A Density Functional Theory Approach to the Development of Q-e Parameters for the Prediction of Reactivity in Free-Radical Copolymerizations

Chang-Guo Zhan and David A. Dixon*

William R. Wiley Environmental Molecular Sciences Laboratory, Pacific Northwest National Laboratory, MS K1-83, P.O. Box 999, Richland, Washington 99352

Received: February 21, 2002; In Final Form: July 1, 2002

The Q-e scheme was developed for the interpretation of the reactivity of a monomer containing a double bond in free-radical copolymerizations. This empirical scheme has proven to be remarkably useful and continues to be the only general reactivity scheme in use today. To develop a reliable computational approach for the theoretical prediction of the Q and e values of molecules whose experimental Q and e values have not been established, we have analyzed the Q-e approach to develop a computational approach to their prediction. We then performed density-functional theory (DFT) calculations on molecules whose experimental Q and evalues are available to develop a set of correlation parameters for monomers without experimental values. It has been demonstrated that for a general choice of the Q and e values of the reference monomer that both parameters Q and e should be dependent on the energetic properties of the free-radical reaction and the polar properties of the monomer and radical. To correlate the Q and e parameters with these properties, the overall quality of the calibrated correlation relationships should not be affected by the choice of the reference Q and e values. Satisfactory relationships have been found for the correlations of the Q-e parameters with DFTcalculated electronegativities and reaction free energies, suggesting that the electronegativity and reaction free energy determined by the DFT calculations reasonably reflect the polar and energetic properties, respectively, needed for Q-e parameter development. With the particular choice of the popularly used reference values of Q = 1.0 and e = -0.8 for styrene, the parameter e is dependent only on the calculated electronegativity, and the parameter O is dominated by the calculated reaction free energy of the process of adding a radical to a C=C double bond. The explicit relationships obtained in this work can be used to predict unknown Q and e parameters based on DFT calculations.

Introduction

Over 50 years ago, Alfrey and Price¹ developed an empirical model, the Q-e scheme,²⁻⁷ for interpreting and predicting the reactivity of a monomer containing a double bond in radical copolymerizations. According to the Q-e model, each reactant, i.e., monomer or free radical, is given a parameter, Q for the monomer and P for the free radical, describing its general reactivity, and a second parameter, e for the monomer or free radical, related to its polar properties (in the original derivation, e was the permanent electric charge on the species). Assuming that the reactivity of a growing polymeric radical depends only on the nature of the terminal radical unit, for any two monomers and the corresponding two free radicals, four basic propagation rate constants, k_{11} , k_{21} , and k_{22} , can be derived⁶

$$k_{11} = P_1 Q_1 \exp(-e_1^2) \tag{1}$$

$$k_{12} = P_1 Q_2 \exp(-e_1 e_2) \tag{2}$$

$$k_{21} = P_2 Q_1 \exp(-e_2 e_1)$$
 (3)

$$k_{22} = P_2 Q_2 \exp(-e_2^2) \tag{4}$$

The reactivity ratios, $r_1 \equiv k_{11}/k_{12}$ and $r_2 \equiv k_{22}/k_{21}$, are used to eliminate the parameter *P* for the free radical giving

$$r_1 = (Q_1/Q_2) \exp[-e_1(e_1 - e_2)]$$
(5)

$$r_2 = (Q_2/Q_1) \exp[-e_2(e_2 - e_1)]$$
 (6)

which depend only on the monomers. Hence, the reactivity ratios r_1 and r_2 depend only on the parameters Q and e. In practice, the Q-e scheme defines a reference monomer to which all other monomers can be related; the most popularly used reference is styrene with Q = 1.0 and e = -0.8.^{6,8} The Q and e values of any other monomer can be determined by using eq 5 or 6 based on the experimental reactivity ratios and the Q and e values of the reference monomer. Extensive reviews of the Q-e scheme and its strength and weakness can be found in the literature. $^{2-7}$ Despite its shortcomings, the Q-e scheme has proven to be remarkably useful and continues to be essentially the only general reactivity scheme for predicting radical copolymerizations in use today.⁷ The Q-e scheme is a terminal model and, as noted previously,9 the terminal model is sufficient for the prediction of instantaneous polymer-copolymer composition and sequence distributions for most copolymerizations. We are specifically interested in the reactivity of various monomers with each other for the development of photoresists for the 157 nm technology production of new semiconductors¹⁰⁻¹² so a terminal model is a reasonable first approximation. More sophisticated models such as the penultimate model have been developed by others to provide even more detail about free-radical copolymerizations.9,13

The aim of the Q-e scheme, which relies on a large database of kinetic rate constants determined over the last half century, is to predict the relative reactivity in free-radical copolymerizations without recourse to experimental determination of additional kinetic rate constants. An obvious limitation of the standard Q-e scheme is that one cannot predict the reactivity

10.1021/jp020497u CCC: \$22.00 © 2002 American Chemical Society Published on Web 09/26/2002 of a monomer whose Q and e parameters are not available empirically. Therefore, the development of a reliable approach for the computational prediction of the basic Q and e parameters is of real interest, particularly for new monomers for which experimental investigation would be expensive.

There have been several previous attempts to correlate the parameters Q and e with results from electronic structure calculations. The earliest molecular orbital (MO) calculations reported in the 1960s⁵ were based on simple Hückel theory. Recent electronic structure calculations for the development of Q-e parameters were based on the ab initio Hartree–Fock (HF) method.^{6,14,15} However, there are serious limitations in these previous electronic structure calculations. As well-known,¹⁶ the HF method does not account for electron correlation and, therefore, gives poor results for the reaction energies that are required in the correlation of the Q-e parameters with the calculated results. In addition, most of the previous ab initio HF calculations for developing the Q-e parameters were performed using the minimal STO-3G basis set, and the largest basis set used in any of the HF calculations was a triple- ζ valence (TZV) basis set. Thus, the effects of polarization and diffuse functions also have not been examined. Radom and coworkers have been using much higher level and more costly electronic structure methods to look at the details of the transition states for the addition of small radicals to small alkenes.^{17,18}Although such a direct approach of calculating the kinetics of a chemical reaction based on detailed calculations on the transition state for each reaction of interest is attractive, such calculations are far too expensive computationally to use for the large substituted monomers of general interest in the development of new polymers, especially for photoresists.

There has been a rapid growth in the use of electronic structure theory in the design of molecules that have specific, required properties. One of the main reasons for this acceleration has been the development of density functional theory (DFT),19-24 especially for molecular systems. Two important reasons that DFT is becoming so popular for such studies are its lower computational cost, formally scaling as N^3 (with Coulomb fitting), where N is the number of basis functions, and the fact that DFT includes the effects of electron correlation at some reasonable level. The combination of lower computational cost with reasonable accuracy as compared to other approaches has led to the successful application of the DFT method to the prediction of a broad range of properties of molecules in the ground state. Thus we have chosen to use DFT as the electronic structure method for the development of a computational approach for the prediction of Q-e parameters. In the current study, we have performed a series of DFT calculations with various basis sets on a variety of molecules whose experimentally derived O and e values are available. The calculated results are used to develop correlations with the experimental Q and evalues, leading to useful relationships for the prediction of the Q and e values of monomers whose experimental Q and e values are not available.

Computational Approach

Basic Relationships. To predict the values of the Q and e parameters based on DFT calculations for molecules whose experimental Q and e values are not available, we first need to correlate the DFT results with available experimentally derived Q and e values. By definition, the parameter e should reflect some polar properties of the monomer or both the monomer and the corresponding radical. Previous computational studies consistently correlated the parameter e with the electronegativity.

Following the correlation relationships previously described in the literature,⁶ there is a linear relationship for the parameter e:

$$e = a_e \chi + b_e \tag{7}$$

where a_e and b_e are empirical constants to be determined by comparison to experimentally derived *e* values. χ in eq 7 is the electronegativity of the monomer, denoted by χ_m , or the average electronegativity of the monomer and the radical, denoted by $\chi_{av} = (\chi_m + \chi_r)/2$, in which χ_r refers to the electronegativity of the radical. Previous computational studies correlated the parameter *Q* with different computational parameters, including the recently used expression⁶

$$\ln Q = a_0 E_v + b_0 \chi + c_0 E_v \chi + d_0 \tag{8}$$

In eq 8, E_y is the energy (or free energy) of the copolymerization step

monomer + radical \rightarrow radical chain

i.e., the energy (or free energy) gained on adding the radical to the double bond of the monomer, and a_Q , b_Q , c_Q , and d_Q are empirical constants to be determined by comparison to experimentally derived Q values. A simple linear relationship,

$$\ln Q = a_0 E_v + b_0 \tag{9}$$

between the parameter Q and the reaction energy E_y has also been tested.¹⁴

To better understand the correlation relationships, we can compare the expression of the reactivity ratios in the Q-escheme with that of the well-known Arrhenius equation,²⁵

$$k = A \exp(-E_{a}/RT) \tag{10}$$

where the reaction rate constant k is determined by the activation energy E_a and the preexponential factor A. The preexponential factor A for a given temperature T can be evaluated as

$$A = \kappa (k_{\rm B}T/h)(Q_{\rm TS}/Q_{\rm M}Q_{\rm R}) \tag{11}$$

on the basis of conventional transition state theory (CTST).²⁶ In eq 11, $k_{\rm B}$ is Boltzmann's constant, h is Planck's constant, κ is the tunneling correction, and $Q_{\rm M}$, $Q_{\rm R}$, and $Q_{\rm TS}$ represent the partition functions of the monomer, radical, and transition state of the reaction. The notation $Q_{\rm M}$, $Q_{\rm R}$, and $Q_{\rm TS}$ used here for the partition functions should be distinguished from the parameter Q (or Q_1 and Q_2) used in the Q-e scheme. The contribution of $Q_{\rm TS}/Q_{\rm M}Q_{\rm R}$ to the rate constant k can also effectively be included in the Gibbs free energy of activation, $G_{\rm a}$, through

$$k = \kappa (k_{\rm B}T/h) \exp(-G_{\rm a}/RT) \tag{12}$$

For convenience, eqs 10 and 12 are now written in the form:

$$k = B \exp(-E^{\ddagger}/RT) \tag{13}$$

where E^{\ddagger} represents either the activation energy E_a or the Gibbs free energy of activation G_a . The preexponential factor $B = \kappa (k_{\rm B}T/h)(Q_{\rm TS}/Q_{\rm M}Q_{\rm R})$ when $E^{\ddagger} = E_a$ or $B = \kappa (k_{\rm B}T/h)$ when $E^{\ddagger} = G_a$.

The quantity r_1 (or r_2) in eq 5 (or (6)) is the ratio of the reactivity of the first (or second) monomer with the radical corresponding to the first (or second) monomer to that of the second (or first) monomer with the same radical, remembering that the termination radical is considered to be independent of the remaining part of the growing polymeric chain. For a simple

copolymerization between two monomers, the radical must be associated with one of the two monomers in eqs 5 and 6. For a more flexible choice of the radical in the development of Q-e parameters, a more generalized reactivity ratio, r_{i12} , can be obtained:

$$k_{i1} = P_i Q_1 \exp(-e_i e_1)$$
(14)

$$k_{i2} = P_i Q_2 \exp(-e_i e_2) \tag{15}$$

$$r_{i12} \equiv k_{i1}/k_{i2} = (Q_1/Q_2) \exp[-e_i(e_1 - e_2)]$$
 (16)

A comparison of eq 16 with eqs 5 and 6 indicates that $r_{i12} = r_1$ when i = 1 and $r_{i12} = 1/r_2$ when i = 2. The radical in eq 16 may not necessarily be associated with one of the two monomers, but it can be any other radical species such as the hydrogen atom, H[•], or the methyl radical, ${}^{\circ}CH_3$. In this case, e_i in eq 16 does not necessarily correspond to the commonly used parameter e of a monomer in the Q-e scheme because the radicals, H• or •CH₃, are not associated with any monomer having a double bond (they cannot be formed by adding any smaller radical to a monomer having a double bond). In a revised version of the Q-e scheme, known as the $Q-e-e^*$ -type scheme,^{6,27} a monomer and its corresponding radical could have different parameter values, e and e^* referring to the monomer and radical, respectively. In terms of the $Q-e-e^*$ -type scheme, e_i in eqs 14–16 should be rewritten as e_i^* , whose value could differ from the e_i value of the corresponding monomer, if the monomer exists. It is most convenient for the development of correlations of the Q and e parameters with results from DFT calculations to focus on the copolymerization of various monomers with the same radical to which the subscript *i* refers, e_i (or e_i^*) in eq 16. The value of e_i (or e_i^*) is then always a constant to be determined by comparison with experimentally derived Q and e values, so long as $i \neq 1$ and $i \neq 2$, and is independent of whether one uses the standard Q-e scheme or the $Q-e-e^*$ -type scheme. Equation 16 is the starting point for our computational study of the Q-e parameters.

By using eq 13 for the rate constants k_{i1} and k_{i2} , we obtain an alternative expression of the reactivity ratio r_{i12} ,

$$r_{i12} = (B_{i1}/B_{i2}) \exp[-(E_{i1}^{\ddagger} - E_{i2}^{\ddagger})/RT]$$
(17)

Equations 16 and 17 give

$$\ln Q_2 = \ln Q_1 + e_i(e_1 - e_2) + \ln(B_{i1}/B_{i2}) - (E_{i1}^{\ddagger} - E_{i2}^{\ddagger})/RT$$
(18)

For convenience, here subscript 1 refers to the reference monomer and subscript 2 refers to the monomer whose Q and e values are to be determined on the basis of the reference values. For the copolymerization of various monomers with the same radical to which subscript *i* refers, the parameter e_i and activation energy E^{\ddagger}_{i1} , along with the parameters Q_1 and e_1 , all become constants. Furthermore, if the change of the preexponential factor for different monomers is neglected, $\ln(B_{i1}/B_{i2})$ is zero, particularly when $E^{\ddagger} = G_a$. When $E^{\ddagger} = G_a$, $\ln(B_{i1}/B_{i2})$ is zero, if we assume that the κ values are the same. Thus, eq 18 reduces to

$$\ln Q_2 = E_{i2}^{\ddagger}/RT - e_i e_2 + C \tag{19}$$

with *C* a constant. In the formulation with $E^{\ddagger} = E_{a}$, previous studies have shown that the presence of a different substituent in the position γ to the radical center can affect both the entropy

of activation through steric effects as well as the activation energy,⁹ which means that there may be variations in the constant C. However, such a dependence only occurs in more detailed models than the terminal model that we are using. Equation 19 shows that in addition to the constant contributions from the *e* value of the radical *i* and from the Q and *e* values of the reference monomer 1, the Q value of monomer 2 is dependent on both its own *e* value and the activation energy for the radical-monomer reaction. This implies that the polar properties affecting the parameter *e* could also affect the parameter Q.

To use eq 19 to calculate the Q value of a monomer, one needs to determine the activation energy for the reaction of the monomer with a radical. However, the reliable computational determination of an activation energy or Gibbs free energy of activation is significantly more expensive than that of a reaction energy²⁸ and, therefore, achieving a reliable theoretical prediction by directly using eq 19 is computationally very timeconsuming. Fortunately, the computation can be simplified by using previously found correlations between the activation energy and reaction energy.^{29,30} The results calculated by Fueno and Kamachi for the reaction of methyl radical with a number of vinyl compounds suggest that the correlation between the activation energies and heats of reaction calculated at the HF/ 3-21G level is very close to being linear.²⁹ In addition, "an extremely good linear relationship" between the activation energy and reaction energy (ΔE) was found by Davis and Rogers,⁶ whose ab initio calculations were also performed using the Hartree-Fock (HF) theory. Recent theoretical studies^{17,18} on the addition of radicals to alkenes show that reliable energy barriers can only be obtained at very sophisticated levels of ab initio theory, such as the QCISD(T)/6-311+G(3df,2p) level, or by using DFT. In most cases, approximate linear relationships between the experimental activation energies and the experimental reaction enthalpies are found, as shown in the review by Fischer and Radom.¹⁸ We can then assume

$$E^{\ddagger} = wE_{y} + l \tag{20}$$

where w and l are empirical constants; substitution of eqs 7 and 20 into eq 19 with removal of the subscript 2 gives

$$\ln Q = a_Q E_y + b_Q \chi + c_Q \tag{21}$$

yielding three independent constants to be calibrated: $a_Q = w/RT$, $b_Q = -e_i a_e$, and $c_Q = C + l/RT - e_i b_e$. The difference between eq 21 and eq 8 is that the cross term, $E_y \chi$, disappears in eq 21. Based on eqs 7 and 19, eq 8 is mathematically equivalent to be the one derived from using eq 22 or 23, instead of eq 20:

$$E^{\ddagger} = wE_{\nu} + uE_{\nu}\chi + l \tag{22}$$

$$E^{\ddagger} = wE_{\nu} + uE_{\nu}\chi + \nu\chi + l \tag{23}$$

These equations reveal that the cross term, $E_y\chi$, is unnecessary if the linear relationship between the activation energy and reaction energy found by Davis and Rogers is generally applicable. A more complicated correlation relationship, such as eq 22 or 23, would be required if the cross term, $E_y\chi$, cannot be ignored. This analysis indicates that the linear correlation relationship, i.e., eq 20, is not a necessary condition for the existence of eq 8. Equation 8 is reasonable so long as eq 23 is satisfied. Because the experimental activation energies (E_a) and reaction enthalpies (ΔH) are available¹⁸ for 10 of the monomers

TABLE 1: Representative Monomers with Experimental Qand e Values

$\begin{array}{c c c c c c c c c c c c c c c c c c c $		Laurie	er et al. ^a	Gree	nley ^b
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	monomer	Q	е	Q	е
$\begin{array}{llllllllllllllllllllllllllllllllllll$	1. acrylic acid	0.68	1.33	0.83	0.88
$\begin{array}{rrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrr$	2. acrylonitrile	0.51	1.19	0.48	1.23
4. butyl acrylate0.411.060.380.855. methacrylonitrile0.850.690.860.686. methyl acrylate0.380.750.450.647. methyl methacrylate0.760.380.780.408. styrene (reference)1.00-0.801.00-0.809. vinyl acetate0.024-0.020.026-0.88	3. 1,3-butadiene	2.36	-1.17	1.70	-0.50
5. methacrylonitrile0.850.690.860.686. methyl acrylate0.380.750.450.647. methyl methacrylate0.760.380.780.408. styrene (reference)1.00-0.801.00-0.809. vinyl acetate0.024-0.020.026-0.88	4. butyl acrylate	0.41	1.06	0.38	0.85
6. methyl acrylate0.380.750.450.647. methyl methacrylate0.760.380.780.408. styrene (reference)1.00-0.801.00-0.809. vinyl acetate0.024-0.020.026-0.88	5. methacrylonitrile	0.85	0.69	0.86	0.68
7. methyl methacrylate0.760.380.780.408. styrene (reference)1.00-0.801.00-0.809. vinyl acetate0.024-0.020.026-0.88	6. methyl acrylate	0.38	0.75	0.45	0.64
8. styrene (reference) 1.00 -0.80 1.00 -0.80 9. vinyl acetate 0.024 -0.02 0.026 -0.88	7. methyl methacrylate	0.76	0.38	0.78	0.40
9. vinyl acetate $0.024 - 0.02 0.026 - 0.88$	8. styrene (reference)	1.00	-0.80	1.00	-0.80
	9. vinyl acetate	0.024	-0.02	0.026	-0.88
10. vinyl chloride $0.033 - 0.10 0.056 0.16$	10. vinyl chloride	0.033	-0.10	0.056	0.16
11. vinylidene chloride 0.29 0.26 0.31 0.34	11. vinylidene chloride	0.29	0.26	0.31	0.34
12. ethene 0.016 0.05	12. ethene			0.016	0.05
13. propene 0.009 -1.69	13. propene			0.009	-1.69
14. isobutene 0.023 -1.20	14. isobutene			0.023	-1.20
15. isoprene 1.99 -0.55	15. isoprene			1.99	-0.55
16. acrolein 0.80 1.31	16. acrolein			0.80	1.31
17. methacrolein 1.83 0.71	17. methacrolein			1.83	0.71
18. vinylidene cyanide14.221.92	18. vinylidene cyanide			14.22	1.92
19. methyl vinyl ketone 0.66 1.05	19. methyl vinyl ketone			0.66	1.05
20. vinyl ethyl ether $0.018 - 1.81$	20. vinyl ethyl ether			0.018	-1.81
21. acrylamide 0.23 0.54	21. acrylamide			0.23	0.54
22. allyl alcohol 0.005 -1.48	22. allyl alcohol			0.005	-1.48
23. 2-vinylpyridine 1.41 -0.42	23. 2-vinylpyridine			1.41	-0.42
24. methacrylic acid 0.98 0.62	24. methacrylic acid			0.98	0.62
25. vinyl methyl ether $0.029 -1.16$	25. vinyl methyl ether			0.029	-1.16
26. vinyl fluoride 0.008 0.72	26. vinyl fluoride			0.008	0.72
27. tetrafluoroethylene0.0321.63	27. tetrafluoroethylene			0.032	1.63

^{*a*} Data from ref 8. ^{*b*} Data from ref 43.

(i.e., molecules 2, 5, 6, 10-14, 16, and 22 listed in Table 1) considered in the present study, we tested both eqs 20 and 23 for these 10 monomers and found that eq 23 showed an improved correlation.³¹

Electronegativity from DFT Calculations. Mulliken's electronegativity, χ , of a molecule is defined as the average of its (first) ionization potential (IP) and its electron affinity (EA), i.e., $\chi = (IP + EA)/2$. By using Koopmans' theorem (KT), we obtain^{6,21}

$$\chi_{\rm m} = -(\epsilon_{\rm HOMO} + \epsilon_{\rm LUMO})/2 \tag{24}$$

$$\chi_{\rm r} = -\epsilon_{\rm SOMO} \tag{25}$$

and

$$\chi_{\rm av} \equiv (\chi_{\rm m} + \chi_{\rm r})/2 = -(\epsilon_{\rm HOMO} + \epsilon_{\rm LUMO})/4 - \epsilon_{\rm SOMO}/2$$
 (26)

Here, ϵ_{HOMO} is the eigenvalue of the highest occupied molecular orbital (the negative of the ionization potential), ϵ_{LUMO} the eigenvalue of the lowest unoccupied molecular orbital (electron affinity), and ϵ_{SOMO} the eigenvalue of the singly occupied molecular orbital (either the ionization potential or the electron affinity in terms of KT). Koopmans' theorem is valid for the first ionization potential of the HF wave function in a complete basis set. An issue with the expression for χ at the DFT level is that the energies of the Kohn-Sham (KS) orbitals can be quite different from the energies of the HF molecular orbitals depending on which exchange-correlation functional is used. KT rigorously applies to the HF method and approximate versions are available for DFT.²¹ A problem with DFT is that the IP given by the DFT HOMO energy with typical exchangecorrelation functionals is usually too small and it may not be appropriate to use the LUMO energy from DFT to estimate the EA because the DFT LUMO may be more indicative of the energy of the first excited state.³² Even though the DFT KS

orbital energies for most exchange-correlation functionals may not be good approximations for the IP and EA, a linear dependency of $|\epsilon_i^{\text{KS}} - \epsilon_i^{\text{HF}}|$ vs ϵ_i^{HF} has been previously established.^{33,34} Another approach is to directly evaluate IP and EA without using Koopman's theorem but with additional energy calculations on the corresponding ionic states: IP = $E(M^+) - E(M)$ and $EA = E(M) - E(M^-)$ where E(M), $E(M^+)$, and $E(M^{-})$ are total energies of the neutral, cationic, and anionic systems, respectively. However, the use of eqs 7-9, 20, and 21 requires only that a satisfactory linear correlation relationship between the calculated χ values exists independent of how the IP and EA values are calculated; i.e., it does not matter if Koopmans' theorem is valid for the absolute values as long as the linear correlation exists. We thus examined the possible linear relationships between the χ_m values calculated with and without the use of Koopmans' theorem.

Another issue to be addressed is the use of restricted or unrestricted open-shell DFT calculations for the radical. In principle, with the use of Koopmans' theorem, Mulliken's electronegativity of a radical, $\chi_r = -\epsilon_{SOMO}$, should be obtained from a restricted open-shell DFT calculation.²¹ The $-\epsilon_{SOMO}$ values obtained from unrestricted open-shell DFT calculations are generally larger than those from the corresponding restricted open-shell DFT calculation using the same exchange-correlation functional and the same basis set. We also examined whether a possible linear correlation relationship between these two kinds of $-\epsilon_{SOMO}$ values exists.

Reaction Free Energy from DFT Calculations. Because of the inclusion of correlation energy effects in DFT calculations, the enthalpic contribution to the free energy of the reaction of a monomer (M) with a radical (R[•]), $M + R^{\bullet} \rightarrow MR^{\bullet}$, is expected to be significantly more accurate at the DFT level than those obtained at the HF level. This expectation is confirmed by the excellent agreement of our calculated results with available experimental data for the addition of H to simple olefins.^{35–37} Previous computational studies have shown that the use of isodesmic reactions with some level of correlation energy treatment are a good way to predict heats of formation of molecules or in the case that we are interested in, relative C–H or C–C bond energies.^{38,39}A potentially better approach for us to predict $E_y(M + R^{\bullet} \rightarrow MR^{\bullet})$ is to evaluate the free energy of the following isodesmic reaction:

$$M + AR^{\bullet} \rightarrow MR^{\bullet} + A$$

in which A represents a monomer whose experimental reaction free energy, $E_y(A + R^{\bullet} \rightarrow AR^{\bullet})$, is available or for which we know the heats of formation of A and AR[•]. Given the reaction enthalpy, the free energy of the isodesmic reaction can be evaluated by adding the entropy contribution based on standard statistical mechanical calculations. This allows us to eliminate systematic errors in the calculation of the bond energy by relating it back to an established standard. Note that all E_{ν} values used in the present study refer to the Gibbs free energy of reaction. Thus, a notation ΔG_{v} , instead of E_{v} , may be more reasonable to represent this quantity but we have kept the traditional notation E_{v} for comparison with previous studies on the Q-e scheme.⁶ Because the isodesmic reaction free energy $E_y(M + AR^{\bullet} \rightarrow MR^{\bullet} + A)$ is $E_y(M + R^{\bullet} \rightarrow MR^{\bullet}) - E_y(A + R^{\bullet})$ \rightarrow AR•), we have $E_y(M + R^{\bullet} \rightarrow MR^{\bullet}) = E_y(M + AR^{\bullet} \rightarrow MR^{\bullet})$ $+ A) + E_{\nu}(A + R^{\bullet} \rightarrow AR^{\bullet})$. This suggests that the more reliable $E_y(M + R^{\bullet} \rightarrow MR^{\bullet})$ value, denoted by $E_y^{\text{estimate}}(M + R^{\bullet} \rightarrow MR^{\bullet})$, is the calculated $E_{\nu}(M + AR^{\bullet} \rightarrow MR^{\bullet} + A)$ value, denoted by $E_{v}^{\text{calc}}(M + AR^{\bullet} \rightarrow MR^{\bullet} + A) = E_{v}^{\text{calc}}(M + R^{\bullet} \rightarrow MR^{\bullet}) - E_{v}^{\text{calc}}$ $(A + R^{\bullet} \rightarrow AR^{\bullet})$, plus the experimental $E_{\nu}(A + R^{\bullet} \rightarrow AR^{\bullet})$ value, denoted by $E_y^{\text{expt}}(A + R^{\bullet} \rightarrow AR^{\bullet})$. Thus, we have $E_y^{\text{estimate}}(M + R^{\bullet} \rightarrow MR^{\bullet}) = E_y^{\text{calc}}(M + R^{\bullet} \rightarrow MR^{\bullet}) + E_y^{\text{expt}}(A + R^{\bullet} \rightarrow AR^{\bullet}) - E_y^{\text{calc}}(A + R^{\bullet} \rightarrow AR^{\bullet})$. When we use the same A and R[•] for a series of monomers (M), $E_y^{\text{expt}}(A + R^{\bullet} \rightarrow AR^{\bullet}) - E_y^{\text{calc}}(A + R^{\bullet} \rightarrow AR^{\bullet})$ is a constant correcting for possible systematic errors in the calculation of the reaction free energies. Obviously, the *Q* values given by the calibrated eqs 8 and 9 are independent of the $E_y^{\text{expt}}(A + R^{\bullet} \rightarrow AR^{\bullet}) - E_y^{\text{calc}}(A + R^{\bullet} \rightarrow AR^{\bullet})$ value and independent of whether the directly calculated $E_y(M + R^{\bullet} \rightarrow MR^{\bullet})$, i.e., $E_y^{\text{calc}}(M + R^{\bullet} \rightarrow MR^{\bullet})$, values or the more reliable $E_y^{\text{estimate}}(M + R^{\bullet} \rightarrow MR^{\bullet})$ values are used as E_y to calibrate eqs 8 and 9. Thus the directly calculated $E_y(M + R^{\bullet} \rightarrow MR^{\bullet})$ values can be used in the present calibration study.

Computational Details. Geometries of all monomers and the corresponding radicals formed from the addition of the H[•] and •CH₃ radicals to the monomers considered in this study were fully optimized by using gradient-corrected DFT with Becke's three-parameter hybrid exchange functional and the Lee-Yang-Parr correlation functional (B3LYP)⁴⁰ and with the 6-31G* basis set.¹⁶ Analytic second derivative calculations, which yield the harmonic vibrational frequencies, were performed at the optimized geometries to ensure that the optimized geometries are minima on the potential energy hypersurface (all real frequencies) and to evaluate the thermal correction (including zero-point vibration correction) to the Gibbs free energy of reaction. The geometries optimized at the B3LYP/6-31G* level were further refined at the B3LYP/6-31+G* level of theory. When there is more than one possible radical structure formed from the reaction of a monomer with H[•] or [•]CH₃, the results calculated for the lowest energy structure are used in the calibration. The lowest energy geometries optimized at the B3LYP/6-31+G* level were also used to perform single-point energy calculations with larger basis sets, i.e., the 6-311+G* and 6-311++G** basis sets.¹⁶ The correlation consistent basis sets aug-cc-pVDZ and aug-cc-pVTZ were also used in some energy calculations.⁴¹ The energies, $E(M^+)$ and $E(M^-)$, of the ionic states of each monomer M were calculated at the B3LYP/ 6-31+G* level by using the geometry of the neutral monomer optimized at the B3LYP/6-31+G* level. Hence, the IP = $E(M^+)$ - E(M) and $EA = E(M) - E(M^{-})$ values determined by these DFT energy calculations are the vertical ionization potential and vertical electron affinity, respectively.

All of the B3LYP DFT calculations on the open-shell systems, i.e., radicals and ionic states of monomers, described above were performed by using the unrestricted open-shell Kohn–Sham formalism. For comparison, we also performed B3LYP/6-31+G* DFT energy calculations using the restricted open-shell Kohn–Sham equation (ROB3LYP/6-31+G*) on radicals by using the geometries optimized with the unrestricted open-shell Kohn–Sham equation at the B3LYP/6-31+G* level. All the calculations were performed by using the *Gaussian98* program⁴² on a 16-processor SGI Origin 2000 computer.

Results and Discussion

To predict Q-e parameters using eqs 7–9, 20, and 21, we need to determine the empirical constants in these equations. The reliability of the parameters is dependent not only on the theory used to obtain these relationships and the computational approach but also on the reliability of the experimental values of the Q-e parameters used in the calibration. The most popular experimental Q and e values in use are those listed by Greenley in the "Polymer Handbook".⁴³ Laurier et al.⁸ have pointed out the deficiencies in the calculation and listing procedure used by Greenley and proposed an approach to determine Q and e values by using a statistically correct process. However, they determined the Q and e values for only 11 monomers including the reference monomer, styrene; these are the first 11 molecules listed in Table 1. We will discuss the calibration using both the Greenley and Laurier et al. sets of the Q and e values; all the Q and e values used are listed in Table 1.

Calibration of the *e* **Parameter.** Before discussing the relationship between the experimental *e* and calculated electronegativity (χ_{av} or χ_m) values, we first compared the χ_{av} and χ_m values calculated using different computational approaches. It has been demonstrated³² that DFT calculations can reliably predict vertical molecular ionization potentials (IP) and electron affinities (EA) by total energy calculations. Thus, the (IP + EA)/2 values of the 27 monomers calculated without using Koopmans' theorem at the B3LYP/6-31+G*//B3LYP/6-31+G* level can be used to benchmark the χ_m values calculated using more sites gives an excellent relationship (in hartrees),

$$(IP + EA)/2 = 0.8341[-(\epsilon_{HOMO} + \epsilon_{LUMO})/2] + 0.03735$$
(27)

with a correlation coefficient (*R*) of 0.952 and a root-meansquare deviations (RMSD) of 0.006 518 hartree (\sim 0.177 eV). This demonstrates that the use of Koopmans' theorem in our DFT calculations of the electronegativity is satisfactory for the purpose of this study in that all we need is to have a linear relationship. A more detailed theoretical study on linear correlation relationships for the IP, EA, electronegativity, etc. between the DFT results calculated with and without using Koopmans' theorem is currently in progress in our laboratory.

We also found an excellent linear relationship between the $-\epsilon_{\rm SOMO}$ values (used in calculating the radical's electronegativity, χ_r) calculated for the 27 radicals (formed from the monomer + H[•]) using the unrestricted and restricted Kohn–Sham equations at the B3LYP/6-31+G*//B3LYP/6-31+G* level,

$$-\epsilon_{\text{SOMO}}(\text{unrestricted}) = 1.398[-\epsilon_{\text{SOMO}}(\text{restricted})] + 0.07429 \text{ (hartree)} (28)$$

with R = 0.970 and RMSD = 0.007 70 hartree (~0.209 eV). This demonstrates that it does not matter in the calculation of $\chi_r = -\epsilon_{SOMO}$ whether the unrestricted or restricted open-shell DFT calculations on radicals, as again, all we need is a linear relationship. Unless indicated, all χ_{av} and χ_m values in the discussion below are based on the use of Koopmans' theorem and the ϵ_{SOMO} from the unrestricted Kohn–Sham equations.

We first discuss possible linear relationships between the evalues determined by Laurier et al.⁸ and the χ_{av} and χ_m values calculated for the reactions of monomers with the H radical. The results are summarized in Table 2, and some of the calculated results are depicted in Figures 1 and 2 in comparison with the corresponding experimental e values. As shown in Table 2 for the DFT calculations at each level of theory, the linear correlation relationship between the e and χ_m values is as good as the linear relationship between the *e* and χ_{av} values. The correlation based on the DFT calculations using the restricted Kohn-Sham equation (at the ROB3LYP/6-31+G*// B3LYP/6-31+G* level) is slightly worse than that using the unrestricted Kohn-Sham equation (at the B3LYP/6-31+G*// B3LYP/6-31+G* level). The largest R value (best fit) is associated with the linear correlation relationship between the experimental e values and the (IP + EA)/2 values calculated by

TABLE 2:	Linear	Correlation	between th	e Calculated	Electronegativities	and the	Experimental	e Values	Determined	by
Laurier et a	al. ⁸				U		-			•

	results						
$method^a$	linear equation ^b	R^{c}	\mathbf{RMSD}^{d}				
reaction of monomer with H•	$\chi_{\rm m} = -(\epsilon_{\rm HOMO} + \epsilon_{\rm LUMO})/2$						
	$\chi_r = -\epsilon_{SOMO}$						
B3LYP/6-31G*//B3LYP/6-31G*	$e = 35.06 \chi_{av} - 5.922$	0.921(11)	0.297				
B3LYP/6-31+G*//B3LYP/6-31G*	$e = 34.72\chi_{av} - 6.285$	0.930(11)	0.281				
B3LYP/6-31+G*//B3LYP/6-31+G*	$e = 34.77 \chi_{av} - 6.295$	0.930(11)	0.281				
ROB3LYP/6-31+G*//B3LYP/6-31+G*	$e = 43.53 \chi_{av} - 5.481$	0.909(11)	0.319				
B3LYP/6-311+G*//B3LYP/6-31+G*	$e = 34.78 \chi_{av} - 6.366$	0.933(11)	0.276				
B3LYP/6-311++G**//B3LYP/6-31+G*	$e = 35.05 \chi_{av} - 6.437$	0.930(11)	0.280				
B3LYP/6-31G*//B3LYP/6-31G*	$e = 43.33 \chi_{\rm m} - 6.061$	0.919(11)	0.302				
B3LYP/6-31+G*//B3LYP/6-31G*	$e = 42.75 \chi_{\rm m} - 6.598$	0.932(11)	0.277				
B3LYP/6-31+G*//B3LYP/6-31+G*	$e = 42.88 \gamma_{\rm m} - 6.622$	0.932(11)	0.277				
B3LYP/6-311+G*//B3LYP/6-31+G*	$e = 42.64 \chi_{\rm m} - 6.672$	0.932(11)	0.278				
B3LYP/6-311++G**//B3LYP/6-31+G*	$e = 43.11 \chi_{\rm m} - 6.787$	0.929(11)	0.284				
reaction of monomer with H*	$\gamma_{\rm m} = -({\rm IP} + {\rm EA})/2$						
B3LYP/6-31+G*//B3LYP/6-31+G*	$e = 43.54 \chi_{\rm m} - 7.054$	0.959(11)	0.218				
reaction of monomer with •CH ₃	$\chi_{\rm m} = -(\epsilon_{\rm HOMO} + \epsilon_{\rm LUMO})/2$						
	$\gamma_r = -\epsilon_{SOMO}$						
B3LYP/6-31G*//B3LYP/6-31G*	$e = 36.95 \chi_{av} - 6.248$	0.935(11)	0.271				
B3LYP/6-31+G*//B3LYP/6-31G*	$e = 36.34 \chi_{av} - 6.582$	0.941(11)	0.259				

^{*a*} Unless indicated by ROB3LYP, all DFT calculations on open-shell systems were performed using the unrestricted open-shell Kohn–Sham equations. ROB3LYP refers to the DFT calculations on radicals using restricted open-shell Kohn–Sham equations. ^{*b*} Fit to the *e* values of Laurier et al.⁸ $\chi_{av} = (\chi_m + \chi_r)/2$. The calculated χ_m , χ_r , and χ_{av} values are all given in au (i.e., hartrees). ^{*c*} Linear correlation coefficient. Values in parentheses are the number of data points used for the least-squares fitting. ^{*d*} Root-mean-square deviation of the calculated *e* values from the experimental *e* values.



Figure 1. Plot of the various calculated versus experimental *e* values. The calculated *e* values are evaluated by use of the relationship $e = a_e \chi_{av} + b_e$, in which $\chi_{av} = -(\epsilon_{\text{HOMO}} + \epsilon_{\text{LUMO}})/4 - \epsilon_{\text{SOMO}}/2$, for the reactions with the H radical based on the experimental *e* values determined by Laurier et al.⁸

directly calculating the total energies. The fact, as shown by eq 24, that there is a linear relationship between the directly calculated electronegativities and those from KT, is consistent with the act that the correlation relationships based on the DFT calculations using Koopmans' theorem are also adequate.

The basis set dependence of the results can be seen from the changes in the *R* values and the corresponding RMSD values for the reaction of the monomer with the H radical. As shown in Table 2, no matter whether χ_{av} or χ_m is used, from B3LYP/6-31G*//B3LYP/6-31G* to B3LYP/6-31+G*//B3LYP/6-31G*, *R* changes by only ~0.01. Improving the quality of the basis set does not further improve the results; *R* is always ~0.93, as shown in Table 2 and Figure 1. These results demonstrate that DFT calculations at the B3LYP/6-31+G*//B3LYP/6-31G* level and χ values determined by KT are sufficient. Hence, we only performed DFT calculations on the reactions with the methyl



Figure 2. Plot of the calculated versus experimental *e* values. The calculated *e* values are evaluated by use of the relationship $e = a_e \chi_{av} + b_e$, in which $\chi_{av} = -(\epsilon_{\text{HOMO}} + \epsilon_{\text{LUMO}})/4 - \epsilon_{\text{SOMO}}/2$, for the reactions with the methyl radical based on the experimental *e* values determined by Laurier et al.⁸

radical at the B3LYP/6-31+G*//B3LYP/6-31G* level. The results based on the calculations at the B3LYP/6-31G*//B3LYP/ 6-31G* level are also listed in Table 2 for comparison. The linear relationship based on the DFT calculations on the reactions of the monomer with the methyl radical at the B3LYP/ $6-31+G^*//B3LYP/6-31G^*$ level is slightly better than the corresponding linear relationship based on the DFT calculations on the reactions of the monomer with the H radical at the same level.

The quality of the linear correlation between the 27 experimental *e* values listed by Greenley and the χ_{av} values calculated at various levels for the reaction with H radical is shown in Figure 3. Figure 3a reveals that the results calculated at the B3LYP/6-31G*//B3LYP/6-31G* level are only slightly worse than those calculated with larger basis sets, and that the results



Figure 3. Plots of the calculated versus experimental *e* values for all of the 27 monomers (a), the 26 monomers without tetrafluoroethylene (b), and the first 11 monomers (c). The calculated *e* values are evaluated by use of the relationship $e = a_e \chi_{av} + b_e$, in which $\chi_{av} = -(\epsilon_{HOMO} + \epsilon_{LUMO})/4 - \epsilon_{SOMO}/2$, for the reactions with the H radical based on the experimental *e* values listed by Greenley.⁴³

calculated with the larger basis sets are nearly identical. As shown in Figure 3a, the calculated e values are, on the whole, in good agreement with the corresponding experimental e values, although the deviations are a little larger for a few monomers including tetrafluoroethylene, which will be discussed below as an exception. The linear correlation relationships for the other



Figure 4. Plot of the calculated versus experimental *e* values. The calculated *e* values are evaluated by use of the relationship $e = a_e \chi_{av} + b_e$, in which $\chi_{av} = -(\epsilon_{\text{HOMO}} + \epsilon_{\text{LUMO}})/4 - \epsilon_{\text{SOMO}}/2$, for the reactions with the methyl radical based on the experimental *e* values listed by Greenley.⁴³

26 monomers excluding tetrafluoroethylene and for the first 11 monomers in Table 1 are shown in Figures 3b,c and 4 and summarized in Table 3. The three additional monomers showing significant deviations (see Figure 3b) are allyl alcohol, propene, and vinyl fluoride. As shown in Table 3, most of the observations for the correlation between the experimental *e* values determined by Laurier et al. and the calculated electronegativities are also correct for the correlation between the experimental *e* values listed by Greenley and the calculated results. Two minor but noticeable changes are that the correlation of the e values with the $\chi_{\rm m}$ values is slightly worse than that with the $\chi_{\rm av}$ values, and that the correlation with the (IP + EA)/2 values calculated without using Koopmans' theorem is not any better for the 26 monomers.

We also examined whether there is a linear relationship between the e values determined by Laurier et al.⁸ and those by Greenley²⁴ for the 11 monomers listed in Table 1 and found a linear relationship with R = 0.876 and RMSD = 0.326. This implies a significant experimental error, at least for the *e* values listed by Greenley if the *e* values determined by Laurier et al. are believed to be more accurate. It also suggests that as long as the correlation coefficient R between the experimental evalues and calculated electronegativities is greater than 0.876, then a good fit has been achieved. As shown in Tables 2 and 3, the correlation coefficients of all of the linear relationships between the experimental *e* and calculated χ_{av} values are larger than 0.876, illustrating that the calibrated relationships are satisfactory. The differences between the two sets of experimental e values most likely come primarily from the errors of the e values listed by Greenley. Thus, it is not difficult to understand why we observe some "abnormal" changes (although negligible) of the correlations in Table 3, e.g., the "best" correlation is accidently associated with the smallest basis set. Actually, because of the likely errors in the *e* values listed by Greenley, we cannot really distinguish between any of the DFT calculations.

Calibration of the *Q* **Parameter.** The differences between the two sets of experimental $\ln Q$ values are much smaller than the differences between the two sets of experimental *e* values. Between the two sets of $\ln Q$ values, there is an excellent linear correlation relationship with R = 0.993 and RMSD = 0.162 in

TABLE 3:	Linear	Correlation	between th	e Calculated	1 Electronegativit	ties and the	Experimental e	Values Listed by	Greenley ⁴³

	results					
$method^a$	linear equation ^b	R^{c}	\mathbf{RMSD}^d			
reaction of monomer with H•	$\chi_{\rm m} = -(\epsilon_{\rm HOMO} + \epsilon_{\rm LUMO})/2$					
	$\chi_{\rm r} = -\epsilon_{\rm SOMO}$					
B3LYP/6-31G*//B3LYP/6-31G*	$e = 32.65\chi_{av} - 5.545$	0.970(11)	0.165			
B3LYP/6-31+G*//B3LYP/6-31G*	$e = 31.56\chi_{av} - 5.734$	0.955(11)	0.201			
B3LYP/6-31+G*//B3LYP/6-31+G*	$e = 31.58\chi_{av} - 5.740$	0.954(11)	0.202			
ROB3LYP/6-31+G*//B3LYP/6-31+G*	$e = 39.75\chi_{av} - 5.029$	0.937(11)	0.235			
B3LYP/6-311+G*//B3LYP/6-31+G*	$e = 31.37\chi_{av} - 5.762$	0.950(11)	0.210			
B3LYP/6-311++G**//B3LYP/6-31+G*	$e = 31.72\chi_{av} - 5.846$	0.951(11)	0.208			
B3LYP/6-31G*//B3LYP/6-31G*	$e = 38.60\chi_{\rm m} - 5.415$	0.925(11)	0.257			
B3LYP/6-31+G*//B3LYP/6-31G*	$e = 37.12\chi_{\rm m} - 5.738$	0.914(11)	0.274			
B3LYP/6-31+G*//B3LYP/6-31+G*	$e = 37.22\chi_{\rm m} - 5.757$	0.914(11)	0.274			
B3LYP/6-311+G*//B3LYP/6-31+G*	$e = 36.84\chi_{\rm m} - 5.772$	0.909(11)	0.281			
B3LYP/6-311++G**//B3LYP/6-31+G*	$e = 37.45\chi_{\rm m} - 5.904$	0.911(11)	0.279			
B3LYP/6-31G*//B3LYP/6-31G*	$e = 32.71\chi_{\rm av} - 5.538$	0.908(26)	0.416			
B3LYP/6-31+G*//B3LYP/6-31G*	$e = 33.76\chi_{\rm av} - 6.164$	0.913(26)	0.404			
B3LYP/6-31+G*//B3LYP/6-31+G*	$e = 33.70\chi_{\rm av} - 6.156$	0.914(26)	0.401			
ROB3LYP/6-31+G*//B3LYP/6-31+G*	$e = 39.06\chi_{\rm av} - 4.929$	0.903(26)	0.426			
B3LYP/6-311+G*//B3LYP/6-31+G*	$e = 33.88\chi_{\rm av} - 6.256$	0.913(26)	0.405			
B3LYP/6-311++G**//B3LYP/6-31+G*	$e = 35.04\chi_{\rm av} - 6.511$	0.913(26)	0.405			
B3LYP/6-31G*//B3LYP/6-31G*	$e = 32.96\chi_{\rm m} - 4.525$	0.873(26)	0.483			
B3LYP/6-31+G*//B3LYP/6-31G*	$e = 35.64 \chi_{\rm m} - 5.467$	0.885(26)	0.462			
B3LYP/6-31+G*//B3LYP/6-31+G*	$e = 35.68 \chi_{\rm m} - 5.479$	0.886(26)	0.459			
B3LYP/6-311+G*//B3LYP/6-31+G*	$e = 35.90\chi_{\rm m} - 5.590$	0.884(26)	0.463			
B3LYP/6-311++G**//B3LYP/6-31+G*	$e = 38.11\chi_{\rm m} - 6.004$	0.881(26)	0.469			
reaction of monomer with H•	$\chi_{\rm m} = -({\rm IP} + {\rm EA})/2$					
B3LYP/6-31+G*//B3LYP/6-31+G*	$e = 37.09\chi_{\rm m} - 6.012$	0.923(11)	0.261			
B3LYP/6-31+G*//B3LYP/6-31+G*	$e = 39.97 \chi_{\rm m} - 6.585$	0.872(26)	0.485			
reaction of monomer with •CH ₃	$\chi_{\rm m} = -(\epsilon_{\rm HOMO} + \epsilon_{\rm LUMO})/2$					
	$\chi_{\rm r} = -\epsilon_{\rm SOMO}$					
B3LYP/6-31G*//B3LYP/6-31G*	$e = 33.61\chi_{\rm av} - 5.705$	0.961(11)	0.188			
B3LYP/6-31+G*//B3LYP/6-31G*	$e = 32.22\chi_{\rm av} - 5.852$	0.943(11)	0.226			

^{*a*} Unless indicated by ROB3LYP, all DFT calculations on open-shell systems were performed using the unrestricted open-shell Kohn–Sham equations. ROB3LYP refers to the DFT calculations on radicals using restricted open-shell Kohn–Sham equations. ^{*b*} Fit to the *e* values determined by Greenley.⁴³ $\chi_{av} = (\chi_m + \chi_r)/2$. The calculated χ_m, χ_r , and χ_{av} values are all given in au (i.e., hartrees). ^{*c*} Linear correlation coefficient. Values (*n*) in parentheses are the numbers of data used for the least-squares fitting. Fitting with *n* = 11 refers to the same 11 monomers for which the *e* values determined by Laurier et al.⁸ are available. ^{*d*} Root-mean-square deviation of the calculated *e* values from the experimental *e* values.

contrast to the values discussed above for the *e* values. The two sets of experimental Q values are consistent with each other at least for the 11 monomers. Hence, the correlations of the calculated results with the two sets of $\ln Q$ values for the first 11 monomers are very similar as shown in Tables 4 and 5 and Figures 5 -12.

As shown in Table 4 for the calibration of eq 8 with the Qvalues determined by Laurier et al., the calibrated results are nearly identical, independent of whether χ_{av} or χ_m is used as χ in eq 8. Thus we only listed the calibration results with χ_m in Table 5 for the calibration with the Q values listed by Greenley. As shown in Figure 9a, the calculated ln Q values based on the reaction with the H radical are, on the whole, in good agreement with the corresponding experimental data listed by Greenley. The major exception is tetrafluoroethylene (C_2F_4) whose ln Q value of ~0.52 calculated at the B3LYP/6-31+G* level leads to a Q value that is ~4.0 larger than the corresponding experimental Q = 0.032 (ln Q = -3.44). This unexpected deviation could be due to an error in our DFT calculations, or the simplified model used in the correlation analysis, or problems in the experimental interpretation of the data. It is distinctly possible that C_2F_4 with its very different total σ and π bond energies as compared to a normal olefin does not fit on the same set of curves as those of other hydrocarbon-type olefins.

To determine whether the DFT calculations are responsible for the large deviation for the ln Q value of C₂F₄, we also used eq 9, i.e., the simple linear relationship between ln Q and E_y (the Gibbs free energy of reaction), in the calibration with the same 27 monomers at the same level of theory. The $\ln Q$ values determined by using the eq 9 are compared with the corresponding experimental data in Figure 10a. As shown by comparison between Figures 9a and 10a, the $\ln Q$ values determined by eq 9 do not dramatically differ from the corresponding $\ln Q$ values determined by eq 8, showing that the $\ln Q$ value is dominated by the reaction free energy. The calculated reaction free energy (E_v) for $C_2F_4 + H^{\bullet} \rightarrow CHF_2$ -CF₂• is not sensitive to the basis set used in the DFT calculations. The E_v value of -45.94 kcal/mol calculated with the 6-31+G* basis set is very close to the E_v values of -46.15 kcal/mol with the aug-cc-pVDZ basis set and -45.87 kcal/mol with the augcc-pVTZ basis set. The calculated E_v value of ~ -46 kcal/mol is only \sim 3 kcal/mol larger than the free energy of reaction, -49 kcal/mol, derived from available thermodynamic data. A similar error of \sim 3 kcal/mol is found in the addition of H to C₂H₄ to form C₂H₅^{•.44} Assuming for tetrafluoroethylene that the deviation of the calculated $\ln Q$ value from the experimental value is completely attributed to the error in the DFT calculation of E_{y} , an ideal E_{y} value for a perfect fit to the experimental Q value of 0.032 (ln Q = -3.44) would be ~ -35 kcal/mol. The $E_{\rm v}$ value expected from the experimental Q value of 0.032 differs by ~ 11 kcal/mol, as compared to our calculated E_y value of \sim -46 kcal/mol, and by \sim 14 kcal/mol, as compared to the experimental E_v value ~-49 kcal/mol. Thus, the dramatic deviation of the calculated $\ln Q$ value from the experimental \ln Q value for tetrafluoroethylene is possibly due to the simplified model itself, although possible experimental uncertainty for this particular system has been pointed out by other authors.⁶ Further

TABLE 4: Correlation of the Calculated Reaction Free Energies and Electronegativities with the Experimental Q Values Determined by Laurier et al.⁸

	$\operatorname{results}^b$					
$method^a$	$\ln Q$	R^{c}	$RMSD^d$			
reaction of monomer with H•	use $\chi_{\rm m} = -(\epsilon_{\rm HOMO} + \epsilon_{\rm LUMO})/2$; $\chi_{\rm r} = -\epsilon_{\rm SOMO}$					
B3LYP/6-31G*//B3LYP/6-31G*	$-1128E_{\rm y} + 459.2\chi_{\rm av} + 5314E_{\rm y}\chi_{\rm av} - 98.176$	0.964(11)	0.356			
B3LYP/6-31+G*//B3LYP/6-31G*	$-1336E_{\rm y} + 521.0\chi_{\rm av} + 6074E_{\rm y}\chi_{\rm av} - 115.334$	0.973(11)	0.307			
B3LYP/6-31+G*//B3LYP/6-31+G*	$-1343E_{y} + 523.8\chi_{av} + 6106E_{y}\chi_{av} - 115.861$	0.973(11)	0.305			
ROB3LYP/6-31+G*//B3LYP/6-31+G*	$-1395E_{y} + 743.5\chi_{av} + 8973E_{y}\chi_{av} - 116.305$	0.974(11)	0.300			
B3LYP/6-311+G*//B3LYP/6-31+G*	$-1415E_{y} + 537.6\chi_{av} + 6432E_{y}\chi_{av} - 118.983$	0.979(11)	0.269			
B3LYP/6-311++G**//B3LYP/6-31+G*	$-1424E_{y} + 542.3\chi_{av} + 6460E_{y}\chi_{av} - 120.207$	0.979(11)	0.273			
B3LYP/6-31G*//B3LYP/6-31G*	$-1319E_{\rm y} + 507.9\chi_{\rm m} + 7772E_{\rm y}\chi_{\rm m} - 86.747$	0.982(11)	0.255			
B3LYP/6-31+G*//B3LYP/6-31G*	$-1515E_{y} + 532.0\chi_{m} + 8249E_{y}\chi_{m} - 98.274$	0.987(11)	0.217			
B3LYP/6-31+G*//B3LYP/6-31+G*	$-1523E_{y} + 535.1\chi_{m} + 8298E_{y}\chi_{m} - 98.826$	0.986(11)	0.218			
B3LYP/6-311+G*//B3LYP/6-31+G*	$-1569E_{y} + 527.1\chi_{m} + 8471E_{y}\chi_{m} - 98.215$	0.990(11)	0.188			
B3LYP/6-311++G**//B3LYP/6-31+G*	$-1595E_{y} + 537.6\chi_{m} + 8591E_{y}\chi_{m} - 100.427$	0.989(11)	0.194			
B3LYP/6-31G*//B3LYP/6-31G*	$-252.1E_{y} - 22.501$	0.905(11)	0.565			
B3LYP/6-31+G*//B3LYP/6-31G*	$-257.7E_{y} - 22.818$	0.905(11)	0.566			
B3LYP/6-31+G*//B3LYP/6-31+G*	$-257.8E_{y} - 22.831$	0.905(11)	0.566			
ROB3LYP/6-31+G*//B3LYP/6-31+G*	$-288.4E_{y} - 24.802$	0.902(11)	0.575			
B3LYP/6-311+G*//B3LYP/6-31+G*	$-258.2E_{y} - 22.256$	0.905(11)	0.565			
B3LYP/6-311++G**//B3LYP/6-31+G*	$-257.8E_{y} - 22.354$	0.909(11)	0.556			
reaction of monomer with H•	use $\chi_{\rm m} = -({\rm IP} + {\rm EA})/2$					
B3LYP/6-31+G*//B3LYP/6-31+G*	$-1653E_{\rm y} + 746.7\chi_{\rm m} + 8660E_{\rm y}\chi_{\rm m} - 143.177$	0.984(11)	0.240			
reaction of monomer with •CH ₃	use $\chi_{\rm m} = -(\epsilon_{\rm HOMO} + \epsilon_{\rm LUMO})/2$					
B3LYP/6-31G*//B3LYP/6-31G*	$-1225E_{\rm y}+209.9\chi_{\rm av}+5829E_{\rm y}\chi_{\rm av}-44.654$	0.969(11)	0.328			
B3LYP/6-31+G*//B3LYP/6-31G*	$-1399E_{y} + 202.6\chi_{av} + 6342E_{y}\chi_{av} - 45.233$	0.980(11)	0.267			
B3LYP/6-31G*//B3LYP/6-31G*	$-1330E_{\rm y} + 284.3\chi_{\rm m} + 7826E_{\rm y}\chi_{\rm m} - 48.745$	0.983(11)	0.243			
B3LYP/6-31+G*//B3LYP/6-31G*	$-1479E_{\rm y}+256.7\chi_{\rm m}+7989E_{\rm y}\chi_{\rm m}-48.002$	0.989(11)	0.200			
B3LYP/6-31G*//B3LYP/6-31G*	$-265.4E_{y} - 9.967$	0.908(11)	0.559			
B3LYP/6-31+G*//B3LYP/6-31G*	$-273.0E_{y} - 9.125$	0.911(11)	0.548			

^{*a*} Unless indicated by ROB3LYP, all DFT calculations on open-shell systems were performed using the unrestricted open-shell Kohn–Sham equations. ROB3LYP refers to the DFT calculations on radicals using restricted open-shell Kohn–Sham equations. ^{*b*} Fitting to the ln Q values determined by Laurier et al.⁸ $\chi_{av} = (\chi_m + \chi_r)/2$. E_y is the Gibbs free energy of reaction, i.e., the reaction energy plus thermal correction (including zero-point vibration correction) to the Gibbs free energy of reaction. The calculated χ_m , χ_r , χ_{av} , and E_y values are all given in au. ^{*c*} Correlation coefficient. Values in parentheses are the numbers of data used for the least-squares fitting. ^{*d*} Root-mean-square deviation of the calculated ln Q values from the corresponding experimental ln Q values.

ab initio and experimental studies on the free-radical reactions of this monomer are desired. For this reason, tetrafluoroethylene should be excluded from our calibration to obtain more reliable explicit relationships for predicting the Q and e values of monomers whose experimental Q and e values are not available, although the inclusion of tetrafluoroethylene in the calibrations does not dramatically change the calculated Q and e values for other monomers as Figures 3, 9, and 10 show.

The data in Tables 4 and 5 indicate that the calibration of eq 8 always gives a correlation coefficient *R* value of ~0.98 for the 11 monomers or ~0.97 for the 26 monomers, regardless of the size of the basis set, independent of whether the H radical or the methyl radical is considered, and independent of whether Koopmans' theorem is used or not. The linear correlation of the experimental ln *Q* values with the calculated E_y values, i.e., eq 9, is also good, with R = ~0.89-0.91 for the first 11 monomers and R = ~0.96 for the 26 monomers. In addition, we also used eq 21 and found no significant changes of the *R* and RMSD values going from eq 9 to eq 21. These results confirm that these *Q* values in the *Q*-*e* scheme are mainly determined by the reaction free energies.

Comparison with Previous Computational Results. On the basis of ab initio HF/STO-3G calculations on some substituted ethylenes, Colthup tested a linear correlation relationship between the previously used experimental e values and the total electron densities on the =CH₂ carbon and a linear correlation relationship between the previously used experimental ln Q values and the calculated reaction energies.¹⁴ In a later study, which is the latest and most extensive computational study prior to the present one,⁶ Rogers et al. found that the two linear relationships proposed by Colthup do not work with the more

recently established experimental Q and e values. Rogers et al.'s computational studies are based on ab initio HF calculations (in some cases with larger basis sets than STO-3G), and also used eqs 7-9. The results of our calibration study can directly be compared with those reported by Rogers et al. because both Rogers et al.'s and ours are based on the same resources of experimental Q and e values (i.e., those determined by Greenley and Laurier et al.). In particular, the 11 molecules from Laurier et al.'s experimental data set are exactly the same. Compared to our explicit linear relationships between the parameter e and the DFT-calculated χ_{av} values, Rogers et al.'s explicit linear relationships⁶ between the parameter e and the χ_{av} values calculated at the HF/STO-3G level have smaller correlation coefficient R values, 0.828 with Greenley's data and 0.813 with Laurier et al.'s data. Rogers et al.'s explicit linear relationships⁶ based on the HF calculations using larger than minimal basis sets can be considered to be equally good because the correlation coefficient R values (0.889-0.969) are close to ours and are always larger than the correlation coefficient (0.876) of the linear relationship between the experimental e values listed by Greenley and the experimental *e* values determined by Laurier et al. This shows that the electronegativities based on both DFT and HF theory are equally good for the purpose of the correlation analysis. However, the situation is quite different for the calculated energetic properties. Rogers et al.'s calibration study on the parameter Q used eqs 8 and 9 (in which $\chi = \chi_m$) for the 11 monomers for which the Laurier et al. data are available. For the reaction of these 11 monomers with the H radical, the correlation coefficient R^2 of their calibrated eq 8 is 0.77–0.85 compared to the R^2 values of 0.964–0.980 (corresponding to R = 0.982 - 0.990) of our calibrated eq 8, and the correlation

TABLE 5:	Correlation	of the	Calculated	Reaction	Free	Energies a	nd	Electronegativities	with	the	Experimental	Q	Values	Listed
by Greenley	y ⁴³					0		0			-	~		

	results ^b					
$method^{a}$	$\ln Q$	R^c	\mathbf{RMSD}^d			
reaction of monomer with H•	use $\chi_{\rm m} = -(\epsilon_{\rm HOMO} + \epsilon_{\rm LUMO})/2$					
B3LYP/6-31G*//B3LYP/6-31G*	$-1211E_{\rm y} + 472.9\chi_{\rm m} + 7197E_{\rm y}\chi_{\rm m} - 80.066$	0.977(11)	0.258			
B3LYP/6-31+G*//B3LYP/6-31G*	$-1374E_{\rm y} + 487.4\chi_{\rm m} + 7519E_{\rm y}\chi_{\rm m} - 89.608$	0.980(11)	0.238			
B3LYP/6-31+G*//B3LYP/6-31+G*	$-1382E_{\rm y} + 490.3\chi_{\rm m} + 7562E_{\rm y}\chi_{\rm m} - 90.104$	0.980(11)	0.238			
B3LYP/6-311+G*//B3LYP/6-31+G*	$-1416E_{\rm y} + 479.7\chi_{\rm m} + 7669E_{\rm y}\chi_{\rm m} - 89.067$	0.982(11)	0.225			
B3LYP/6-311++G**//B3LYP/6-31+G*	$-1440E_{y} + 489.6\chi_{m} + 7784E_{y}\chi_{m} - 91.137$	0.982(11)	0.228			
B3LYP/6-31G*//B3LYP/6-31G*	$-225.4E_{y} - 15.280$	0.895(11)	0.539			
B3LYP/6-31+G*//B3LYP/6-31G*	$-230.4E_{y} - 15.457$	0.895(11)	0.537			
B3LYP/6-31+G*//B3LYP/6-31+G*	$-230.5E_{y} - 15.467$	0.895(11)	0.537			
ROB3LYP/6-31+G*//B3LYP/6-31+G*	$-259.8E_{y} - 22.387$	0.899(11)	0.528			
B3LYP/6-311+G*//B3LYP/6-31+G*	$-230.7E_{y} - 14.932$	0.894(11)	0.538			
B3LYP/6-311++G**//B3LYP/6-31+G*	$-230.5E_{y} - 15.042$	0.898(11)	0.529			
B3LYP/6-31G*//B3LYP/6-31G*	$-417.6E_{\rm y} + 73.35\chi_{\rm m} + 1034E_{\rm y}\chi_{\rm m} - 28.583$	0.970(26)	0.508			
B3LYP/6-31+G*//B3LYP/6-31G*	$-460.7E_{y} + 82.11\chi_{m} + 1176E_{y}\chi_{m} - 31.232$	0.970(26)	0.505			
B3LYP/6-31+G*//B3LYP/6-31+G*	$-460.0E_{y} + 81.38\chi_{m} + 1167E_{y}\chi_{m} - 31.167$	0.970(26)	0.506			
B3LYP/6-311+G*//B3LYP/6-31+G*	$-469.2E_{y} + 82.47\chi_{m} + 1213E_{y}\chi_{m} - 30.827$	0.970(26)	0.506			
B3LYP/6-311++G**//B3LYP/6-31+G*	$-477.4E_{y} + 86.64\chi_{m} + 1265E_{y}\chi_{m} - 31.637$	0.970(26)	0.502			
B3LYP/6-31G*//B3LYP/6-31G*	$-295.2E_{y} - 19.846$	0.962(26)	0.569			
B3LYP/6-31+G*//B3LYP/6-31G*	$-297.6E_{y} - 19.816$	0.962(26)	0.570			
B3LYP/6-31+G*//B3LYP/6-31+G*	$-297.7E_{y} - 19.822$	0.962(26)	0.570			
ROB3LYP/6-31+G*//B3LYP/6-31+G*	$-324.3E_{y} - 20.790$	0.961(26)	0.577			
B3LYP/6-311+G*//B3LYP/6-31+G*	$-298.9E_{y} - 19.199$	0.961(26)	0.577			
B3LYP/6-311++G**//B3LYP/6-31+G*	$-296.0E_y - 19.168$	0.962(26)	0.566			
reaction of monomer with H•	use $\chi_{\rm m} = -({\rm IP} + {\rm EA})/2$					
B3LYP/6-31+G*//B3LYP/6-31+G*	$-1366E_{\rm y} + 428.5\chi_{\rm m} + 7112E_{\rm y}\chi_{\rm m} - 82.774$	0.983(11)	0.220			
B3LYP/6-31+G*//B3LYP/6-31+G*	$-520.4E_{\rm y} + 97.86\chi_{\rm m} + 1415E_{\rm y}\chi_{\rm m} - 35.199$	0.969(26)	0.514			
reaction of monomer with •CH ₃	use $\chi_{\rm m} = -(\epsilon_{\rm HOMO} + \epsilon_{\rm LUMO})/2$					
B3LYP/6-31G*//B3LYP/6-31G*	$-1242E_{\rm y}+271.6\chi_{\rm m}+7412E_{\rm y}\chi_{\rm m}-45.900$	0.977(11)	0.259			
B3LYP/6-31+G*//B3LYP/6-31G*	$-1366E_{\rm y}+241.8\chi_{\rm m}+7453E_{\rm y}\chi_{\rm m}-44.744$	0.980(11)	0.240			
B3LYP/6-31G*//B3LYP/6-31G*	$-235.2E_y - 3.791$	0.890(11)	0.550			
B3LYP/6-31+G*//B3LYP/6-31G*	$-241.8E_{y}-2.895$	0.892(11)	0.544			

^{*a*} Unless indicated by ROB3LYP, all DFT calculations on open-shell systems were performed using the unrestricted open-shell Kohn–Sham equations. ROB3LYP refers to the DFT calculations on radicals using restricted open-shell Kohn–Sham equations. ^{*b*} Fitting to the ln *Q* values determined by Greenley.⁴³ E_y is the Gibbs free energy of reaction, i.e., the reaction energy plus thermal correction (including zero-point vibration correction) to the Gibbs free energy of reaction. The calculated χ_m and E_y values are all given in au. ^{*c*} Correlation coefficient. Values (*n*) in parentheses are the numbers of data used for the least-squares fitting. Fitting with n = 11 refers to the same 11 monomers for which the *Q* values determined by Laurier et al.⁸ are available. ^{*d*} Root-mean-square deviation of the calculated ln *Q* values from the corresponding experimental ln *Q* values.



Figure 5. Plot of the calculated versus experimental ln Q values. The calculated ln Q values are evaluated by use of the relationship ln $Q = a_Q E_y + b_Q \chi_m + c_Q E_y \chi_m + d_Q$, in which $\chi_m = -(\epsilon_{\text{HOMO}} + \epsilon_{\text{LUMO}})/2$, for the reactions with the H radical based on the experimental Q values determined by Laurier et al.⁸

coefficient R^2 of their calibrated eq 9 is 0.69–0.71 compared to the R^2 values of 0.814–0.826 (corresponding to R = 0.902-0.909) of our calibrated eq 9. For the reaction of these 11 monomers with the methyl radical, the correlation coefficient of their eq 8 is 0.86–0.89 compared to the R^2 values of 0.966–



Figure 6. Plot of the calculated versus experimental $\ln Q$ values. The calculated $\ln Q$ values are evaluated by use of the relationship $\ln Q = a_Q E_y + b_Q$ for the reactions with the H radical based on the experimental Q values determined by Laurier et al.⁸

0.978 (corresponding to R = 0.983-0.989) of our eq 8, and the correlation coefficient of their eq 9 is 0.667-0.75 compared to the R^2 values of 0.824-0.830 (corresponding to R = 0.908-0.911) of our eq 9. The DFT calculations lead to significantly better correlation



Figure 7. Plot of the calculated versus experimental ln Q values. The calculated ln Q values are evaluated by use of the relationship ln $Q = a_Q E_y + b_Q \chi_m + c_Q E_y \chi_m + d_Q$, in which $\chi_m = -(\epsilon_{\text{HOMO}} + \epsilon_{\text{LUMO}})/2$, for the reactions with the methyl radical based on the experimental Q values determined by Laurier et al.⁸



Figure 8. Plot of the calculated versus experimental ln Q values. The calculated ln Q values are evaluated by use of the relationship ln $Q = a_Q E_y + b_Q$ for the reactions with the methyl radical based on the experimental Q values determined by Laurier et al.⁸

relationships between the parameter Q and the calculated results than do the HF results.

Alternative Reference Monomer. Despite the advantages of the Q-e scheme in the practical application, many researchers have addressed its theoretical weakness.^{2-7,45}A critical issue addressed recently⁶ concerns the arbitrariness of the reference values for Q and e. If an alternative reference is chosen, e.g., ethylene, or if different numerical values are assigned to the Qand e for styrene, then not only do all the other Q and e change, but so do their relative orderings.⁶ While pointing out that the choice of styrene as a reference with Q = 1.0 and e = -0.8was somewhat arbitrary, Kawabata et al.⁴⁵ proposed to redefine the e value for styrene to be zero. This simplifies eq 5 to give a very simple form: $r_1 = 1/Q_2$. Based on this equation and the experimental reactivity ratios reported by Laurier et al.,8 the revised Q values, denoted by Q' here for convenience, for the monomers 1 to 11 in Table 1 are 3.70, 2.5, 1.75, 1.82, 2.78, 1.3, 1.96, 1.0, 0.04, 0.06, and 0.67, respectively.^{6,45} The relative order of this set of *Q* values is quite different from that of the



Figure 9. Plots of the calculated versus experimental ln Q values for all of the 27 monomers (a), the 26 monomers without tetrafluoroethylene (b), and the first 11 monomers (c). The calculated ln Q values are evaluated by use of the relationship ln $Q = a_Q E_y + b_Q \chi_m + c_Q E_y \chi_m + d_Q$, in which $\chi_m = -(\epsilon_{\text{HOMO}} + \epsilon_{\text{LUMO}})/2$, for the reactions with the H radical based on the experimental Q values listed by Greenley.⁴³

Q values derived by Laurier et al. using the same experimental reactivity ratios but using different reference Q and e values for styrene. This observation is one of criticisms of the semiempirical nature of the scheme.⁶

To better understand this critical issue, we also used eq 8 with this set of Q' values and found excellent explicit relationships. For example, using the DFT results calculated at the B3LYP/6-31+G*//B3LYP/6-31G* level, we have

$$\ln Q' = -1448E_{\rm v} + 557.0\chi_{\rm m} + 8065E_{\rm v}\chi_{\rm m} - 99.130 \quad (29)$$



Figure 10. Plots of the calculated versus experimental ln Q values for all of the 27 monomers (a), the 26 monomers without tetrafluoroethylene (b), and the first 11 monomers (c). The calculated ln Q values are evaluated by use of the relationship ln $Q = a_Q E_y + b_Q$ for the reactions with the H radical based on the experimental Q values listed by Greenley.⁴³

with R = 0.981 and RMSD = 0.284. The agreement between the calculated and experimental results is depicted in Figure 13.

The remaining question now is whether eq 8 could always work no matter how we change the reference Q and e values.



Figure 11. Plot of the calculated versus experimental ln *Q* values. The calculated ln *Q* values are evaluated by use of the relationship ln $Q = a_Q E_y + b_Q \chi_m + c_Q E_y \chi_m + d_Q$, in which $\chi_m = -(\epsilon_{\text{HOMO}} + \epsilon_{\text{LUMO}})/2$, for the reactions with the methyl radical based on the experimental *Q* values listed by Greenley.⁴³



Figure 12. Plot of the calculated versus experimental ln Q values. The calculated ln Q values are evaluated by use of the relationship ln $Q = a_Q E_y + b_Q$ for the reactions with the methyl radical using the experimental Q values listed by Greenley.⁴³

To answer this question, we further explore the nature of the Q-e scheme starting from eq 16. Remember that the subscripts 1, 2, and *i* refer to the reference monomer, the monomer under consideration, and the radical designed to react with all of the monomers, respectively. When the reference values Q_1 and e_1 are changed into Q_1' and e_1' , the values Q_2 and e_2 are changed into Q_2' and e_2' , respectively, so that eq 16 is satisfied, i.e.

$$r_{i12} = (Q_1'/Q_2') \exp[-e_i'(e_1' - e_2')]$$
(16a)

Equations 16 and 16a lead to

$$(Q_1/Q_2) \exp[-e_i(e_1 - e_2)] = (Q_1'/Q_2') \exp[-e_i'(e_1' - e_2')]$$
(30)

which gives

$$\ln Q_{2}' = \ln Q_{2} + e_{i}'e_{2}' - e_{i}e_{2} + \ln Q_{1}' - \ln Q_{1} + e_{i}e_{1} - e_{i}'e_{1}' = \ln Q_{2} + e_{i}'e_{2}' - e_{i}e_{2} + (a \text{ constant})$$
(31)



Figure 13. Plot of the calculated versus revised experimental ln Q values. The calculated ln Q values are evaluated by use of the relationship ln $Q = a_Q E_y + b_Q \chi_m + c_Q E_y \chi_m + d_Q$, in which $\chi_m = -(\epsilon_{\text{HOMO}} + \epsilon_{\text{LUMO}})/2$, for the reactions with the H radical based on the revised experimental Q values based on the revised reference values (i.e., Q = 1.0 and e = -0.8) for styrene.

and, when $e_i' \neq 0$,

$$e_{2}' = (e_{i}e_{2} + \ln Q_{2}' - \ln Q_{2} - \ln Q_{1}' + \ln Q_{1-}e_{i}e_{1} + e_{i}'e_{1}')/e_{i}'$$
$$= (e_{i}e_{2} + \ln Q_{2}' - \ln Q_{2})/e_{i}' + (a \text{ constant})$$
(32)

The parameter e in the Q-e scheme was designed to reflect the effect of molecular polar properties on the reactivity ratio, and the parameter Q was designed to reflect the effect of the remaining properties (particularly the energetic properties and, as shown above, is dominated by the free energy of the radical addition reaction). However, eq 31 indicates that the factors affecting the *e* value (i.e., e_2 or e_2' here) could also affect the *Q* value (i.e., Q_2' here), even if they have no effect on the Q_2 value. Similarly, eq 32 suggests that the factors affecting the Q value (i.e., Q_2 or Q_2' here) could also affect the *e* value (i.e., e_2' here), even if they have no effect on the e_2 value. So eqs 31 and 32 clearly show that for an arbitrary choice of the reference values, the Q and e values of other monomers should actually be affected by all of the same factors, i.e., the energetic and polar properties. Therefore, eq 8 should always work well for the parameter Q no matter how the reference Q and e values are changed, whereas eq 7 should not always be expected to work satisfactorily for the parameter e when the reference Q and evalues are changed. Nevertheless, an extended relationship similar to eq 8, i.e.

$$e = a_e E_v + b_e \chi + c_e E_v \chi + d_e \tag{33}$$

should always work well for the parameter e for whatever reference Q and e values are chosen. In eq 33, a_e , b_e , c_e , and d_e are empirical constants to be determined by a least-squares fitting process. However, even though eqs 8 and 33 should always work well for the parameters Q and e, an ideal choice of the reference Q and e values would be to completely separate the effects of the energetic and polar properties on the parameters Q and e such that the parameter Q is only determined by the energetic properties and the parameter e is only determined by the polar properties. In this respect, the popularly used reference values of Q = 1.0 and e = -0.8 for styrene are likely close to this ideal choice, as we have demonstrated an excellent linear relationship between the experimental e values and the calculated electronegativities and a reasonable linear relationship between the experimental ln Q values and the calculated reaction free energies.

Prescription for Prediction of the Q and e Values. Theoretically, a variety of reference monomers and computational approaches may be used to calculate the Q and e values of a monomer (M) whose experimental Q and e values are not available. On the basis of the present theoretical study, a reliable and computationally efficient prescription can be recommended. This prescription requires the evaluation of E_y (i.e., the free energy of the reaction M + H[•] \rightarrow MH[•]), χ_m (i.e., the electronegativity of M calculated using eq 24), and χ_{av} (i.e., the average electronegativity calculated using eq 26) at the B3LYP/6-31+G*//B3LYP/6-31G* level. The calculated χ_{av} , E_y , and χ_m values (all in atomic units, i.e., hartrees)⁴⁶ are then used in the relationships derived from comparing to Laurier et al.'s data,

$$e = 34.72\chi_{av} - 6.285$$
$$\ln Q = -1515E_y + 532.0\chi_m + 8249E_y\chi_m - 98.274$$

to determine the Q and e values. The calculated χ_{av} , E_y , and χ_m values (all in atomic units, i.e., hartrees)⁴⁷ can also be used in the relationships derived from comparison to Greenley's data, resulting in the following equations for e and Q

$$e = 31.56\chi_{av} - 5.734$$

ln Q = -460.7E_y + 82.11\chi_m + 1176E_y\chi_m - 31.232

We suggest that these values be used with some care when highly fluorinated double bonds are involved due to the above discussion on a particular monomer, i.e., tetrafluoroethylene (C_2F_4) .

Conclusion

The development of a means for predicting parameters for the Q-e scheme for the prediction of monomer reactivity in free-radical copolymerizations has been investigated by performing a theoretical analysis based on a formal comparison with the Arrhenius equation and by carrying out various density functional theory calculations on various molecules whose experimental Q and e values are available. The theoretical analysis has demonstrated that for a general choice of the Qand e values of the reference monomer, the parameters Q and e are dependent on both the energetic properties of the freeradical reaction and the polar properties of the monomer and radical. Thus an ideal choice of the reference values would be such that the parameter Q is only determined by the energetic properties and the parameter e is only determined by the polar properties. Our calculated results suggest that the most popularly used reference values of Q = 1.0 and e = -0.8 for styrene are likely close to this ideal choice. In general, for correlation of both parameters Q and e with all these properties, the overall reliability of the calibrated correlation relationships should not be affected by changing the reference Q and e values. The computational results demonstrate satisfactory correlations of the Q-e parameters with the DFT-calculated electronegativities and reaction free energies, illustrating that the electronegativity and reaction free energy determined by the density functional theory calculations reasonably reflect the polar and energetic properties, respectively, for the purpose of these correlations.

The calibrated correlation relationships are satisfactory and, therefore, can be used in future computational predictions of the Q and e values whose experimental Q and e values have not been established. Finally, we provide a recommended prescription for calculating the Q and e values of an unknown monomer (M) containing a C=C bond.

Acknowledgment. Financial support for this project from International Sematech is gratefully acknowledged. We thank Drs. Paul Zimmerman and Will Conley at International Sematech for useful discussions on the Q-e scheme. This research was performed in the William R. Wiley Environmental Molecular Sciences Laboratory (EMSL) at the PNNL. The EMSL is a national user facility funded by the Office of Biological and Environmental Research in the U.S. Department of Energy. PNNL is a multiprogram national laboratory operated by Battelle Memorial Institute for the U.S. Department of Energy.

Supporting Information Available: Two figures showing the correlations described by eqs 27 and 28: Plot of the calculated (IP + EA)/2 versus the calculated $-(\epsilon_{HOMO} + \epsilon_{LUMO})/2$ and plot of $-\epsilon_{SOMO}$ determined by the unrestricted open-shell DFT calculation versus $-\epsilon_{HOMO}$ determined by the restricted open-shell DFT calculation for the 27 radicals. Two tables showing the calculated Q, ln Q, and e values obtained from the prescription given above in comparison with the corresponding experimental data.

References and Notes

- (1) Alfrey, T., Jr.; Price, C. C. J. Polym. Sci. 1947, 2, 101.
- (2) Mayo, F. R.; Walling, C. Chem. Rev. 1950, 46, 191.
- (3) Alfrey, T.; Bohrer, J. J.; Mark, H. Copolymerisation; Interscience: New York, 1952; Vol. VIII.
- (4) Walling, C. Free-Radicals in Solution; Wiley: New York, 1957.
- (5) Ham, G. E., Ed. Copolymerization; Interscience: New York, 1964.
- (6) Rogers, S. C.; Mackrodt, W. C.; Davis, T. P. Polymer 1994, 35, 1258.
- (7) Jenkins, A. D. J. Polym. Sci. A: Polym. Chem. 1999, 37, 113.
- (8) Laurier, G. C.; O'driscoll, K. F.; Reilly, P. M. J. Polym. Sci.: Polym. Symp. Ed. 1985, 72, 17.

(9) (a) Heuts, J. P. A.; Gilbert, R. G.; Maxwell, I. A. *Macromolecules* **1997**, *30*, 726. (b) Heuts, J. P. A.; Gilbert, R. G.; Radom, L. *Macromolecules* **1995**, *28*, 8771.

(10) http://notes.sematech.org/1999_SIA_Road map/Home.htm.

(11) Matsuzawa, N. N.; Mori, S.; Yano, E.; Okazaki, S.; Ishitani, A.; Dixon, D. A. In *Advances In Resist Technology and Processing XVII*; Houlihan, F. M., Ed.; Proc. SPIE, 3999; SPIE: Bellingham, WA, 2000; p 375. Matsuzawa, N. N.; Ishitani, A.; Dixon, D. A.; Uda, T. *J. Phys. Chem.* A **2001**, *105*, 4953. Dixon, D. A.; Matsuzawa, N. N.; Ishitani, A.; Uda, T. *Phys. Status Solidi A, Appl. Res.* **2001**, *226*, 69.

(12) Chiba, T.; Hung, R. J.; Yamada, S.; Trinque, B.; Yamachika, M.; Brodsky, C.; Patterson, K.; Heyden, A. V.; Jamison, A.; Lin, S.-H.; Somervell, M.; Byers, J.; Conley, W.; Willson, C. G. J. Photopolym. Sci. Technol. 2000, 13, 657.

(13) Fukuda, T.; Ma, Y.-D.; Kubo, K.; Inagaki, H. Macromolecules 1991, 24, 370.

(14) Colthup, N. B. J. Polym. Sci., Polym. Chem. Ed. 1982, 20, 3167.

(15) Imoto, M.; Sakai, S.; Ouchi, T. Bull Chem. Soc. Jpn. **1985**, 91.

(16) Hehre, W. J.; Radom, L.; Schleyer, P. v. R.; Pople, J. A. *Ab Initio Molecular Orbital Theory*; John Wiley & Sons: New York, 1986.

(17) (a) Coote, M. L.; Davis, T. P.; Radom, L. Macromolecules 1999,
32, 5270. (b) Heuts, J. P. A.; Gilbert, R. G.; Radom, L. J. Phys. Chem.
1996, 100, 18997. (c) Wong, M. W.; Radom, L. J. Phys. Chem. A 1998,

102, 2237. (18) Fischer, H.; Radom, L. Angew. Chem., Int. Ed. Engl. 2001, 40,

1340.

(19) Hohenberg, P.; Kohn, W. Phys. Rev. B 1964, 136, 864.

(20) Kohn, W.; Sham, L. J. Phys. Rev. A 1965, 140, 1133.

(21) Parr, R. G.; Yang W. Density-Functional Theory of Atoms and Molecules; Oxford University Press: Oxford, U.K., 1989.

(22) Labanowski, J., Andzelm, J., Eds. Density Functional Theory in Chemistry; Springer-Verlag: New York, 1991.

(23) Chong, D. P., Ed. Recent Advances in Density Functional Methods, Part 1; World Scientific: Singapore, 1995.

(24) Kohn, W. Rev. Mod. Phys. 1999, 71, 1253.

(25) Atkins, P. W. *Physical Chemistry*, 3rd. ed.; Oxford University Press: New York, 1988; p 699.

(26) Alvarez-Idaboy, J. R.; Galano, A.; Bravo-Pérez, G.; Ruìz, M. E. J. Am. Chem. Soc. 2001, 123, 8387.

(27) Wall, L. A. J. Polym. Sci. 1947, 2, 5.

(28) (a) Zhan, C.-G.; Landry, D. W.; Ornstein, R. L. J. Am. Chem. Soc. **2000**, *122*, 1522. (b) Zhan, C.-G.; Landry, D. W.; Ornstein, R. L. J. Am. Chem. Soc. **2000**, *122*, 2621. (c) Zhan, C.-G.; Landry, D. W. J. Phys. Chem. A **2001**, *105*, 1296. (d) Zhan, C.-G.; Niu, S.; Ornstein, R. L. J. Chem. Soc., Perkin Trans. 2 **2001**, *1*, 23. (e) Zheng, F.; Zhan, C.-G.; Ornstein, R. L. J. Chem. Soc., Perkin Trans. 2 **2001**, *12*, 2355.

(29) Fueno, T.; Kamachi, M. Macromolecules 1988, 21, 908.

(30) Evans, M. G.; Gergely, J.; Seaman, E. C. J. Polym. Sci. 1948, 3, 866.

(31) For the 10 monomers, by performing a least-squares fit, we obtain

 $E_a = 0.3840 \bullet (\Delta H) + 15.238 \text{ (kcal/mol)}$

with a correlation coefficient (*R*) of 0.932 and a root-mean-square deviation (RMSD) of 0.509 kcal/mol and

 $E_{a} = 1.557 \cdot (\Delta H) - 0.1945 \cdot \chi - 0.00837 \cdot (\Delta H)\chi + 42.541 \text{ (kcal/mol)}$

with R = 0.962 and RMSD = 0.386 kcal/mol. Here, E_a and ΔH refer to the experimental values, and $\chi = -(\epsilon_{HOMO} + \epsilon_{LUMO})/2$ calculated for the monomer at the B3LYP/6-31+G*//B3LYP/6-31G* level.

(32) (a) Garza, J.; Fahlstrom, C. A.; Vargas, R.; Nichols, J. A.; Dixon, D. A. Orbitals from Molecular Orbital and Density Functional Theories for Ionic Systems. In *Reviews in Modern Quanum Chemistry: A Celebration of the Contributions of R. G. Parr*, Sen, K. D., Ed.; World Scientific: Singapore, 2002; Chapter 50, p 1508. (b) Garza, J.; Nichols, J. A.; Dixon, D. A. *J. Chem. Phys.* 2000, *112*, 1150. (c) Garza, J.; Nichols, J. A.; Dixon, D. A. *J. Chem. Phys.* 2000, *112*, 7880. (d) Garza, J.; Nichols, J. A.; Dixon, J. A.; Dixon, D. A. *J. Chem. Phys.* 2000, *113*, 6029. (e) Garza, J.; Vargas, R.; Nichols, J. A.; Dixon, J. A.; Dixon, D. A. *J. Chem. Phys.* 2001, *113*, 6029. (e) Garza, J.; Vargas, R.; Nichols, J. A.; Dixon, D. A. *J. Chem. Phys.* 2001, *114*, 639.

(33) Arduengo, A. J., III; Bocks, H.; Chen, H.; Denk, M.; Dixon, D. A.; Green, J. C.; Herrmann, W. A.; Jones, N. L.; Wagner, M.; West, M. J. Am. Chem. Soc. **1994**, *116*, 6641.

(34) Stowasser, R.; Hoffmann, R. J. Am. Chem. Soc. 1999, 121, 3414

(35) Mallard, W. G., Linstrom, P. J., Eds. *NIST Chemistry WebBook, NIST Standard Reference Database Number 69, July 2001*; National Institute of Standards and Technology: Gaithersburg, MD, 2001 (http://webbook.nist.gov).

(36) Frenkel, M.; Kabo, G. J.; Marsh, K. N.; Roganov, G. N.; Wilhoit, R. C. *Thermodynamics of Organic Compounds in the Gas State*; TRC: Texas, 1994; Vol. I.

(37) On the basis of the available experimental heats of formation ($\Delta_t H^\circ$), the enthalpy changes of reaction M + H• \rightarrow MH• can be determined to be -38.75, -38.79, and -38.45 kcal/mol for M = C₂H₄, CH₃CH=CH₂, and (CH₃)₂C=CH₂, respectively. The respective enthalpy changes calculated at the B3LYP/6-31+G* level are -39.12, -39.10, and -39.29 kcal/mol.

(38) Dixon, D. A. J. Phys. Chem. 1988, 92, 86.

(39) Kumaran, S. S.; Su, M.-C.; Lim, K. P.; Michael, J. V.; Wagner, A. F.; Harding, L. B.; Dixon, D. A. J. Phys. Chem. **1996**, 100, 7541.

(40) (a) Becke, A. D. J. Chem. Phys. 1993, 98, 5648. (b) Lee, C.; Yang,
W.; Parr, R. G. Phys. Rev. B 1988, 37, 785. (c) Stephens, P. J.; Devlin, F.
J.; Chabalowski, C. F.; Frisch, M. J. J. Phys. Chem. 1994, 98, 11623.

(41) Kendall, R. A.; Dunning, T. H., Jr.; Harrison, R. J. J. Chem. Phys. 1992, 96, 6796.

(42) Frisch, M. J.; Trucks, G. W.; Schlegel, H. B.; Scuseria, G. E.; Robb, M. A.; Cheeseman, J. R.; Zakrzewski, V. G.; Montgomery, J. A., Jr.; Stratmann, R. E.; Burant, J. C.; Dapprich, S.; Millam, J. M.; Daniels, A. D.; Kudin, K. N.; Strain, M. C.; Farkas, O.; Tomasi, J.; Barone, V.; Cossi, M.; Cammi, R.; Mennucci, B.; Pomelli, C.; Adamo, C.; Clifford, S.; Ochterski, J.; Petersson, G. A.; Ayala, P. Y.; Cui, Q.; Morokuma, K.; Malick, D. K.; Rabuck, A. D.; Raghavachari, K.; Foresman, J. B.; Cioslowski, J.; Ortiz, J. V.; Stefanov, B. B.; Liu, G.; Liashenko, A.; Piskorz, P.; Komaromi, I.; Gomperts, R.; Martin, R. L.; Fox, D. J.; Keith, T.; Al-Laham, M. A.; Peng, C. Y.; Nanayakkara, A.; Gonzalez, C.; Challacombe, M.; Gill, P. M.

W.; Johnson, B. G.; Chen, W.; Wong, M. W.; Andres, J. L.; Head-Gordon, M.; Replogle, E. S.; Pople, J. A. *Gaussian 98*, revision A.6; Gaussian, Inc.: Pittsburgh, PA, 1998.

(43) Greenley, R. Z. *Q* and e Values for Free Radical Copolymerizations of Vinyl Monomers and Telogen; In Polymer Handbook, 4th ed.; Brandrup, J., Immergut, E. H., Grulke, E. A., Eds.; John Wiley & Sons: New York, 1999; Vol. II, pp 309–319.

(44) DeMore, W. B.; Sander, S. P.; Golden, D. M.; Hampson, R. F.; Kurylo, M. J.; Howard, C. J.; Ravishankara, A. R.; Kolb, C. E.; Molina, M. J. Chemical Kinetics and Photochemical Data for Use in Stratospheric Modeling. Evaluation Number 12, Jet Propulsion Laboratory (JPL) Publication 97-4; JPL: California Institute of Technology, Pasadena CA, 1997.
(45) Kawabata, N.; Tsuruta, T.; Furukawa, J. Makromol. Chem. 1962, 51, 70.

(46) If the χ_{av} , E_y , and χ_m values are in electronvolts, the corresponding explicit relationships are $e = 1.276\chi_{av} - 6.285$ and $\ln Q = -55.67E_y + 19.55\chi_m + 11.14E_y\chi_m - 98.274$.

(47) If the χ_{av} , E_{y} , and χ_{m} values are in electronvolts, the corresponding explicit relationships are $e = 1.160\chi_{av} - 5.734$ and $\ln Q = -16.93E_y + 3.017\chi_m + 1.588E_y\chi_m - 31.232$.