

## Double $\alpha$ -Ketol Rearrangement of (–)-1-{(1*S*,2*R*,4*R*)-1-Ethenyl-2-hydroxy-7,7-dimethylbicyclo[2.2.1]hept-2-yl}ethan-1-one

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**Abstract**—The title compound undergoes  $\alpha$ -ketol rearrangement by the action of Lewis acids and bases to give the expected and double-rearrangement ring expansion products. Some specific features of the process are discussed.

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$\alpha$ -Ketol rearrangement is related to acid-catalyzed rearrangements of aldehydes, ketones, and pinacolones and is a classical reaction in organic chemistry [1]. This rearrangement provides an efficient tool for effecting 1,2-migration of a carbonyl group and structural reorganization of ring systems; the corresponding examples can be found in the series of steroidal ketols, precursors of taxoids, etc. [2–6]. Generally  $\alpha$ -ketol rearrangement is an equilibrium process which is terminated after one migration; it can be promoted by both acids and basic reagents (for tertiary alcohols) [7].

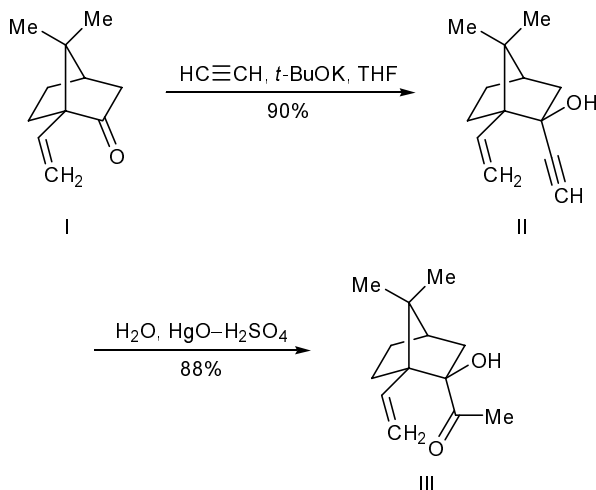
We have revealed an interesting unusual example of double  $\alpha$ -ketol rearrangement (like “domino” reaction) while studying acid- and base-catalyzed transforma-

tions of camphor hydroxy ketone **III** which was synthesized from unsaturated ketone **I** [8] through acetylenic derivative **II** [9] (Scheme 1). Treatment of compound **III** with an equimolar amount of boron trifluoride–ether complex ( $\text{BF}_3 \cdot \text{Et}_2\text{O}$ ) in anhydrous methylene chloride at  $-20^\circ\text{C}$  (resulted in fast and selective formation of tertiary alcohol **IV** (Scheme 2, *a*). The latter can also be obtained in a good yield by heating hydroxy ketone **III** in boiling benzene in the presence of camphorsulfonic acid or *p*-toluenesulfonic acid. Compound **III** was slowly converted into a mixture of isomeric hydroxy ketones **IV** and **V** in the system  $\text{NaH}$ –THF (*b*) at  $20^\circ\text{C}$ ; the fraction of **IV** rose as the reaction time increased; correspondingly, the fraction of isomer **V** decreased. Compound **III** was completely converted into a mixture of isomers **IV** and **V** (the former slightly prevailing) in 12 h, and after 24 h, only compound **IV** was detected in the reaction mixture.

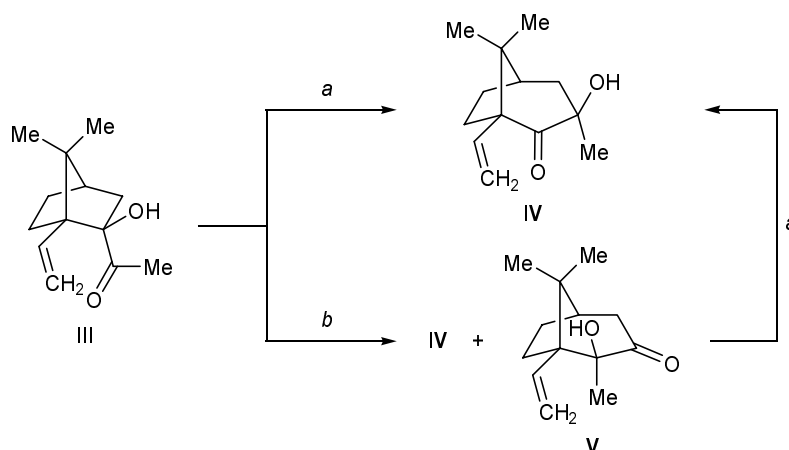
Hydroxy ketone **III** behaved in a similar way in the system  $t\text{-BuOK}$ –THF, but in this case the rearrangement was faster, and the conversion of **III** into **IV** was complete in  $\sim 12$  h. Likewise, treatment with  $\text{BF}_3$  of isomer mixture **IV/V** obtained in system *b* gave exclusively isomer **IV**.

It is seen that the rearrangement of compound **III** in the systems THF– $\text{NaH}$  and  $t\text{-BuOK}$ –THF is not regioselective. The formation of isomer mixture **IV/V** is accompanied by simultaneous rearrangement of **V** into **IV**. The transformation  $\text{V} \rightarrow \text{IV}$  involving methyl

Scheme 1.



## Scheme 2.



*a*: 1 equiv of  $\text{BF}_3 \cdot \text{Et}_2\text{O}$ ,  $\text{CH}_2\text{Cl}_2$ ,  $-20^\circ\text{C}$ , 0.5 h (yield 86%), or 1 equiv of *p*-TsOH,  $\text{C}_6\text{H}_6$ ,  $\Delta$ , 3 h (78%); *b*: 1 equiv of NaH, THF,  $20^\circ\text{C}$ , 12 h (80%), or 1 equiv of *t*-BuOK, THF,  $20^\circ\text{C}$ , 4 h (90%).

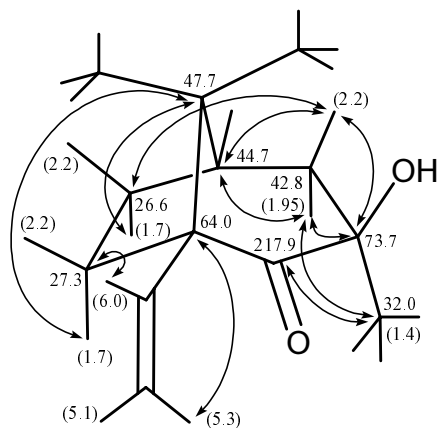
group migration should also be regarded as ketol rearrangement. The migration of the methyl group is suprasurface, and the methyl group in the final rearrangement product is located at the  $\alpha$ -side. An alternative (and seemingly more preferential) version involving migration of the vinyl carbon atom, which leads to sterically strained ketone **III**, does not occur.

The structure of hydroxy ketone **IV** was proved using a combination of one-dimensional  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra and two-dimensional correlation techniques. We also performed complete assignment of its NMR signals. The structure of the six-membered cyclic fragment in molecule **IV** was determined on the basis of its heteronuclear correlation spectrum (COLOC, long-range  $^{13}\text{C}$ - $^1\text{H}$  couplings) which revealed cross peaks between the methylene protons on  $\text{C}^4$  resonating at  $\delta$  1.95 and 2.2 ppm ( $\delta_{\text{C}^4}$  42.5 ppm) and

$\text{C}^3$  quaternary carbon atom ( $\delta_{\text{C}}$  73.7 ppm) attached to the  $\alpha$ -hydroxy group (Fig. 1). Protons in the methyl group on  $\text{C}^3$  showed a long-range coupling with the carbonyl carbon atom ( $\delta_{\text{C}}$  217.8 ppm). The bridgehead carbon atoms,  $\text{C}^5$  (44.7 ppm) and  $\text{C}^1$  ( $\delta_{\text{C}}$  63.9 ppm) showed cross peaks with the above methylene protons ( $\delta$  1.95 and 2.2 ppm) and protons in the vinyl group ( $\delta$  5.1 and 5.3 ppm), respectively.

Taking into account that the five-membered cyclic fragment in molecule **IV** is fixed in a rigid *envelope* conformation, indicative parameters are the dihedral angles between the C-H bonds formed by the *exo*- and *endo*-methylene protons on  $\text{C}^4$  and the  $\text{C}^5$ - $\text{C}^8$  bond connecting the bridgehead and bridging carbon atoms. The *endo*- $\text{H}^4\text{C}^4\text{C}^5\text{C}^8$  and *exo*- $\text{H}^4\text{C}^4\text{C}^5\text{C}^8$  dihedral angles in an envelope conformation are  $150^\circ$  and  $90^\circ$ , respectively. The corresponding long-range  $^{13}\text{C}$ - $^1\text{H}$  coupling constant are equal to 6 and 0 Hz, respectively [10]. In fact, this is confirmed by the presence of cross peaks between the quaternary bridgehead carbon atom ( $\delta_{\text{C}}$  47.7 ppm) and *endo*-methylene protons ( $\delta$  1.7 ppm).

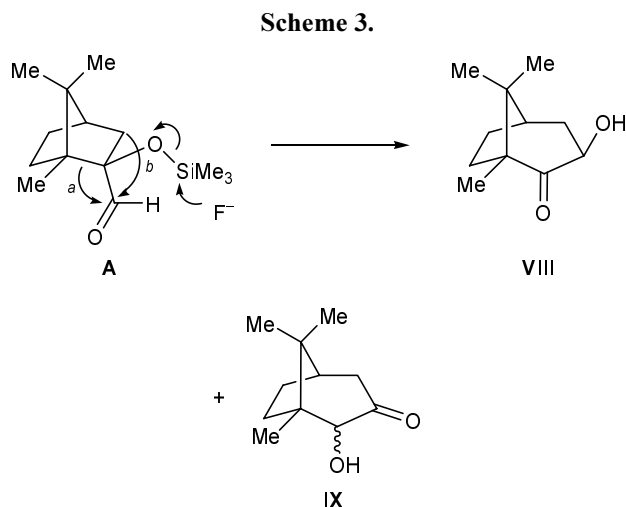
The presence of a bulky methyl group in the *endo* position at  $\text{C}^3$  ( $\delta_{\text{C}}$  73.7 ppm) is responsible for some flattening of the six-membered cyclic fragment and displacement of conformational equilibrium to the *half-boat* conformer. As a result, a cross peak between *endo*-4-H ( $\delta$  1.95 ppm),  $\text{C}^3$  ( $\delta_{\text{C}}$  73.7 ppm), and methyl carbon atom ( $\delta_{\text{C}}$  32.0 ppm) is observed. The position of the  $\text{C}^1$  and  $\text{C}^4$  signals in the  $^{13}\text{C}$  NMR spectra is characteristic for regioisomeric hydroxy ketones **IV** and **V**. Due to deshielding effect of the carbonyl group, the  $\text{C}^1$  signal in the spectrum of **IV** and the  $\text{C}^4$  signal in the spectrum of **V** are displaced downfield. The



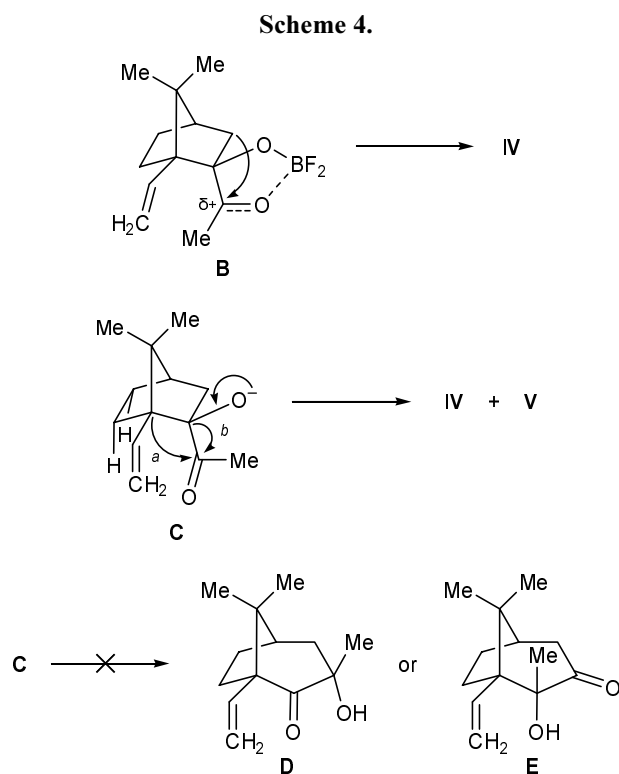
**Fig. 1.** Scheme of interactions in the heteronuclear correlation spectrum of compound **IV**.

$^1\text{H}$  NMR spectra of isomers **IV** and **V** showed an appreciable difference in the chemical shifts of protons in the *syn*-methyl groups on  $\text{C}^8$ . The signal from *syn*-8-Me in **V** is located in a weaker field owing to the presence of spatially close  $\beta$ -hydroxy group [11]. The methylene protons on  $\text{C}^4$  in molecule **V** have strongly different chemical shifts and are coupled with each other through a geminal constant  $^2J$  of 17.7 Hz which is typical of such systems.

Possible mechanistic aspects of the rearrangement of ketol **III** were also interesting. An "unexpected acyloin rearrangement" like that described in the present work was observed by McIntosh and Cassidy [12] while attempting to effect desilylation of formyl-isoborneol trimethylsilyl ether **A** (Scheme 3). According to the authors, the rearrangement involves migration of bonds according to path *a* or *b* to give ring expansion products **VIII** and **IX** at a ratio of  $\sim 2:1$ . Analogous results were obtained with the use of boron trifluoride-ether complex.



In our case, the rearrangement of ketone **III** promoted by  $\text{BF}_3$  is regio- and stereoselective. No isomer **IV** was detected by TLC monitoring of the reaction course. Presumably, the reaction catalyzed by  $\text{BF}_3$  involves formation of intermediate complex **B** in which the five-membered boradioxolane ring is fixed in a conformation ensuring antiperiplanar orientation of the  $\text{C}=\text{O}$  and  $\text{C}^2-\text{C}^3$  bonds (Scheme 4). Therefore, the  $\text{C}^2-\text{C}^3$  bond undergoes migration, and hydroxy ketone **IV** is formed in a regio- and stereoselective fashion. An alternative transition state with antiperiplanar orientation of the  $\text{C}=\text{O}$  and  $\text{C}^1-\text{C}^2$  is unfavorable for steric reasons (repulsion between spatially close *endo*-6-H and methyl group). The stereoselec-



tivity of the rearrangement **III** $\rightarrow$ **IV** originates exclusively from complex formation in the transition state, for migration of any of the nearest bonds in intermediate **B** should lead to alcohols having only  $\alpha$ -configuration (**IV** and **V**). On the other hand, we do not rule out the possibility for formation of some amount of compound **V** via the  $\text{BF}_3$ -catalyzed rearrangement; in this case, the rearrangement **V** $\rightarrow$ **IV** should be so fast that compound **V** could not accumulate in a TLC-detectable amount. Under the conditions of thermodynamic control (in the presence of *p*-TsOH), hydroxy ketone **III** is converted into compound **IV**, and no isomer **V** could be detected by TLC.

Interesting results were obtained in the  $\text{NaH}$ -promoted anionotropic rearrangement of hydroxy ketone **III**. In keeping with published data related to the chemistry of steroids, the reaction should involve intermediate **C** with antiperiplanar orientation of the oxido ( $\text{O}^-$ ) and carbonyl ( $\text{C}=\text{O}$ ) groups due to their mutual repulsion. Migration of any bond in anion **C** could give rise to structures **D** and **E** which are isomeric to **IV** and **V**. However, the reaction does not follow this path. Probably, the rearrangement through anionic intermediate **C** is hindered for the following reason. The  $\text{C}=\text{O}$  bond in the predominant rotamers is likely to be configured in the antiperiplanar mode with respect to the  $\text{C}^1-\text{C}^2$  and  $\text{C}^2-\text{C}^3$  (*gauche* or *synclinal* conformation).

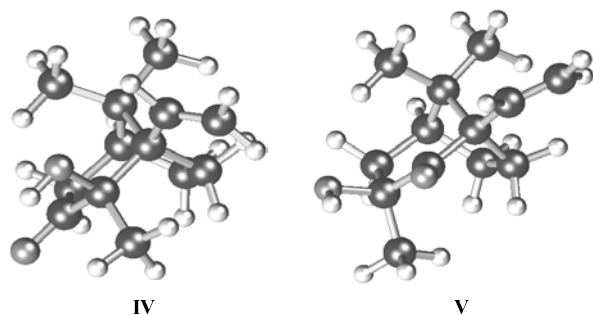


Fig. 2. Structures of isomeric hydroxy ketones **IV** and **V**, simulated by the AM1 method. Enthalpies of formation:  $-82.6$  (**IV**) and  $-78.4$  kcal/mol (**V**).

The process is favored by *trans*-antiperiplanar orientation of the migrating C–C bond and the  $\pi$ -carbonyl bond to be broken; as a result, only the corresponding  $\beta$ -hydroxy alcohol is formed. For example, bond migration in intermediate **C** according to path *a* gives hydroxy ketone **IV**, while pathway *b* leads to compound **V** (Scheme 4).

We performed quantum-chemical calculations of the low-energy conformers of compounds **IV** and **V**. The results (Fig. 2) indicated that irreversible catalytic rearrangement of hydroxy ketone **V** into isomer **IV** is possible. Here, the driving force is the gain in the enthalpy of formation in going to compound **IV** ( $\Delta H = 4.2$  kcal/mol). By contrast, the selectivity in the transformations **III**→**IV** and **III**→**V** does not depend on thermodynamic parameters but is determined by structural specificity of the initial compound and catalyst nature.

To conclude, it should be noted that the original  $\beta$ -orientation of the hydroxy group is retained in the rearrangement products, regardless of the catalyst nature, and that the NaH-catalyzed transformation **V**→**IV** and the transformation sequence **III**→**V**→**IV** may be regarded as a rare example of double  $\alpha$ -ketol rearrangement.

## EXPERIMENTAL

The  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra were recorded on a Bruker AM-300 spectrometer at 300 and 75.47 MHz, respectively, using  $\text{CDCl}_3$  as solvent and tetramethylsilane as reference. Thin-layer chromatography was performed on Silufol plates. The optical rotations were measured on a Perkin–Elmer polarimeter. The mass spectra (electron impact, 70 eV) were run on an MKh-1320 instrument with direct sample admission into the ion source (ion source temperature 60–90°C).

Quantum-chemical calculations were performed using GAMESS software [13]. The equilibrium structures were simulated by the AM1 semiempirical method with full geometry optimization. The relative stability of isomers **IV** and **V** was estimated by the calculated enthalpies of formation.

**(–)-1-[(1*S*,2*R*,4*R*)-1-Ethenyl-2-hydroxy-7,7-dimethylbicyclo[2.2.1]hept-2-yl]ethan-1-one (III).** A mixture of 0.10 g (0.53 mmol) of ethynyl derivative **II** in 5 ml of acetone and 0.01 g (0.05 mmol) of  $\text{HgO}$  in 20 ml of 5%  $\text{H}_2\text{SO}_4$  was heated for 0.5 h under reflux. The solution was cooled to room temperature, neutralized to pH 7 with a saturated solution of  $\text{NaHCO}_3$ , and extracted with chloroform ( $3 \times 5$  ml). The extracts were combined, dried over  $\text{Na}_2\text{SO}_4$ , and evaporated under reduced pressure, and the residue was purified by chromatography. Yield 0.08 g (77%),  $[\alpha]_{\text{D}}^{20} = -53^\circ$  ( $c = 1.0$ ,  $\text{CHCl}_3$ ), mp 80–82°C.  $^1\text{H}$  NMR spectrum,  $\delta$ , ppm: 0.77 s ( $\text{CH}_3$ ), 0.90 m (1H), 1.18–1.25 m (1H), 1.20 s ( $\text{CH}_3$ ), 1.65–1.90 m (4H), 2.18 s (3H,  $\text{CH}_3$ ), 2.45 d (1H, 3-H,  $J = 13.0$  Hz), 2.60 s (1H, OH), 5.07 d.d (1H,  $J = 17.8$ , 1.7 Hz), 5.45 d.d (1H,  $\text{CH}_2=$ ,  $J = 11.0$ , 1.7 Hz), 6.20 d.d (1H,  $=\text{CH}$ ,  $J = 11.0$ , 17.8 Hz).  $^{13}\text{C}$  NMR spectrum,  $\delta_{\text{C}}$ , ppm: 20.37 ( $\text{CH}_3$ ), 21.15 ( $\text{CH}_3$ ), 25.11 ( $\text{C}^6$ ), 25.62 ( $\text{C}^5$ ), 26.93 ( $\text{CH}_3$ ), 40.62 ( $\text{C}^3$ ), 45.76 ( $\text{C}^4$ ), 52.00 ( $\text{C}^7$ ), 57.50 ( $\text{C}^1$ ), 89.50 ( $\text{C}^2$ ), 117.73 and 135.09 ( $\text{CH}_2=\text{CH}$ ), 209.5 (CO). Found, %: C 75.24; H 9.79.  $\text{C}_{13}\text{H}_{20}\text{O}_2$ . Calculated, %: C 74.96; N 9.68.

**Rearrangement of hydroxy ketone III in the system  $\text{CH}_2\text{Cl}_2\text{-BF}_3\cdot\text{Et}_2\text{O}$ .** Boron trifluoride–ether complex, 0.068 g (0.48 mmol), was added to a solution of 0.100 g (0.48 mmol) of compound **III** in 3 ml of anhydrous methylene chloride, cooled to  $-20^\circ\text{C}$ . The mixture was stirred for 0.5 h and decomposed by treatment with 2 ml of a saturated solution of  $\text{NaHCO}_3$ . The products were extracted into methylene chloride ( $3 \times 5$  ml), the extract was dried over  $\text{Na}_2\text{SO}_4$  and evaporated under reduced pressure, and the residue was purified by chromatography on silica gel to isolate 0.086 g (86%) of compound **IV**.

**(1*S*,3*R*,5*R*)-1-Ethenyl-3-hydroxy-3,8,8-trimethylbicyclo[3.2.1]octan-2-one (IV).** Colorless crystals, mp 36–38°C,  $[\alpha]_{\text{D}}^{20} = -13^\circ$  ( $c = 1.0$ ,  $\text{CHCl}_3$ ).  $^1\text{H}$  NMR spectrum,  $\delta$ , ppm: 0.80 s ( $\text{CH}_3$ ), 0.88 s ( $\text{CH}_3$ ), 1.36 s ( $\text{CH}_3$ ), 1.70 m (2H, *endo*-6-H, *endo*-7-H), 1.95 m (2H, 4 $\alpha$ -H, 5-H), 2.20 m (3H, *exo*-6-H, *exo*-7-H, 4 $\beta$ -H), 3.45 s (1H, OH), 5.10 d.d (1H,  $J = 1.2$ , 17.6 Hz), 5.30 d.d (1H,  $J = 1.2$ , 11.0 Hz), 6.00 d.d (1H,

$J = 11.0, 17.6$  Hz) ( $\text{CH}=\text{CH}_2$ ).  $^{13}\text{C}$  NMR spectrum,  $\delta_{\text{C}}$ , ppm: 20.58 ( $\text{CH}_3$ ), 23.76 ( $\text{CH}_3$ ), 26.64 ( $\text{C}^6$ ), 27.31 ( $\text{C}^7$ ), 32.06 ( $\text{CH}_3$ ), 42.85 ( $\text{C}^4$ ), 44.78 ( $\text{C}^5$ ), 47.79 ( $\text{C}^8$ ), 64.00 ( $\text{C}^1$ ), 73.76 ( $\text{C}^2$ ), 116.27 and 135.09 ( $\text{CH}=\text{CH}_2$ ), 217.96 ( $\text{CO}$ ). Mass spectrum,  $m/z$  ( $I_{\text{rel}}$ , %): 208 [ $M$ ] $^+$  (19), 179 [ $M - \text{C}_2\text{H}_5$ ] $^+$  (13), 165 [ $M - \text{C}_3\text{H}_7$ ] $^+$  (10), 147 (16), 137 (26), 122 (63), 120 (65), 111 (25), 102 (100), 92 [ $\text{C}_7\text{H}_8$ ] $^+$  (90), 79 (65), 65 (68), 43 [ $\text{C}_3\text{H}_7$ ] $^+$  (100), 29 [ $\text{C}_2\text{H}_5$ ] $^+$  (66).

In an analogous rearrangement of hydroxy ketone **III** in boiling benzene containing 1 equiv of *p*-toluene-sulfonic acid (reaction time 3 h) we isolated compound **IV** in 78% yield.

**Rearrangement of hydroxy ketone III in the system THF–NaH.** Sodium hydride (a 65% suspension in oil), 0.024 g (0.65 mmol), was added to a solution of 0.100 g (0.48 mmol) of ketone **III** in 4 ml of THF. The mixture was stirred for 12 h, 4 ml of a saturated solution of sodium chloride was added, and the aqueous phase was extracted with ethyl acetate (3  $\times$  5 ml), the extracts were dried over  $\text{Na}_2\text{SO}_4$  and evaporated, and the residue was subjected to chromatography on silica gel to isolate 0.034 g (34%) of compound **IV** and 0.046 g (46%) of its isomer **V**.

**(1S,2S,5R)-1-Ethenyl-2-hydroxy-2,8,8-trimethylbicyclo[3.2.1]octan-3-one (V).** Oily substance,  $[\alpha]_{\text{D}}^{20} = -28^\circ$  ( $c = 1.0$ ,  $\text{CHCl}_3$ ).  $^1\text{H}$  NMR spectrum,  $\delta$ , ppm: 0.82 s ( $\text{CH}_3$ ), 1.20 s ( $\text{CH}_3$ ), 1.28 s ( $\text{CH}_3$ ), 1.50–2.10 m (5H), 2.25 d.d (1H,  $4\alpha\text{-H}$ ,  $J = 1.3, 17.7$  Hz), 3.03 d.d.d (1H,  $4\beta\text{-H}$ ,  $J = 1.3, 3.6, 17.7$  Hz), 5.05 d.d (1H,  $J = 1.3, 17.7$  Hz), 5.25 d.d (1H,  $J = 1.3, 11.0$  Hz) and 6.10 d.d (1H,  $J = 11.0, 17.7$  Hz) ( $\text{CH}=\text{CH}_2$ ).  $^{13}\text{C}$  NMR spectrum,  $\delta_{\text{C}}$ , ppm: 20.59 ( $\text{CH}_3$ ), 21.63 ( $\text{CH}_3$ ), 25.32 ( $\text{CH}_3$ ), 27.12 and 27.20 ( $\text{C}^6, \text{C}^7$ ), 44.04 ( $\text{C}^5$ ), 44.66 ( $\text{C}^4$ ), 47.79 ( $\text{C}^8$ ), 56.46 ( $\text{C}^1$ ), 79.59 ( $\text{C}^2$ ), 115.84 and 137.44 ( $\text{CH}=\text{CH}_2$ ), 213.94 ( $\text{CO}$ ). Found, %: C 75.11; H 9.53.  $\text{C}_{13}\text{H}_{20}\text{O}_2$ . Calculated, %: C 74.96; H 9.68.

Likewise, the rearrangement of hydroxy ketone **III** in the system *t*-BuOK–THF (reaction time 4 h) gave a mixture of compounds **IV** and **V**; after 12 h, only isomer **IV** was present in the reaction mixture.

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