

Neutral Ru^{II}-Based Emitting Materials: A Prototypical Study on Factors Governing Radiationless Transition in Phosphorescent Metal Complexes[†]

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Received January 13, 2006

In addition to the metal-centered dd transition that is widely accepted as a dominant radiationless decay channel, other factors may also play important roles in governing the loss of phosphorescence efficiency for heavy-transition-metal complexes. To conduct our investigation, we synthesized two dicarbonylruthenium complexes with formulas $[Ru(CO)_2(BQ)_2]$ (1) and $[Ru(CO)_2(DBQ)_2]$ (2), for which the cyclometalated ligands BQ and DBQ denote benzo-[h]quinoline and dibenzo[f,h]quinoxaline, respectively. Replacing one CO ligand with a P donor ligand such as PPh₂Me and PPhMe₂ caused one cyclometalated ligand to undergo a 180° rotation around the central metal atom, giving highly luminous metal complexes $[Ru(CO)L(BQ)_2]$ and $[Ru(CO)L(DBQ)_2]$, where L = PPh₂Me and PPhMe₂ (**3–6**), with emission peaks λ_{max} in the range of 571–656 nm measured in the fluid state at room temperature. It is notable that the S₀–T₁ energy gap for both **1** and **2** is much higher than that of **3–6**, but the corresponding phosphorescent spectral intensity is much weaker. Using these cyclometalated Ru metal complexes **1** and **2**, the weaker spin–orbit coupling present within these molecules reduces the T₁–S₀ interaction, from which the thermally activated radiationless deactivation may take place. This, in combination with the much smaller ³MLCT contribution than that observed in **3–6**, rationalizes the lack of room-temperature emission for complexes **1** and **2**.

1. Introduction

One of the important research subjects toward organic light emitting diodes (OLEDs) is the development of phosphorescent materials that emit all three primary colors for fullcolor displays. This approach leads to the essentiality of preparing phosphors incorporating second- and third-row transition-metal complexes.¹ The associated strong spinorbit coupling in heavy metals would promote singlet-totriplet intersystem crossing as well as enhance the subsequent radiative transition from the triplet to the ground state, giving good phosphorescence efficiency for these metal complexes. Among the phosphorescent complexes, green-emitting complexes² have been known for years and were fabricated as OLED components with ~100% internal quantum efficiency, while the red-emitting complexes are also accessible through judicious choices of chelate chromophores to lower the energy gap and extend the triplet-state lifetime³ as well as

Inorganic Chemistry, Vol. 45, No. 20, 2006 8041

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^{10.1021/}ic060066g CCC: \$33.50 © 2006 American Chemical Society Published on Web 08/30/2006

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to circumvent their intrinsic obstacle, namely, the rapid nonradiative deactivation predicted by the energy gap law.⁴

Presently, researchers have turned their attention to the preparation of the remaining blue-emitting phosphorescent complexes.⁵ This task, however, is even more difficult to achieve than those of the other two cases. One major challenge lies in the selection of suitable chelate ligands that are able to form complexes with sufficiently large ligand-centered $\pi\pi^*$ transition energies and/or metal-to-ligand charge-transfer (MLCT) energies. Such an approach might inevitably raise the ligand-centered transition (or MLCT) to a region very close to or even higher than the metal-centered d states (or ligand-field, LF, states), such that a very efficient radiationless decay pathway may take place through a shallow potential energy surface or a possible T₁-S₀ intersection due to the weakness of the metal–ligand bonds.

To circumvent this obstacle, a few attempts have been made through the use of strong field ancillary ligands such as CO or cyanide with an aim to increase the dd transition.⁶ This, in combination with the incorporation of third-row metal elements, further strengthens the metal—ligand bond-ing.⁷ More recently, a series of blue-emitting pyridyl azolate osmium carbonyl complexes⁸ as well as iridium complexes with 2,4-difluorophenylpyridyl, pyrazolyl, and even N-heterocyclic carbene ligands have been reported.⁹ These exquisite works demonstrate the feasibility of achieving a

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saturated blue color or even near-UV phosphorescence.¹⁰ Despite this perspective, however, almost all of these blue phosphorescent complexes are inevitably subject to a pronounced decrease in the luminance efficiency at room temperature.

Because both strong-field metal elements and ligands are selected in assembling this class of metal complexes, it is reasonable to expect that the metal-centered dd state will be inaccessible from the lowest emissive triplet state. Thus, the dominant radiationless deactivation generalized by a quenching mechanism incorporating dd transition may be groundless.^{6,11} As such, the call for alternative, convincing explanations to account for this ubiquitous observation is urgent. Bearing this challenge in mind, we then made an assiduous effort to design and synthesize a new series of Ru^{II} complexes possessing cyclometalated chromophores and other strongfield ligands, aimed at probing the radiationless pathways in correlation with their chemical structures.¹² It is notable that Ru^{II} complexes are well suited for this approach mainly because of their relatively small ligand field and weaker metal-ligand bonding. This, in combination with its less heavy atom effect and hence the weaker spin-orbit coupling, leads us to believe that, under the same ligand configuration, the induction of radiationless transition in Ru^{II} complexes is expected to be more drastic than that of the third-row metal congeners. Accordingly, it becomes more plausible to explore the undermining factors causing such intriguing phenomena, i.e., loss of the emission intensity, from fundamental aspects. As an equally important issue, once the radiationless channels are inhibited, the prevailing of Ru^{II} complexes to the thirdrow transition-metal complexes toward OLED application are apparently due to the lower cost and greater abundance of Ru.

2. Experimental Section

General Information and Materials. Elemental analyses and mass spectroscopy (operating in fast atom bombardment, FAB, mode) were carried out at the NSC Regional Instrument Centre at National Chiao Tung University, Hsinchu, Taiwan. ¹H and ¹³C NMR spectra were recorded on a Varian Mercury 400 or an Inova 500-MHz instrument; chemical shifts are quoted with respect to internal standard Me₄Si. Details of the fabrication and characterization of electroluminescent devices are as reported previously.^{1b} All synthetic manipulations were performed under a N₂ atmosphere, while solvents were used as received. Benzo[*h*]quinoline (BQ) was purchased from TCI Japan, while dibenzo[*f*,*h*]quinoxaline (DBQ) was prepared from condensation of phenanthrene-9,10-dione with ethylenediamine.¹³ The Ru^{II} metal complex [Ru(CO)₂(BQ)₂] (1) was

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prepared using Ru₃(CO)₁₂ and BQ in a mixture of 1,2-dimethoxyethane and octane following literature procedures.¹⁴

Cyclic voltammetry (CV) measurements were performed using a BAS 100 B/W electrochemical analyzer. The oxidation and reduction measurements were recorded, respectively, in anhydrous CH_2Cl_2 and anhydrous THF solutions containing 0.1 M TBAPF₆ as the supporting electrolyte, at a scan rate of 100 mV s⁻¹. The potentials were measured against an Ag/Ag⁺ (0.01 M AgNO₃) reference electrode with the ferrocene/ferrocenium couple as the internal standard.

Steady-state absorption and emission spectra were recorded by a Hitachi U-3310 spectrophotometer and an Edinburgh FS920 fluorimeter, respectively. Emission quantum yields were measured at excitation wavelength $\lambda_{exc} = 480$ nm in CH₂Cl₂ at room temperature. 4-(Dicyanomethylene)-2-methyl-6-[*p*-(dimethylamino)styryl]-4*H*-pyran (DCM, $\Phi_r = 0.44$) in a methanol solution was used as the reference, and the equation

$$\Phi_{\rm s} = \Phi_{\rm r} \left(\frac{\eta_{\rm s}^2 A_{\rm r} I_{\rm s}}{\eta_{\rm r}^2 A_{\rm s} I_{\rm r}} \right)$$

was used to calculate the emission quantum yields, where Φ_s and Φ_r are the quantum yields of the unknown and reference samples, η is the refractive index of the solvent, $A_{\rm r}$ and $A_{\rm s}$ are the absorbance of the reference and the unknown samples at the excitation wavelength, and I_s and I_r are the integrated areas under the emission spectra of interest, respectively. For the phosphorescence lifetime measurements in the microsecond region, a third harmonic of an Nd:YAG laser of 355 nm was used as the excitation source. For this approach, emission decay was detected with a photomultiplier tube and averaged over 500 shots using an oscilloscope, and laser energy was reduced to $\leq 1 \text{ mJ pulse}^{-1}$ to prevent possible photochemical decomposition. For the nanosecond lifetime measurements, the fundamental train of pulses from a Ti-sapphire oscillator (82 MHz, Spectra Physics) was used to produce second harmonics (375–425 nm) as an excitation light source. The signal was detected by a time-correlated single-photon-counting system (Edinburgh OB 900-L).

Synthesis of [Ru(CO)₂(DBQ)₂] (2). A mixture of Ru₃(CO)₁₂ (111 mg, 017 mmol), dibenzo[*f*,*h*]quinoline (250 mg, 1.09 mmol), 1,2-dimethoxyethane (2 mL), and octane (15 mL) was heated at reflux for 12 h, after which time a dark-brown precipitate had deposited from the brown solution. The solvent was then removed using a rotary evaporator, and the residue was treated with a mixture of 1 mL of diethylamine and 3 mL of methanol to remove the probable side product [Ru₄H₄(CO)₁₂]. The remaining solid was collected by filtration and washed with methanol (3 × 2 mL) and hexane (3 × 3 mL) three times. The expected DBQ complex **2** was obtained as a yellow-brown solid (83 mg, 0.34 mmol, 80%).

Spectral Data of 2. MS (FAB, ¹⁰²Ru): observed m/z [assignment] 616 [M⁺]. IR (CH₂Cl₂): ν (CO) 2015 (s), 1948 (s) cm^{-1. 1}H NMR (400 MHz, CD₂Cl₂): δ 9.04 (d, 2H, J = 7.6 Hz), 8.73 (d, 2H, J = 8.4 Hz), 8.55 (d, 2H, J = 7.2 Hz), 8.45 (d, 2H, J = 8.0 Hz), 8.37 (d, 2H, J = 2.8 Hz), 7.90–7.81 (m, 4H), 7.77 (d, 2H, J = 2.8 Hz), 7.70 (t, 2H, J = 7.6 Hz). Anal. Calcd for C₃₄H₁₈N₄O₂-Ru: C, 66.34; H, 2.95; N, 9.10. Found: C, 66.37; H, 3.01; N, 8.95.

Preparation of [Ru(CO)(BQ)₂(PPh₂Me)] (3). A 50-mL reaction flask was first charged with 1 (300 mg, 0.58 mmol) and 20 mL of anhydrous diethylene glycol monoethyl ether (DGME) and then immersed into an oil bath maintained at ~130 °C. Freshly sublimed Me₃NO (109 mg, 1.46 mmol) dissolved in 12 mL of DGME was dropwise added over a period of 5 min, followed by the addition of PPh₂Me (500 μ L, 2.63 mmol). The resulting mixture was then stirred at 160 °C for 24 h. Finally, the solvent was evaporated under vacuum and the residue placed into 30 mL of CH₂Cl₂. The solution was washed with distilled water (150 mL × 2), dried over MgSO₄, and evaporated to dryness. The residue was purified by silica gel column chromatography using ethyl acetate/hexane (1:5) as the eluent. Recrystallization was conducted from a mixture of CH₂Cl₂ and methanol at room temperature, giving yellow crystalline solids (238 mg, 0.348 mmol) in 60% yield. Complex [Ru(CO)(BQ)₂-(PPhMe₂)] (**4**) was prepared in 80% yield using similar procedures.

Spectral Data for 3. MS (FAB, ¹⁰²Ru): observed m/z [assignment] 686 [M⁺]. IR (CH₂Cl₂): ν (CO) 1909 (s) cm⁻¹. ¹H NMR (500 MHz, acetone- d_6): δ 9.01 (dd, 1H, J = 5.0 and 1.0 Hz), 8.52 (dd, 1H, J = 1.5 and 1.0 Hz), 8.30–8.29 (m, 1H), 7.85 (d, 1H, J = 7.8 Hz), 7.78–7.67 (m, 5H), 7.56–7.40 (m, 7H), 7.27 (d, 1H, J = 7.5 Hz) 7.02–6.81 (m, 6H), 6.70 (dd, 1H, J = 8.0 and 5.5 Hz), 6.64–6.60 (m, 2H), 1.32 (d, 3H, J = 6.5 Hz). ³¹P NMR (202 MHz, acetone- d_6): δ 18.35 (s). Anal. Calcd for C₄₀H₂₉N₂OPRu: C, 70.06; H, 4.26; N, 4.09. Found: C, 69.75; H, 4.57; N, 4.01.

Spectral Data for 4. MS (FAB, ¹⁰²Ru): observed m/z [assignment] 624 [M⁺]. IR (CH₂Cl₂): ν (CO) 1909 (s) cm⁻¹. ¹H NMR (400 MHz, CD₂Cl₂): δ 8.42 (dt, 1H, J = 5.6 and 1.6 Hz), 8.35 (d, 1H, J = 5.2 Hz), 8.26 (dd, 1H, J = 6.6 and 1.6 Hz), 7.91 (d, 1H, J = 8.0 Hz), 7.82 (d, 1H, J = 8.8 Hz), 7.00 (d, 1H, J = 8.8 Hz), 7.64–7.54 (m, 3H), 7.47 (d, 1H, J = 8.8 Hz), 7.30–7.23 (m, 7H), 7.13 (dt, 1H, J = 4.8 and 1.6 Hz), 6.98 (td, 1H, J = 7.4 and 1.2 Hz), 6.95–6.83 (m, 2H), 1.56 (d, 3H, J = 7.2 Hz), 0.61 (d, 3H, J = 6.4 Hz). ³¹P NMR (202 MHz, CDCl₃): δ 18.32 (s). Anal. Calcd for C₃₅H₂₇N₂OPRu: C, 67.41; H, 4.36; N, 4.49. Found: C, 67.52; H, 4.46; N, 4.74.

Preparation of [Ru(CO)(DBQ)₂(PPh₂Me)] (5) and [Ru(CO)-(DBQ)₂(PPhMe₂)] (6). The synthesis procedures were essentially identical with those described for **3**, using similar ratios of **1**, freshly sublimed Me₃NO, and the phosphine ligand PPh₂Me or PPhMe₂. Dark-red **5** and **6** were obtained from a mixture of CH_2Cl_2 and methanol at room temperature. Yield: 68–70%.

Spectral Data for 5. MS (FAB,¹⁰²Ru): observed *m/z* [assignment] 788 [M⁺]. IR (CH₂Cl₂): ν(CO) 1928 (s) cm⁻¹. ¹H NMR (400 MHz, CD₂Cl₂): δ 9.22 (dd, 1H, *J* = 7.8 and 1.6 Hz), 8.91 (d, 1H, *J* = 8.0 Hz), 8.77–8.75 (m, 2H), 8.69 (d, 1H, *J* = 8.0 Hz), 8.52 (d, 1H, *J* = 7.6 Hz), 8.41 (d, 1H, *J* = 7.6 Hz), 8.23 (d, 1H, *J* = 8.0 Hz), 7.98 (d, 1H, *J* = 8.0 Hz), 7.86–7.44 (m, 11H), 7.10–7.06 (m, 2H), 6.91–6.90 (m, 1H), 6.76–6.69 (m, 3H), 6.56–6.52 (m, 2H), 1.41 (d, 3H, *J* = 7.5 Hz). ³¹P NMR (202 MHz, CDCl₃): δ 18.26 (s). Anal. Calcd for C₄₆H₃₁N₄OPRu: C, 70.13; H, 3.97; N, 7.11. Found: C, 69.86; H, 3.71; N, 6.41.

Spectral Data for 6. MS (FAB, ¹⁰²Ru): observed m/z [assignment] 726 [M⁺]. IR (CH₂Cl₂): ν (CO) 1924 (s) cm⁻¹. ¹H NMR (500 MHz, CD₂Cl₂): δ 9.21 (dd, 1H, J = 7.8 and 1.0 Hz), 8.99 (dd, 1H, J = 7.8 and 1.0 Hz), 8.86 (d, 1H, J = 2.4 Hz), 8.81 (d, 1H, J = 8.3 Hz), 8.70 (d, 1H, J = 3.0 Hz), 8.60 (d, 1H, J = 8.5 Hz), 8.45 (d, 1H, J = 7.0 Hz), 8.32 (d, 1H, J = 8.0 Hz), 8.25 (d, 1H, J = 2.5 Hz), 8.00 (d, 1H, J = 7.5 Hz), 7.86–7.67 (m, 5H), 7.49 (dd, 1H, J = 2.5 and 1.0 Hz), 7.22–6.89 (m, 7H), 1.76 (d, 3H, J = 7.5 Hz), 1.03 (d, 3H, J = 7.5 Hz). ³¹P NMR (202 MHz, CD₂Cl₂): δ 18.22 (s). Anal. Calcd for C₄₁H₂₉N₄OPRu: C, 67.85; H, 4.03; N, 7.72. Found: C, 68.17; H, 4.36; N, 7.94.

X-ray Structural Measurements. Single-crystal X-ray analysis was measured on a Bruker SMART Apex CCD diffractometer using

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empirical formula	C40H29N2OPRu
mol wt	685.69
cryst syst	monoclinic
space group	$P2_{1/c}$
T K	150(1)
a Å	9 5823(5)
	9.3823(3) 17.7087(10)
	12,2096(10)
<i>C</i> , A	18.2980(10)
p, deg	96.148(1)
V, A^3	3102.9(3)
Ζ	4
$D_{\rm c}$, g cm ⁻³	1.468
F(000)	1400
μ (Mo K α), mm ⁻¹	0.593
cryst size, mm	$0.30 \times 0.25 \times 0.20$
h, k, l ranges	-11 < h < 12,
-	-23 < k < 23,
	-23 < l < 23
reflns collected	25 478
indep reflns	7127 [R(int) = 0.0375]
data/restraints/parameters	7127/0/407
GOF on F^2	1.110
R1, wR2 with $I > 2\sigma(I)$	0.0348, 0.0840
D map. max/min. $e/Å^{-3}$	0.510/-0.384
r,, •/ · · ·	0.000

Table 2. Selected Bond Distances (Å) and Angles (deg) for Complex 3

Ru-P(1) Ru-C(2) Ru-N(1) C(1)-O(1)	2.3789(6) 2.079(2) 2.208(2) 1.157(3)	Ru-C(1) Ru-C(15) Ru-N(2)	1.833(3) 2.041(2) 2.151(2)
$\angle P(1)$ -Ru-C(2) $\angle N(2)$ -Ru-C(1) $\angle N(2)$ -Ru-C(15)	176.09(6) 170.34(9) 80.10(8)	$\angle N(1)$ -Ru-C(15) $\angle N(1)$ -Ru-C(2) $\angle Ru$ -C(1)-O(1)	165.80(8) 78.58(8) 176.1(2)

 μ (Mo K α) radiation ($\lambda = 0.710$ 73 Å). The data collection was executed using the *SMART* program. Cell refinement and data reduction were made by the *SAINT* program. The structure was determined using the *SHELXTL/PC* program and refined using full-matrix least squares. All non-H atoms were refined anisotropically, whereas H atoms were placed at the calculated positions and included in the final stage of refinements with fixed parameters. The crystallographic refinement parameters of **3** are summarized in Table 1, and the selective bond distances and angles are listed in Table 2.

Theoretical Approach. Time-dependent density functional theory (TDDFT)¹⁵ calculations using the B3LYP¹⁶ functional were performed based on the structures obtained from single-crystal X-ray diffraction data. A "double- ζ " quality basis set consisting of Hay and Wadt's effective core potentials (ECPs; LANL2DZ)¹⁷ was employed for Ru atoms and the 6-31G* basis set¹⁸ for H, C, N, and P atoms. A relativistic ECP replaced the inner-core electrons of Ru^{II}, leaving the outer-core (4s²4p⁶) electrons and the 4d⁶ valence electrons. Typically, the lowest 10 triplet and 10 singlet roots of

the nonhermitian eigenvalue equations were obtained to determine the vertical excitation energies. Oscillator strengths were deduced from the dipole transition matrix elements (for singlet states only). The excited-state TDDFT calculations were carried out using *Gaussian03*, as described in our previous publications.¹⁹

3. Results

Synthesis and Characterization. It has been reported that the direct reaction of BQ with Ru₃(CO)₁₂ in a mixture of 1,2-dimethoxyethane and octane yielded a cyclometalated Ru^{II} complex **1**, which contains two mutually orthogonal C,N-chelating BQ ligands (see Scheme 1).¹⁴ The X-ray structural analysis of **1** revealed that the central Ru atom has approximate octahedral coordination, for which the two mutually cis CO ligands lie trans to the N atoms of the cyclometalated BQ ligands and the cyclometalated C atoms are located at the opposite dispositions.

Moreover, treatment of a more conjugated heteroaromatic DBQ with $Ru_3(CO)_{12}$ under similar conditions led to the isolation of a second derivative complex 2. The structure of 2 was readily characterized by NMR analyses, the results of which revealed several multiplets between δ 9.94 and 7.70 due to the aromatic proton resonances, while its final structural identification was achieved by the observation of two sharp IR ν (CO) stretching bands at 2015 and 1948 cm⁻¹, attributed to the cis-oriented CO ligands. To the best of our understanding, complex 2 is one of the few known examples to contain cyclometalated DBQ ligands. Well-known examples include the recently reported orange-emitting complex [Ir(DBQ)₂(acac)], for which the N atoms in two DBQ ligand chelates are located at the mutual trans disposition.²⁰ In sharp contrast, however, Ru^{II} complexes 1 and 2 possess a cis orientation between the two DBQ N atoms.

For preparation of the luminescent complexes (vide infra), phosphine-substituted Ru^{II} derivatives 3-6 were synthesized in two consecutive steps, employing an excess of decarbonylation reagent Me₃NO, followed by addition of the phosphine ligand. The resulting mixture was then stirred at 160 °C for 24 h, and the product was isolated by routine chromatography and recrystallization. These metal complexes were found to be highly soluble in most organic solvents such as acetone and CHCl₃ and have been characterized using various spectroscopic methods including FAB MS, IR, and ¹H and ³¹P NMR (see the Experimental Section). Importantly, these complexes showed only one sharp IR ν (CO) stretching signal in the range 1928-1909 cm⁻¹ and are in good agreement with the retention of a single carbonyl ligand. It is also notable that the amount of Me₃NO added in the initial reaction mixture is sufficient to remove both CO ligands in the parent complexes 1 and 2; this observation implies that the second, remaining CO ligand is essentially inert to the Me₃NO reagent.

Figure 1 depicts the ORTEP diagram of **3**, showing octahedral arrangement around the Ru^{II} metal center. However, to our surprise, the BQ ligand orientation is distinctive from

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Scheme 1



that of its parent complex **1**, namely, one cyclometalated C atom is shifted to a new position trans to the PPh₂Me phosphine ligand, while the second C atom resides trans to the N atom of the second BQ ligand. This unique structural behavior demonstrates that one BQ ligand undergoes a 180° rotation around the Ru^{II} center during the course of phosphine substitution. A similar coordination arrangement was observed from the X-ray structural determination of a PPh₃ derivative prepared using UV irradiation.²¹



Figure 1. ORTEP diagram of **3** with thermal ellipsoids shown at the 50% probability level.

For the metric parameters, in **3**, the Ru–N(1) distance [2.208(2) Å] of the first BQ ligand is obviously longer than the respective Ru–N(2) distance [2.151(2) Å] and the Ru–N distances observed in **1** (2.148 and 2.161 Å), showing a large labilization effect attributed to its trans Ru–C(15) σ bond versus that of the trans CO ligand exerted to the second BQ

ligand. Apparently, the trans competition through metal–C σ bonding is more pronounced in this class of complexes, although the CO ligand was among the best π -acceptor ligands but has failed to impose a sufficient amount of trans effect compared to the metal–C σ bond that exerted to the first BQ ligand. Moreover, the Ru–C distance of the second BQ ligand [Ru–C(15) = 2.041(2) Å] is shorter than that of the other Ru–C bond [Ru–C(2) = 2.079(2) Å], which is, in turn, much shorter than those of the mutually trans-oriented Ru–C bonds of its parent complex 1 [2.12–2.13(1) Å].¹² Again, this increase in the Ru–C bond distances could be attributed to the bonding competition exerted by their trans ligands, for which the metal–ligand bond strengths follow the order of, i.e., cyclometalated C atom (C) > phosphine (P) > pyridine (N).

Electrochemistry. The redox potentials of the Ru^{II} complexes were determined from cyclic voltammograms, and the data are summarized in Table 3. It is believed that the oxidation occurred mainly at the metal site, with minor contributions from the cyclometalated chelate and other ancillary ligands. For 3 and 4 possessing BQ ligands, an oxidation potential at 0.13 V was acquired in CH₂Cl₂, while DBQ complexes 5 and 6 exhibited a higher oxidation potential at 0.35 V due to the presence of the quinoxaline fragment, which reduced the electron donation to the metal atom with the presence of an additional N atom. Moreover, variation of phosphine has caused almost no change to the oxidation potential. Finally, the corresponding parents 1 and 2 give an irreversible oxidation half-wave at 0.74 and 0.95 V, which are in good agreement with their electron-deficient nature induced by the carbonyl ligands.

As for the reduction behavior, the dicarbonyl complexes **1** and **2** give three closely spaced, reversible reduction peaks in the THF solution, a result of two one-electron reductions at each of the cyclometalated ligands as well as the possible reduction at the metal site to give Ru^{I} species. It is possible that this metal reduction is coupled with the $[Ru(CO)_2]$ fragment, allowing an easy delocalization of electron density

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Table 3. Photophysical and Electrochemical Properties for Complexes 1-6 in Degassed CH_2Cl_2 at Room Temperature

	$\lambda_{\rm max}^{\rm abs}/{\rm nm}~(\epsilon imes 10^{-3})$	$\lambda_{\rm max}^{\rm em}/{\rm nm}$	Φ	$k_{\rm obs}({\rm s}^{-1})$	$k_{\rm r} ({\rm s}^{-1})$	$E_{1/2}^{ m ox}$	$E_{1/2}^{ m red}$
1	320 (7.2), 381 (3.8), 395 (4.2)	-[485, 521, 563, 617 (sh)] ^a		$-[4.1 \times 10^2]^a$		0.74 [irr]	-2.41, -2.64, -2.77
2	332 (14), 376 (7), 421 (3.8)	562 [526] ^a	$\sim 5.0 \times 10^{-4}$	$3.7 \times 10^{6} [7.9 \times 10^{3}]^{a}$	$\sim 1.9 \times 10^3$	0.95 [irr]	-1.91, -2.11, -2.34
3	310 (14), 370 (7.4), 456 (2.4)	571 [553] ^a (539) ^b	0.24	$\begin{array}{c} 1.4\times 10^5[3.8\times 10^3]^a \\ (2.5\times 10^7)^b \end{array}$	3.3×10^4	0.13	-2.57, -2.92
4	312 (18), 378 (9.2), 458 (3)	575 [556] ^a (542) ^b	0.18	$\begin{array}{c} 1.2\times 10^5[5.5\times 10^3]^a\\ (2.0\times 10^7)^b \end{array}$	2.1×10^{4}	0.13	-2.59, -2.86
5	344 (13), 359 (12), 387 (8), 506 (1.4)	655 [632] ^a (642) ^b	0.008	$\begin{array}{l} 4.2\times 10^{6}[1.7\times 10^{4}]^{a} \\ (1.4\times 10^{7})^{b} \end{array}$	3.3×10^{4}	0.35	-2.07, -2.32
6	343 (11), 362 (16), 387 (11), 513 (1.8)	656 [633] ^a (645) ^b	0.004	$7.1 \times 10^{6} [1.8 \times 10^{4}]^{a}$	2.9×10^4	0.35	-2.09, -2.32

^a Data in square brackets are measured in a CH₂Cl₂ matrix at 77 K, and "sh" denotes "shoulder". ^b Data in parentheses are measured in a thin solid film at room temperature.

to the CO ligands. However, no further attempt was made to support this hypothesis. On the other hand, the phosphinesubstituted complexes 3-6 exhibit only two reversible reduction signals between the narrow ranges of -2.57 to -2.92 and -2.07 to -2.32 V for the pair of BQ and DBQ complexes, respectively. This finding led us to propose that the observed reduction was strongly associated with both BQ and DBQ groups, while the ancillary phosphine ligand has very little influence on the reduction potential.

Photophysical Measurements. The photophysical properties of these Ru^{II} complexes can be systematically varied by modifying the cyclometalated ligand chromophores and the ancillary ligands; the respective data are listed in Table 3. It is notable that the lowest absorption of their parent BQ and DBQ complexes 1 and 2 appear at $\lambda_{max} \sim 395$ and 420 nm, respectively, which are tentatively assigned to the ligandcentered $\pi\pi^*$, mixed with small amounts of MLCT transitions. The slightly higher extinction coefficient and occurrence of multiple peak maxima in the higher energy region for the DBQ complex 2 are obviously caused by the extended π conjugation of the DBQ ligands.

Moreover, the respective PPh₂Me- and PPhMe₂-substituted BQ complexes showed the occurrence of red-shifted, less intense transitions at λ_{max} 456 nm for **3** and 458 nm for **4**, which are tentatively assigned to the transition incorporating a state mixing among singlet and triplet metal–ligand charge transfer (¹MLCT and ³MLCT) and, to a certain extent, the intraligand ³ $\pi\pi$ transitions. The close energetics and absorptivity between ¹MLCT and ³MLCT bands suggest that the ³MLCT transition, induced by the spin–orbit coupling and the proximal energy levels with respect to ¹MLCT, is greatly enhanced and becomes partially allowed.²² Following the same principle, the MLCT transitions of both complexes **5** (506 nm) and **6** (513 nm) can be assigned, for which the more electron-rich PPhMe₂-substituted **6** has induced a slightly further bathochromic shift compared with



Figure 2. UV-vis absorption and emission spectra of complexes 1-6 in a CH₂Cl₂ solution, for which the emissions of 1 and 2 were taken in a 77 K matrix, while those of 3-6 were recorded at room temperature.

the slightly electron-deficient Ru^{II} metal core in 5. Further support for these assignments is given in the Discussion section.

Although they have the largest $S_0 \rightarrow S_1$ absorption gap among complexes 1-6, to our surprise, both $1 \ (\Phi \sim 0)$ and $2 \ (\Phi \leq 5.0 \times 10^{-4})$ are nearly nonemissive in a roomtemperature CH₂Cl₂ solution, as well as showing significant temperature-dependent emissive behavior. For example, upon cooling of the solution from 298 to 203 K, the emission yield gradually increased from null to 8×10^{-4} for **1**. The emission possesses a well-resolved vibronic progression with a 0-0transition peak at ~ 485 nm. Both **1** and **2** revealed decent emission signals in the 77 K solid CH₂Cl₂ matrix, and data are depicted in Figure 2 and Table 3.

In sharp contrast to their parent complexes, i.e., 1 and 2, complexes 3-6 showed strong to weak luminescence in a room-temperature, degassed CH₂Cl₂ solution with peak wavelengths located at 571, 575, 655, and 656 nm, respectively (see Figure 2). Owing to the rapid quenching of luminescence in the aerated solution for, e.g., complex 3 (not shown here), the assignment of the emission mainly originating from the triplet manifold is unambiguous. Moreover, for complexes 3-6, the partial overlap between the emission

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Figure 3. Selected frontier orbitals of 1 involved in the lower-lying transitions.

onset and the lowest-energy absorption bands, in combination with a broad, structureless spectral profile, leads us to conclude that the luminescence originates primarily from the ³MLCT state.²³ In comparison to the BQ complex **3**, its DBQ counterpart **5** exhibits a ~84-nm bathochromic shift in λ_{max} , the result of which can qualitatively be rationalized by an increase of π conjugation and the incorporation of a C₄N₂ hexagon for the DBQ heterocyclic ligands.²⁴ In comparison

to $\mathbf{3}$, possessing PPh₂Me, a very slightly bathochromic shift of only ~4 nm was observed for the PPhMe2-substituted derivative 4. A similar small red shift was resolved between 5 (PPh₂Me) and 6 (PPhMe₂; see Table 3). This is apparently caused by the decrease of the π -accepting strength of PPhMe₂ versus the PPh₂Me ligand. However, the variation seems significantly lower than those expected based on the electronic properties of phosphine ligands.²⁵ One possible explanation is that the apparent variation viewed from peak maxima is much reduced because of the broad, structureless spectral profiles. It is also noteworthy that the phosphorescence quantum yields for 5 (8.0×10^{-3}) and 6 (4.0×10^{-3})

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in CH₂Cl₂ are significantly lower than those of yellowemitting complexes **3** (0.24) and **4** (0.18) (see Table 2). For **3**-**6**, the difference could be qualitatively rationalized using an empirical energy gap law.⁴ Upon a decrease in the energy gap, rapid quenching may take place via the T_1-S_0 intersystem crossing through coupling of certain high-frequency vibration motions and subsequent solvent collisional deactivation in the fluid solution. In sharp contrast, although the T_1-S_0 energy gap for both **1** and **2** is larger than that of **3**-**6**, the corresponding phosphorescence is much weaker. Certainly, the results for **1** and **2** are opposite to the empirical energy gap law and must be associated with other mechanisms of deactivation. Details regarding types of specific modes involved in the radiationless deactivation are elaborated in the Discussion section.

4. Discussion

In light of the search for blue phosphorescent materials for fabrication of OLEDs, tuning of the emission color becomes an urgent task, the feasibility of which may be made by systematic variation of the ligand chromophores as well as the ancillary ligands. However, a fundamental question is promptly raised regarding the inferior factors that might induce the rapid radiationless decay processes, giving rise to low quantum efficiency for most of the authentic blue phosphors documented in the literature.^{8–10} Apparently, the room-temperature nonemissive complex 1 (with a 485-nm emission peak at 77 K) falls in this category. Following the initial population to the low-lying ³MLCT or ³ $\pi\pi^*$ excited states, one widely accepted radiationless deactivation channel may be ascribed to their rapid crossing to the adjacent or even lower metal-centered ³dd state. Because of its antibonding character, the potential energy surface of the dd state, theoretically, is expected to be shallow, and it may intercept with the potential energy surface associated with the ground state and, in an extreme case, may even undergo bond dissociation. The net results should cause rapid energy dissipation through metal-ligand bond stretching. This, in combination with the forbidden nature of the $S_0 \rightarrow {}^3dd$ transition, further signifies the importance of the ³dd state in manipulating the radiationless pathways.

To gain detailed insights into this fundamental issue, theoretical approaches (TDDFT, see the Experimental Section) on the photophysical properties were performed for the Ru^{II} complexes studied. Figure 3 depicts the features of the selected occupied and unoccupied frontier orbitals mainly involved in the lower-lying transitions for complex 1, while the descriptions and energy gaps of each transition are listed in Table 4. As shown in Table 4, the lowest singlet $S_0 \rightarrow S_1$ transition of 391 nm is in good agreement with the $\pi\pi^*$ transition of 395 nm obtained from its absorption spectra. Likewise, the estimated $S_0 \rightarrow T_1$ transition occurring at 471 nm is consistent with the resolved 485 nm emission in the 77 K CH₂Cl₂ matrix.

Table 4. Calculated Energy Levels of the Lower-Lying Transitions of 1

	0,				
	assignment	[nm]	<i>E</i> [eV]	f	
T ₁	HOMO→LUMO (+33%) HOMO-1→LUMO (+20%) HOMO→LUMO+2 (+9%) HOMO-3→LUMO (9%) HOMO-1→LUMO+2 (+7%) HOMO→LUMO+1 (7%) HOMO-4→LUMO (6%) HOMO-1→LUMO+1 (6%)	471.4	2.63	~ 0	
T2	$\begin{array}{l} \text{HOMO} \rightarrow \text{LUMO+1} (+15\%) \\ \text{HOMO-1} \rightarrow \text{LUMO+1} (13\%) \\ \text{HOMO-3} \rightarrow \text{LUMO+1} (12\%) \\ \text{HOMO-1} \rightarrow \text{LUMO} (10\%) \\ \text{HOMO-1} \rightarrow \text{LUMO+3} (8\%) \\ \text{HOMO} \rightarrow \text{LUMO} (+7\%) \\ \text{HOMO-4} \rightarrow \text{LUMO+1} (+7\%) \\ \text{HOMO} \rightarrow \text{LUMO+3} (+6\%) \\ \text{HOMO-2} \rightarrow \text{LUMO+1} (6\%) \end{array}$	452.8	2.74	~0	
Singlet States					
S ₁	HOMO → LUMO (+75%) HOMO-1 → LUMO (13%) HOMO-2 → LUMO (+8%)	391.4	3.17	0.0083	
S ₂	HOMO-1 → LUMO (+57% HOMO → LUMO+1 (+17%) HOMO → LUMO (+11%) HOMO-2 → LUMO (6%)	382.0	3.25	0.0149	

Giving another example of the PPh2Me-substituted complex 3 (see Figure 4 and Table 5), the lowest singlet state (S_1) with an energy gap of 457 nm consists of a highest occupied molecular orbital (HOMO) → lowest unoccupied molecular orbital (LUMO) transition, while the lowest triplet state (T_1) , with a lower gap of 507 nm, mainly involves HOMO \rightarrow LUMO+1 transition, for which the electron densities of the HOMO are located on the C₆ hexagon of one BQ ligand trans to the CO ligand and the central Ru atom, whereas those of the LUMO+1 are primarily distributed on the same BQ ligand. The calculated lowest excited singlet and triplet states at 457 and 507 nm, respectively, are also qualitatively in agreement with those (the absorption peak maximum at 456 nm and the phosphorescence onset of \sim 510 nm) observed experimentally. We believe that the small deviation of theoretical prediction from experimental results is mainly due to its limitation in predicting the minute nuclear motion during the electronic transitions as well as the negligence of the solvation energy in the current theoretical approach. Qualitative consistency between theoretical approaches and experimental results is also seen in the rest of the metal complexes, for which the theoretical results of the DBQ complex 5 are revealed in Figure 5 and Table 6. As for a general trend, the S_0-S_1 and S_0-T_1 energy gaps for complexes filled with dual ancillary CO ligands are much larger than those anchored by one CO and one phosphine, showing the expected hypsochromic shift imposed by the stronger π -accepting CO ligands.

Theoretical results provide valuable clues to the exploration of the correlation between each electronic transition and its corresponding frontier orbital contribution. A similar trend was also resolved for these complexes, in which the $S_0 \rightarrow$ T_1 transition is dominated by either Ru^{II} \rightarrow BQ (for 1, 3, and 4) or Ru^{II} \rightarrow DBQ (for 2, 5, and 6) MLCT in combination with $\pi\pi^*$ transitions within BQ or DBQ ligands. This

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Figure 4. Selected frontier orbitals of 3 involved in the lower-lying transitions.

Table 5. Calculated Energy Levels of the Lower-Lying Transitions of 3

	assignment	[nm]	<i>E</i> [eV]	f	
T_1	HOMO \rightarrow LUMO+1 (+88%)	506.9	2.45	~ 0	
T ₂	$\begin{array}{l} \text{HOMO-1} \rightarrow \text{LUMO} (+66\%) \\ \text{HOMO-2} \rightarrow \text{LUMO} (+15\%) \\ \text{HOMO-4} \rightarrow \text{LUMO} (+8\%) \\ \text{HOMO-1} \rightarrow \text{LUMO+3} (+7\%) \end{array}$	483.6	2.56	~0	
Singlet States					
\mathbf{S}_1	HOMO \rightarrow LUMO (+96%)	457.3	2.71	0.0002	
\mathbf{S}_2	HOMO \rightarrow LUMO+1 (+89%)	441.9	2.81	0.0217	

characteristic is somewhat similar to those of Ir(ppy)₃ and its derivatives, which were also attributed to a ${}^{3}\pi\pi^{*}$ manifold, which, to a great extent, was mixed with the ³MLCT character.26 Upon careful examination of the associated frontier orbitals involved in each transition of our complexes, to our surprise, the calculated lowest-lying T₁ state and even the $S_0 \rightarrow T_2$ or higher energy transitions have no contribution from the metal-centered $d_{\pi}d_{\sigma^*}$ and/or ligand-to-metal πd_{σ^*} states. Instead, the unoccupied orbitals with d_{σ^*} character are ascribed to LUMO+4 for 1 (Figure 3) and even higher for other complexes. For the case of 1, the $d_{\pi}d_{\sigma^*}$ state is higher in energy than the T_1 state by 1.5 eV. This gap is so large that, even under the excited-state geometry relaxation, a chance of the intersection of the potential energy surface between $d_{\pi}d_{\sigma^*}$ and T_1 states seems to be rather slim and the associated $d_{\pi}d_{\sigma^*}$ triplet state is strictly thermally inaccessible. These results simply discard a generally accepted mechanism incorporating the ${}^{3}d_{\pi}d_{\sigma^{*}}$ (or ${}^{3}\pi d_{\sigma^{*}}$) state being responsible for the lack of room-temperature emission for dicarbonyl complexes 1 and 2.

On the other hand, the typical lowest-energy transition in the triplet manifold involves a great extent of $\pi\pi^*$ and MLCT mixing. Because MLCT incorporates a $d_{\pi} \rightarrow \pi^*$ transition, its key role in enhancing the spin-orbit coupling is obvious; namely, the more ³MLCT contribution, the greater the spinorbit coupling, and hence the faster radiative rate of the $^{3}MLCT \rightarrow S_{0}$ transition. 10,27 We thus carefully examined the percentage of ³MLCT contribution to the T₁ state. As shown in Table 7, it appears to us that the percentages of MLCT contribution in the T_1 state of **1** (10.2%) and **2** (12.4%) are significantly lower than those of 3-6 (> 40%). The results can be rationalized by the greater π -accepting properties of CO than those of PPh₂Me and PPhMe₂ ligands, such that complexes 1 and 2 with dual CO ligands render a much reduced electron density in the d_{π} orbital, giving a lesser amount of the MLCT contribution.

Further support of the above viewpoint is given by two additional observations. First, from the steady-state approach, the observation of vibronic progression of phosphorescence for **1** in 77 K CH₂Cl₂ provides indirect evidence that phosphorescence should contain an excess of $\pi\pi^*$ character. Conversely, **3–6** showed a broad, diffusive phosphorescence even at 77 K, strongly indicating their dominance of the ³MLCT character. Moreover, as for the time-resolved meas-

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Figure 5. Selected frontier orbitals of **5** involved in the lower-lying transitions.

Table 6. Calculated Energy Levels of the Lower-Lying Transitions of 5

	assignment	[nm]	<i>E</i> [eV]	f		
T_1	HOMO \rightarrow LUMO+1 (+98%)	539.9	2.30	~ 0		
T_2	HOMO → LUMO (+88%) HOMO-1 → LUMO (7%)	507.6	2.44	~ 0		
Singlet States						
S_1	HOMO \rightarrow LUMO (+96%)	505.0	2.46	0.0002		
S_2	HOMO \rightarrow LUMO+1 (+90%)	485.4	2.55	0.0166		

Table 7. Percentage of the MLCT State Contributing to the Lowest
 Electronic Transition in Singlet and Triplet Manifolds

		complex				
state	1	2	3	4	5	6
S ₁	11.0	13.1	44.1	45.4	45.3	43.9
T_1	10.1	12.4	40.5	46.4	46.2	48.3

urement, although the radiative decay time of **1** could not be deduced because of the nearly nonemissive properties at ambient temperature, the decay time of 2400 μ s measured at 77 K is far longer than the 30–50 μ s deduced for complexes **3–6**. A rather similar long radiative decay time of 540 μ s was also deduced for complex **2**. For **1** and **2**, according to the exceedingly longer radiative decay time, its dominant ${}^{3}\pi\pi$ character in the T₁ state is obvious, consistent with the theoretical approach. The emission quantum yield Φ is defined as $\Phi = k_{r}/k_{obs} = k_{r}/(k_{r} + k_{nr})$, in which subscripts r, nr, and obs denote radiative, nonradiative, and observed decay components, respectively. Thus, for complexes possessing a small k_{r} value, such as **1** and **2**, the k_{nr} value should quite effectively influence the emission quantum yield and hence the emission intensity. Experimentally, this is apparently true and can qualitatively explain the nonemissive (weak-emissive) property for 1 and 2.

Nevertheless, from the quantitative viewpoint, the deduced radiationless decay rates of 1 [$\geq 4.2 \times 10^6 \text{ s}^{-1}$, assuming a detection limit of $\Phi \sim 10^{-4}$ in our current system and 2400 μ s (at 77 K) for the radiative decay time] and 2 (\sim 3.7 \times 10^6 s^{-1}) are larger than those deduced from **3** (1.04 \times 10⁵ s^{-1}) and 4 (9.6 × 10⁴ s^{-1}), which possess the same BQ and DBQ ligands. For rationalization, our first attempt is the weakening of the Ru-CO bonds upon excitation if the net result of MLCT is to induce an electron transfer to the BQ or DBQ chelates trans to the CO ligands. However, thorough frontier orbital analysis clearly indicates that the Ru-CO back- π -bonding character is present only in the very stable occupied molecular orbital of HOMO-5 (Figure 3) and even lower ones (not shown here). Thus, it is very unlikely that the strength of such a strong Ru-CO bond, upon excitation, could be drastically weakened; consequently, the associated Ru-CO stretching modes should not act as a main radiationless deactivation pathway. In fact, strong to moderate emission has been reported in numerous carbonyl-containing third-row transition-metal complexes, in which MLCT transition occurs at the ligand chromophore trans to the ancillary CO ligands.28

Alternatively, in our opinion, it is more plausible to correlate the rapid radiationless deactivation in 1 and 2 with respect to the increase of the vibrational modes channeling into the radiationless deactivation pathways. As depicted in Tables 4-6, it is obvious that, despite a very simple contribution, i.e., HOMO \rightarrow LUMO+1, to the T₁ state in **3** and 5, a much more complicated frontier orbital contribution was observed in 1, incorporating HOMO to HOMO-4 and LUMO to LUMO+2 (see Table 4). Careful analyses indicated that the associated frontier orbitals spread out to both BQ ligands simultaneously rather than to only one BQ site trans to the unique CO ligand for 3 and 4. Multiple contributions were observed for T_1 in complex 2 (see the Supporting Information). The results can be tentatively rationalized by the two BQ and DBQ chelate ligands being subjected to identical coordinating environments in both 1 and 2. In contrast, a simplified T_1 state was also noted in 5 and 6. The radiationless decay rates were deduced to be $4.12\,\times\,10^{6}$ and $7.12\,\times\,10^{6}~s^{-1},$ both more than 1 order of magnitude larger than those of 3 and 4, and can be rationalized by the greater degree of vibrational freedom in combination with radiationless transition governed by the empirical energy gap law.⁴ Accordingly, despite the same order of magnitude in radiative decay time with respect to 3 and 4, greatly inferior phosphorescence quantum yields were observed for 5 and 6.

As for the thermal activation on the nonradiative deactivation, we have performed a temperature-dependent study on

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Figure 6. Plot of the logarithm of $k_{nr}(T)$ vs 1/T in the range of 220–150 K (see the text for the definition of k_{nr}).

complex **1**. Because of its lack of emission at ambient temperature, the experiment was performed in a temperature range of 220–150 K. As a result, the plot of the logarithm of $k_{nr}(T)$ vs 1/T, in which $k_{nr}(T) \sim k_{obs} - k_r$, rendered a sufficiently straight line (see Figure 6). As a result, a ΔE_a value of 2.78 kcal mol⁻¹ and a preexponential factor of $1.7 \times 10^8 \text{ s}^{-1}$ were deduced. The results indicate that the nonradiative decay rate is dominated by rather low frequency motions, possibly involving the geometry distortion motions coupled with the T_1 – S_0 intersystem crossing. Although specific modes inducing radiationless transition, at this stage, are pending resolution, the overlap of multiple frontier orbitals in the case of **1** (vide supra) should statistically increase the number of active vibrational modes involved in the radiationless deactivation.

5. Conclusion

In conclusion, a series of new Ru^{II} complexes bearing cyclometalated BQ and DBQ ligands have been designed and synthesized with an aim to investigate the associated photoluminescence properties. Our results solidify the correlation between MLCT and radiative lifetime and hence the efficiency of radiationless deactivation. Complexes 1 and 2, possessing much less ³MLCT contribution because of the electron deficiency in the d_{π} orbital, render a great extent of the ${}^{3}\pi\pi^{*}$ character for T₁ with an exceedingly long radiative lifetime. This, in combination with perhaps the increase of the deactivating modes, gives rise to an essentially nonemissive (very weak emission) property. Under the premise of this proposed mechanism, one may be able to analyze the lower lying electronic transitions and the corresponding frontier orbital analyses, providing a guideline to predict not only the phosphorescence properties such as peak wavelength and transition properties but also the quantum efficiency in a qualitative manner. We thus believe that the results presented here may turn out to be of great importance in the design of luminescent materials incorporating both secondand third-row transition-metal elements.

Acknowledgment. The authors are grateful for financial support from the National Center for High-Performance Computing, The Ministry of Economy, and the National Science Council of Taiwan. We also thank Prof. Ching-Fong Shu for helpful advice on the CV measurements.

Supporting Information Available: X-ray crystallographic data file (CIF) of complex 3 and the calculated energy levels and associated frontier orbitals of the DFT calculation on complexes 1-6. This material is available free of charge via the Internet at http://pubs.acs.org.

IC060066G