

Stabilization of $[\text{Ru}(\text{bpy})_2(\text{CO})(\eta^1\text{-CO}_2)]$ and unprecedented reversible oxide transfer reactions from CO_3^{2-} to $[\text{Ru}(\text{bpy})_2(\text{CO})_2]^{2+}$ and from $[\text{Ru}(\text{bpy})_2(\text{CO})(\eta^1\text{-CO}_2)]$ to CO_2

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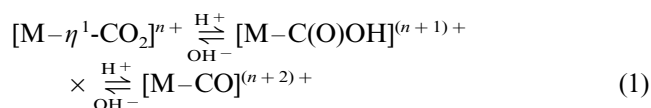
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Abstract

Unusual thermal stability of $[\text{Ru}(\text{bpy})_2(\text{CO})(\eta^1\text{-CO}_2)]$ (**1**) as a metal- $\eta^1\text{-CO}_2$ complex was examined both in solid state and in solution. Compound **1** dissolves in CH_3CN containing LiCF_3SO_3 . Interaction between Li^+ and the $\eta^1\text{-CO}_2$ group enhances an electron flow from Ru to the CO_2 ligand and greatly contributes to the stabilization of the Ru- $\eta^1\text{-CO}_2$ bond. The reaction of $[\text{Ru}(\text{bpy})_2(\text{CO})_2](\text{PF}_6)_2$ with $[\text{Crown}\cdot\text{K}]_2\text{CO}_3$ in dry CH_3CN selectively produced **1** through the 1:1 adduct with the RuC(O)-OCO₂ moiety. Stoichiometric formation of **1** from the 1:1 adduct is also assisted by $[\text{Crown}\cdot\text{K}]^+$ as a Lewis acid. Similarly, the reaction of $[\text{Ru}(\text{bpy})_2(\text{CO})_2](\text{PF}_6)_2$ with $(\text{Me}_4\text{N})_2\text{CO}_3$ in DMSO gave the 1:1 adduct in the initial stage, which gradually changed to a metalloanhydride complex, $[\text{Ru}(\text{bpy})_2(\text{CO})((\text{CO})_2\text{O})]$ due to the absence of Lewis acids to stabilize **1**, since an addition of LiCF_3SO_3 to the solution gave $[\text{Ru}(\text{bpy})_2(\text{CO})(\eta^1\text{-CO}_2)]$ quantitatively. © 1998 Elsevier Science S.A. All rights reserved.

1. Introduction

Much attention has been devoted to activation of CO_2 on metal complexes [1]. Although a variety of metal complexes are shown to be active as catalyst precursors in the reduction of CO_2 [2], metal- $\eta^1\text{-CO}_2$ complexes ($[\text{M}-\eta^1\text{-CO}_2]^{n+}$) are generally accepted as key intermediates in the CO_2/CO conversion in both protic and aprotic conditions [3]. In the presence of proton donors, $[\text{M}-\eta^1\text{-CO}_2]^{n+}$ is transformed to $[\text{M}-\text{CO}]^{(n+2)+}$ through $[\text{M}-\text{C}(\text{O})\text{OH}]^{(n+1)+}$ (Eq. (1)) [4]. In aprotic solutions, transformation of CO_2 to CO on metals is caused by oxygen and oxide transfer reactions from metal- CO_2 to various acceptors such as CO_2 [5], another metal- CO_2 [6], adjacent oxophilic metals [7] and PR_3 ligands [8]. Among these reactions, oxide transfer from metal- CO_2 to CO_2 (Eq. (2)), that requires no additional reactant in the CO_2/CO conversion, is utilized in the electrochemical reduction of CO_2 catalyzed by metal complexes (Eq. (3)) [9].



Stability of metal- $\eta^1\text{-CO}_2$ bonds will be enhanced by an increase of an electron donor ability of central metals, since a metal- $\eta^1\text{-CO}_2$ bond is formed by an overlap of the filled d_z^2 orbital of d^8 metals and the empty CO_2 π^* orbital. Accordingly, an $\eta^1\text{-CO}_2$ group of metal complexes often behaves as a nucleophile to form multi-nuclear complexes with μ_2 - and μ_3 - CO_2 bridges [10]. Moreover, highly reduced metal- $\eta^1\text{-CO}_2$ complexes such as $[\text{W}(\text{CO})_5(\eta^1\text{-CO}_2)]^{2-}$ and $[\text{CpFe}(\text{CO})_2(\eta^1\text{-CO}_2)]^-$ are thermally labile and readily undergo oxide transfer reactions by CO_2 to generate $\text{W}(\text{CO})_6$ and $[\text{CpFe}(\text{CO})_3]^+$, respectively (Eq. (2)) ([5]a,b). Similarly, $[\text{Ru}(\text{bpy})_2(\text{qu})(\text{CO})]^{2+}$ is produced through $[\text{Ru}(\text{bpy})_2(\text{qu})(\eta^1\text{-CO}_2)]^0$ in the reaction of $[\text{Ru}(\text{bpy})_2(\text{qu})(\text{CH}_3\text{CN})]^0$ (qu = quinoline) with CO_2 at

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low temperature ([5]c). In contrast to the highly reactive $[\text{Ru}(\text{bpy})_2(\text{qu})(\eta^1\text{-CO}_2)]^0$, an analogous $[\text{Ru}(\text{bpy})_2(\text{CO})(\eta^1\text{-CO}_2)]^0$ is quite stable and does not react with CO_2 under normal conditions. Such a difference in the reactivity between $[\text{Ru}(\text{bpy})_2(\text{qu})(\eta^1\text{-CO}_2)]^0$ and $[\text{Ru}(\text{bpy})_2(\text{CO})(\eta^1\text{-CO}_2)]^0$ is correlated with the basicity of the $\eta^1\text{-CO}_2$ group. Basicity of metal- $\eta^1\text{-CO}_2$ complexes is evaluated from $\text{p}K_{\text{a}}$ values of metal-C(O)OH complexes as their conjugated acids, though isolation of metal- $\eta^1\text{-CO}_2$ complexes is still rare ([1]e). The value of metal-C(O)OH reported so far is in a range from 2.5 to over 14 [1], suggesting that the amount of electrons transferred from metals to CO_2 group varies in a wide range, which must greatly influence the reactivity of metal- $\eta^1\text{-CO}_2$ complexes. This paper reports on the origin of thermal stability of $\text{Ru}(\text{bpy})_2(\text{CO})(\eta^1\text{-CO}_2)$ (**1**), and the first reversible oxide transfer reactions from **1** to CO_2 and from CO_3^{2-} to $[\text{Ru}(\text{bpy})_2(\text{CO})_2]^{2+}$ (**3²⁺**).

2. Experimental

2.1. Materials

Sodium carbonate and 18-crown-6 were purchased from Nacalai Tesque and used as received. CH_3OH , CH_3CN , DMF and DMSO were distilled over CaH_2 twice prior to use. $[\text{Ru}(\text{bpy})_2(\text{CO})_2](\text{PF}_6)_2$ ([3]b), $[\text{Ru}(\text{bpy})_2(\text{H}_2\text{O})_2](\text{PF}_6)_2$ [11] and $\text{Ru}(\text{bpy})_2(\text{CO})(\eta^1\text{-CO}_2)\cdot 3\text{H}_2\text{O}$ [12] were prepared according to the literatures.

2.2. Preparation of $[\text{Ru}(\text{bpy})_2(^{13}\text{CO})(\text{H}_2\text{O})](\text{PF}_6)_2$

A red 2-methoxyethanol solution (20 ml) of $[\text{Ru}(\text{bpy})_2(\text{H}_2\text{O})_2](\text{PF}_6)_2$ (2.0 g) was stirred at 90°C in a 50 ml Schlenk tube under atmospheric pressure of ^{13}CO for 24 h. The resulted pale yellow solution was reduced to half in volume under vacuo. An addition of 3 M excess amounts of an aqueous NaPF_6 solution gave a yellow precipitate of $[\text{Ru}(\text{bpy})_2(^{13}\text{CO})(\text{H}_2\text{O})](\text{PF}_6)_2$ in an 80% yield. IR (KBr) 1931 cm^{-1} ($\nu(^{13}\text{CO})$).

2.3. Preparation of $[\text{Ru}(\text{bpy})_2(^{13}\text{CO})(^{12}\text{CO})](\text{PF}_6)_2$

A suspension of $[\text{Ru}(\text{bpy})_2(^{13}\text{CO})(\text{H}_2\text{O})](\text{PF}_6)_2$ (1.0 g) in H_2O (20 ml) was vigorously stirred in an autoclave at 140°C under ^{12}CO pressure (20 kg cm^{-2}) for 12 h. After the solution was cooled to room temperature, treatments with 3 M amounts of an aqueous NaPF_6 gave a white precipitate of $[\text{Ru}(\text{bpy})_2(^{13}\text{CO})(^{12}\text{CO})](\text{PF}_6)_2$ in a 60% yield. IR (KBr) 2077 and 2008 cm^{-1} ($\nu(^{13}\text{CO})$), 2089 and 2037 cm^{-1} ($\nu(^{12}\text{CO})$), $^{13}\text{C-NMR}$ (CD_3CN) $\delta = 190.4\text{ ppm}$ (Ru-CO). Prolonged reaction time gave a mixture of $[\text{Ru}(\text{bpy})_2(^{13}\text{CO})(^{12}\text{CO})](\text{PF}_6)_2$

and $[\text{Ru}(\text{bpy})_2(^{12}\text{CO})_2](\text{PF}_6)_2$. IR (KBr) 2091 and 2037 cm^{-1} ($\nu(^{12}\text{CO})$).

2.4. Preparation of $(\text{Me}_4\text{N})_2\text{CO}_3$

After a CH_3OH solution (30 ml) of $\text{Me}_4\text{NOH}\cdot 5\text{H}_2\text{O}$ (200 mg) was dried over a molecular sieve 3A, dry CO_2 was bubbled slowly into the solution for 48 h. The solvent was evaporated under reduced pressure to a minimal portion. Sonication of the crude product in dry DMF (20 ml) for 1 h precipitated white $(\text{Me}_4\text{N})_2\text{CO}_3$, which was filtered, washed with diethylether, and then dried in vacuo for 24 h. To protect from moisture, $(\text{Me}_4\text{N})_2\text{CO}_3$ was stored under N_2 .

2.5. Preparation of $(18\text{-crown-6}\cdot\text{K})_2\text{CO}_3$ ($[\text{Crown}\cdot\text{K}]_2\text{CO}_3$)

Potassium carbonate (500 mg) was added to a CH_3OH (50 ml) solution of 18-crown-6 (2.44 g), and the suspension was sonicated until K_2CO_3 completely dissolved into the MeOH solution. The resulting solution was evaporated under reduced pressure to a minimal portion. An addition of diethylether gave $[\text{Crown}\cdot\text{K}]_2\text{CO}_3$ as a white precipitate. The product was washed with diethylether for several times and dried in vacuo for 24 h. To protect from moisture the obtained $[\text{Crown}\cdot\text{K}]_2\text{CO}_3$ was stored under N_2 .

2.6. Thermolysis of $[\text{Ru}(\text{bpy})_2(\text{CO})(\eta^1\text{-CO}_2)]\cdot 3\text{H}_2\text{O}$ in CH_3CN under N_2

Sonication of red crystals of $[\text{Ru}(\text{bpy})_2(\text{CO})(\eta^1\text{-CO}_2)]\cdot 3\text{H}_2\text{O}$ in CD_3CN at 80°C under N_2 gave a yellow solution with the evolution of CO_2 . The $^1\text{H-NMR}$ of the CD_3CN solution showed the Ru-H signal of $[\text{Ru}(\text{bpy})_2(\text{CO})(\text{H})]^+$ ($\delta = -11.8\text{ ppm}$) [13] in an 80% intensity based on bpy signals.

2.7. Thermolysis of $[\text{Ru}(\text{bpy})_2(\text{CO})(\text{CO}_2)]\cdot 3\text{H}_2\text{O}$ in CH_3CN under air

Thermolysis of ^{13}C (50%) labeled $[\text{Ru}(\text{bpy})_2(\text{CO})(\eta^1\text{-CO}_2)]\cdot 3\text{H}_2\text{O}$ in dry CH_3CN at 75°C under air gave a blue solution in 15 min and ^{13}C labeled $[\text{Ru}(\text{bpy})_2(\text{CO}_3)]$ [14] gradually precipitated in an 80% yield. $^{13}\text{C-NMR}$, $\delta = 168\text{ ppm}$ (CO_3); IR (KBr) 1587 cm^{-1} ($\nu(\text{C=O})$), FAB Mass ($m/z = 473, \text{M}$).

2.8. Physical measurements

IR spectra were obtained on a Shimadzu FTIR-8100 spectrophotometer. ^1H - and $^{13}\text{C-NMR}$ spectra were measured on a JEOL EX270 (270 MHz) spectrometer. Electronic absorption spectra were recorded on a

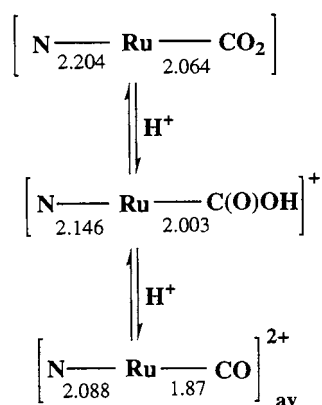


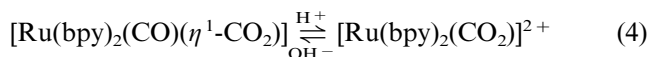
Fig. 1. The Ru–X (X = CO₂, C(O)OH, CO) and Ru–N (*trans* to Ru–X) bond distances (Å) of **1**·3H₂O, **2**(CF₃SO₃)(H₂O) and **3**(PF₆)₂.

Hewlett Packard 8452A diode array spectrophotometer. Rate constants of the reaction of [Ru(bpy)₂(CO)₂](PF₆)₂ with (Me₄N)₂CO₃ in DMSO were obtained on a UNISOFU RSP601 stopped-flow spectrophotometer.

3. Results and discussion

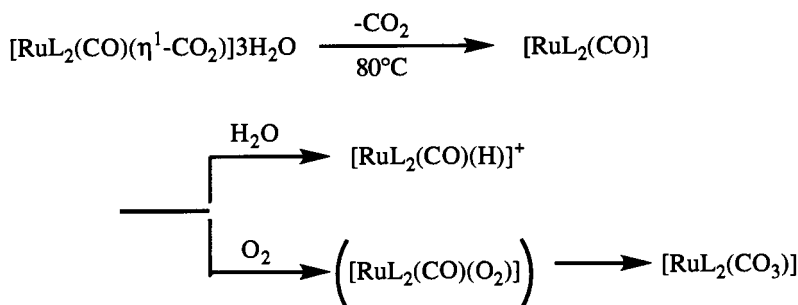
3.1. Stability of [Ru(bpy)₂(CO)(η¹-CO₂)] (**1**) in solutions

Despite the importance of metal–η¹-CO₂ complexes as active catalysts in photo- and electrochemical reduction of CO₂ catalyzed by metal complexes, the reactivity of metal–η¹-CO₂ group is not fully understood due to thermal lability of most of those complexes [1]. Molecular structures of three metal–η¹-CO₂ complexes, Co(Pro-salen)K(η¹-CO₂)THP [15], RhCl(diars)₂(η¹-CO₂) [16] and Ru(bpy)₂(CO)(η¹-CO₂)·3H₂O (**1**·3H₂O) [12], have been determined by X-ray analysis. Red crystals of **1**·3H₂O show unusual thermal stability as a metal–η¹-CO₂ complex since the complex is stable to air and soluble in protic solvents such as H₂O, CH₃OH and C₂H₅OH. In H₂O, **1** exists as an equilibrium mixture with [Ru(bpy)₂(CO)(C(O)OH)]⁺ (**2**⁺) and [Ru(bpy)₂(CO)₂]²⁺ (**3**²⁺) (Eq. (4)) ([3]a,b). The molecular structures of **1**·3H₂O [12], **2**⁺



[17] and **3**²⁺ [12] determined by X-ray analysis revealed that the Ru–X (X = CO, C(O)OH, CO₂) bond distance is elongated in the order of **3**²⁺ < **2**⁺ < **1** (Fig. 1). This trend is associated with a decrease of a π acidity in the order of CO > COOH > CO₂ ligands, though a double bond character in W–η¹-CO₂ bond has been suggested in [W(CO)₅(η¹-CO₂)]²⁻ ([5]b). In addition, the view that metal–η¹-CO₂ bond is composed of σ-donation from dz² orbital of a central metal to CO₂, also supports less π-bond character of the Ru–CO₂ bond of **1** compared with the Ru–C(O)OH bond of **2**⁺. The similar change in the Ru–N bond lengths in the order **3**²⁺ < **2**⁺ < **1** probably reflects the function of bpy as the σ-donor and π-acceptor ligand that effectively works as an electronic buffer toward the serious changes in the electronic configuration of Ru in these complexes. Two bpy ligands, therefore, would largely contribute to unusual thermal stability of not only **1**·3H₂O but also **2**⁺ compared with metal–η¹-CO₂ and metal–C(O)OH complexes reported so far [17].

An ionic Ru–η¹-CO₂ complex, [Cp(CO)₂Ru(CO₂)]⁻Na, decomposes to Cp(CO)₂Ru(H) in THF at 0°C [18]. Red crystals of **1**·3H₂O are not soluble in aprotic solvents such as CH₃CN, DMSO and DMF. Thermolysis of **1**·3H₂O, therefore, was conducted in CH₃CN suspension under N₂ at 80°C. Under the conditions, **1**·3H₂O decomposed to yellow [Ru(bpy)₂(CO)(H)]⁺ in a 80% yield with evolving CO₂. This reaction is explained by CO₂ dissociation from **1**, followed by electrophilic attack of H₂O to the resultant [Ru(bpy)₂(CO)]⁰ (Scheme 1). Similar thermolysis of **1**·3H₂O under air also evolved CO₂ and [Ru(bpy)₂(CO₃)] was formed in a 60% yield. The oxidation of the CO group of [Ru(bpy)₂(CO)]⁰ by O₂ is explained by formation of [Ru(bpy)₂(CO)(O₂)]⁰ as a reaction intermediate in the thermolysis, since a CO ligand of Ir(CH₃)[P(*p*-tolyl)₃]₂(CO)(O₂) is smoothly oxidized to Ir(CH₃)[P(*p*-tolyl)₃]₂(O₂CO) [19]. Similarly, a Rh(O₂)(CO) species is proposed in oxidation of a CO ligand of Rh₆(CO)₁₆(O₂) by O₂ [20]. It is worthy of note that no appreciable thermolysis of **1** was observed in H₂O (pH 11.0) at 100°C for 2 h under N₂ ([3]b). Such thermal stability



Scheme 1. Thermolysis of **1** under N₂ and air.

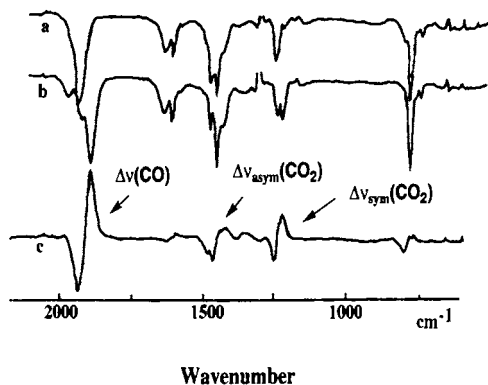


Fig. 2. IR spectra of $[\text{Ru}(\text{bpy})_2(\text{Cl}_6\text{O})(\text{Cl}_8\text{O}_2)] \cdot 3\text{H}_2\text{O}$ (a) and $[\text{Ru}(\text{bpy})_2(\text{Cl}_6\text{O})(\text{Cl}_8\text{O}_2)] \cdot 3\text{H}_2^{18}\text{O}$ (b) in CD_3CN containing 5 mole equivalents of LiCF_3SO_3 , and the difference spectra (a-b) (c).

of **1** in H_2O compared with $\mathbf{1} \cdot 3\text{H}_2\text{O}$ suspended in CH_3CN is reasonably associated with hydrogen bondings between the $\eta^1\text{-CO}_2$ group and solvent molecules. Red crystals of $\mathbf{1} \cdot 3\text{H}_2\text{O}$ have 3-dimensional networks of hydrogen bondings among the oxygen atoms of the CO_2 ligand and the solvated water molecules [12], and these hydrogen bondings must be broken when the crystals dissolve into solvents. In protic solvents, solvent molecules make new hydrogen bond with the CO_2 ligand cleaving the 3-dimensional network. Hydrogen bondings between the $\eta^1\text{-CO}_2$ ligand of **1** and solvent molecules in protic solutions must induce an additional electron flow from Ru to CO_2 which would strengthen the Ru– CO_2 bond. In aprotic solvents, the solvent molecules have no ability to break the hydrogen bond network of $\mathbf{1} \cdot 3\text{H}_2\text{O}$. Once the hydrogen bond networks were destroyed by heating, the complex was destabilized and the Ru– CO_2 bond was cleaved. In connection with this, alkali metals which have an ability to interact with $\eta^1\text{-CO}_2$ group, are shown to stabilize anionic $\text{M}-\eta^1\text{-CO}_2$ complexes in the order of $n\text{-Bu}_4\text{N}^+ < \text{K}^+ < \text{Na}^+ < \text{Li}^+$ ([4]c, [15,21,22]). In fact, $\mathbf{1} \cdot 3\text{H}_2\text{O}$ suspended in CH_3CN soon became a clear yellow solution by an addition of about three equivalents of LiCF_3SO_3 to the suspension. The ^{13}C -NMR spectrum of the resultant yellow CD_3CN solution containing $\mathbf{1} \cdot 3\text{H}_2\text{O}$ and LiCF_3SO_3 displayed two peaks at 214.9 and 203.4 ppm assignable to the Ru– CO_2 and Ru–CO signals, respectively. The IR spectrum of the same CD_3CN solution showed strong two bands at 1467 and 1246 cm^{-1} assigned to $\nu_{\text{asym}}(\text{CO}_2)$ and $\nu_{\text{sym}}(\text{CO}_2)$ bands, respectively. These two bands shifted to 1422 and 1217 cm^{-1} , respectively, when $[\text{Ru}(\text{bpy})_2(\text{C}^{18}\text{O})(\eta^1\text{-C}^{18}\text{O}_2)] \cdot 3\text{H}_2^{18}\text{O}$ [12] was dissolved in CD_3CN containing LiCH_3SO_3 (Fig. 2). The 1467 and 1246 cm^{-1} bands of the $\nu_{\text{asym}}(\text{CO}_2)$ and $\nu_{\text{sym}}(\text{CO}_2)$ modes are quite close to those of $\mathbf{1} \cdot 3\text{H}_2\text{O}$ in KBr disks (1428 and 1242 cm^{-1}). It is worthy of note that interaction between LiCF_3SO_3 and

the CO_2 group of **1** is strong enough to break the hydrogen bonding networks of the red crystals of $\mathbf{1} \cdot 3\text{H}_2\text{O}$, but the $\eta^1\text{-CO}_2$ structure of **1** remains intact in CH_3CN containing LiCF_3SO_3 . The retention of the molecular structure of **1** in the yellow CH_3CN solution was also evidenced by the generation of $[\text{Ru}(\text{bpy})_2(\text{CO})_2]^{2+}$ ($\mathbf{3}^{2+}$) in a quantitative yield by an addition of two equivalents of CF_3COOH to the solution (Eq. (4)).

3.2. Oxide transfer reactions from CO_3^{2-} to $[\text{Ru}(\text{bpy})_2(\text{CO})_2]^{2+}$ and from $[\text{Ru}(\text{bpy})_2(\text{CO})(\eta^1\text{-CO}_2)]$ to CO_2

Only the oxide transfer reaction from $[\text{M}-\eta^1\text{-CO}_2]^{n+}$ to CO_2 affording $[\text{M}-\text{CO}]^{(n+2)+}$ and CO_3 has been reported so far (Eq. (2)), and no example of the oxide transfer reaction from CO_3^{2-} to $[\text{M}-\text{CO}]^{(n+2)+}$ is demonstrated. Such irreversibility is believed to result from thermal lability of metal– $\eta^1\text{-CO}_2$ complexes compared with metal–CO ones. On the other hand, $\mathbf{3}^{2+}$ is reversibly converted to **1** through $[\text{Ru}(\text{bpy})_2(\text{CO})(\text{C}(\text{O})\text{-OH})]^+$ ($\mathbf{2}^+$) in H_2O (Eq. (4)). Moreover, **1** also is quite stable in CH_3CN as far as an appropriate Lewis acid such as LiCF_3SO_3 exists in the solutions. The oxide transfer from CO_3^{2-} to $\mathbf{3}^{2+}$ was conducted in dry CH_3CN , where proton assisted interconversion between $\mathbf{3}^{2+}$ and **1** (Eq. (4)) is reasonably neglected. A colorless CH_3CN solution of $\mathbf{3}(\text{PF}_6)_2$ rapidly turned to yellow in color (λ_{max} 390 nm (sh)) by an addition of an equimolar amount of $[\text{Crown} \cdot \text{K}]_2\text{CO}_3$ to the solution and then changed to a greenish red solution showing an absorption band at $\lambda_{\text{max}} = 586$ nm with a shoulder at 400 nm (Fig. 3).

The ^1H -NMR spectra of the greenish red CD_3CN solution shows magnetically nonequivalent two bpy ligands suggesting the formation of a *cis*-Ru(bpy)₂ moiety (Fig. 4). The solution also exhibited two signals at $\delta = 202.8$ and 201.8 ppm in the ^{13}C -NMR spectra, and the carbonyl signal of $\mathbf{3}^{2+}$ ($\delta = 190.4$ ppm) completely

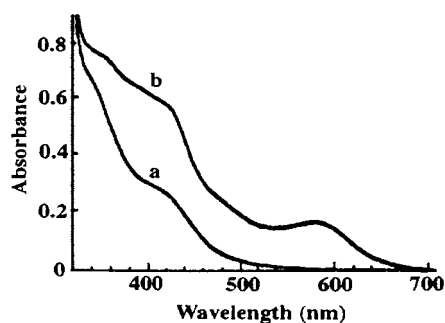


Fig. 3. Electronic absorption spectra of an equimolar mixture of $\mathbf{3}(\text{PF}_6)_2$ and $[\text{Crown} \cdot \text{K}]_2\text{CO}_3$ (2.68×10^{-4} M); right after mixing (a) and 30 min later (b) in CH_3CN .

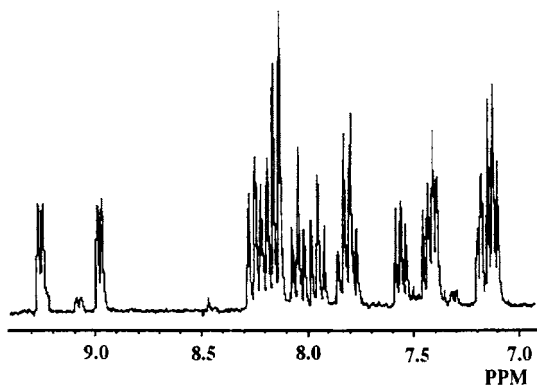


Fig. 4. $^1\text{H-NMR}$ spectrum of the final product of the reaction of $3(\text{PF}_6)_2$ and $[\text{Crown}\cdot\text{K}]_2\text{CO}_3$ in CD_3CN .

disappeared in the spectra. These NMR spectra remained unchanged over one night at room temperature. The IR spectra of the greenish red solution displayed two strong new bands at 1968 and 1620 cm^{-1} besides the $\nu(\text{CO}_2)$ band of free CO_2 at 2342 cm^{-1} (Fig. 5). In the same operation using $[\text{Ru}(\text{bpy})_2(^{12}\text{CO})(^{13}\text{CO})]^{2+}$, a new set of two bands were observed at 1921 and 1587 cm^{-1} in addition to the bands at 2342 , 1968 and 1620 cm^{-1} . Appearance of the $\nu(^{12}\text{CO}_2)$ band but not the $\nu(^{13}\text{CO}_2)$ one of free CO_2 in the reaction of $[\text{Ru}(\text{bpy})_2(^{12}\text{CO})(^{13}\text{CO})]^{2+}$ with $^{12}\text{CO}_3^{2-}$ makes evident the occurrence of oxide transfer from CO_3^{2-} , to 3^{2+} . Treatments of the final greenish red solution with two equimolar amount of CF_3COOH or HClO_4 regenerated colorless 3^{2+} (IR, 2087 and 2043 cm^{-1} , and $^{13}\text{C-NMR}$, $\delta = 190.4\text{ ppm}$) in an almost quantitative yield. The 1968 and 1620 cm^{-1} bands, therefore, are assigned to $\nu(\text{C}\equiv\text{O})$ and $\nu_{\text{asym}}(\text{CO}_2)$ bands of **1** formed in the reaction (Eq. (7)), although these bands [23] were observed at 1969 and 1467 cm^{-1} , respectively, in a CH_3CN solution containing $1\cdot 3\text{H}_2\text{O}$ and Li^+ . The large difference in the $\nu_{\text{asym}}(\text{CO}_2)$ band of $[\text{Ru}(\text{bpy})_2(\text{CO})(\eta^1\text{-CO}_2)]$ ($\Delta\nu = 153\text{ cm}^{-1}$) in the presence of Li^+ and $[\text{Crown}\cdot\text{K}]^+$ must reflect the stronger affinity of the former to the $\eta^1\text{-CO}_2$ ligand.

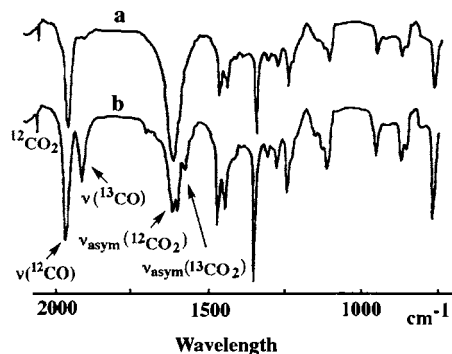


Fig. 5. IR spectra of the final product of the reactions of $[\text{Crown}\cdot\text{K}]_2\text{CO}_3$ with $3(\text{PF}_6)_2$ (a) and with $[\text{Ru}(\text{bpy})_2(\text{CO})(^{13}\text{CO})](\text{PF}_6)_2$ (b) in CH_3CN .

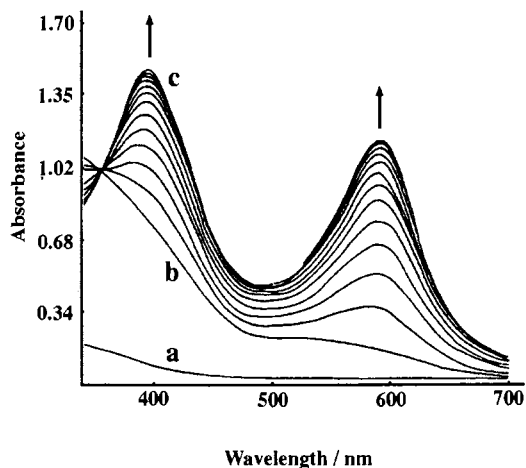
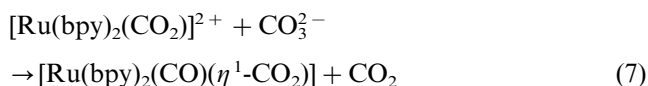
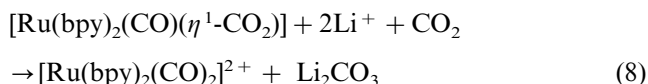


Fig. 6. Electronic absorption spectra of $3(\text{PF}_6)_2$ ($1.60 \times 10^{-2}\text{ M}$) (a) and after an addition of $[(\text{CH}_3)_4\text{N}]_2\text{CO}_3$ ($4.80 \times 10^{-1}\text{ M}$) at 30 s intervals (from B to C) in DMSO .



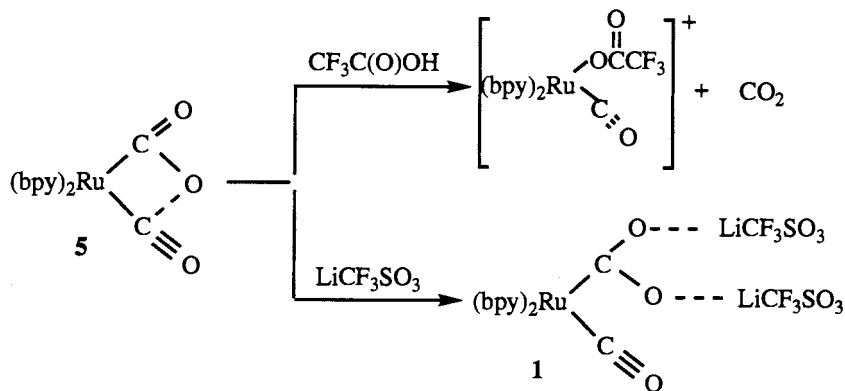
The greenish red CH_3CN solution of **1** prepared by the reaction of Eq. (7) did not change even after CO_2 is introduced into the solution by bubbling. Further addition of 5 M excess of LiCF_3SO_3 to the solution caused a gradual disappearance of the greenish red color and 3^{2+} was regenerated with a precipitation of Li_2CO_3 (confirmed by IR spectra). It is worthy to note that 3^{2+} was not formed at all by an addition of either LiCF_3SO_3 or CO_2 to the CH_3CN solution of **1**. Thus, the oxide transfer from **1** to CO_2 (Eq. (8)) is forced to proceed by



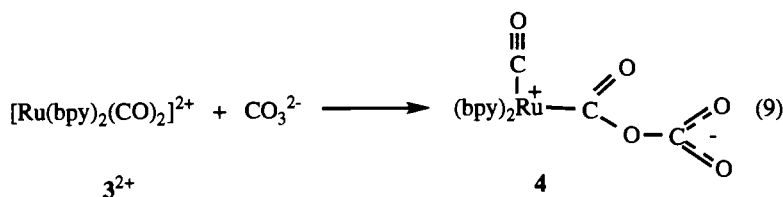
the removal of Li_2CO_3 out of the CH_3CN solution as a white precipitate. In fact, the oxide transfer from $[\text{Crown}\cdot\text{K}]_2\text{CO}_3$ to 3^{2+} in CH_3CN (Eq. (7)) finished in a few minutes, while it took an almost 1 day to complete the oxide transfer from **1** to CO_2 in the presence of LiCF_3SO_3 in the same solvent (Eq. (8)).

3.3. Reaction of $[\text{Ru}(\text{bpy})_2(\text{CO})_2]^{2+}$ (3^{2+}) with $(\text{Me}_4\text{N})_2\text{CO}_3$

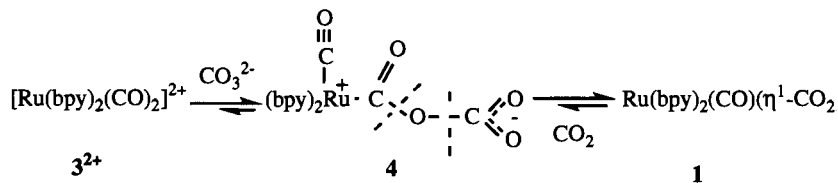
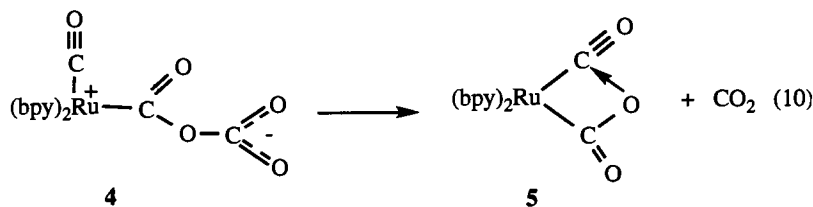
The formation of **1** in the oxide transfer from CO_3^{2-} to 3^{2+} would be largely influenced by the counter ion of carbonate, since the $\eta^1\text{-CO}_2$ group of **1** must be stabilized by interaction with Li^+ or $[\text{Crown}\cdot\text{K}]^+$ in CH_3CN . The oxide transfer reaction from $(\text{Me}_4\text{N})_2\text{CO}_3$ to 3^{2+} was also conducted since R_4N^+ is expected to have essentially no ability to stabilize metal- $\eta^1\text{-CO}_2$ complexes. Fig. 6 shows the change in the electronic absorption spectra of the reaction of $3(\text{PF}_6)_2$ with

Scheme 2. Reactivity of **5**.

(Me₄N)₂CO₃ in DMSO [24]. Right after the mixing, a colorless DMSO solution rapidly changed to yellow, and a weak broad band appears around 540 nm with an increase in the absorbances at wavelengths shorter than 480 nm. Then, two absorption bands at 396 and 590 nm emerge and gradually increase in the intensities with an isosbestic point at 355 nm. The spectral change almost ceased in 5 min, and the color of the final solution became dark green. Although the final electronic absorption spectra of the DMSO solution was different from that of the reaction of 3²⁺ with [Crown·K]₂CO₃ in CH₃CN, the transient yellow solutions observed in both reactions gave the same electronic spectra. Both reactions, therefore, proceed through the same intermediate. Relatively long lifetime of the yellow intermediate in the reaction of 3²⁺ with (Me₄N)₂CO₃ in DMSO enabled to measure the ¹³C-NMR spectra of the species in the solvent. The ¹³C-NMR spectra of the yellow solution appeared in the initial stage of the reaction of Ru(bpy)₂(¹²CO)(¹³CO)(PF₆)₂ with [(CH₃)₄N]₂CO₃ in d₆-DMSO displayed two signals at δ = 201.7 and 205.2 ppm. These signals gradually disappeared and two new signals emerged at δ = 157.4 and 161.4 ppm. Although the chemical shifts of the two signals of the final product are not assigned to CO and η¹-CO₂ ligands, those of the two signals initially emerged are almost same as those of [Ru(bpy)₂(CO)(C(O)OH)]⁺ (2⁺) (δ = 201.5, 204.3 ppm) in DMSO. The yellow intermediate observed in the initial stage of the reaction is, therefore, the head-to-tail adduct (**4**) formed by a nucleophilic attack of CO₃²⁻ to carbonyl carbon of 3²⁺. The reaction of (Eq. 9)



3²⁺ with [Crown·K]₂CO₃ producing **1** and CO₂ is reasonably explained by the dissociation of CO₂ from **4**. The final product (**5**) resulted from dissociation of CO₂ from **4** in the presence of Me₄N⁺ in DMSO. However, it is different from **1** based on the ¹³C-NMR chemical shift (δ = 157.4 and 161.4 ppm). Treatment of the final dark green DMSO solution of **5** with an equimolar amount of CF₃COOH produced [Ru(bpy)₂(CO)(OC(O)CF₃)]⁺ in an almost quantitative yield [25] with evolving ¹³CO₂ (confirmed by ¹³C-NMR spectra) [26], indicating the fission of one of the Ru–CO bond of 3²⁺. On the other hand, an addition of 5 M excess of LiCF₃SO₃ to the dark green DMSO solution of **5** resulted in a gradual change to yellow in color. After 10 h, the electronic spectrum of the yellow solution was consistent with that of **1** in the presence of LiCF₃SO₃ in CH₃CN. Indeed, the DMSO solution of **1** obtained from the dark green solution of **5** was allowed to react with 2 M excess of CF₃COOH produced colorless 3²⁺ (δ = 195.3 ppm) with a trace amount of [Ru(bpy)₂(CO)(O(O)CF₃)]⁺ (δ = 198.4 ppm). The facts that [Ru(bpy)₂(CO)(OC(O)CF₃)]⁺ and **1** are selectively produced in the reactions of the dark green product (**5**) with CF₃COOH and LiCF₃SO₃, respectively, indicate that **5** is a configurational isomer of **1**, which is not stabilized by the interaction between the η¹-CO₂ group and Lewis acids. Based on these observations, the most reasonable structure of the dark green product (**5**) is a metalloanhydride complex, Ru(bpy)₂((CO)₂O), which is formed by the intramolecular attack of oxygen of the η¹-CO₂ group to the adjacent CO ligand of **1** (Eq. 10). The reactions of **5** with CF₃COOH and LiCF₃SO₃, therefore, is represented by Scheme 2. It is worthy of note that treatment of **1**

Scheme 3. Equilibrium among 3^{2+} , **4** and **1**.

with CF_3COOH selectively produced 3^{2+} , while the same procedure of **5** gave $[\text{Ru}(\text{bpy})_2(\text{CO})(\text{OC}(\text{O})\text{CF}_3)]^+$. Thus, a metalloanhydride complex, **5** is not suitable one for the CO_2/CO conversion. The complete conversion from **5** to **1** by the addition of LiCF_3SO_3 also indicates the strong stabilization of the $\eta^1\text{-CO}_2$ group by Li^+ even in DMSO. In fact, the reaction of 3^{2+} with $[\text{Crown} \cdot \text{K}]_2\text{CO}_3$ in $d_6\text{-DMSO}$ gave the dark green solution via the yellow intermediate of **4**, but the ^{13}C -NMR spectrum of the DMSO solution showed four signals assignable to **1** ($\delta = 202.2$ and 201.4 ppm) and **5** ($\delta = 166.6$ and 160.5 ppm) with nearly same intensities. Based on the fact that **1** is selectively produced in the reaction of 3^{2+} with $[\text{Crown} \cdot \text{K}]_2\text{CO}_3$ in CH_3CN , the formation of a mixture of **1** and **5** in the same reaction in DMSO apparently is caused by the decrease in the Lewis acidity of $[\text{Crown} \cdot \text{K}]^+$ in DMSO due to the strong solvation. Several attempts of isolation of **5** from DMSO solutions were not successful, but similar metalloanhydride complexes have been also proposed in ^{18}O scrambling between CO_2 and CO ligands of $[\text{CpFe}(\text{CO})_2(\eta^1\text{-CO}_2)]^-$ (**[4]a**) and in the thermolysis reaction of $\text{CpRu}(\text{CO})(\text{CO}_2)\text{Na}$ [**18**].

As depicted in the spectral change in the reaction of 3^{2+} with $(\text{Me}_4\text{N})_2\text{CO}_3$ in DMSO (Fig. 6), the rate of the adduct formation (Eq. 9) is much faster than that of the cyclization with dissociation of CO_2 (Eq. 10). The rates of these reactions (Eq. 9 and 10) were separately determined by monitoring the increase in the absorbances at 355 and 590 nm, respectively, under pseudo first-order reaction conditions of 10–50 M excess of $(\text{Me}_4\text{N})_2\text{CO}_3$ in DMSO. Plots of the observed rate constant for the adduct formation (Eq. 9) against the concentration of $(\text{Me}_4\text{N})_2\text{CO}_3$ gave a straight line with the zero intercept, suggesting that the contribution of the backward reaction of Eq. 9 is negligible. The rate constant of the first step (k_1) was determined as $3.5 \times 10^2 \text{ l mol}^{-1} \text{ s}^{-1}$ (25°C). Under the pseudo-first order reaction conditions, the observed rate constant of the

second step was essentially independent on the concentrations of $(\text{Me}_4\text{N})_2\text{CO}_3$, and the rate constant of the second step (k_2) is determined as $1.1 \times 10^{-2} \text{ s}^{-1}$ (25°C). The ΔH^\ddagger and ΔS^\ddagger calculated from the k_2 values obtained at 25, 30, 35, and 40°C were $134.3 \times 10^3 \text{ J mol}^{-1}$ and $+175.8 \text{ J K}^{-1} \text{ mol}^{-1}$, respectively. The large ΔS^\ddagger value for the second step suggests that the rate determining step is the dissociation of CO_2 from the 1:1 adduct of **4**. The fact that the rate of the dissociation of CO_2 from **4** in the presence of $[\text{Crown} \cdot \text{K}]^+$ in CH_3CN (Eq. (7)) is much faster than that in the presence of $(\text{CH}_3)_4\text{N}^+$ in DMSO (Eq. 10) also is indication of the stabilization of **1** by $[\text{Crown} \cdot \text{K}]^+$.

3.4. Direction of the oxide transfer

In the solid state, three water molecules of $\mathbf{1} \cdot 3\text{H}_2\text{O}$ are linked to the $\eta^1\text{-CO}_2$ group and form three-dimensional hydrogen bonding networks. High stability of **1** in protic solvents also is ascribed to the formation of hydrogen bondings between the $\eta^1\text{-CO}_2$ group and solvent molecules. Solubilization of red crystals of $\mathbf{1} \cdot 3\text{H}_2\text{O}$ into CH_3CN containing LiCF_3SO_3 is also associated with strong interaction between Li^+ and the $\eta^1\text{-CO}_2$ ligand. Similar to the hydrogen bondings between the $\eta^1\text{-CO}_2$ ligand and solvent molecules, the interaction with Li^+ must induce an additional electron flow from Ru to the CO_2 group and strengthen the $\text{Ru}-\text{CO}_2$ bond. The first requisite for the formation of **1** in aprotic solvents is the assist for the stabilization of the $\text{Ru}-\eta^1\text{-CO}_2$ bond by Lewis acids such as Li^+ and $[\text{Crown} \cdot \text{K}]^+$, otherwise a metalloanhydride complex of **5** is formed by an attack of oxygen of the $\eta^1\text{-CO}_2$ ligand to the carbonyl carbon of **1** due to the lack of the stabilization of the $\text{Ru}-\eta^1\text{-CO}_2$ bond. From the viewpoint of the acid-base equilibrium among **1**, 2^+ and 3^{2+} in H_2O (Eq. (4)), the basicity of **1** is considered to be weaker than CO_3^{2-} based on $\text{p}K_a$ value of the

conjugated acids of 2^+ (9.5) ([3]b) and HOCO_2^- (10.3) [27]. The 1:1 adduct (**4**) formation by an attack of oxygen of CO_3^{2-} to carbonyl carbon of 3^{2+} (Scheme 3), therefore, is also explained by an acid–base reaction. The smooth dissociation of CO_2 from **4** in the presence of Li^+ or $[\text{Crown} \cdot \text{K}]^+$ in CH_3CN are associated with the stabilization of **1** by these Lewis acids. Thus, the counter ions of CO_3^{2-} play the key role in the first example of the oxide transfer from COd_3^- to metal–CO producing metal– $\eta^1\text{-CO}_2$. The equilibrium between **4** and **1** (Scheme 3) shifts to the right under normal conditions due to weak basicity of **1**. In fact, CO_2 (1 atm) did not give any influence on the electronic absorption spectra of **1** in the presence of $[\text{Crown} \cdot \text{K}]^+$ in CH_3CN , but the 3^{2+} was slowly produced by the oxide transfer from **1** to CO_2 when CO_3^{2-} is removed out of the solution as a precipitation of Li_2CO_3 .

Both **1** and 3^{2+} work as the CO_2 carrier and the precursor to CO evolution in electro- [28] and photochemical reduction [29] of CO_2 in water, where **1** is rapidly converted to 3^{2+} through $[\text{Ru}(\text{bpy})_2(\text{CO})(\text{C}(\text{O})\text{OH})]^+(2^+)$ (Eq. (4)). The equilibrium of between 3^{2+} and **1** via **4** in aprotic media, however, shifts to **1** (Scheme 3), which practically loses catalytic ability of **1** and 3^{2+} toward the reductive disproportionation reaction of CO_2 (Eq. (3)). Relatively weak basicity of the $\eta^1\text{-CO}_2$ of **1** results from the existence of π -acceptor ligands of two bpy and one CO group. Replacement of the CO ligand of **1** by qu (qu = quinoline) greatly enhances the basicity of the $\eta^1\text{-CO}_2$ ligand, since $\text{Ru}(\text{bpy})_2(\text{qu})(\eta^1\text{-CO}_2)$ is rapidly converted to $[\text{Ru}(\text{bpy})_2(\text{qu})(\text{CO})]^{2+}$ under CO_2 atmosphere (Eq. (3)). As a result, $[\text{Ru}(\text{bpy})_2(\text{qu})(\text{CO})]^{2+}$ works as an excellent catalyst in reductive disproportionation reduction of CO_2 to produce CO and CO_3^{2-} in CH_3CN under electrolysis conditions ([4]c). Thus, the direction of the conversion between CO_2 and CO on metals can be controlled by the adjustment of the basicity of the $\eta^1\text{-CO}_2$ ligands.

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- [24] The reaction was conducted in DMSO due to extremely low solubility of $(\text{Me}_4\text{N})_2\text{CO}_3$ in CH_3CN .
- [25] The complex was characterized by the comparison of ^{13}C -NMR spectra with the authentic sample ($\delta = 198.4$ (Ru-CO)) and FAB-mass spectrum ($m/z = 555$, M).
- [26] The amount of CO_2 evolved after the addition of CF_3COOH to the reaction mixture of $[\text{Ru}(\text{bpy})_2(^{12}\text{CO})_2]^{2+}$ and $(\text{Me}_4\text{N})_2\text{CO}_3$ in DMSO was not detected by ^{13}C -NMR under the experimental conditions.
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