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Zirconium and Hafnium Complexes Containing *N*-Alkyl-Substituted Amine Biphenolate Ligands: Unexpected Ligand Degradation and Divergent Complex Constitutions Governed by *N*-Alkyls

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Supporting Information

ABSTRACT: The reactivity and thermal stability of zirconium and hafnium complexes containing the *N*-alkyl-substituted amine biphenolate ligands of the type $[RN(CH_2-2-O-3,5-C_6H_2(tBu)_2)_2]^{2-}$ ($[R-ONO]^{2-}$; R = tBu (1a), *i*Pr (1b), or *n*Pr (1c)) were investigated. The reactions of either $[1a]M(OiPr)_2$ (M = Zr or Hf) with equimolar H₂[1a] or $M(OiPr)_4(HOiPr)$ (M = Zr or Hf) with 2 equiv of H₂[1a] at 25 °C in diethyl ether or 80 °C in toluene afford moderate yields of colorless crystals of $M[1a](OiPr)-(iPrOCH_2-2-O-3,5-C_6H_2(tBu)_2)$ (M = Zr (4a) or Hf (5a)). Controlled experiments revealed that the production of 4a and 5a proceeds via unexpected thermal degradation of H₂[1a] that produces a highly reactive, transient *ortho*-quinone methide intermediate. Similar reactions employing H₂[1b] and H₂[1c],



however, led to the formation of homoleptic bis-ligand complexes $Zr[1b]_2$ (8b) and $M[1c]_2$ (M = Zr (8c) or Hf (9c)) as colorless crystals. Decisive factors governing these divergent reaction pathways and complex constitutions are discussed. The X-ray structures of 4a, 5a, 8b, 8c, and 9c are presented.

INTRODUCTION

There has been considerable interest in the past decades in amine phenolate complexes of main group and transition metals because of their versatile structural and reaction chemistry. Of particular note are their applications in the construction of tailor-made molecular architectures for specific materials¹⁻³ or as catalysts for polymerization of α -olefins⁴⁻¹² or heterocyclic molecules.^{13–22} The amine phenolate ligands are routinely synthesized by traditional Mannich reactions employing appropriate phenols and amines in the presence of formaldehyde.^{23,24} With the incorporation of variable numbers of N- and O-donors and a wide variety of peripheral substituents on the phenol rings, these phenolic Mannich bases have become conceivably ubiquitous ancillary ligands in coordination chemistry.^{5,25}

ortho-Quinone methides (*o*-QMs) are transient but versatile intermediates that have recently been demonstrated to be competent synthons for natural product synthesis.^{26–28} With inherently highly reactive characteristics, *o*-QMs are susceptible to cycloaddition, typically termed hetero-Diels–Alder reactions, with vinyl substrates.²⁹ Intrinsically, *o*-QMs generally exist as dior trimers (eq 1).^{30,31} Synthetically, *o*-QMs may be generated by thermal or photochemical processes either from their oligomeric precursors or from phenol derivatives bearing *ortho*-substituted vinyl, hydroxymethyl, (pseudo)halomethyl, etc.²⁷ In contrast, aminophenols such as those that are widely used in exploratory coordination chemistry typically act as "innocent"



ancillary ligands and usually do not participate in *o*-QM formation.

We are exploring reaction and structural coordination chemistry employing complexes of chelating phenolate ligands.^{32–39} In particular, a series of amine biphenolate ligands of the type $[\text{RN}(\text{CH}_2\text{-}2\text{-}0\text{-}3,5\text{-}\text{C}_6\text{H}_2(t\text{Bu})_2)_2]^{2-}$ ($[\text{R-ONO}]^{2-}$; R = tBu (1a), *i*Pr (1b), or *n*Pr (1c)) featuring an *N*-bound tertiary, secondary, or primary alkyl substituent, respectively, has been employed to prepare complexes of group 1, 4, and 13 metals; their corresponding reactivity was explored thereafter.^{40–43} In the course of the preparation of mono-ONOligated zirconium and hafnium complexes of $[1]^{2-}$ (Scheme 1),⁴³ we observed in some cases inevitable generation of either bis-ligated derivatives or unidentified side products, depending on synthetic strategies and use of $\text{H}_2[1]$. To clarify, we scrutinized these reactions further and found that the amine

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Scheme 1



Figure 1. Molecular structures of 4a (left) and 5a (right) with thermal ellipsoids drawn at the 35% probability level. All methyl groups in the aryl *tert*butyl and isopropoxide are omitted for clarity.

biphenol H₂[1] may degrade to form an *o*-QM derivative, depending on the *N*-alkyl. Though *o*-QMs were first postulated in 1907⁴⁴ and spectroscopically observed in 1971,⁴⁵ it was not until 1998 that their absolute structures were elucidated by Amouri et al. as an η^4 ligand in pentamethylcyclopentadienyl iridium complexes.⁴⁶ In this Article, we present evidence of the presence of *o*-QM in group 4 ONO chemistry as a consequence of amine biphenol degradation and its participation in reactions with reactive metal alkoxides. Parallel studies were also carried out with distinct *N*-alkyl-substituted compounds in order to probe decisive factors for how and why this degradation occurs. These results are intriguing and informative, particularly in view of the current interest in reaction chemistry involving Mannich base-derived metal complexes.

RESULTS AND DISCUSSION

It has been shown that the synthesis of complexes $2\mathbf{a}-\mathbf{c}$ and $3\mathbf{a}-\mathbf{c}$ is not trivial.⁴³ Though $[\mathbf{1a}]\operatorname{Zr}(\operatorname{OiPr})_2(2\mathbf{a})$ could be isolated from the reaction of $\operatorname{Zr}(\operatorname{OiPr})_4(\operatorname{HOiPr})$ with 1 equiv of $\operatorname{H}_2[\mathbf{1a}]$ in diethyl ether, some unidentified side products (ca. 10% as judged by ¹H NMR) might concomitantly be produced.⁴³ Attempts to selectively isolate or characterize these side products were not successful. After close scrutiny of

reaction parameters, including reaction solvent, temperature, time, addition sequence, and stoichiometry of starting materials, we found that the same side products could become predominant in the mixture produced as indicated by ¹H NMR spectra when 2-fold H₂[1a] was reacted with Zr- $(OiPr)_4(HOiPr)$ either in diethyl ether at 25 °C or in toluene at 80 °C (Scheme 2). Note that this reaction proceeds much faster at elevated temperatures, though the ultimate thermodynamic products are produced in essentially identical ratios. The same results were also observed from the reaction of H₂[1a] with 1 equiv of isolated 2a, indicating unambiguously the participation of a second amine biphenol ligand per zirconium in the generation of this unexpected complex. Similar phenomena were also found for reactions involving H₂[1a], Hf(OiPr)₄(HOiPr), and 3a.

Complexes **4a** and **5a** were both isolated as colorless crystalline solids in moderate yield with the strategies illustrated in Scheme 2. Colorless crystals of **4a** and **5a** suitable for X-ray diffraction analysis were grown by layering diethyl ether and acetonitrile on top of a concentrated pentane solution at -35 °C. As depicted in Figure 1, these complexes are six-coordinate, pseudo-octahedral species containing one intact meridional $[1a]^{2-}$ ligand, one isopropoxide, and a novel phenolate ligand

tethered with an isopropyl ethereal donor. Complexes 4a and 5a are isostructural to each other, though one is the enantiomer of the other in the established absolute configuration. In accordance with the electron-releasing abilities of substituents at anionic O-donors, the M–O distances for aryloxides are longer than those for alkoxides (Table 1). Without the anionic charge, the isopropyl ethereal donor O(4) lies much farther from the metallic center than other O-donors.

Table 1. Selected Bond Distances (Å) and Angles (deg) for 4a and 5a

	4a	5a
M-O(1)	2.013(2)	2.0063(17)
M-O(2)	2.015(2)	2.0094(17)
M-O(3)	1.985(2)	1.9857(18)
M-O(4)	2.469(2)	2.4122(18)
M-O(5)	1.923(2)	1.9132(19)
M-N(1)	2.547(2)	2.492(2)
O(1)-M-O(2)	154.78(9)	156.10(8)
O(1)-M-O(3)	93.69(9)	102.06(7)
O(1)-M-O(4)	83.16(8)	80.94(7)
O(1)-M-O(5)	98.76(10)	101.59(8)
O(1)-M-N(1)	78.68(8)	80.70(6)
O(2)-M-O(3)	101.63(9)	92.28(7)
O(2)-M-O(4)	81.84(8)	84.39(7)
O(2) - M - O(5)	98.12(9)	98.84(8)
O(2)-M-N(1)	79.44(8)	79.33(7)
O(3)-M-O(4)	74.45(8)	75.35(7)
O(3)-M-O(5)	100.14(10)	98.24(8)
O(3) - M - N(1)	156.87(9)	159.42(8)
O(4) - M - O(5)	174.41(8)	172.99(7)
O(4)-M-N(1)	82.93(8)	85.09(6)
O(5)-M-N(1)	102.58(9)	101.59(8)

The solution structures of **4a** and **5a** were elucidated by ¹H, ¹³C, COSY, and HMQC NMR experiments. Both molecules are *Cs* symmetric on the NMR time scale. The averaged mirror plane coincides approximately with the N–M–O(*i*Pr) plane that contains roughly the ethereal phenolate ring and bisects $[1a]^{2-}$. Diagnostically, the aromatic protons resonate as four well-resolved signals with an integral ratio of 2:2:1:1 in the ¹H NMR spectra. The *N*-bound benzylic methylene protons are diastereotopic as evidenced by signals corresponding to an AB spin system, indicating the coordination of the amine nitrogen donor to these group 4 metals.

It has been demonstrated that **2a** is a competent catalyst for ring-opening polymerization (ROP) of ε -caprolactone and that the identity of *N*-alkyls in **2** and **3** has a dramatic effect on both coordination chemistry and ROP catalysis.⁴³ The reactivity of **4a** was examined in comparison with its synthetic precursor

(Table 2). While catalytically active, 4a reacts at a much slower rate than 2a, producing $poly(\varepsilon$ -caprolactone) (PCL) with reasonably narrow molecular weight distributions. The slower reaction rate of the former is ascribed to its more sterically encumbered coordination sphere and a presumably less electrophilic metal center when compared to the latter. Though relatively slow, the reactivity of 4a in ROP catalysis is informative as it suggests that complexes or intermediates derived from thermal degradation may also function as catalytically active species. This result is particularly relevant to systems employing in situ prepared catalysts wherein ligands or additives are used in (slightly) excess amounts. The ¹H NMR analysis of PCL (see Supporting Information) prepared by catalytic 4a confirmed the presence of an isopropyl ester end group instead of the incorporation of the ethereal phenolate or ONO, suggesting that the initiation of this ROP catalysis selectively involves the zirconium-bound isopropoxide ligand⁴³ rather than chelating phenolates.⁴⁷

Mechanistically, the formation of 4a and 5a may involve either 2a/3a or $H_2[1a]$ decomposition in view of the incorporation of the ethereal phenolate ligand in these complexes. Controlled experiments showed that both 2a and 3a are thermally stable (40 mM in toluene- d_8 at 80 °C for 24 h), thus eliminating the possibility of 4a/5a evolution from 2a/3a decomposition under the conditions employed. The ligand precursor H₂[1a], however, decomposes gradually in solutions even at ambient temperature. The disappearance rate of $H_2[1a]$ follows second-order kinetics (rate constant $k = 2.8(5) \times 10^{-2}$ M^{-1} min⁻¹ at 80 °C; see Supporting Information), suggesting a bimolecular degradation process. Analyses on the thermal decomposition products of $H_2[1a]$ (49 mM in toluene- d_8 at 80 °C) by ¹H NMR and mass spectrometry revealed the presence of 2,4-di-tert-butyl-6-(tert-butylaminomethyl)phenol (6a) accompanied by di- and trimeric di-tert-butyl-substituted o-QM $([7]_x, x = 2 \text{ or } 3; \text{ see Supporting Information})$ as depicted in Scheme 3. The diagnostic signals of 6a and oligomeric 7 were also found in reactions used to prepare 4a and 5a (Scheme 2) by ¹H NMR and mass spectrometry. Accordingly, we reason that the transient monomeric 7 was produced upon thermolysis of $H_2[1a]$; subsequent reactions of 7 with 2a or 3a took place via insertion of the reactive methylene group of 7 into the M-OiPr bond of 2a or 3a, thus forming the ethereal phenolate ligand in 4a or 5a, respectively. This result is interesting in view of the *formally* nucleophilic substitution of a benzyl amine with an alkoxide. Consistent with these results, thermolysis of $H_2[1a]$ in the presence of 10 equiv of norbornadiene in toluene at 110 °C generates, via hetero-Diels-Alder reactions involving 7, the anticipated mono- and dicycloaddition products in 12 h as evidenced by EI-MS spectroscopy (see Supporting Information). The involvement of transient o-QM was also

ytic ROP	of ε -CL ^{<i>u</i>}
	ytic ROP

entry	cat.	time (h)	$\operatorname{conv}(\%)^b$	$M_{\rm n}~({\rm calcd,~kg~mol^{-1}})^c$	$M_{\rm n}~({\rm exptl,~kg~mol^{-1}})^d$	corrected M_n (exptl, kg mol ⁻¹) ^e	PDI^d
\mathbf{l}^{f}	2a	0.5	69	7.9	6.3	3.5	1.13
2^{f}	2a	1	>99	11.4	10.0	5.6	1.12
3	4a	72	96	11.0	15.7	8.8	1.31

^{*a*}Unless otherwise noted, $[cat.]_0 = 1.0 \text{ mM}$ and $[\epsilon$ -CL]_0 = 100 mM in 10 mL of toluene, 25 °C. ^{*b*}Determined by ¹H NMR analysis. ^{*c*}Calculated from $[(\text{fw of } \epsilon$ -CL) × ($[\epsilon$ -CL]_0/[cat.]_0) × conversion] + (fw of *i*PrOH), assuming one propagating chain per zirconium atom. ^{*d*}Measured by gel permeation chromatography in tetrahydrofuran, calibrated with polystyrene standards. ^{*e*}Multiplied by a factor of 0.56.^{48,49 f}Data selected from reference 43.

Scheme 3



postulated⁵⁰ in the formation of a yttrium complex containing an *N*-heterocyclic carbene-functionalized phenolate ligand.

Though conformationally similar, H₂[1a] distinguishes itself from $H_2[1b]$ and $H_2[1c]$ as the latter two are both thermally stable as evidenced by ¹H NMR studies (40 mM in toluene- d_8 at 80 °C for 24 h). The thermal stability of these amine biphenol compounds thus depends clearly on the identity of their N-alkyl substituents. We reason that the tert-butylsubstituted amine in $H_2[1a]$ is substantially more nucleophilic than the isopropyl- and *n*-propyl-substituted amine in $H_2[1b]$ and $H_2[1c]$, respectively, thereby facilitating deprotonation of the phenolic OH, presumably in a reversible manner. Note that X-ray studies of $H_2[1a-c]$ revealed intra- and intermolecular hydrogen bonding of hydroxyl with the amine nitrogen atom and cocrystallized acetonitrile, respectively.⁴⁰ The driving force for $H_2[1a]$ rather than $H_2[1b]$ or $H_2[1c]$ degradation is thus putatively ascribed to the somewhat stabilized protonated ammonium nitrogen in the proposed transition state A (Scheme 3) because of the presence of the tert-butyl substituent whose electron-donating nature is stronger than that of isopropyl and n-propyl substituents. The degradation presumably occurs upon electron release from O to N via the benzyl moiety involving dearomatization, leading to C-N bond cleavage and 6a and 7 generation. The possibilities involving radical processes were also examined, but these postulations were ruled out as identical results were obtained when reactions depicted in Scheme 2 were conducted in the presence of 2,6-ditert-butyl-4-methylphenol, a common radical scavenger. Another assumption concerns the possible involvement of 2,4-di-tertbutyl-6-(hydroxymethyl)phenol, as salicyl alcohols are known to react with metal alkoxides to generate ethereal phenolate complexes.⁵¹ Compound 2,4-di-*tert*-butyl-6-(hydroxymethyl)phenol could in principle be produced accompanied by 6a by hydrolysis of H₂[1a]. Controlled experiments, however, revealed that 2,4-di-tert-butyl-6-(hydroxymethyl)phenol⁵² was not produced (¹H NMR evidence) from the reactions of $H_2[1a]$ with water (25 °C in diethyl ether or 80 °C in toluene), nor was 4a/5a generated (¹H NMR evidence) from the reactions of 2a/3a with 2,4-di-tert-butyl-6-(hydroxymethyl)phenol. Consequently, the participation of 2,4-di-tert-butyl-6-(hydroxymethyl)phenol in the formation of 4a/5a was also ruled out. Conceptually, the degradation of $H_2[1a]$ may occur



Figure 2. Molecular structures of 8b (left), 8c (middle), and 9c (right) with thermal ellipsoids drawn at the 35% probability level. All methyl groups in the aryl *tert*-butyl are omitted for clarity.

upon nitrogen coordination to the metal center of **2a** or **3a**, but this hypothesis is perhaps unfavorable because the steric size of $H_2[1a]$ is larger than that of $H_2[1b]$ or $H_2[1c]$, with which ligand degradation does not take place in reactions similar to that illustrated in Scheme 2 (vide infra).

To better understand the decisive factors for the formation of 4a and 5a, parallel studies were performed employing H₂[1b] and $H_2[1c]$ (Scheme 4). In particular, no ligand degradation was found in these reactions. The preparation of 8b requires prolonged heating at 80 °C, whereas that of 8c proceeds smoothly even at ambient conditions. No reaction occurs when a toluene- d_8 solution of 2b with equimolar $H_2[1b]$ was stirred at room temperature for 24 h (¹H NMR evidence). The formation rate of 8b is thus significantly less than that of 8c, reflecting unambiguously the steric rather than electronic difference of these isomeric N-substituents. Interestingly, though 9c could be synthesized quantitatively with conditions similar to those of 8c (25 °C, 16 h), attempts to prepare 9b under even rather harsher conditions (80 °C, 4 days) led to the formation of a mixture containing 3b and the presumed 9b (judged by ¹H NMR) in a ratio of >10:1. This result is surprising but can be ascribed to an activation barrier for 3b conversion to 9b significantly higher than that for 2b conversion to 8b. Though rarely encountered, hafnium complexes have in some cases exhibited thermal stability and chemical inertness⁵³ greater than those of their 4d congeners because of inherently stronger metal-ligand bond strengths. In the present study, we propose that the Hf-OiPr bonds in 3b are relatively inert; thus, their protonation with $H_2[1b]$ becomes more challenging and less effective. These homoleptic complexes are all thermally stable at elevated temperatures (19 mM in toluene- d_{8} , 80 °C, 24 h, ¹H NMR evidence). In contrast to the mono-ONO-ligated 4a, the bis-ligated 8c is inactive for ROP catalysis under similar conditions or in the presence of additional benzyl alcohol.

The solution NMR data of **8b**, **8c**, and **9c** are all indicative of a structure having time-averaged C_2 symmetry. For instance, four distinct singlet resonances are observed in the ¹H NMR spectra for aryl *tert*-butyl groups in these molecules. The benzylic methylene moieties are all diastereotopic, so are the *N*isopropyl methyl groups in **8b** and the *N*-CH₂CH₂Me in **8c** and **9c**. Colorless crystals of **8b**, **8c**, and **9c** suitable for X-ray diffraction analysis were grown from concentrated pentane solutions at -35 °C. Figure 2 depicts the molecular structures of these complexes. Table 3 summarizes selected bond distances and angles. As illustrated, these compounds are

Table 3. Selected Bond Distances (Å) and Angles (deg) for 8b, 8c, and 9c

	8b	8c	9c
M-O(1)	2.005(2)	2.0117(18)	2.007(3)
M-O(2)	2.019(3)	2.0091(18)	2.008(3)
M-O(3)	2.025(3)	2.0128(19)	2.004(2)
M-O(4)	2.028(3)	2.0072(19)	1.995(2)
M-N(1)	2.460(3)	2.399(2)	2.356(3)
M-N(2)	2.449(3)	2.393(2)	2.365(3)
O(1)-M-O(2)	158.78(10)	158.58(8)	159.90(11)
O(1)-M-O(3)	90.89(10)	95.97(8)	95.84(11)
O(1)-M-O(4)	91.16(11)	90.66(8)	90.09(11)
O(1)-M-N(1)	79.82(10)	78.99(7)	79.44(11)
O(1)-M-N(2)	104.90(11)	97.00(8)	97.00(10)
O(2)-M-O(3)	93.87(10)	90.19(8)	90.37(11)
O(2)-M-O(4)	91.88(11)	91.05(8)	90.54(11)
O(2)-M-N(1)	79.12(10)	79.85(7)	80.89(11)
O(2)-M-N(2)	96.30(10)	104.33(8)	102.92(11)
O(3)-M-O(4)	158.60(10)	158.42(8)	159.95(11)
O(3)-M-N(1)	96.26(10)	97.80(7)	96.18(11)
O(3)-M-N(2)	78.78(10)	78.92(8)	79.61(10)
O(4)-M-N(1)	105.07(10)	103.62(8)	103.74(11)
O(4)-M-N(2)	80.11(10)	79.91(8)	80.66(10)
N(1)-M-N(2)	173.09(10)	174.60(7)	174.26(10)

homoleptic complexes, containing two meridional [ONO]²⁻ ligands with the two nitrogen atoms being trans-disposed. Similar structural features were also reported by Kol, Goldschmidt, and co-workers for homo- and heteroleptic ONO complexes of titanium.⁵⁴ Davidson and co-workers also reported independently a series of structurally characterized homoleptic group 4 complexes of sterically less demanding ONO ligands.⁵⁵ Though complexes presented herein are essentially isostructural to one another, the M-N bond distances in 8b are slightly longer than those in 8c and 9c. At first glance, this phenomenon appears irrational as both npropyl and isopropyl carry similar electronic characteristics and the steric repulsion between two N-bound alkyls should be negligible in view of trans-disposed nitrogen donors. Structural scrutiny of these molecules revealed that these N-bound alkyls have marginally close contacts with one of the ortho-tert-butyl groups on the phenolate rings. A representative drawing is provided in Figure S5 (see Supporting Information), highlighting the steric interaction between the N2-bound isopropyl and the spatially peripheral tert-butyl group ortho to O1 in 8b. With less sterically hindered *n*-propyl groups in 8c and 9c, the

nitrogen donors thus lie closer to the metal center than those in isopropyl-derived **8b**.

The divergent preferences for the formation of 4a and 5a versus 8b-c and 9b-c deserve more comments. Though 8b-c and 9b-c are all bis-ligand complexes, the preparation of isopropyl-derived 8b and 9b requires conditions to acquire reasonable synthetic yields much more severe than those of npropyl-substituted 8c and 9c for steric reasons. As mentioned previously, the steric argument is also supported by X-ray structural data of 8b, 8c, and 9c, from which close contacts are found, though marginally, for N-alkyls with spatially peripheral ortho-tert-butyl substituents on the phenolate rings. With more sterically demanding tert-butyl groups at the nitrogen donors, the presumed bis-ligand $M[1a]_2$ (M = Zr (8a) or Hf (9a)) should be sterically unfavorable and synthetically rather inaccessible. In view of the synthetic conditions employed for 8b-c and 9b-c, the preparation of the presumed 8a and 9a would require even harsher conditions, that is, prolonged heating at temperatures much higher than 80 °C. On the basis of the reaction conditions employed for the preparation of 4a and 5a, the presumed energies of 8a and 9a are apparently higher than those of 4a and 5a, thus precluding the possibility of 4a and 5a evolution from 8a and 9a.

The bis-ligand complexes 8b-c and 9b-c were prepared from alcoholysis reactions of 2b-c and 3b-c, respectively, with their corresponding ligand precursors $H_2[1b-c]$. It is reasonable to propose that these reactions proceed via reversible $H_2[1\hat{b}-\hat{c}]$ coordination, either O-bound or Nbound, to the metal center of 2b-c or 3b-c followed by proton transfer between oxygen donors to liberate isopropanol and generate 8b-c and 9b-c. In view of the Lewis basic characteristics of O and N, the N-bound intermediacy appears more favorable. This hypothesis is also consistent with the observed formation rates of 8b and 9b in comparison with those of 8c and 9c. With more sterically demanding tert-butyl substituents in $H_2[1a]$, 2a, and 3a, a similar N-bound intermediacy may be even more unfavorable on steric grounds, though it may conceptually assist $H_2[1a]$ degradation. Interestingly, ligand degradation does not occur for $H_2[1b]$ and $H_2[1c]$, even in the presence of Lewis acidic metal complexes at elevated temperatures, for which the relatively weaker electron-releasing nature of the N-donor in these ligand precursors should be responsible.

As discussed above, we prefer the justification illustrated in Scheme 3 for the formation of 4a and 5a. Note that $H_2[1a]$ degrades in solutions at ambient temperature in the presence or absence of 2a or 3a. Upon thermal decomposition of $H_2[1a]$, the produced *o*-QM 7 reacts either with other unsaturated molecules, including 7 itself, present in solutions to give cycloaddition products, or with 2a or 3a to produce the structurally characterized ethereal phenolate complex 4a or 5a, respectively.

CONCLUSIONS

We have demonstrated that the thermal stability of amine biphenol compounds is a function of their incorporated *N*substituents. With a *tert*-butyl group at the nitrogen donor, the ONO ligand $H_2[1a]$ is susceptible to thermal degradation, unexpectedly producing a transient *o*-QM derivative that, under the conditions employed, reacts subsequently with metal alkoxides to afford a novel ethereal phenolate ligand as observed in complexes 4a and 5a. As a result, these reactions involve both C–N bond cleavage and C–O bond formation. Parallel studies employing either isopropyl- or n-propyl-derived ONO ligands gave different results, wherein no ligand degradation was observed. These results are intriguing as the latter ligands are close, lower homologues of the former. The formation rates of homoleptic 8b and 9b in comparison with those of 8c and 9c highlight the steric effect of these ONO ligands, in spite of the fact that the N-alkyl substituents in these ligands are isomeric. Though produced unexpectedly by a process involving thermal degradation of $H_2[1a]$, 4a is an active catalyst for ε -caprolactone polymerization, underscoring the fact that the presumed innocent ligands may under certain circumstances become reactive, providing perhaps misleading conclusions in catalysis. In sharp contrast, the bis-ligated complex 8c is inactive for ROP catalysis. The comparison in ROP activities of 4a and 8c is intriguing, particularly from the standpoint that both complexes are prepared with identical synthetic strategies. These findings are informative and relevant to the structural and reaction chemistry of complexes containing the conceivably ubiquitous Mannich-type ligands.

EXPERIMENTAL SECTION

General Procedures. Unless otherwise specified, all experiments were performed under nitrogen using standard Schlenk or glovebox techniques. All solvents were reagent grade or better and purified by standard methods. The NMR spectra were recorded on Varian Unity or Bruker AV instruments. Chemical shifts (δ) are listed as parts per million downfield from tetramethylsilane. Coupling constants (J) are listed in hertz. ¹H NMR spectra are referenced using the residual solvent peak at δ 7.16 for C₆D₆. ¹³C NMR spectra are referenced using the internal solvent peak at δ 128.39 for C₆D₆. The assignment of the carbon atoms for all new compounds is based on distortionless enhancement by polarization transfer ¹³C NMR spectroscopy. All NMR spectra were recorded at room temperature in specified solvents unless otherwise noted. Elemental analysis was performed on a Heraeus CHN-O rapid analyzer. Gel permeation chromatography analyses were carried out at 45 °C on a JASCO instrument equipped with two Waters Styragel HR columns in series and a JASCO RI-2031 refractive index detector. HPLC grade THF was supplied at a constant flow rate of 1.0 mL/min with a JASCO PU-2080 isocratic HPLC pump. Molecular weights $(M_n \text{ and } M_w)$ were determined by interpolation from calibration plots established with polystyrene standards.

Materials. Compounds $H_2[1a]$,⁴⁰ $H_2[1b]$,⁴⁰ and $H_2[1c]$ ⁵⁴ were prepared according to literature procedures. All other chemicals were obtained from commercial vendors and used as received.

X-ray Crystallography. Crystallographic data for all structurally characterized complexes are available in Supporting Information. Data were collected on a Bruker-Nonius Kappa CCD diffractometer with graphite monochromated Mo K α radiation ($\lambda = 0.7107$ Å). Structures were solved by direct methods and refined by full matrix least-squares procedures against F^2 using SHELXL-97.⁵⁶ All full-weight nonhydrogen atoms were refined anisotropically. Hydrogen atoms were placed in calculated positions. The structures of 5a, 8b, 8c, and 9c contain disordered pentane. Attempts to obtain suitable disorder models failed. The SQUEEZE procedure of the Platon program⁵⁷ was used to obtain a new set of F^2 (*hkl*) values for each structure without the contribution of solvent molecules, leading to the presence of significant voids in these structures. The refinement reduced R1 values of 5a, 8b, 8c, and 9c to 0.0235, 0.0667, 0.0526, and 0.0342, respectively. Structures reported herein also contain disordered tertbutyl groups in ONO or methyl groups in isopropoxide that could not be resolved properly, thus giving rise to B-level alerts in checkCIF reports. CCDC entries 910754 (for 4a), 910755 (for 5a), 910756 (for 8b), 910757 (for 8c), and 910758 (for 9c) contain the supplementary crystallographic data for this Article. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre via www. ccdc.cam. ac.uk/data request/cif.

Synthesis of Zr[1a](OiPr)(iPrOCH₂-2-O-3,5-C₆H₂(tBu)₂) (4a). To a toluene solution (6 mL) of H₂[1a] (200 mg, 0.392 mmol) was added a toluene solution (6 mL) of Zr(OiPr)₄(HOiPr) (76 mg, 0.196 mmol) at room temperature. The solution was heated with stirring in an oil bath at 80 °C for 16 h, filtered through a pad of Celite, and evaporated to dryness under reduced pressure. The solid residue was washed with acetonitrile (6 mL) to afford the product as an off-white solid. Yield: 98 mg (52%). ¹H NMR (C₆D₆, 500 MHz) δ: 7.50 (d, 2, 5 = 2.1, ArH), 7.49 (d, 1, J = 2.3, ArH), 7.15 (d, 2, J = 2.1, ArH), 6.68 (d, 1, J = 2.1, ArH), 4.79 (sept, 1, J = 6.1, ZrOCHMe₂), 4.69 (br s, 2, ArCH₂OCHMe₂), 4.43 (sept, 1, J = 6.5, ArCH₂OCHMe₂), 4.14 (d, 2, J = 14.4, ArCH_AH_BN), 3.58 (d, 2, J = 14.5, ArCH_AH_BN), 1.90 (s, 9, ArCMe₃), 1.60 (s, 18, ArCMe₃), 1.38 (s, 18, ArCMe₃), 1.37 (br s, 6, $ZrOCHMe_2$), 1.30 (s, 9, ArCMe_3), 1.17 (s, 9, NCMe_3), 0.74 (d, 6, J = 6.5, ArCH₂OCHMe₂). ¹³C NMR (C₆D₆, 125 MHz) δ : 159.2 (C), 158.5 (C), 140.8 (C), 140.3 (C), 137.5 (C), 137.3 (C), 129.7 (C), 129.6 (C), 124.1 (CH), 123.9 (CH), 123.5 (CH), 122.4 (CH), 75.9 (ArCH₂OCHMe₂), 74.0 (ZrOCHMe₂), 67.4 (ArCH₂OCHMe₂), 62.7 (NCMe₃), 61.9 (ArCH₂N), 36.0 (ArCMe₃), 35.6 (ArCMe₃), 34.7 (ArCMe₃), 34.6 (ArCMe₃), 32.4 (ArCMe₃), 32.3 (ArCMe₃), 30.9 (ArCMe₃), 30.8 (ArCMe₃), 27.7 (NCMe₃), 21.8 (OCHMe₂), 1.8 (ArCH2OCHMe2). Anal. Calcd for C55H89NO5Zr: C, 70.60; H, 9.59; N, 1.50. Found: C, 69.98; H, 9.53; N, 1.53.

Synthesis of Hf[1a](OiPr)(iPrOCH₂-2-O-3,5-C₆H₂(tBu)₂) (5a). The procedures were similar to those followed for 4a except employing Hf(OiPr)₄(HOiPr) in the place of Zr(OiPr)₄(HOiPr), affording the product as an off-white solid. Yield: 48%. ¹H NMR (C₆D₆, 500 MHz) δ: 7.51 (br s, 2, ArH), 7.50 (br s, 1, ArH), 7.13 (br s, 2, ArH), 6.65 (br s, 1, ArH), 4.87 (sept, 1, J = 6.0, HfOCHMe₂), 4.66 (br s, 2, $ArCH_2OCHMe_2$), 4.47 (sept, 1, J = 6.2, $ArCH_2OCHMe_2$), 4.14 (d, 2, J = 14.2, ArCH_AH_BN), 3.57 (d, 2, J = 14.5, ArCH_AH_BN), 1.89 (s, 9, ArCMe₃), 1.59 (s, 18, ArCMe₃), 1.38 (s, 18, ArCMe₃), 1.36 (br s, 6, HfOCHMe₂), 1.31 (s, 9, ArCMe₃), 1.18 (s, 9, NCMe₃), 0.73 (d, 6, J = 6.0, ArCH₂OCHMe₂). ¹³C NMR (C₆D₆, 125 MHz) δ : 158.9 (C), 158.6 (C), 140.7 (C), 140.2 (C), 138.1 (C), 138.1 (C), 129.7 (C), 129.3 (C), 124.2 (CH), 123.8 (CH), 123.5 (CH), 122.1 (CH), 76.1 (ArCH₂OCHMe₂), 73.3 (HfOCHMe₂), 67.5 (ArCH₂OCHMe₂), 63.2 (NCMe₃), 61.8 (ArCH₂N), 35.9 (ArCMe₃), 35.6 (ArCMe₃), 34.7 (ArCMe₃), 34.6 (ArCMe₃), 32.5 (ArCMe₃), 32.4 (ArCMe₃), 30.9 (br, ArCMe₃), 27.9 (NCMe₃), 21.7 (OCHMe₂), 1.8 (ArCH₂OCHMe₂). Anal. Calcd for C55H89HfNO5: C, 64.57; H, 8.77; N, 1.37. Found: C, 64.60; H, 8.87; N, 1.36.

Synthesis of Zr[1b]₂ (8b). The procedures were similar to those followed for 4a except employing $H_2[1b]$ in the place of $H_2[1a]$ and a reaction time of 24 h, affording the product as an off-white solid. Yield: 42%. ¹H NMR (C₆D₆, 500 MHz) δ : 7.51 (d, 2, J = 2.4, ArH), 7.47 (d, 2, J = 2.4, ArH), 7.18 (d, 2, J = 2.3, ArH), 7.09 (d, 2, J = 2.3, ArH), 5.28 $(d, 2, J = 10.8, ArCH_AH_B), 5.25 (d, 2, J = 10.7, ArCH_AH_B), 3.66 (d, 2, J)$ = 13.7, ArCH_CH_D), 3.49 (s, 2, J = 13.8, ArCH_CH_D), 3.39 (sept, 2, J = 6.8, NCHMe₂), 1.48 (s, 18, ArCMe₃), 1.42 (s, 18, ArCMe₃), 1.37 (s, 18, ArCM e_3), 1.36 (s, 18, ArCM e_3), 1.22 (d, 6, J = 6.8, NCHM e_A M e_B), 1.06 (d, 6, J = 6.8, NCHMe_AMe_B). ¹³C NMR (C₆D₆, 125 MHz) δ : 159.6 (C), 159.4 (C), 140.9 (C), 140.6 (C), 136.5 (C), 136.0 (C), 126.1 (C), 125.7 (C), 125.4 (br, CH), 124.6 (br, CH), 60.3 (ArCH₂N), 59.9 (ArCH₂N), 51.4 (NCHMe₂), 35.9 (ArCMe₃), 35.2 (ArCMe₃), 34.7 (ArCMe₃), 34.6 (ArCMe₃), 32.8 (ArCMe₃), 32.3 (ArCMe₃), 31.7 (ArCMe₃), 30.8 (ArCMe₃), 18.9 (NCHMe_AMe_B), 18.1 (NCHMe_AMe_B). Anal. Calcd for C₆₆H₁₀₂N₂O₄Zr: C, 73.47; H, 9.54; N, 2.60. Found: C, 73.37; H, 9.59; N, 2.39.

Synthesis of Zr[1c]₂ (8c). To a diethyl ether solution (6 mL) of H₂[1c] (200 mg, 0.404 mmol) was added a diethyl ether solution (6 mL) of Zr(OiPr)₄(HOiPr) (78 mg, 0.202 mmol) at room temperature. The solution was stirred at 25 °C for 16 h, filtered through a pad of Celite, and evaporated to dryness under reduced pressure. The solid residue was washed with pentane (6 mL) to afford the product as an off-white solid. Yield: 214 mg (99%). ¹H NMR (C₆D₆, 500 MHz) δ : 7.53 (d, 2, *J* = 2.2, ArH), 7.39 (d, 2, *J* = 2.2, ArH), 7.15 (d, 2, *J* = 2.2, ArH), 7.12 (d, 2, *J* = 2.2, ArH), 5.09 (d, 2, *J* = 13.4, ArCH_AH_B), 4.84 (d, 2, *J* = 12.8, ArCH_CH_D), 3.57 (d, 2, *J* = 12.9, ArCH_CH_D), 3.50 (d, 2, *J* = 13.5, ArCH_AH_B), 3.01 (m, 2, NCH_EH_FCH₂Me), 2.78 (m, 2, *J* = 13.5).

NCH_EH_FCH₂Me), 1.56 (s, 18, ArCMe₃), 1.44 (s, 18, ArCMe₃), 1.37 (s, 18, ArCMe₃), 1.25 (br m, 4, NCH₂CH₂Me), 1.22 (s, 18, ArCMe₃), 0.55 (t, 6, J = 7.3, NCH₂CH₂Me). ¹³C NMR (C₆D₆, 125 MHz) δ : 159.4 (C), 158.9 (C), 140.7 (C), 140.1 (C), 136.6 (C), 135.6 (C), 125.6 (CH), 125.1 (CH), 124.6 (CH), 124.5 (CH), 124.3 (C), 122.6 (C), 59.7 (ArCH₂N), 59.1 (ArCH₂N), 49.6 (NCH₂CH₂Me), 35.4 (ArCMe₃), 35.3 (ArCMe₃), 34.8 (ArCMe₃), 34.6 (ArCMe₃), 32.4 (ArCMe₃), 32.3 (ArCMe₃), 30.9 (ArCMe₃), 30.7 (ArCMe₃), 13.2 (NCH₂CH₂Me), 11.7 (NCH₂CH₂Me). Anal. Calcd for C₆₆H₁₀₂N₂O₄Zr: C, 73.47; H, 9.54; N, 2.60. Found: C, 73.41; H, 9.57; N, 2.62.

Synthesis of Hf[1c]₂ (9c). The procedures were similar to those followed for 8c except employing Hf(OiPr)4(HOiPr) in the place of Zr(OiPr)₄(HOiPr), affording the product as an off-white solid. Yield: 99%. ¹H NMR (C_6D_6 , 500 MHz) δ : 7.57 (d, 2, J = 2.4, ArH), 7.42 (d, 2, J = 2.4, ArH), 7.14 (d, 2, J = 2.4, ArH), 7.11 (d, 2, J = 2.4, ArH), 5.18 $(d, 2, J = 13.4, ArCH_AH_B), 4.91 (d, 2, J = 12.9, ArCH_CH_D), 3.56 (d,$ J = 12.9, ArCH_CH_D), 3.50 (d, 2, J = 13.4, ArCH_AH_B), 3.02 (m, 2, $NCH_EH_FCH_2Me$), 2.79 (m, 2, $NCH_EH_FCH_2Me$), 1.57 (s, 18, ArCMe₃), 1.46 (s, 18, ArCMe₃), 1.37 (s, 18, ArCMe₃), 1.25 (br m, 4, NCH₂CH₂Me), 1.22 (s, 18, ArCMe₃), 0.54 (t, 6, J = 7.3, NCH₂CH₂Me). ¹³C NMR (C₆D₆, 125 MHz) δ: 159.4 (C), 159.0 (C), 140.5 (C), 139.8 (C), 137.1 (C), 136.0 (C), 125.5 (CH), 124.9 (CH), 124.7 (CH), 124.6 (CH), 124.1 (C), 122.4 (C), 59.6 (ArCH₂N), 58.8 (ArCH₂N), 49.9 (NCH₂CH₂Me), 35.4 (ArCMe₃), 35.2 (ArCMe₃), 34.8 (ArCMe₃), 34.6 (ArCMe₃), 32.4 (ArCMe₃), 32.3 (ArCMe₃), 30.8 (ArCMe₃), 30.7 (ArCMe₃), 13.2 (NCH₂CH₂Me), 11.8 (NCH₂CH₂Me). Anal. Calcd for C₆₆H₁₀₂HfN₂O₄: C, 67.97; H, 8.82; N, 2.40. Found: C, 68.15; H, 8.55; N, 2.25.

ASSOCIATED CONTENT

Supporting Information

X-ray crystallographic data for all structurally characterized compounds, ¹H NMR spectrum of PCL prepared, and mass spectra and kinetic data of thermolysis of $H_2[1a]$. This material is available free of charge via the Internet at http://pubs.acs.org.

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Notes

The authors declare no competing financial interest.

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