

# Transportation in MOCVD of traces of oxygenated aluminum and gallium organometallics

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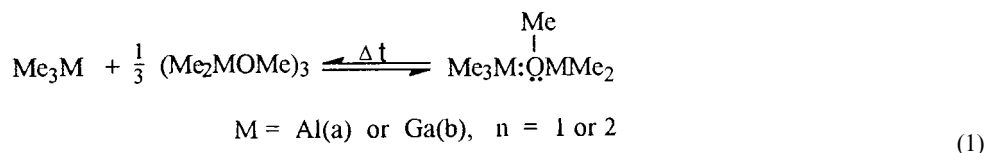
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## Abstract

Gas-phase transportation of dimethylmethoxy compounds of aluminum and gallium, which contaminate corresponding trimethyl metal compounds used in MOCVD, were investigated. It was found that larger amounts of oxygenated compounds were transported than can be calculated from the vapor pressure ratio of Me<sub>2</sub>MOMe (I) to Me<sub>3</sub>M (II). A decrease in the ratio (*m/n*) of the concentration of oxygenated compound (*m*) in the bubbler to the concentration of that transported to the reactor (*n*) was observed, especially at very low content of I in Me<sub>3</sub>M. The <sup>1</sup>H-NMR and cryometric studies strongly suggest that an equilibrium between the system of trimeric dimethylmetal methoxy compound (Me<sub>3</sub>M)<sub>n</sub> and mixed species Me<sub>3</sub>M:OMMe<sub>2</sub>(III) exists in the mixture:



The presence of III, more volatile than (Me<sub>2</sub>MOMe)<sub>3</sub>, rationalizes the higher transportation ratio. © 2000 Elsevier Science S.A. All rights reserved.

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## 1. Introduction

Me<sub>3</sub>Al and Me<sub>3</sub>Ga are the most commonly used compounds of aluminum and gallium elements in epitaxial growth [1]. Both have convenient vapor pressure, 8.7 and 182.3 mmHg (29.7 mmHg at –15°C) at 20°C, respectively, for application in atmospheric and low-pressure MOCVD. Both, but especially the aluminum compound, have extremely high affinity to oxygen and oxygen-bearing compounds. They not only form adducts with, e.g. ethers, but they react violently with any trace of O<sub>2</sub>, with insertion of oxygen into the M–C bond. With water they eliminate methane, forming a

complex mixture of nonvolatile strongly associated species with many different M–O bonds.

Associates possessing R<sub>2</sub>MOR units can be unintentionally introduced into the MOCVD system as trace contamination in supplied organometallics. They can also be formed during transportation by the in situ reaction of traces of oxygen from the transporting gas in the bubbler, from impurities of the Group 5 hydrides or from species adsorbed on the walls of the apparatus. But oxygen can also be intentionally introduced in order, e.g. to obtain high resistivity of epitaxial GaAs, suitable for buffer layers of concentration 5 × 10<sup>17</sup>–5 × 10<sup>18</sup> [2].

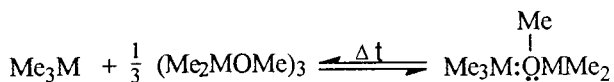
Independently of the origin of oxygen introduced into the reactor, the quality of epilayers formed is strongly affected by the presence of this contamination

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in the growth ambient in the reactor, especially at lower deposition temperatures. The oxygen incorporation has been invoked to explain virtually all the deleterious effects in the grown  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  [3,4]. Though the Ga–O bond is much weaker than the Al–O bond ( $285 \pm 17$  and  $500 \pm 10.5$  kJ mol<sup>-1</sup>, respectively for isolated M–O molecule) [5] and the  $\text{Ga}_2\text{O}$  intermediate is easy to volatilize, we also investigated the organogallium compounds system because it can undergo transalkoxylation reaction when growing, e.g.  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  structures.

The oxygenated organometallics have dramatically lower vapor pressure than corresponding trialkyl metal compounds due to their trimeric structure and intermolecular oxygen–metal interactions ( $[\text{Me}_2\text{AlOMe}]_3$  — 20<sup>87</sup> mmHg and  $[\text{Me}_2\text{GaOMe}]_3$  — 20<sup>97</sup> mmHg). The ratio of vapor pressures of  $[\text{Me}_2\text{MOMe}]_3$  to  $[\text{Me}_3\text{M}]_n$  is much lower than 1/10 for both aluminum and especially for gallium compounds, but in the gas transported volume the ratio of oxygenated compounds is visibly higher. The aim of the investigations was to monitor the gas-phase transportation of dimethylmetal methoxy compounds from the solutions in corresponding trimethylmetal compounds, and to determine the difference between the change of the ratio of concentration in the receiver and bubbler. Finally, it was important to find some proof of the existence in the mixtures of other reasonably volatile species, which increase the population of  $\text{Me}_2\text{MOMe}$  component in the vapor phase (Eq. (1)).



## 2. Experimental

### 2.1. <sup>1</sup>H-NMR measurements

Gas-phase transportation of the  $\text{Me}_3\text{M}$ – $\text{Me}_2\text{MOMe}$  mixture from a bubbler was performed on an atmospheric pressure MOCVD apparatus using its source part (Fig. 1). Hydrogen purified by a palladium diffuser (less than 10 ppb of oxygen-possessing impurities) was used as carrier gas. The gas-phase mixture was trapped in a container cooled with liquid  $\text{N}_2$  connected directly to an NMR tube. Neither a small change of bubbler temperature nor change of  $\text{H}_2$  flow rate influenced visibly the concentration of alkoxy compounds in the receiver. The  $\text{Me}_2\text{MOMe}$  concentration in the bubbler was determined on the basis of the amount of methanol introduced to pure  $\text{Me}_3\text{M}$ . The concentrations in the receiver, and also in the bubbler, were detected using <sup>1</sup>H-NMR spectra in which the ratio of  $\text{CH}_3\text{–O}$  to  $\text{CH}_3\text{–M}$  signals was determined. At low concentration

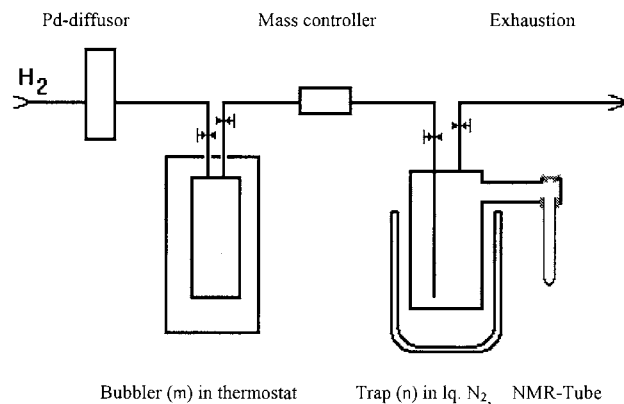


Fig. 1. Source part of the MOCVD apparatus with a trapping system.

of oxygenated compounds the detection of their amount was realized using the residual  $\text{CH}_3$  signal of perdeuterated toluene, which was calibrated by cyclohexane, quantitatively added to this solvent.

The low-temperature <sup>1</sup>H-NMR spectra of 10% toluene-*d*<sub>8</sub> solutions of about 1:1 mixtures of  $\text{Me}_3\text{M}$ – $\text{Me}_2\text{MOMe}$  were recorded after heating the samples for 1 h (Al — 110°C, Ga — 50°C) and cooling immediately to –78°C. The spectra were then obtained at –60, –30, 0 and 20°C.

### 2.2. Cryometric measurements

The cryometric measurements were recorded in benzene applying a Beckmann thermometer and a vessel that could be vacuum evacuated and heated to at least 100°C. The cryometric constant was measured to be  $k = 5.265$ .

A mixture ( $m_1$ ) of 16.60 mmol (2.390 g) of  $(\text{Me}_3\text{Al})_2$  (**IIa**) with 6.8 mmol (1.795 g) of  $(\text{Me}_2\text{AlOMe})_3$  (**Ia**) was prepared. The molar ratio of  $\text{Al}_{(\text{IIa})}/\text{Al}_{(\text{Ia})}$  was 1.63. The calculated molecular weight of such an unreacted mixture should be 179 (Table 1).

A mixture ( $m_1$ ) of 10.00 mmol (1.147 g) of  $\text{Me}_3\text{Ga}$  (**IIb**) and 3.3 mmol (1.307 g)  $1/3(\text{Me}_2\text{GaOMe})_3$  (**Ia**) was prepared. The molar ratio of  $\text{Ga}_{(\text{IIb})}/\text{Ga}_{(\text{Ia})}$  was 1.00. The calculated molecular weight of such an unreacted mixture should be 184.

Table 1

Calculated and measured molecular weights of about 1:1 mixtures of  $\text{Me}_3\text{M} + \text{Me}_2\text{MOMe}$  in benzene

	Aluminum compounds	Gallium compounds
Calculated values of unreacted mixture	179 (2.34 mmol)	184.1
Measured values	156	220
Calculated values of mixtures with only mixed dimers (structure I and II)	156 (2.68 mmol)	245.4

The samples were similarly heated like for  $^1\text{H-NMR}$  experiments followed by fast cooling to room temperature, dissolving in a known amount of benzene ( $m_0$ ) and quantitative introduction into the cryometric vessel. The temperature of crystallization ( $\Delta t = 0$  for a longer period of time) was measured three times and molecular weights ( $M$ ) were calculated from the known equation,

$$M = \frac{km_1 1000}{m_0(t_0 - t_1)} \quad (2)$$

where  $t_0$  is the temperature of crystallization of benzene and  $t_1$  is the temperature of crystallization of the investigated solutions.

### 3. Results and discussion

#### 3.1. $^1\text{H-NMR}$ investigations

##### 3.1.1. Transportation of oxygenated organometallics

The  $^1\text{H-NMR}$  studies were carried out to determine the ratio of the concentration of oxygenated compounds in the source ( $m$ ) to that in the receiver after transportation ( $n$ ).

Fig. 2 shows that the concentration of  $\text{R}_2\text{MOMe}$  is clearly smaller in the receiver. There is also presented the higher transport rate of oxygenated compounds of aluminum, compared with corresponding gallium compounds, in agreement with the lower vapor pressure of  $\text{Me}_3\text{Al}$  and higher of  $\text{R}_2\text{AlOMe}$  compared with corresponding gallium compounds.

In Fig. 3 the change in the transportation ratio ( $m/n$ ) with the concentration change of  $\text{Me}_2\text{MOMe}$  in the bubbler is shown. For both systems the ratio of concentrations of the oxygenated compounds in the source to that in the receiver decreased with a decrease in their concentration in the source. This indicates a low effectiveness of purification using distillation or the vapor transport technique, especially for the final stages of the preparation of puratronic compounds. Also in MOCVD one cannot expect a straight-line relation between the concentration of oxygenated compounds in the source and oxygen in the deposited layer.

Table 2

Variable-temperature  $^1\text{H-NMR}$  spectra of about 1:1 mixture of  $\text{Me}_3\text{Al} + \text{Me}_2\text{AlOMe}$  (excess of  $\text{Me}_2\text{AlOMe}$ )

Temperature ( $^{\circ}\text{C}$ )	Position of proton peaks and relative intensity ( $\text{OCH}_3 = 1$ )			
	$(\text{CH}_3)_3\text{Al}$ ( $\tau$ )	$(\text{CH}_3)_2\text{AlOMe}$ ( $\tau$ )	$(\text{Me})_2\text{AlOCH}_3$ ( $\tau$ )	Relative intensity of the sum of $\text{CH}_3\text{-Al}$ peaks
-60	0.03 (Bridging)	-0.71 (Terminal)	2.92	0.64 + 3.09
-30	-0.66		3.04	3.58
0	-0.37	-0.69	3.10	1.50 + 2.08
20	-0.38	-0.70	3.12	1.51 + 1.92
Pure $\text{Me}_3\text{Al}$	-0.37	-	-	-
Pure $\text{Me}_2\text{AlOMe}$	-	-0.71	3.15	2

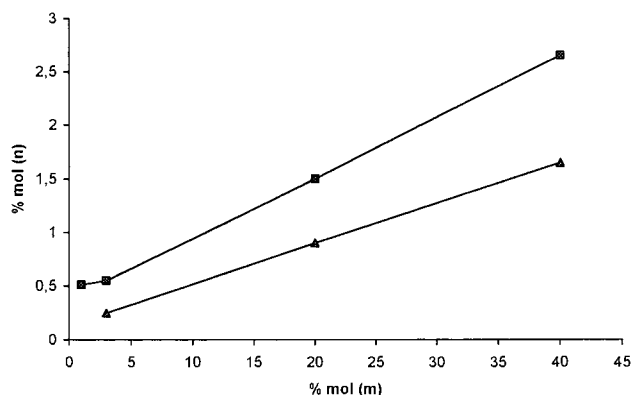


Fig. 2. The decrease of concentration of  $\text{Me}_2\text{MOMe}$  in  $\text{Me}_3\text{M}$  after transportation from the bubbler ( $m$ ) to the receiver ( $n$ ): ■,  $\text{Me}_3\text{Al} + \text{Me}_2\text{AlOMe}$ ; ▲,  $\text{Me}_3\text{Ga} + \text{Me}_2\text{GaOMe}$ .

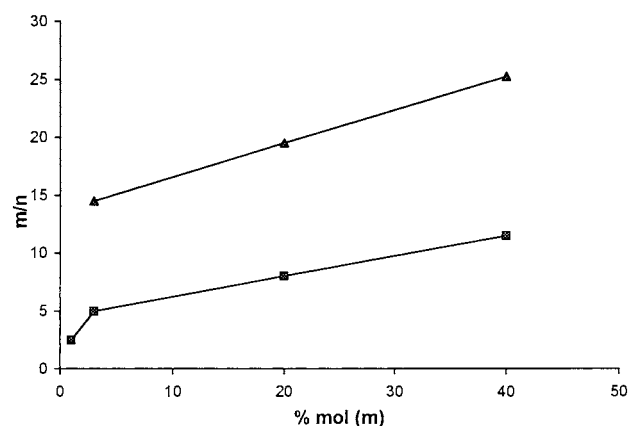


Fig. 3. The concentration dependence  $m/n$  ratio of the presence of  $\text{Me}_2\text{MOMe}$  in  $\text{Me}_3\text{M}$  in the bubbler ( $m$ ) to its presence after transportation into the trap ( $n$ ): ■,  $\text{Me}_3\text{Al} + \text{Me}_2\text{AlOMe}$ ; ▲,  $\text{Me}_3\text{Ga} + \text{Me}_2\text{GaOMe}$ .

##### 3.1.2. Structural justification of transportation rate

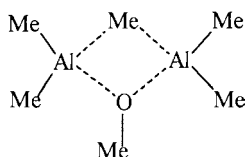
High transportation rates of oxygenated compounds that contaminate  $\text{Me}_3\text{M}$  can be explained by the formation of more volatile species due to transassociation of the components. It could be expected that the temperature-dependent  $^1\text{H-NMR}$  spectra can bring some evidence for this assumption. The results for both close to stoichiometric mixtures are presented in Tables 2 and 3,

Table 3  
Variable-temperature  $^1\text{H-NMR}$  spectra of about 1:1 mixture of  $\text{Me}_3\text{Ga} + \text{Me}_2\text{GaOMe}$  (excess of  $\text{Me}_3\text{Ga}$ )

Temperature ( $^\circ\text{C}$ )	Position of proton peaks and relative intensity ( $\text{OCH}_3 = 1$ )			
	$(\text{CH}_3)_3\text{Ga}$ ( $\tau$ )	$(\text{CH}_3)_2\text{GaOMe}$ ( $\tau$ )	$\text{Me}_2\text{GaOCH}_3$ ( $\tau$ )	Relative intensity of the sum of $\text{CH}_3\text{-Ga}$ peaks
-60		-0.22	3.11	6.93
-30		-0.21	3.12	6.69
0		-0.20 shoulder upfield	3.15	6.77
20	-0.17	-0.22	3.17	6.25
Pure $\text{Me}_3\text{Ga}$	-0.19	-	-	-
Pure $\text{Me}_2\text{GaOMe}$	-	-0.25	3.16	2

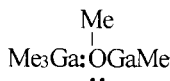
complemented with the room-temperature spectra of pure  $\text{Me}_3\text{M}$  and  $\text{Me}_2\text{MOMe}$  compounds.

The most important feature visible in the spectra of a nearly equimolar mixture of  $1/2(\text{Me}_3\text{Al})_2$  with  $1/3(\text{Me}_2\text{AlOMe})_3$  is the growth of the  $(\text{CH}_3)_2\text{AlOMe}$  peak with a decrease of temperature. At  $-30^\circ\text{C}$  both  $\text{CH}_3\text{-Al}$  peaks collapse, forming a new one, of an intermediate position, which suggests the formation of new species. It splits again at  $-60^\circ\text{C}$  into two rather broad signals forming a different pattern of positions and intensities of peaks [6]. These can be explained by the slowing down of the terminal-bridging methyl group's exchange to extend that they can be seen in the spectra. The intensity ratio and their positions agree with a cyclic, dimeric structure with mixed bridges.



A mixture of a small molar excess of  $\text{Me}_3\text{Ga}$  with  $1/3(\text{Me}_2\text{GaOMe})_3$  gives two  $\text{CH}_3\text{-Ga}$  sharp signals at  $20^\circ\text{C}$  in agreement with the existence of the two compounds. At temperature ca.  $0^\circ\text{C}$  the signals collapse, forming one broader peak of intermediate position, with scarcely visible shoulder upfield. At  $-30$  and  $-60^\circ\text{C}$  the peak is a little better resolved but it does not split again. The formation of one peak at lower temperatures with an intermediate value of shift can be rationalized by one or both mechanisms of exchange:

1. The lower-temperature-formed



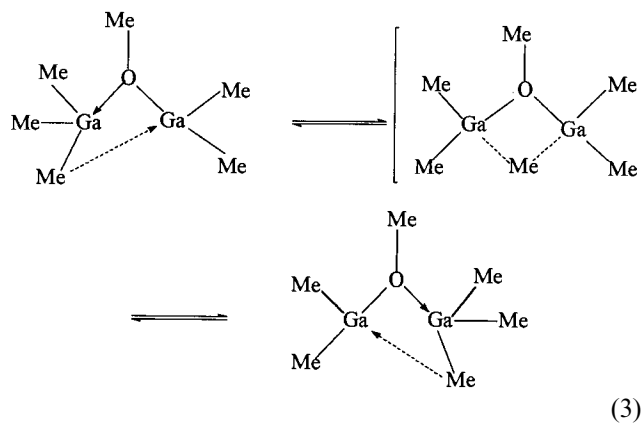
undergoes fast exchange with monomeric  $\text{Me}_3\text{Ga}$ .



The exchange is able to proceed easily with very low

activation energy due to the presence of the empty low energy orbital  $p_z$  of gallium of  $\text{Me}_3\text{Ga}$  and the free electron pair of oxygen.

2. The methyl groups exchange in **IIIb**, which is expected to proceed in the mixed dimer through the cyclic four-centre ring (Eq. (3)). The three-center-two-electron bridging by methyl group (if formed not only as a transition state) is weak because its donor ability is small. The negatively charged  $-\delta$  on methyl carbon is calculated [7] to be only  $-0.031$  compared with  $-0.16$  on Al atom in  $\text{Me}_3\text{Al}$ . As a consequence, even at  $-60^\circ\text{C}$ , the exchange can be too rapid to split the signals into bridging and terminal groups or, alternatively, to resolve  $\text{CH}_3\text{-Ga}$  of **Ib** and **IIIb** in the complex **IIIb**.



(3)

### 3.2. Cryometric measurements

The calculated molecular weight for a not fully stoichiometric mixture of  $(\text{Me}_3\text{Al})_2$  (excess) with  $(\text{Me}_2\text{AlOMe})_3$  used for cryometric measurement is 179, whereas the measured value was found to be lower — 156. This is exactly the predicted value 156, calculated for a mixture of  $\text{Me}_2\text{Al}(\text{Me})(\text{OMe})\text{AlMe}_2$  + existing excess of  $(\text{Me}_3\text{Al})_2$ .

In the case of the stoichiometric  $\text{Me}_3\text{Ga} - 1/3(\text{Me}_2\text{GaOMe})_3$  system, the molecular weight obtained at  $5^\circ\text{C}$  was much higher — 220, than calculated for an unreacted mixture (182.9) and a little lower than

that of mixed dimer (245.4). This corresponds to the formation of about 90% of



Both results indicate the proceeding of reactions of **I** and **II** with the formation of **III**. It can be suggested that the mixture of organoaluminum compounds is practically in the form of a mixed cyclic dimer (Eq. (1)), but the mixed dimeric associates of organogallium compounds are in equilibrium with smaller amounts of substrates. With much smaller probability the change of molecular weight can result from reassociation of  $(\text{Me}_2\text{AlOMe})_3$  to dimer, due to the presence of trimethylaluminum, because high temperature favors the dimer  $\rightarrow$  trimer reaction [8].

#### 4. Conclusions

The cryometrically measured molecular weight of a mixture of investigated aluminium compounds is in agreement with the presence of only **IIIa** at lower temperature. Also  $^1\text{H-NMR}$  measurements present the ratio and position of two Me–Al peaks at  $-60^\circ\text{C}$ , which confirms the presence of such a mixed cyclic dimer. Such a structure bridged through methyl and methoxy groups was proposed before, for some other organoaluminum compounds [9,10]. The molecular-weight measurements of the  $(\text{Me}_2\text{GaOMe})_3\text{--Me}_3\text{Ga}$

mixture confirm the equilibrium (Eq. (1)) with the equilibrium strongly shifted to the right at temperature around  $5^\circ\text{C}$ . The collapsing of two Me–Ga signals without further changes below  $0^\circ\text{C}$  can be rationalized by: (1) fast exchange of the free  $\text{Me}_3\text{Ga}$  with the **IIIb**; (2) an intramolecular very fast exchange of methyl groups in the complex **IIIb**, through cyclic dimer.

The presence of proposed structures (**III**), of lower molecular weight than trimeric (**I**), in a mixture with  $\text{Me}_3\text{M}$  explains the increased supply of oxygenated compounds during transportation of the investigated organometallics to the MOCVD chamber.

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