AO_6 octahedra (green) and MO_4 tetrahedra (red). (b, top right) A fragment of the structure of the orthorhombic $A_2M_3O_{12}$ family as AO_6 octahedra (green) and MO_4 tetrahedra (red). (c, middle) The tetragonal NbOPO₄ structure looking down the *c* axis. Rocking of connected NbO₆ octahedra and PO₄ tetrahedra causes contraction along *a* and *b* but not along *c*. (d, bottom) The cubic ZrW₂O₈ structure as ZrO₆ octahedra (green) and WO₄ tetrahedra (red).

just as in Figure 5. However, in this case, there are no 180° linkages when the mirror planes are present.

Calculations suggest that some MO_2 networks based on corner-shared tetrahedra should have negative thermal expan-



Figure 8. The expansion of cubic ZrW_2O_8 vs temperature. Measurements from 3 separate experiments are combined.

sion, while others should have positive thermal expansion.¹⁸ No explanation was offered for this different behavior. We have determined the thermal expansion of SiO₂ with the faujasite structure.¹⁹ It is indeed strongly negative over the entire range of measurement. Even stronger thermal expansion ($\alpha_1 = -11.7 \times 10^{-6} \text{ K}^{-1}$) is found for AlPO₄-17, which has the hexagonal erionite structure.²⁰ This is apparently the strongest negative thermal expansion ever observed for any material.

The thermal expansion for ReO₃ is small but positive.²¹ The structure of WO₃ is highly distorted relative to cubic ReO₃, and it shows only positive thermal expansion. Both TaO₂F and NbO₂F are known to have the cubic ReO₃ structure. Our recent measurements²² on these compounds indicate very low thermal expansion, lower than that of vitreous silica.

Zirconium Tungstate. A way to relieve the inhibition of rocking motions of polyhedra in the cubic AM₂O₇ structure would be to break some of the bonds in this network. Breaking the M-O bond of the M-O-M linkage is conceptually possible, and this leads us to the cubic ZrW₂O₈ structure (Figure 7d). The arrangement of ZrO_6 octahedra is the same in both the ZrW_2O_8 and ZrV_2O_7 structures, but the WO₄ groups in ZrW₂O₈ are not linked to each other. The ZrW₂O₈ structure is much more flexible than the ZrV_2O_7 structure. The polyhedra can rock back and forth without any change in shape. Negative thermal expansion is observed^{23,24} for ZrW_2O_8 over its entire stability range (Figure 8), and this thermal contraction is especially strong from 10 to 425 K. Because ZrW₂O₃ is cubic, its thermal expansion behavior is strictly isotropic. It has the strongest negative thermal expansion known for any isotropic material.

A change in slope of the thermal expansion curve for ZrW_2O_8 occurs at about 425 K. Thermal expansion remains negative above this phase transition, but the magnitude is decreased. Structural studies^{23,24} show that this is an order–disorder transition. Above the transition, there are various ways the structure can be described. All tetrahedra in the ZrW_2O_8 structure are on 3-fold axes. They must point up or down. This pointing is ordered below the order–disorder transition. Above the transition, these tetrahedra may point randomly up or down.

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(24) Evans, J. S. O.; Mary, T. A.; Vogt, T.; Subramanian, M. A.; Sleight, A. W. Chem. Mater. 1996, 8, 2809. Alternately, we may consider this disordered situation to be a dynamic mixture of WO₄, W₂O₇, and W₂O₉ groups. Still another view is to consider the structural formula to be $Zr(WO_3)_2O_2$ where the network is $Zr(WO_3)_2$ with two extra-framework oxygen atoms. One of these oxygen atoms is trapped between the two adjacent WO₃ triangles, and the other extraframework oxygen atom is mobile. In fact, analysis of ac impedance data suggests that above its order–disorder transition, ZrW_2O_8 has oxygen ion conductivity similar to that, of ZrO_2 : Y_2O_3 .²⁴ Hysteresis has been detected in this order–disorder transition.

Subjecting ZrW₂O₈ to high pressure shows that its compressibility is not unusual.²⁵ However, at about 2 kbar it collapses into a denser structure. This denser structure has orthorhombic symmetry and is maintained on release of the pressure. This structure is cross braced with the addition of some more W–O bonds. This denser structure still possesses negative thermal expansion, but the magnitude is much less than that of the cubic form. This decrease is presumably a result of the cross bracing, which decreases the flexibility of the structure. On heating of orthorhombic ZrW₂O₈ to 390 K at ambient pressure, it converts back to cubic ZrW₂O₈. The behavior of isostructural HfW₂O₈ and Zr_{1-x}Hf_xW_xO₈ solid solutions is essentially identical to that of ZrW₂O₈ from the point of view of thermal expansion properties. However, HfW₂O₈ requires higher pressures to convert it to the denser orthorhombic structure.

According to the ZrO₂–WO₃ phase diagram,²⁶ there is only one ternary compound in this system, ZrW₂O₈. This compound is thermodynamically stable only between 1380 and 1530 K. Thus, final synthesis conditions normally involve heating in that temperature range. However, using certain precursors, cubic ZrW₂O₈ can be prepared at about 600 °C.²⁷ This lowtemperature synthesis route has enabled the synthesis of $ZrW_{2-x}Mo_xO_8$ solid solutions up to x = 1.5.²⁷ The structure of ZrMo₂O₈ is very different from that of ZrW₂O₈, and attempts to prepare $ZrW_{2-x}Mo_2O_8$ solid solutions at high temperature have failed. One effect of substituting Mo for W in ZrW_2O_8 is to lower the order-disorder transition temperature.²⁷ Samples with x of 0.7 or greater have the disordered structure at room temperature. High yields of cubic ZrW2O8 have also been obtained by a combustion synthesis.²⁸ In this synthesis, urea is added to a nitrate solution containing Zr and W. Placing this mixture in a furnace preheated to 500 °C initiates an exothermic reaction. In this way, ZrW₂O₈ can be produced in a few minutes.

Maximum Volume Networks. If the angle of a M-O-M linkage is not 180°, it is no longer obvious that transverse oxygen thermal motion will lead to M-M contraction. It could as well lead to M-M expansion. There is, however, a condition where we might expect M-M thermal contraction regardless of the angle of the M-O-M linkage. In the ionic limit, we should expect the lowest energy configuration of a network to be its most open form.²⁹ This maximizes cation–cation distances without affecting cation–anion bonding distances. It will increase the angles of the M-O-M linkages to their maximum values consistent with the connectivity of the network. In such a network at its maximum volume, bending the

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M-O-M linkages to smaller angles will generally be easier than bending to larger angles.⁵ Thus, transverse thermal motions of this oxygen will lead to thermal contraction of the network. This leads us to a possible explanation of the theoretical predictions for thermal expansion of some zeolites. Our studies of zeolite network flexibility⁵ indicate a symmetric potential well for sodalite instead of the asymmetric well found for all other cubic zeolites investigated. There is, therefore, no driving force for negative thermal expansion in the sodalite framework. This was the only cubic framework for which positive thermal expansion was predicted.¹⁸ There is thus a suggestion that the asymmetric well is a requirement for negative thermal expansion in zeolites.

Just as for the diatomic molecule situation shown in Figure 1, the transverse motion of oxygen in the M-O-M linkage may be described by either a harmonic or an anharmonic well. If, for example, the linkage angle is constrained by symmetry to be 180°, the well is strictly harmonic. Thermal contraction can occur regardless of whether this well is harmonic or anharmonic. If the well is harmonic or very close to harmonic, the average position of the oxygen will not change as temperature changes. However, for an anharmonic well, the average position will change. These two different situations might be described as two different mechanisms. In only one mechanism would there be static tilting of the polyhedra with changing temperature. Basically, however, this is one mechanism, the difference being whether the potential well is harmonic or anharmonic.

For some network structures, negative expansion occurs only at high temperature. As temperature decreases, there is a framework collapse transition. Once the framework has collapsed, it exhibits normal positive thermal expansion. Examples of this are several crystallite forms of SiO₂ and several members of the cubic AM₂O₇ family. A big factor here is the unfavorable 180° for M–O–M linkages where M is Si, P, or V. Oxygen displacements bending this angle at high temperature are disordered. Below the network collapse transition, these displacements become partially or completely ordered. The situation for the $A_2M_3O_{12}$ family is different. There are no 180° linkage angles even for the highest symmetry form of the network. Still, many of the orthorhombic $A_2M_3O_{12}$ compounds have a network collapse phase transition. Below the transition, they have monoclinic structures which exhibit only normal positive thermal expansion. This is a violation of the maximum volume principle, which suggests that the structures are not sufficiently ionic for it to apply. This conclusion is supported by correlations between network ionicity and the temperature of the phase transition.¹⁴ As the effective charges on anions and cations decrease, anion—anion and cation—cation repulsion decreases. These repulsions can then be overcome by a van der Waals attraction which drives the collapse. Thus, in some networks, it is important to adjust the ionicity to avoid the network collapse transition.

Outlook

Undoubtedly, the list of compounds that contract on heating will continue to grow, and some of these compounds will likely find application. We have qualitative ideas that successfully guide our search for such compounds. Definitive correlation of theory with experiment is difficult. It is easy to determine the thermal expansion or contraction of a material with great precision, but the same level of precision cannot be obtained for the expansion and contraction of individual interatomic distances. It thus frequently happens that when a compound expands or contracts, we cannot attribute this behavior with absolute certainty to any particular bond distance or bond angle. Our approach is then to compare computer modeling with observed expansion or contraction. Accurate structure analyses as a function of temperature are nonetheless important because significant structural changes are sometimes readily detected.¹⁵

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