

## The Cervical Vertebrae as Maturational Indicators

[PATRICIA GARCÍA-FERNANDEZ, DDS, MS](#)

[HILDA TORRE, DDS, MS](#)

[LUIS FLORES, MD](#)

[JESUS REA, DDS](#)

Because of individual variation, physiological and anatomical maturity cannot be accurately assessed by age alone. Other parameters, such as growth velocity, secondary sex changes, dental development, and skeletal ossification, have proven of more value.<sup>1,2</sup>

The early hope of those who championed the idea of accurately determining the skeletal age of patients was to coordinate this information with orthodontic treatment so as to maximize the therapeutic effect. Unfortunately, a low correlation has been found between general skeletal maturity and facial growth as measured by common parameters.<sup>3,4</sup>

Since the presence, magnitude, and timing of facial growth have proven so unpredictable, clinicians have generally lost interest in trying to coordinate the pubertal growth spurt with orthodontic therapy. Nevertheless, the current interest in nonextraction treatment involving modification of skeletal growth seems to demand as much information as possible about patients' growth potential. Orthodontic appliances such as the Mandibular Protraction Appliance, Herbst, Fränkel, bionator, and twin block, as well as cervical and high-pull headgears and protraction facemasks, will only have the desired effect in patients who have growth capability.

Orthodontists do not necessarily need to know the exact skeletal age of a patient, or how much individual facial bones may grow during treatment, or even when that growth is likely to occur. They simply need to know whether the patient will grow at all during a one- or two-year treatment period and what percentage of growth can reasonably be expected during that time.

The standard method of evaluating skeletal maturity has been to use a hand-wrist x-ray to compare the bones of an individual's hand with those in published atlases.<sup>5-16</sup> To avoid taking an additional x-ray, however, some researchers have sought to relate maturation with dental and skeletal features other than the bones in the hand and wrist.<sup>7,17-21</sup>

The use of cervical vertebrae to determine skeletal maturity is not new. In 1972, Lamparski concluded that the cervical vertebrae, as seen on routine lateral cephalograms, were as statistically and clinically reliable in assessing skeletal age as the hand-wrist technique.<sup>7</sup> He found that the cervical vertebral indicators were the same for females and males, but that females developed the changes earlier. Other researchers have confirmed the validity of Lamparski's method of evaluating the skeletal maturity of orthodontic patients.<sup>17-19</sup>

The purpose of the present study was to determine whether the maturation of cervical vertebrae would correlate with the maturation indicated by hand-wrist x-rays in a Mexican population.

### Materials and Methods

The study sample was taken from patient files of the Orthodontic Graduate Program, Universidad Autónoma de Nuevo León, Mexico. A total of 113 patients (50 males and 63 females), age 9 to 18, had cephalometric and hand-wrist radiographs taken on the same day. None of these patients

presented with congenital or acquired malformations of the cervical vertebrae or hand-wrist, nor did they have any developmental alterations due to medical syndromes or hormonal disorders.

All the radiographs were taken with the same x-ray equipment at the same distance and intensity, traced and evaluated by the same operator (Dr. García-Fernández), and verified with an intra-operator error test that assessed each x-ray twice on different days.

The hand-wrist radiographs were evaluated with a system developed by Fishman,<sup>6</sup> which uses four stages of bone maturation found at six sites located on the thumb, third finger, fifth finger, and radius (Fig. 1A). Eleven adolescent skeletal maturation indicators (SMI) are found on these six sites (Fig. 1B). The sequence of four ossification stages includes epiphyseal widening, ossification of the sesamoid of the thumb, capping of selected epiphyses over their diaphyses, and fusion of selected epiphyses and diaphyses (Fig. 1C).

The percentage of adolescent growth completed provides a basis for interpreting the amount of skeletal growth that has occurred and for predicting future growth (Table 1). Although statural and facial growth show wide individual variations and do not proceed equally or simultaneously, there remains a direct association between the two, so that SMI have significant clinical relevance.<sup>22-24</sup>

Cervical vertebral development of the sample was evaluated by the Hassel and Farman modification of Lamparski's criteria, which assesses maturational changes on the second, third, and fourth cervical vertebrae.<sup>25</sup> Six distinct stages of growth can be related to the SMI developed by Fishman (Fig. 2, Table 2):

**Initiation** (SMI 1 and 2). The vertebrae are wedge-shaped, with the superior vertebral borders tapering from posterior to anterior. Eighty to 100 percent of adolescent growth can be anticipated at this stage.

**Acceleration** (SMI 3 and 4). Growth acceleration begins at this stage, when 65-85% of adolescent growth can be anticipated. Concavities develop on the inferior borders of C2 and C3. The bodies of C3 and C4 are nearly rectangular, and the inferior border of C4 is flat.

**Transition** (SMI 5 and 6). Adolescent growth accelerates toward peak velocity, with 25-65% of adolescent growth anticipated. Distinct concavities develop on the inferior borders of C2 and C3. A concavity begins to develop on the inferior border of C4, and the bodies of C3 and C4 are rectangular.

**Deceleration** (SMI 7 and 8). Only 10-25% of adolescent growth remains. Clear concavities are seen on the inferior borders of C2, C3, and C4 with the bodies of C3 and C4 nearly square.

**Maturation** (SMI 9 and 10). Final maturation takes place at this stage, when only 5-10% of adolescent growth can be anticipated. Accentuated cavities are seen on the inferior borders of C2, C3, and C4, and the bodies of C3 and C4 are nearly square.

**Completion** (SMI 11). Little to no adolescent growth is expected at this point. Deep concavities are seen on the inferior borders of C2, C3, and C4, and the vertebral bodies are more vertical than horizontal.

## Results

Fully 92% of the female patients and 96% of the male patients in this study showed cervical vertebral maturation matching that found with the hand-wrist technique. The chronological conformity between the two methods can be summarized as follows (Fig. 3):

Ages 9, 14, 16, 17, and 18–100% agreement.

Age 10–85.7% agreement.

Age 11–90.9% agreement.

Age 12–84.6% agreement.

Age 13–91.6% agreement.

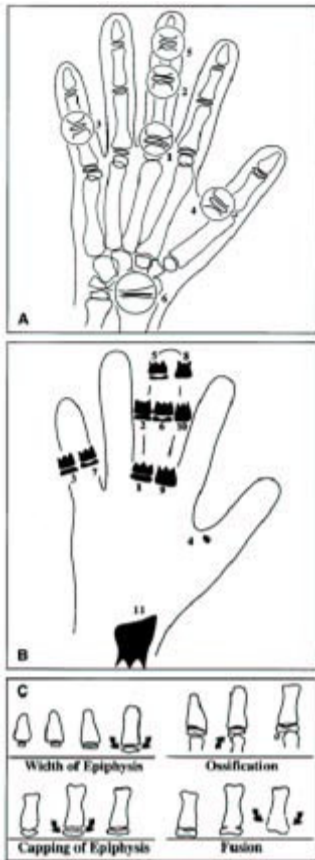
Age 15–93.3% agreement.

## **Conclusion**

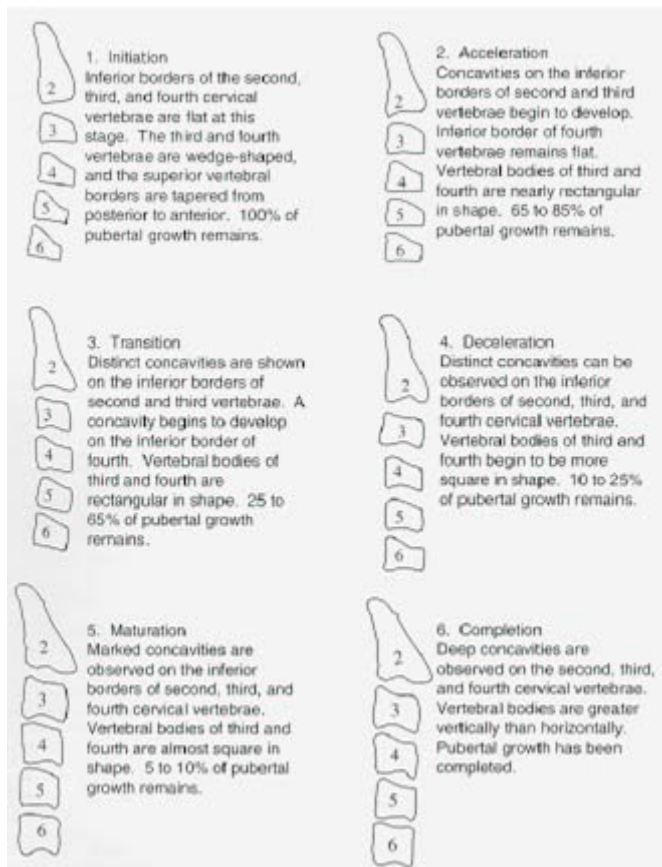
The hypothesis that there is no significant difference between the two techniques of assessing skeletal maturation in a Mexican population can be accepted as valid at the 95% level, for both males and females. Wilcoxon matched-pairs signed-ranks tests showed probability scores of .2249 for the females and .1797 for the males in this study, indicating no significant difference between the two techniques. This agrees with findings in other population groups, suggesting that the cervical vertebrae technique is race-neutral.

The ability to accurately appraise skeletal maturity from the cervical vertebrae, without the need for additional radiographs, has the potential to improve orthodontic diagnostic and therapeutic decisions. The technique's simplicity and ease of use should encourage more orthodontists to use this method to assess skeletal maturation. □

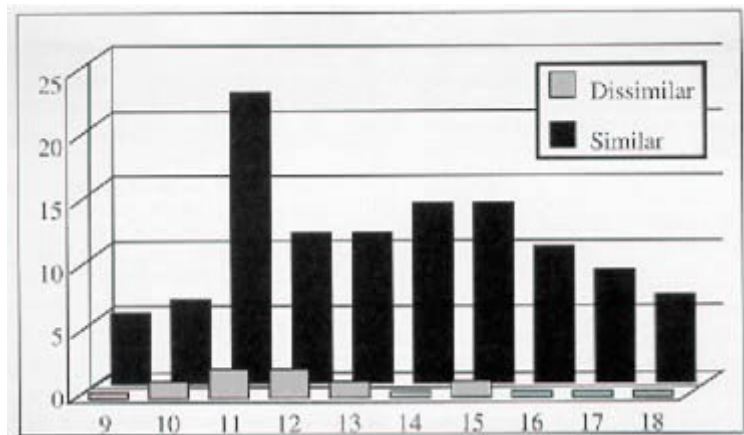
## **FIGURES**



**Fig. 1** A. Sites of bone maturation. B. Skeletal maturation indicators. C. Stages of ossification. (From Fishman, L.: Radiographic evaluation of skeletal maturation, a clinically oriented study based on hand-wrist films, *Angle Orthod.* 52:88-112, 1982. Reprinted by permission.)



**Fig. 2** Maturational stages of cervical vertebrae as described by Lamparski [Ref. 7] and modified by Hassel and Farman.[Ref. 25]



**Fig. 3** Number of similar and dissimilar assessments using the hand-wrist and cervical vertebrae techniques, by patient age.

**TABLES**

**TABLE 1**  
**PERCENTAGE OF ADOLESCENT**  
**STATURAL GROWTH COMPLETED\***

Female	SMI	Male
0.00	1	0.00
12.15	2	15.02
22.54	3	21.60
32.73	4	28.92
39.75	5	34.00
51.70	6	52.62
73.58	7	74.29
86.58	8	87.32
91.87	9	91.98
96.14	10	95.34
100.00	11	100.00

**Table. 1**

**TABLE 2**  
**CORRELATION OF HAND-WRIST**  
**AND CERVICAL VERTEBRAL**  
**MATURATION STAGES**

Hand-Wrist SMI*	Cervical Vertebral Stage <sup>25</sup>	Percentage of Pubertal Growth Remaining
1-2	1. Initiation	85-100%
3-4	2. Acceleration	65-85%
5-6	3. Transition	25-65%
7-8	4. Deceleration	10-25%
9-10	5. Maturation	5-10%
11	6. Completion	0%

**Table. 2**

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**FOOTNOTES**

**1** Registered trademark of Dentaaurum, Inc., 10 Pheasant Run, Newtown, PA 18940.