# Spectroscopic Study and Crystal Field Analysis of Eu<sup>3+</sup> in the YAl<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> Huntite Matrix

## C. GÖRLLER-WALRAND, P. VANDEVELDE, I. HENDRICKX

University of Leuven, Laboratory of Inorganic Chemistry, Celestijnenlaan 200F, B-3030 Heverlee, Belgium

## P. PORCHER

Laboratoire des Eléments de Transition dans les Solides, E.R. 210 du CNRS, 1 Place A. Briand, 92195 Meudon Principal Cédex, France

## J. C. KRUPA

Laboratoire de Radiochimie, Institut de Physique Nucléaire, F-91406 Orsay Cédex, France

## and G. S. D. KING

University of Leuven, Laboratory of Crystallography, Celestijnenlaan 200C, B-3030 Heverlee, Belgium

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## Abstract

This study reports on the absorption, fluorescence and magnetic circular dichroism (MCD) spectra of  $YAl_3(BO_3)_4$  doped with Eu<sup>3+</sup>.

A crystal field analysis in the  $D_3$  site symmetry group provided information on the energy levels and corresponding wave functions. Special emphasis is put on the power of the MCD technique for assessing the intensity mechanisms responsible for transitions between energy levels.

## Introduction

Energy transfer between rare earth ions has been investigated in various matrices. Yttrium aluminium borate, isomorphous with the mineral huntite Mg<sub>3</sub>- $Ca(CO_3)_4$ , is one of these.  $YAl_3(BO_3)_4$  doped with Eu<sup>3+</sup> has been extensively studied from the point of view of its luminescence properties, especially in connection with quenching processes [1-3].

The present study reports on more fundamental aspects of the spectroscopic properties of this complex in order to provide information on the energy levels and corresponding wave functions as well as on the intensity mechanisms involved in the transitions between these levels. These wave functions reflect the site symmetry of the Eu<sup>3+</sup> system, which in turn allows interpretation of the spectroscopic features. The MCD technique is particularly interesting since it gives an excellent correlation between the site symmetry and the spectroscopy which accompanies this symmetry [4, 5]. Also the different controversies [2, 6] about the possible additional site symmetries for the Eu<sup>3+</sup> ion can be partially

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resolved by the use of both fluorescence and magnetic circular dichroism techniques.

## Experimental

The  $Y_{1-x}Eu_x Al_3(BO_3)_4$  crystals (x = 0.9 and 0.1) were kindly given by Professor Blasse of the Rijksuniversiteit Utrecht (The Netherlands).

## Crystal Structure Determination

The crystal structures of  $Y_{1-x}Eu_xAl_3(BO_3)_4$ with x = 0.1 and 0.5 have been determined. As the variation in europium content had no effect on the structure within this range, it was not considered necessary to determine the structure of the compound with x = 0.9.

Diffraction measurements were carried out on a Syntex P21 diffractometer using graphite- monochromatized Mo K $\alpha$  radiation ( $\lambda = 0.71073$  Å). The crystals were of irregular form with diameter about 0.05 mm. Cell parameters were obtained by a least-squares analysis of the  $2\theta$  values of 24reflections with  $2\theta$  between  $20^{\circ}$  and  $30^{\circ}$ . Intensities were measured by the  $\omega - 2\theta$  scan method for half a reciprocal sphere out to  $\sin \theta / \lambda = 1.1$ . An empirical absorption correction [7] was applied. Systematic absences  $(-h + k + l \neq 3n)$  were compatible with the space group R32. The structures were solved by Patterson methods and refined by full-matrix leastsquares methods. All calculations were carried out using the X-ray 76 system [8]. Details of the structure determination are given in Table I. Figure 1a is an ORTEP [9] drawing of the structure projected along the c axis. Figure 1b shows the lanthanide environment, a distorted trigonal prism of crystal-

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TABLE I. Crystal Structure of  $Y_{1-x}Eu_xAl_3(BO_3)_4^a$ 

		<b>x</b> = 0.1	<i>x</i> = 0.5
a (Å)		9.2833(7)	9.2945(7)
c (Å)		7.2331(6)	7.2491(7)
μ (λ =	0.71073 Å) cm <sup>-1</sup>	78.8	88.4
Reflect	ions measured	8342	8344
Unique	reflections $(I > 3\sigma(I))$	748	749
Observ	ed reflections	687	677
$R = \Sigma$	$F_{o}  -  F_{o}   / \Sigma  F_{o} $		
all ref	lections	0.024	0.033
observ	ved reflections	0.022	0.032
Atomic	parameters		
Y	x	0	0
	у	0	0
	z	0	0
	$U_{eq}$ (Å <sup>2</sup> ) <sup>b</sup>	0.0043(2)	0.0020(2)
Al	x	0	0
	у	0.4444(2)	0.4429(4)
	Z	0	0
	$U_{eq}$ (Å <sup>2</sup> )	0.0049(3)	0.0052(7)
B(3)	x	1/3	1/3
	у	2/3	2/3
	Z	1/6	1/6
	$U_{eq}$ (Å <sup>2</sup> )	0.0049(8)	0.0047(22)
B(4)	x	1/3	1/3
	у	0.2240(7)	0.2262(23)
	2	1/6	1/6
	$U_{eq}$ (Å <sup>2</sup> )	0.0047(9)	0.0038(34)
O(5)	x	1/3	1/3
	у	0.8156(4)	0.8158(10)
	Z	1/6	1/6
	$U_{eq}$ (Å <sup>2</sup> )	0.0046(5)	0.0048(15)
O(6)	x	1/3	1/3
	у	0.0754(5)	0.0752(16)
	Z	1/6	1/6
	$U_{eq}$ (Å <sup>2</sup> )	0.0064(7)	0.0090(27)
O(7)	x	0.1834(3)	0.1843(9)
	у	0.2170(3)	0.2179(9)
	2	0.1871(4)	0.1897(9)
	$U_{eq}$ (Å <sup>2</sup> )	0.0062(6)	0.0059(18)

<sup>a</sup>Figures in parentheses give standard deviations in units of the last figure. Space group R32, Z = 3. <sup>b</sup> $U_{eq} = \Sigma U_{ij}a_i^*$  $a_j^*a_i \cdot a_j$  is the equivalent isotropic vibration parameter for the anisotropic parameters  $U_{ij}$ .

lographic symmetry  $D_3$ . The non-zero distortion angle ( $\Delta \varphi = 8.3^\circ$ ) prevents the prism from having the higher non-crystallographic symmetry  $D_{3h}$ .

## Spectroscopic Measurements

#### Polarization spectra

The spectra were recorded at room temperature with a Cary 219 spectrophotometer and at liquid nitrogen temperature with a McPherson spectrophotometer (1200 lines/mm). Some spectra were recorded at liquid helium temperature in the Institut de Physique Nucléaire at Orsay with a HR 1000

TABLE II. Full Rotation Compatibility Table of the Group  $D_3$ 

J	Irreducible representation		
0	A <sub>1</sub>		
1	$A_2 + E$		
2	$A_1 + 2E$		
3	$A_1 + 2A_2 + 2E$		
4	$2A_1 + A_2 + 3E$		
5	$A_1 + 2A_2 + 4E$		
6	$3A_1 + 2A_2 + 4E$		

Jobin Yvon monochromator (1200 lines/mm in the UV-Vis and 600 lines/mm in the IR).

Three spectra were recorded: parallel to the crystal *c*-axis ( $\alpha$ -spectrum), perpendicular to the *c*-axis with the electric vector first parallel ( $\pi$ -spectrum) and then perpendicular ( $\sigma$ -spectrum) to the *c*-axis.

### Fluorescence spectra

The fluorescence spectra were excited either by a Hg lamp or by a dye laser (Rhodamine 6 G) pumped by a 4W argon laser (Spectra Physics). The fluorescence light was analysed with a Jarrell-Ash monochromator. Measurements at 77 K were performed with an Oxford cryostat.

## MCD spectra

The MCD equipment consisted essentially of a McPherson monochromator (1200 lines/mm) a Hinds modulator and a 7 Tesla supermagnet. The spectra were recorded at 77 K.

## **Results and Discussion**

#### **Polarization Spectra**

The polarization spectra have partly been published previously [10]. These data dealt with the  ${}^{5}D_{0,1,2,3} \leftarrow {}^{7}F_{0,1,2}$  transitions. We discuss here the transitions to  ${}^{5}L_{6}$  and  ${}^{7}F_{6}$ .

Use is made of Tables II and III giving the splitting of the  ${}^{2S+1}L_J$  levels up to J = 6 in  $D_3$  and the selection rules for electric and magnetic dipole transitions for  $D_3$  symmetry.

## ${}^{5}L_{6} \leftarrow {}^{7}F_{0}$ (Fig. 2a)

Because of the large overlapping of the bands at room temperature, the sample was cooled to 4.2 K so that only the transitions from  ${}^{7}F_{0}$  appear. The splitting of the  ${}^{5}L_{6}$  level in  $D_{3}$  is given in Table II.

From  ${}^{7}F_{0}(A_{1})$  two electric dipole transitions are expected to be polarized in  $\pi$  while four others are polarized in  $\sigma$ . That this is indeed the case is shown experimentally in Fig. 2a.

ED	A <sub>1</sub>	A <sub>2</sub>	Е	MD	A <sub>1</sub>	A <sub>2</sub>	E
A1		π	$\sigma + \alpha$	A <sub>1</sub>		σ	$\pi + \alpha$
A <sub>2</sub>	π		$\sigma + \alpha$	A <sub>2</sub>	σ		$\pi + \alpha$
E	$\sigma + \alpha$	$\sigma + \alpha$	$\sigma + \pi + \alpha$	E	$\pi + \alpha$	$\pi + \alpha$	$\sigma + \pi + \alpha$

TABLE III. Selection Rules for Electric and Magnetic Dipole Transitions in D<sub>3</sub> Symmetry





Fig. 2. Polarization spectra (see also ref. 10). (a):  ${}^{5}L_{6} \leftarrow {}^{7}F_{0}$ transition (4.2 K); (b)  ${}^{7}F_{6} \leftarrow {}^{7}F_{0}$  transition (4.2 K).

Because of the forbidden character of the  $A_1 \leftarrow$ A<sub>1</sub> transition, this spectrum gives us no information about the  $A_1$  energy levels.

## ${}^{7}F_{6} \leftarrow {}^{7}F_{0}$ (Fig. 2b)

Theoretically, the same selection rules hold true for this transition as the  ${}^{5}L_{6} \leftarrow {}^{7}F_{6}$  one, as is shown in Fig. 2b.

## Fluorescence Spectra

Our results largely support the work of Kellendonk and Blasse [2] and can be summarized as follows.

 ${}^{5}D_{0} \rightarrow {}^{7}F_{1}$ This magnetic dipole transition reproduces the same splitting pattern as the  ${}^{5}D_{1} \leftarrow {}^{7}F_{0}$  transition in absorption (two lines in view of the  $D_3$  symmetry). One is found at 16892 cm<sup>-1</sup> and the other at 16 762 cm<sup>-1</sup>.

## ${}^{5}D_{0} \rightarrow {}^{7}F_{2}$

The two lines expected to be predominant in the electric dipole mechanism are indeed found  $(16\,284 \text{ and } 16\,166 \text{ cm}^{-1}).$ 

## ${}^{5}D_{0} \rightarrow {}^{7}F_{3}$

This transition is electric and magnetic dipole forbidden. However, through configuration interaction some allowed character is induced resulting in four lines that are indeed detected at 15 394, 15 336, 15 276 and 15 250 cm<sup>-1</sup>.

## ${}^{5}D_{0} \rightarrow {}^{7}F_{4}$

The four lines predicted from the electric dipole mechanism appear predominantly at 14679, 14619, 14 308 and 14 206 cm<sup>-1</sup>.

#### Remarks

(i) In all the cases mentioned above we observed the presence of the satellite peaks described by Kellendonk and Blasse [2]. However, on selective excitation of the  ${}^{5}D_{0}$  level, only the lines due to the regular Eu<sup>3+</sup> site remain.

(ii) The transition  ${}^{5}D_{0} \rightarrow {}^{7}F_{0}$  is electric and magnetic dipole forbidden within a  $D_3$  symmetry. Nevertheless, two lines are observed (17150 and 17070 cm<sup>-1</sup>). Only the selective excitation of the 17150 cm<sup>-1</sup> line results in an emission spectrum, which shows the same features as the spectrum due to the regular Eu<sup>3+</sup> site. The satellite peaks which appear in the UV spectrum are not present in these spectra. In this way, the possibility, claimed by Kellendonk and Blasse of a  $C_2$ -site for the Eu<sup>3+</sup> ions is not confirmed in these spectra. The  ${}^{5}D_{0} \rightarrow {}^{7}F_{0}$  line is due to a lowered  $D_3$  symmetry transition.

The energy level scheme that takes the absorption and fluorescence data into account is shown in Fig. 3.



Fig. 3. Energy diagram of the doped YAl<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>/Eu<sup>3+</sup>.

The corresponding wave numbers and polarization mechanisms based on the comparison of absorption and fluorescence data are given in Table IV.

## Crystal Field Parametrization

From the previous section, 36 levels have been identified. Despite the fact that this is relatively few compared to those considered in fitting spectra of ions like  $Er^{3+}$  and  $Ho^{3+}$  [11], we have nevertheless made a crystal field fitting with a view to substantiating the MCD results discussed in the next section. Use was made of the D<sub>3</sub> Hamiltonian:

$$\mathcal{H}'_{\mathbf{D}_3} (\text{even}) = B_0^2 C_0^{(2)} + B_0^4 C_0^{(4)} + B_3^4 (C_{-3}^{(4)} - C_{+3}^{(4)}) + B_0^6 C_0^{(6)} + B_3^6 (C_{-3}^{(6)} - C_{+3}^{(6)}) + B_6^6 (C_{-6}^{(6)} + C_{+6}^{(6)})$$

For the calculation the following points were taken into account:

(i) As the  ${}^{7}F$  multiplet is well isolated in the energy level scale (the  ${}^{5}D_{0}$  to  ${}^{7}F_{6}$  difference amounts to 12000 cm<sup>-1</sup>), the  ${}^{7}F$  wave functions may be supposed to be relatively pure (>90%). Moreover, the crystal field operator acts only on states with the same multiplicity: the  ${}^{7}F_{J}$  multiplet is the only septet of the configuration, and therefore it is reasonable to use the reduced base of the 49| ${}^{7}F_{JM}$ > states in the first calculations. In the second place,



the basis set will be arbitrarily extended to 200 to simulate the  ${}^{5}D_{J}$  levels.

(ii) The simulation of the energy level scheme involves six experimental crystal field parameters. The automatic refining procedure minimizes the r.m.s. deviation. A knowledge of the rough values of the parameters, which can be used as starting parameters, facilitates the refinement. Two refinements, from two sets of departure values, were performed:

#### (a) From lattice sum calculations

Crystal field parameters were determined by the method described by Leavitt and Morrison [12, 13] where  $B_q^k$  values are related to the lattice sum  $A_q^k$  values by

$$B_q^k = (1 - \sigma_k) \frac{\langle r^k \rangle}{\tau^k} A_q^k$$

where  $\sigma_k$  is a screening factor,  $\langle r^k \rangle$  the value of the radial integral and  $\tau^k$  an expansion coefficient for  $\langle r^k \rangle$ . The effective charges were the ionic charge for aluminium and yttrium, 1.5 for boron and 1.5 for oxygen. The last two take partial account of the covalent nature of the  $D_3$  entity.

Absorption energy (cm <sup>-1</sup> )	Polarization	Mechanism	Identification	
3997 4092	X σ	ED ED	<sup>7</sup> F <sub>5</sub> ← <sup>7</sup> F <sub>0</sub>	$\begin{array}{c} A_2 \leftarrow A_1 \\ E \leftarrow A_1 \end{array}$
4863 4893 4905 5087 5166 5353	σ σ π σ π	ED ED ED ED ED ED	<sup>7</sup> F <sub>6</sub> ← <sup>7</sup> F <sub>0</sub>	$E \leftarrow A_1$ $E \leftarrow A_1$ $A_2 \leftarrow A_1$ $E \leftarrow A_1$ $E \leftarrow A_1$ $A_2 \leftarrow A_1$
16166 16284	σ σ + α	ED FD	${}^{5}D_{0} \leftarrow {}^{7}F_{2}$	A <sub>1</sub> ←E A₁←F
16762 16892	σ π + α	MD MD	<sup>5</sup> D <sub>0</sub> ← <sup>7</sup> F <sub>1</sub>	$\begin{array}{c} A_1 \leftarrow A_2 \\ A_1 \leftarrow E \end{array}$
17916 17952 18034 18070	σ + π σ σ + π σ + π	ED of MD ED of MD ED of MD ED of MD ED of MD	<sup>5</sup> D₁← <sup>7</sup> F₂	E ← E
18512 18642 18678	σ σ + α σ	ED ED ED	<sup>s</sup> D <sub>1</sub> ← <sup>7</sup> F <sub>1</sub>	$E \leftarrow A_2$ $E \leftarrow E$ $A_2 \leftarrow E$
18965 19001	π + α σ	MD MD	<sup>5</sup> D₁← <sup>7</sup> F₀	$E \leftarrow A_1$ $A_2 \leftarrow A_1$
20962 21036 21086 21164	σ + α σ + π σ + π σ + π	ED of MD ED ED of MD ED	$\begin{array}{c} A_1 \leftarrow A_2 \\ {}^5D_2 \leftarrow {}^7F_1 \\ A_1 \leftarrow E \end{array}$	$E \leftarrow A_2$ $E \leftarrow A_2$ $E \leftarrow E$ $E \leftarrow E$
21418 21489	σ + α σ + α	ED ED	<sup>5</sup> D <sub>2</sub> ← <sup>7</sup> F <sub>0</sub>	$E \leftarrow A_1 \\ E \leftarrow A_1$
23839 23912 23952 23969 24021 24082 24295	σ π π σ + π + α σ + α σ σ σ σ	ED ED ED ED ED ED ED	<sup>5</sup> D <sub>3</sub> ← <sup>7</sup> F <sub>1</sub>	$E \leftarrow A_2$ $E \leftarrow E$ $A_1 \leftarrow A_2$ $E \leftarrow E$ $A_2 \leftarrow E$ $A_1 \leftarrow E$ $A_2 \leftarrow E$
24877 24934 25026 25117 25215 25341	π σ + α σ π σ + α	ED ED ED ED ED ED	<sup>5</sup> L <sub>6</sub> ← <sup>7</sup> F <sub>0</sub>	$A_2 \leftarrow A_1$ $E \leftarrow A_1$ $E \leftarrow A_1$ $E \leftarrow A_1$ $A_2 \leftarrow A_1$ $E \leftarrow A_1$
27073 27148 27196 27278 27526 27601	σ + π σ σ + π σ + π σ + π σ + π	ED of MD ED ED of MD ED of MD ED of MD ED of MD	<sup>5</sup> D <sub>4</sub> ← <sup>7</sup> F <sub>0,1</sub>	$E \leftarrow A_2$ $E \leftarrow A_2$ $E \leftarrow E$ $E \leftarrow E$ $E \leftarrow A_1$ $E \leftarrow A_1$
Fluorescence energy (cm <sup>-1</sup> )	Idendification	Remarks		
17150 17070	${}^{5}D_{0} \rightarrow {}^{7}F_{0}$ A <sub>1</sub> -	→ A <sub>1</sub>		
16927		ь		

TABLE IV. Identification of the Electronic Transitions in the Absorption and Fluorescence Spectrum of YAI(BO3)4/Eu3+

16913

(continued)

b

TABLE IV. (	(continued)
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Fluorescence energy (cm <sup>-1</sup> )	Identification	n	Remarks	
16892		$A_1 \rightarrow E$		
16886	${}^{5}D_{0} \rightarrow {}^{7}F_{1}$			
16874			b	
16778			b	
16762		$A_1 \rightarrow A_2$		
16346			b, c	
16284		$A_1 \rightarrow E$		
16258	${}^{5}D_{0} \rightarrow {}^{7}F_{2}$		b, c	
16166		$A_1 \rightarrow E$		
16100			b,c	
15394		$A_1 \rightarrow A_2$		
15336	5D	$A_1 \rightarrow A_2$	с	
15276	D0 - F3	$A_1 \rightarrow E$		
15250		$A_1 \rightarrow E$		
14692				
14679		$A_1 \rightarrow E$		
14630				
14626			b, c	
14619	$^{5}D_{0} \rightarrow ^{7}F_{4}$	$A_1 \rightarrow E$		
14525			b, c	
14467			b, c	
14308		$A_1 \rightarrow A_2$		
14206		$A_1 \rightarrow E$	b	

<sup>b</sup>Disappears by selective excitation ( $\lambda = 5830.9$  Å) ( ${}^{5}D_{0} \leftarrow {}^{7}F_{0}$ ). <sup>c</sup>Disappears by selective excitation ( $\lambda = 5917.5$  Å) ( ${}^{5}D_{0} \leftarrow {}^{7}F_{1}$ ).

## (b) From the descending symmetry procedure [14]

The procedure considers the crystallographic evidence that the real site symmetry  $D_3$  is not far from  $D_{3h}$ , where the number of parameters is only four:  $B_0^2$ ,  $B_0^4$ ,  $B_0^6$  and  $B_6^6$ . Moreover, for the particular case of the 4f<sup>6</sup> configuration, the splitting of  ${}^7F_1$  in the first order only depends on  $B_0^2$ , and the splitting of  ${}^7F_2$  depends to a first approximation only on  $B_0^2$  and  $B_0^4$ . This allows us to estimate rough values of  $B_0^2$  and  $B_0^4$ . Thus other parameters are estimated by looking at the splitting of other  ${}^7F_J$ levels (mainly  ${}^7F_6$  in our case). When the refinement is achieved for  $D_{3h}$ , extra parameters, namely  $B_3^4$  and  $B_3^6$  are added, corresponding to the lowering in symmetry.

Two sets of final parameters were determined with a good accuracy (see Table V). It is rather curious that the extra parameters  $B_3^4$  and  $B_3^6$  are not negligible in spite of the real symmetry being very near to  $D_{3h}$ . For the first type of refinement  $B_3^6$ is also very far from the starting value. An evaluation of the two sets is given in Table V.

In Table VI, the calculated energy levels are given with the experimental ones for the <sup>7</sup>F multiplet. Information on the corresponding wave functions can be obtained from the authors. Although the minimization is less good for set A, we notice that it better reproduces the sequence of energy levels than set B.

## Magnetic Circular Dichroism

For the symbols and formula used here we refer to two recent papers [4, 5] in which it was shown that:

(i) when the M quantum number (component of the total angular moment along the z-axis) is still a good quantum number;

(ii) when the ground state is not degenerate and labelled by M = 0 (the case of  ${}^{7}F_{0}$  in Eu<sup>3+</sup>); and

(iii) when the excited state is doubly degenerate, the  $A_1$ -parameter is given simply by:

$$A_1 = 2|\langle J0|O_{-1}|J'M'\rangle|^2(\langle J'M'|L_z + 2S_z|J'M'\rangle)$$

where  $\langle 1^N \psi JM \rangle = \langle JM \rangle$ , the wave function for the ground state;  $|1^N \psi' J'M' \rangle = |J'M' \rangle$ , the wave function for the excited state;  $O_\rho$  = the transition operator with  $\rho = -1$  for lcp (left circularly polarized light) and  $\rho = +1$  for rcp (right circularly polarized light) and  $H'_{\text{Zeeman}} = \beta H(L_z + 2S_z)$ , the Zeeman Hamiltonian.

When a single M-value is no longer satisfactory for characterizing the crystal field level, the wave





TABLE V. Free Ion and Crystal Field Parameters Calculated by the Lattice Sum Procedure (set A) and the Descending Symmetry Procedure (set B)

Free ion parameters (in $cm^{-1}$ ):								
-0	101	2017	)))))))))))	,.			20	
E	=	2917			α	=	20	
E	=	5544			β	=	-640	
$E^2$	=	24.8			γ	=	1750	
$E^3$	=	585			5	=	1295	
<b>(A)</b>	(A) $D_3$ -parameters (lattice sum)							
$B_{0}^{2}$	z	467						
$B_0^4$	=	-1007						
$B_{3}^{4}$	=	- 936						
$B_{0}^{6}$	=	516						
B <sup>6</sup> 3	=	1902						
$B_{6}^{6}$	=	520						
(b) I	)₃-p	arameter	s (descen	iding sy	mn	netr	y)	
$B_0^2$	×	493						
$B_0^4$	=	-1809						
$B_{3}^{4}$	=	-677						
$B_{0}^{6}$	=	- 488						
<b>B</b> <sup>6</sup> <sub>3</sub>	=	788						
$B_{6}^{6}$	=	860						

function for ground and excited states are written as linear combinations:

$$\langle A | = \sum_{M} a_{M}^{*} \langle JM |$$

$$|A'\rangle = \sum_{M'} a_{M'} |J'M'\rangle$$

These combinations are used in the expressions of the  $A_1$ -parameter [4].

In the previous papers it was also shown that the selection rules in MCD are as follows:

(i) for a magnetic dipole transition:

 $\Delta M = M' - M = -\rho$ 

with  $\rho = \pm 1$  for right and left circularly polarized light;

(ii) for an induced electric dipole transition:

 $\Delta M = M' - M = -\rho - q$ 

with q = odd component in the expansion of the crystal field potential.

By using the crystal field Hamiltonian

$$\mathcal{H}'_{D_3(odd)} = B^3_3(C^{(3)}_{-3} + C^{(3)}_{+3}) + B^5_3(C^{(5)}_{-3} + C^{(5)}_{+3}) + B^7_3(C^{(7)}_{-3} + C^{(7)}_{+3}) + B^7_{+6}(C^{(7)}_{-6} + C^{(7)}_{+6})$$

and the ordering of  $\pm M'$  quantum numbers according to the crystal quantum numbers  $\mu$  [15], one obtains the selection rules for magnetic and electric dipole mechanisms in circularly polarized light, given in Table VII.

E(experiment)	Set A		Set B		
	E <sub>(Theoret.)</sub>	Δ	E(Theoret.)	Δ	
0	0.2	0	0.4	0	
323	327	4	330	7	
453	447	-6	439	- 14	
931	925	-6	935	4	
1049	1057	8	1053	4	
	1139		1285		
1821	1827	6	1832	11	
	1915		1851		
1939	1917	22	1931	-8	
1965	1980	15	1975	10	
2063	2072	9	1984	- 79	
	2271		2476		
2536	2529	-7	2530	-6	
2596	2604	8	2601	5	
2907	2911	4	2859	-48	
	2979		<b>291</b> 0		
3009	3004	- 5	3014	5	
	4855		4807		
4863 (E)	4859 (E)	-4	4857 (E)	-6	

-1 4890

6

-15

-17

 $\sigma = 10.7$ 

21

4909 (E)

5074 (E)

5065

21 5170 (E)

5354

5354

- 3

-13

4

4

1

We shall now discuss some transitions, emphasizing the MCD contribution in the assessment of electronic transition and the identification of electronic states.

4894 (E)

5072 (E)

5187 (E)

 $\sigma = 12.5$ 

4911

5029

5336

5337

21

 ${}^{5}D_{1} \leftarrow {}^{7}F_{0}$  (Fig. 4a)

For this magnetic dipole transition a positive  $A_1$ -term is found at the wave number of the peak in the  $\alpha$  polarization spectrum. The Zeeman component responsible for the absorption of left circularly polarized light is mainly a combination of the following  ${}^{2S+1}L_{\chi J|M}$  wave functions

$$-0.700 \ {}^{5}D_{31}|+1\rangle + 0.594 \ {}^{5}D_{11}|+1\rangle + 0.216 \ {}^{7}F1|+1\rangle - 0.195 \ {}^{3}P61|+1\rangle - 0.168 \ {}^{3}P31|+1\rangle$$

with  $\chi$  an additional quantum number which allows characterization of the different  ${}^{2S+1}$ L-states. As the absorption of left circularly polarized light is due to the highest Zeeman component, a positive *A*-term

TABLE VI. Observed and Calculated Energy Levels with Set A (lattice sum) and Set B (descending symmetry)

<sup>7</sup>F<sub>0</sub> <sup>7</sup>F<sub>1</sub>

 $^{7}\mathrm{F}_{2}$ 

 $^{7}F_{3}$ 

 $^{7}F_{2}$ 

7<sub>F6</sub>

4893 (E)

5087 (E)

5166 (E)

4905

5353

Number of levels

TABLE VII. Selection Rules for Electric (ED) and Magnetic (MD) Dipole Transitions in  $D_3$  for Circularly Polarized Light (lcp = left; rcp = right)

ED	<b>μ</b> = 0	$\mu \approx +1$	μ = -1
	+6	+7	-7
	-6	-5	+5
	+3	+4	-4
	-3	-2	+2
	0	+1	-1
u = 0 + 6 - 6 + 3 - 3 0		len	ten
$\mu = +1 +7 -5 +4 -2 +1$	rep	юp	lep
$\mu = -1 -7, +5, -4, +2, -1$	lcp	rcp	мр
MD	μ = 0	μ = +1	μ = -1
MD	μ = 0 +6	μ = +1 +7	μ = -1 -7
MD	μ = 0 +6 -6	μ = +1 +7 5	μ = -1 -7 +5
MD	$\mu = 0$ +6 -6 +3	μ = +1 +7 5 +4	$\mu = -1$ -7 +5 -4
MD	$\mu = 0$ +6 -6 +3 -3	$\mu = +1$ +7 -5 +4 -2	$\mu = -1$ -7 +5 -4 +2
MD	$\mu = 0 + 6 - 6 + 3 - 3 0$	$\mu = +1$ +7 -5 +4 -2 +1	$\mu = -1$ -7 +5 -4 +2 -1
$\frac{1}{\mu = 0 + 6, -6, +3, -3, 0}$	$\mu = 0 + 6 - 6 + 3 - 3 0$	$\mu = +1$ +7 -5 +4 -2 +1	$\mu = -1$ -7 +5 -4 +2 -1
$ \begin{array}{r} \mu = & 0 & +6, -6, +3, -3, & 0 \\ \mu = +1 & +7, -5, +4, -2, +1 \end{array} $	$\mu = 0$ +6 -6 +3 -3 0	$\mu = +1$ +7 -5 +4 -2 +1 lcp	$\mu = -1$ -7 +5 -4 +2 -1 rcp

is predicted and indeed found experimentally (see Fig. 4a).

 ${}^{5}D_{2} \leftarrow {}^{7}F_{0}$  (Fig. 4b)

This transition is mainly electric dipole induced. Experimentally two  $A_1$ -terms are detected; a negative one at shorter wave number and a positive one at longer wave number (21 418 and 21 489 cm<sup>-1</sup>).

From a consideration of the selection rules, it is seen that because  $q = \pm 3$ , absorption of left circularly polarized light occurs due to the  $|-2\rangle$  component.

As found from the wave functions, M' = -2characterizes essentially the lowest component corresponding to the E level at short energy, whereas the opposite is the case for the E component at higher energies. In the first case a negative  $A_1$ -term is predicted, in the second case the opposite holds true as is confirmed experimentally. Moreover, it is interesting to look at this in the framework of the distortion pattern  $D_{3h} \rightarrow D_3$  (see Fig. 4b). In  $D_{3h}$ the lower E-state correlates to E' corresponding only the  $M' = \pm 2$  wavefunctions. In  $D_{3h}$  only absorption occurs due to this E' level leading to a negative  $A_1$ term. The other  $A_1$ -term is absent in the case of  $D_{3h}$  symmetry as no q-value induces this transition. The relative magnitude of the two  $A_1$ -terms in  $D_3$ is thus a measure of the distortion  $D_{3h} \rightarrow D_3$ , reflected by the composition of the wave functions that in  $D_3$  mixes in  $|\pm 2\rangle$  and  $|\mp 1\rangle$ .

Energy	Sign A-term	Mechanism	Identification
16892	A(+)	MD	${}^{5}D_{0} \leftarrow {}^{7}F_{1} A_{1} \leftarrow E$
18642	A(-)	ED	${}^{5}D_{1} \leftarrow {}^{7}F_{1}  \begin{array}{c} E \leftarrow E \\ A_{2} \leftarrow E \end{array}$
18678	A(+)	MD	
18965	A(+)	MD	${}^{5}D_{1} \leftarrow {}^{7}F_{0} E \leftarrow A_{1}$
21086	A(+)	ED	${}^{5}D_{2} \leftarrow {}^{7}F_{1} \xrightarrow{E} \leftarrow E$
21164	A(-)	ED	
21418	A(-)	ED	${}^{5}D_{2} \leftarrow {}^{7}F_{0} \xrightarrow{E \leftarrow A_{1}}{E \leftarrow A_{1}}$
21489	A(+)	ED	
23969	A(-)	ED	$^{5}D_{3} \leftarrow ^{7}F_{1}$ $\begin{array}{c} E \leftarrow E \\ A_{2} \leftarrow E \\ A_{2} \leftarrow E \end{array}$
24021	A(+)	ED	
24295	A(+)	ED	

 ${}^{5}D_{1} \leftarrow {}^{7}F_{1}$  (Fig. 4c)

This transition is allowed by both induced electric and magnetic dipoles. No definite assessment can be made on the basis of the absorption spectrum. Experimentally one intense negative  $A_1$ -term is found at the place of the  $E \leftarrow E$  transition, while a much weaker positive  $A_1$  term appears for the  $A_2 \leftarrow E$  transition.

In view of the selection rules that are illustrated in Fig. 4c, it is clear that the most intense signal is due to an induced electric dipole mechanism. That the positive  $A_1$  term due to a magnetic dipole mechanism is much weaker is explained by the fact that:

(i) magnetic dipole intensities are weaker;

(ii) as appears from the figure, the Zeeman splitting of two E states is taken into account in the electric dipole MCD signal, while only one E state is involved in the magnetic dipole signal.

 ${}^{5}D_{0} \leftarrow {}^{7}F_{1}$  (Fig. 4d)

The expected positive  $A_1$ -term for this magnetic dipole transition is indeed found experimentally.

 ${}^{5}D_{2} \leftarrow {}^{7}F_{1}$  (Fig. 4e)

Although the signals are weak and noisy, Fig. 4e shows a positive  $A_1$ -term at the lowest energy and a negative one at the higher energy.

It is interesting to compare Fig. 4b ( ${}^{5}D_{2} \leftarrow {}^{7}F_{0}$ ) and Fig. 4e ( ${}^{5}D_{2} \leftarrow {}^{7}F_{1}$ ).

The distortion  $D_{3h} \rightarrow D_3$  is clearly reflected by these transitions, but because of the different starting levels in the two cases the sequence of the  $A_1$ -terms is reversed.

 ${}^{5}D_{3} \leftarrow {}^{7}F_{1}$  (Fig. 4f)

In  ${}^{5}D_{3}$  the non-degenerate  $A_{1}$  level and two  $A_{2}$  levels are combinations of the  $|0\rangle$ ,  $|+3\rangle$  and  $|-3\rangle$  components. Starting from the E level in  ${}^{7}F_{1}$ , three positive  $A_{1}$ -terms are predicted. Referring to the

absorption data two of these terms  $(A_2 \leftarrow E)$  should be comparable in magnitude.

The E levels originating from  ${}^{5}D_{3}$  are comparable to the E levels from  ${}^{5}D_{2}$  and the same pattern is expected as for  ${}^{5}D_{2} \leftarrow {}^{7}F_{1}$ . In fact only the negative term is evident in the spectrum.

A summary of the identification of MCD signals is given by Table VIII.

## Conclusions

The detailed absorption, fluorescence and MCD spectra of the  $YAl_3(BO_3)_4/Eu^{3+}$  crystal are discussed. The levels are simulated by a six-set crystal field parameters. Special emphasis is put on the power of the MCD technique in characterizing the wave functions corresponding to the crystal field energy levels and in assessing the intensity mechanisms responsible for transitions between these energy levels.

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