

## Theoretical Studies on the Relative Stabilities of C<sub>96</sub> IPR Fullerenes

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The complete set of 187 isolated-pentagon-rule (IPR) isomers of C<sub>96</sub> has been systematically investigated by full geometry optimizations with various quantum chemical semiempirical methods as well as molecular mechanics, and their energetics are also computed at the ab initio HF/4-31G level. Some lower-energy isomers are further optimized at the B3LYP/6-31G level. All of the applied methods point out a D<sub>2</sub> species as the system ground state. Since the energetics alone cannot predict relative stabilities at elevated temperatures, entropy contributions are also taken into account, and the relative-stability problem is entirely treated in terms of the Gibbs function. Considerable temperature effects on the stability interchanges in the isomeric set are found, and good agreement of the computations with available observed data is achieved.

### Introduction

Evaluations of relative stabilities of carbon cage clusters have been the subject of intensive studies<sup>1–3</sup> over the last few decades and have been speeded up especially since the discovery of the large-scale preparation of C<sub>60</sub> fullerene.<sup>4</sup> Until now, there has been only one type of relative stability, namely, the relative stability of isomeric cages, that has been reasonably well understood. At present, already well over 30 higher fullerene isomers C<sub>n</sub> with *n* from 76 to 96 have been identified,<sup>5–9</sup> typically through <sup>13</sup>C NMR spectra in solution. The elucidation of the symmetries and structures has been entirely based on the well-known isolated-pentagon-rule (IPR) conjecture.<sup>10,11</sup> Although the cage molecular symmetries have been assigned from the NMR spectra, this does not always mean that their molecular topologies are really known. Owing to the multiplicity of cages among higher fullerenes with the same point group of symmetry, theoretical calculations constantly represent a substantial complementary tool in fullerene research.

Moreover, a coexistence of two or more isomers is a common feature of higher fullerenes. In fact, several mixtures of fullerene isomers have already been studied extensively by equilibrium-thermodynamic computations,<sup>3,12,13</sup> and reasonable agreement with experiment has been found for C<sub>76</sub> to C<sub>94</sub>.<sup>14</sup> Overall, the computations have demonstrated<sup>12–14</sup> that temperature effects are indeed significant in understanding higher fullerenes. Clearly enough, from the theoretical point of view, the formation of higher fullerenes cannot be completely interpreted without the inclusion of temperature effects (i.e., without entropy contributions). This requirement is natural and reasonable because the temperatures needed for fullerene syntheses are the highest ever used in a chemical synthesis process. Recent experimental work<sup>15</sup> indicated that the temperature in the fullerene-forming zone represents one of the most important physical parameters for fullerene formation.

C<sub>96</sub> is the next IPR system in the row that should be studied computationally in this comprehensive thermodynamic way. There are 187 topologically different C<sub>96</sub> cage structures<sup>11</sup> that obey the isolated-pentagon rule. So far, only a few preliminary theoretical studies have been devoted to this fullerene system. Ho et al.<sup>16,17</sup> reported the tight-binding energetics as well as the local density approximation (LDA) results of some C<sub>96</sub> isomers and predicted a low-symmetry C<sub>2</sub> isomer as the ground-state structure. Later, Murry and Scuseria<sup>18</sup> employed MM3 and MNDO calculations on the C<sub>96</sub> IPR system and indicated that two D<sub>2</sub> structures remain the lowest in energy. Obviously, no convincing results from theoretical aspects are yet given with respect to available experimental observation; therefore, further study of the C<sub>96</sub> IPR set is certainly necessary. In the reported research, all C<sub>96</sub> IPR structures are systematically computed with four semiempirical quantum chemical approaches, a molecular mechanics (MM3)<sup>19</sup> scheme, and an ab initio Hartree–Fock method. To confirm and enhance the separation-energy accuracy, some lower-energy isomers from the semiempirical results are further optimized using hybrid density functional theory<sup>20</sup> at the B3LYP/6-31G level. Throughout the study, we refer to the numbering system of the IPR isomers previously introduced by Fowler and Manolopoulos.<sup>11</sup> Finally, the C<sub>96</sub> equilibrium relative concentrations are evaluated and compared with the newest available experimental result by Achiba et al.<sup>21</sup>

### Computations

The geometry optimizations were performed not only with the new semiempirical method SAM1<sup>22</sup> but also with the older MNDO,<sup>23</sup> AM1,<sup>24</sup> and PM3<sup>25</sup> methods to confirm the essential energetic classifications. The SAM1 computations were carried out primarily with the AMPAC program package<sup>26</sup> and calculations at the AM1, PM3, and MNDO levels were also performed with the updated MOPAC program.<sup>27</sup> The geometry optimizations were carried out with no symmetry constraints in Cartesian coordinates and with an analytically constructed energy gradient. The MM3 geometry optimizations of all C<sub>96</sub> IPR isomers have also been employed for comparisons with previous work.<sup>18</sup> In

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the SAM1 optimized geometries of 187  $C_{96}$  cages, the harmonic vibrational analyses were carried out by a numerical differentiation of the analytical energy gradient, respectively. The inter-isomeric energies of all IPR isomers were also computed at the ab initio level with the standard 4-31G basis set in the fixed SAM1 optimized geometries (HF/4-31G//SAM1) using the G94 program.<sup>28</sup> In the HF/4-31G calculations, the stability of the SCF solution has been examined by a built-in option<sup>29</sup> whether it really reached a local minimum in the wave function space. For 30 selected lower-energy isomers' cases out of the semiempirical results, the hybrid density-functional theory calculations were combined with full geometry optimizations at the B3LYP/6-31G level of theory using the G98 program.<sup>30</sup>

The geometrical symmetries of the optimized cages represent an important issue, and they were determined not only by the AMPAC or MOPAC built-in procedures<sup>26,27</sup> but also by a new topological procedure<sup>31</sup> that treats the precision of the computed coordinates as a variable parameter. While changing the parameter, we get a string of symmetries, and the relevant point group comes from the region corresponding to the supposed computed coordinate accuracy. Rotational–vibrational partition functions were constructed from the computed structural and vibrational data (though only of rigid rotator and harmonic oscillator quality and with no frequency scaling). As a key outcome for comparisons with available experiments, temperature-dependent relative concentrations (mole fractions) have been evaluated,<sup>32</sup> where the partial thermodynamic equilibrium is described by a set of equilibrium constants so that both enthalpy and entropy terms are considered accordingly.

## Results and Discussion

The computations start from topologically generated structures<sup>33,34</sup> with correct bond connectivity and the VESCF optimizations with the MM3 method. Thus, all 187  $C_{96}$  IPR possible topologies were submitted to the MNDO, AM1, PM3, and SAM1 geometry optimizations and further refined by the HF/4-31G SCF calculations to produce the primary classifications of energetics and stability. Table 1 surveys their computed energetics and topological symmetries that represent just an upper bound and in some case are higher than those found by quantum chemical optimizations. As a kind of kinetic-stability measure, the calculated HOMO–LUMO gaps from the simple Hückel MO level, which are consistent with the values of ref 11, are also listed for comparison in Table 1. Out of those 187 cages, a structure with a  $D_2$  symmetry (FM code 183) emerges as the lowest-energy isomer in all of the treatments considered (as shown in Figure 1). It also turns out in Table 1 that the MM3 prediction generally agrees with other quantum chemical results even with some trends in underestimating the relative energy differences. Similar to  $C_{84}$  and  $C_{92}$  fullerenes with a plentiful type of point-group symmetry, there are 13 kinds of symmetry ( $C_1(108)$ ,  $C_s(14)$ ,  $C_2(43)$ ,  $C_{2v}(3)$ ,  $C_{3v}(1)$ ,  $D_2(8)$ ,  $D_{2d}(1)$ ,  $D_{2h}(1)$ ,  $D_3(3)$ ,  $D_{3d}(1)$ ,  $D_{3h}(1)$ ,  $D_{6d}(2)$ ,  $D_{6h}(1)$ ) distributively available in the  $C_{96}$  IPR set. Clearly, nonsymmetry structures are predominantly leading in number among  $C_{96}$  IPR isomers. The species richest in energy is located more than 500 kJ/mol (SAM1) above the system's ground state.

As already mentioned, the symmetries resulting from the full quantum chemical optimizations can be different from those found in molecular mechanical or topological treatments. In quantum chemical calculations, the symmetry can in particular be lowered owing to the Jahn–Teller effect, the pseudo-Jahn–Teller effect, or general energy reasons (all of these events are also covered by a frequently used general term of electronic

effects, which are unknown in molecular mechanics or topology). It has been known that Jahn–Teller conditioned distortions are rather common for higher fullerenes.<sup>2</sup> In the  $C_{96}$  IPR set, we could observe several cases when the topological symmetry is higher than the symmetry extracted after the semiempirical geometry optimizations. Some of the symmetry reductions cannot, however, be related to the Jahn–Teller effect because the starting topological symmetry is not high enough to allow for degenerate representations (e.g., if  $C_2$  symmetry is relaxed to  $C_1$ ).

There is still not enough computational experience with the SAM1 method, though the original tests on smaller compounds produced<sup>22</sup> quite satisfactory results, which showed improvement over the performance of the previous semiempirical methods.<sup>23–25</sup> Hence, we also performed computations at the Hartree–Fock SCF level with the standard 4-31G basis in the fixed SAM1 optimized geometries. In fact, it has been known<sup>2</sup> that the semiempirical geometries of fullerenes are quite close to both experiment and results from higher levels of theory. Moreover, the SAM1, PM3, AM1, and MNDO results in Table 1 usually agree well, though there are also some interesting differences existing among them. Because thermochemical data on higher fullerenes are quite limited (and there are of course no observed thermochemical data for  $C_{96}$ , cf. refs 8, 35–37), it is difficult to recommend the best method out of them. To check at least the stability of the obtained SCF solutions, we first run the HF/4-31G calculations with the available option<sup>29</sup> for a stability check. Finally, for 30 lower-energy structures, full geometry optimizations were performed at the B3LYP/6-31G level (with rigorous convergence criteria) to determine the stable isomeric structures and separation energetics. The B3LYP/6-31G energetics and HOMO–LUMO properties are summarized in Table 2 in combination with the potential energies derived from the HF/4-31G and SAM1 methods.

Energetics alone cannot predict relative stabilities in an isomeric system at high temperatures. Because this situation is particularly pertinent to fullerenes, we included entropy effects and evaluated the relative concentrations of all 187  $C_{96}$  IPR cages. Figures 2 and 3 present the relative concentrations under the condition of the inter-isomeric thermodynamic equilibrium in the  $C_{96}$  IPR set evaluated from the HF/4-31G (or HF/4-31G//SAM1) separation energies and the SAM1 entropy contributions. It turns out that the inter-isomeric thermodynamic equilibrium behaves rather selectively, and Figure 2 shows that only four major structures exhibit substantial populations in the wide temperature interval. Clearly enough, at lower temperatures the ground state 183: $D_2$  must prevail. However, at higher temperatures the vibrational partition functions gradually become more important, and the importance of the ground-state terms decreases. It is such an enthalpy–entropy interplay that produces the final temperature development upon the relative stability. The species 181: $C_2$  (ranked the 16th lowest in energy at the SAM1 level or the 27th lowest in energy at the PM3 level) exhibits a fast increase in its relative fraction with a maximum yield of about 40.6% at a temperature of around 2200 K and becomes the most thermodynamically abundant structure at higher temperatures. Its equimolarity with the system ground-state 183: $D_2$  is reached in the temperature region around 1400 K. Interestingly enough, the further full geometry optimization at the B3LYP/6-31G level of theory predicts 181: $C_2$  as the second-lowest-energy structure among  $C_{96}$  IPR isomers. The third populated isomer 144: $C_1$  also exhibits a temperature profile with its maximum fraction of about 19%, and its stability order with respect to the ground state 183: $D_2$  is reversed since 1800

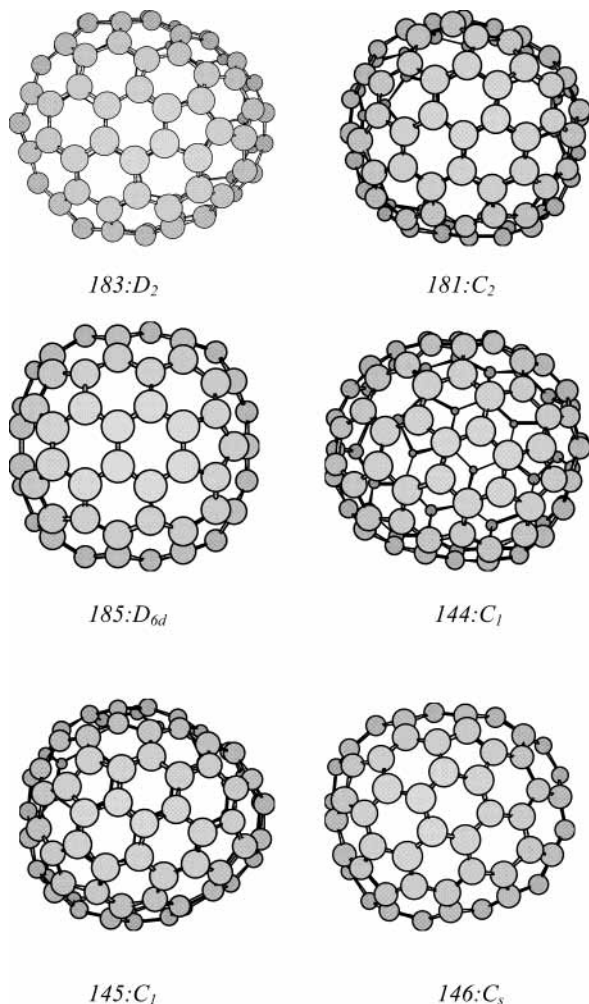
TABLE 1: Computed Energetics for the C<sub>96</sub> IPR Set

rank sorting (SAM1)	FM:sym	HF/4-31G ΔE kJ/mol	SAM1 ΔΔH <sub>f</sub> kJ/mol	PM3 ΔΔH <sub>f</sub> kJ/mol	AM1 ΔΔH <sub>f</sub> kJ/mol	MNDO ΔΔH <sub>f</sub> kJ/mol	MM ΔΔH <sub>f</sub> kJ/mol	HMO band/ β	rank sorting (SAM1)	FM:sym	HF/4-31G ΔE kJ/mol	SAM1 ΔΔH <sub>f</sub> kJ/mol	PM3 ΔΔH <sub>f</sub> kJ/mol	AM1 ΔΔH <sub>f</sub> kJ/mol	MNDO ΔΔH <sub>f</sub> kJ/mol	MM ΔΔH <sub>f</sub> kJ/mol	HMO band/ β
1	183:D <sub>2</sub>	0.0	0.0	0.0	0.0	0.0	0.0	0.193	70	34:C <sub>1</sub>	112.9	118.8	104.1	108.7	109.8	80.6	0.028
2	185:D <sub>6d</sub>	34.9	22.5	12.6	17.2	11.7	28.4	0.073	71	134:C <sub>1</sub>	119.9	119.6	103.8	119.6	112.1	63.6	0.357
3	176:C <sub>2</sub>	41.2	47.2	44.2	44.7	48.2	39.9	0.2	72	100:C <sub>1</sub>	101.7	119.9	105.0	112.9	109.4	65.6	0.218
4	145:C <sub>1</sub>	31.4	49.0	50.4	51.9	49.2	39.9	0.271	73	156:C <sub>s</sub>	124.7	120.7	109.8	115.7	103.6	76.4	0.04
5	109:D <sub>2</sub>	44.0	49.1	43.1	42.3	64.2	26.6	0.253	74	31:C <sub>2</sub>	102.8	121.4	102.0	115.4	124.7	48.5	0.339
6	127:C <sub>2</sub>	38.9	50.7	44.2	47.7	47.4	34.6	0.238	75	10:C <sub>2</sub>	121.0	122.3	106.7	108.5	117.0	76.5	0.122
7	14:C <sub>2</sub>	38.9	51.3	46.3	44.3	62.5	35.0	0.054	76	129:C <sub>1</sub>	114.9	124.0	107.1	122.5	120.5	64.3	0.394
8	43:C <sub>1</sub>	45.9	52.5	44.3	46.6	54.7	37.8	0.259	77	27:C <sub>1</sub>	105.5	124.9	107.0	119.6	125.4	56.8	0.347
9	130:C <sub>1</sub>	39.8	58.3	55.4	59.2	52.5	42.0	0.258	78	159:C <sub>1</sub>	133.4	126.7	116.3	121.0	101.4	88.4	0.117
10	144:C <sub>1</sub>	38.9	58.9	62.2	64.3	61.3	45.4	0.334	79	70:C <sub>1</sub>	100.0	127.5	121.0	124.2	109.4	101.4	0.231
11	47:C <sub>1</sub>	62.4	62.2	61.0	62.1	70.3	54.0	0.312	80	41:C <sub>2</sub>	112.9	127.6	111.4	123.3	125.4	65.6	0.296
12	116:C <sub>1</sub>	62.7	62.5	58.4	61.8	56.4	46.4	0.186	81	135:C <sub>1</sub>	127.0	131.3	111.9	130.1	124.5	63.3	0.309
13	128:C <sub>1</sub>	46.9	65.0	57.8	64.5	60.9	40.0	0.305	82	162:C <sub>2v</sub>	136.7	131.9	125.4	131.8	113.2	89.7	0.048
14	132:C <sub>2</sub>	53.4	65.0	62.2	66.3	66.0	45.1	0.301	83	92:C <sub>1</sub>	128.7	132.5	120.0	123.0	111.4	104.0	0.208
15	107:C <sub>1</sub>	54.2	65.8	55.8	63.0	66.5	28.4	0.269	84	15:C <sub>2</sub>	141.0	132.9	125.5	129.5	133.5	93.4	0.033
16	181:C <sub>2</sub>	54.9	66.2	71.7	72.6	63.7	51.0	0.291	85	106:C <sub>1</sub>	123.7	133.1	112.4	126.7	137.2	58.5	0.294
17	142:C <sub>2</sub>	56.5	67.2	68.1	69.5	71.9	51.7	0.301	86	29:C <sub>1</sub>	118.7	133.3	113.6	124.4	126.1	71.6	0.209
18	102:C <sub>1</sub>	56.6	70.1	61.2	67.3	67.4	36.3	0.31	87	66:C <sub>1</sub>	122.5	134.0	118.2	127.1	121.4	88.4	0.194
19	182:C <sub>2</sub>	71.4	70.2	71.6	74.3	61.0	51.9	0.246	88	12:C <sub>1</sub>	126.8	134.0	124.0	129.7	131.1	94.6	0.147
20	146:C <sub>s</sub>	50.8	71.0	71.5	77.0	71.8	49.7	0.29	89	74:C <sub>1</sub>	131.9	134.2	116.4	132.3	130.6	71.0	0.321
21	96:C <sub>2</sub>	58.4	71.3	59.2	66.5	68.7	46.7	0.158	90	165:C <sub>2</sub>	126.1	134.3	134.0	137.1	117.7	91.0	0.14
22	143:C <sub>1</sub>	69.0	75.3	71.9	76.7	74.1	49.0	0.206	91	51:C <sub>1</sub>	121.6	136.2	123.3	129.1	129.6	82.4	0.177
23	179:C <sub>2</sub>	86.7	75.9	75.2	77.7	64.7	53.7	0.175	92	118:C <sub>2</sub>	121.6	136.3	134.9	139.6	132.1	127.4	0.229
24	175:C <sub>1</sub>	79.7	76.1	72.9	78.4	68.0	49.1	0.184	93	23:C <sub>1</sub>	128.7	137.4	119.5	127.4	130.7	74.5	0.187
25	131:C <sub>1</sub>	67.2	77.6	71.5	78.5	73.1	47.2	0.244	94	91:C <sub>1</sub>	131.2	138.0	133.7	136.6	124.9	111.0	0.093
26	82:C <sub>2</sub>	81.8	77.7	68.0	72.8	69.0	57.9	0.127	95	11:C <sub>s</sub>	132.5	138.4	119.4	127.2	147.3	72.1	0.143
27	5:C <sub>1</sub>	61.0	78.0	65.2	70.4	94.7	41.1	0.305	96	28:C <sub>1</sub>	124.9	139.6	118.6	132.3	145.8	59.0	0.293
28	125:C <sub>1</sub>	69.2	79.6	72.7	79.8	75.3	49.8	0.231	97	184:D <sub>6h</sub>	135.8	140.1	122.4	138.9	136.8	84.2	0.129
29	172:C <sub>1</sub>	88.8	79.6	74.9	80.4	71.3	49.7	0.156	98	137:C <sub>3v</sub>	142.9	140.4	122.3	142.4	132.4	73.7	0.42
30	177:C <sub>1</sub>	75.4	80.2	73.7	81.3	68.7	50.3	0.216	99	163:D <sub>2d</sub>	168.2	140.5	127.9	135.3	107.8	102.8	0.183
31	1:D <sub>2</sub>	83.4	80.2	64.3	66.7	112.1	38.5	0.537	100	121:D <sub>3</sub>	148.8	142.4	129.5	137.0	126.3	81.9	0.03
32	104:C <sub>1</sub>	64.7	82.2	71.2	75.8	71.1	50.5	0.197	101	112:C <sub>1</sub>	130.2	142.6	131.7	136.5	121.6	105.9	0.185
33	3:D <sub>3d</sub>	93.5	82.8	66.0	68.9	110.4	45.4	0.517	102	139:C <sub>1</sub>	144.8	142.6	130.9	140.3	130.8	85.5	0.067
34	94:C <sub>1</sub>	62.2	85.3	85.8	87.3	88.3	73.3	0.27	103	38:C <sub>1</sub>	129.0	143.0	125.0	135.5	134.2	82.3	0.268
35	147:C <sub>s</sub>	90.3	85.8	81.8	83.5	77.1	65.6	0.169	104	167:C <sub>2</sub>	152.9	143.5	128.2	131.6	111.3	96.6	0.019
36	6:C <sub>2</sub>	75.3	86.2	73.4	76.1	108.3	43.1	0.263	105	13:C <sub>2</sub>	145.3	143.6	130.2	140.4	149.2	91.2	0.199
37	174:C <sub>2</sub>	85.6	86.8	77.5	87.8	76.9	48.5	0.184	106	64:C <sub>1</sub>	146.0	144.0	129.0	138.7	130.4	90.5	0.155
38	158:C <sub>2</sub>	74.1	86.9	76.8	78.1	63.6	59.9	0.147	107	44:C <sub>1</sub>	147.6	144.2	124.0	128.7	125.1	94.7	0.1
39	171:C <sub>2</sub>	96.0	87.3	79.0	86.8	79.4	48.7	0.083	108	60:C <sub>1</sub>	142.3	144.8	133.1	137.0	122.8	100.6	0.083
40	173:C <sub>2</sub>	90.4	88.5	77.8	88.3	79.6	48.0	0.3	109	72:C <sub>1</sub>	146.0	145.6	130.2	140.1	126.1	81.6	0.067
41	49:C <sub>1</sub>	83.1	89.1	82.4	85.4	100.3	60.2	0.259	110	76:C <sub>1</sub>	145.6	148.4	131.0	145.1	131.8	82.8	0.193
42	151:C <sub>2</sub>	88.9	89.1	76.8	85.6	91.1	42.3	0.195	111	54:C <sub>1</sub>	133.7	148.9	131.5	141.4	138.1	90.1	0.133
43	164:C <sub>1</sub>	80.8	90.6	88.0	91.2	76.9	62.2	0.199	112	53:C <sub>1</sub>	141.4	150.4	135.4	142.0	129.8	96.0	0.1
44	105:C <sub>2</sub>	86.7	91.1	78.4	85.9	95.1	42.1	0.293	113	119:C <sub>1</sub>	130.5	150.9	143.2	147.1	129.2	99.1	0.146
45	90:C <sub>1</sub>	81.5	91.1	88.7	90.4	86.5	76.2	0.194	114	68:C <sub>1</sub>	146.4	151.2	141.1	145.6	136.5	106.5	0.121
46	180:C <sub>s</sub>	93.3	97.8	99.1	104.0	89.6	64.4	0.131	115	83:C <sub>2</sub>	143.7	151.5	131.6	145.4	142.2	81.6	0.245
47	88:C <sub>1</sub>	85.0	97.9	84.6	95.5	94.5	58.9	0.333	116	154:D <sub>2</sub>	173.2	152.4	140.4	143.9	125.3	105.6	0.066
48	114:C <sub>1</sub>	58.1	100.8	103.0	105.7	97.6	69.8	0.302	117	108:C <sub>1</sub>	153.6	153.0	136.4	146.4	153.3	82.6	0.173
49	115:C <sub>2</sub>	117.7	102.3	97.9	99.8	98.1	72.6	0.044	118	73:C <sub>2</sub>	153.1	153.0	134.7	148.9	143.4	80.0	0.117
50	178:C <sub>s</sub>	108.5	102.5	96.1	105.7	92.4	60.7	0.123	119	19:C <sub>1</sub>	142.6	153.5	135.5	144.3	151.7	99.0	0.14
61	155:C <sub>1</sub>	111.3	109.9	104.7	107.5	92.4	78.7	0.116	120	67:C <sub>1</sub>	129.9	153.8	151.6	152.9	138.8	117.0	0.117
62	101:C <sub>1</sub>	110.7	112.1	99.4	103.4	91.6	78.4	0.142	121	69:C <sub>1</sub>	125.6	156.1	149.8	155.9	142.0	115.4	0.224
63	169:C <sub>1</sub>	123.0	114.6	105.1	111.2	97.8	67.4	0.058	122	59:C <sub>1</sub>	140.0	156.2	152.8	157.6	145.6	126.4	0.085
64	93:C <sub>1</sub>	106.3	115.4	106.8	112.2	110.4	84.1	0.129	123	87:C <sub>1</sub>	143.8	156.2	135.4	150.7	151.4	77.5	0.325
65	40:C <sub>2</sub>	100.4	115.8	101.4	110.0	118.1	53.9	0.234	124	99:C <sub>2</sub>	147.8	158.2	136.9	153.3	158.2	72.3	0.35
66	153:C <sub>1</sub>	121.3	116.2	105.5	113.4	96.5	75.8	0.106	125	36:C <sub>1</sub>	126.8	158.2	152.0	158.5	153.6	117.6	0.122
67	55:C <sub>2</sub>	122.2	116.7	107.4	104.9	100.8	88.4	0.061	126	140:C <sub>1</sub>	159.1	159.3	145.0	153.3	137.6	106.2	0.139
68	46:C <sub>2</sub>	107.8	117.6	117.4	121.6	118.8	95.1	0.189	127	97:C <sub>1</sub>	129.8	160.2	147.8	155.2	144.3	111.8	0.09
69	138:C <sub>1</sub>	97.1	118.6	114.6	121.1	112.3	79.3	0.214	128	166:C <sub>1</sub>	171.8	161.9	148.1	156.4	134.4	94.4	0.04
60	113:C <sub>1</sub>	82.7	109.2	102.8	107.6	99.4	76.1	0.164	129	133:C <sub>s</sub>	167.2	162.0	140.7	157.2	144.8	90.1	0.192
61	155:C <sub>1</sub>	111.3	109.9	104.7	107.5	92.4	78.7	0.116	130	45:C <sub>1</sub>	173.7	163.1	153.5	163.1	160.7	110.5	0.134
62	101:C <sub>1</sub>	110.7	112.1	99.4	103.4	91.6	78.4	0.142	131	57:C <sub>1</sub>	141.4	163.3	161.1	165.6	152.4	132.3	0.085
63	169:C <sub>1</sub>	123.0	114.6	105.1	111.2	97.8	67.4	0.058	132	61:C <sub>1</sub>	173.0	164.6	151.7	161.3	147.9	108.2	0.162
64	93:C <sub>1</sub>	106.3	115.4	106.8	112.2	110.4	84.1	0.129	133	30:C <sub>2</sub>	148.8	164.9	137.1	155.8	174.4	66.4	0.622
65	40:C <sub>2</sub>	100.4	115.8	101.4	110.0	118.1	53.9	0.234	134	80:C <sub>2</sub>	159.1	165.1	141.4	161.9			



TABLE 1 (Continued)

rank sorting (SAM1)	FM:sym	HF/4-31G $\Delta E$ kJ/mol	SAM1 $\Delta\Delta H_f$ kJ/mol	PM3 $\Delta\Delta H_f$ kJ/mol	AM1 $\Delta\Delta H_f$ kJ/mol	MNDO $\Delta\Delta H_f$ kJ/mol	MM $\Delta\Delta H_f$ kJ/mol	HMO band/ $\beta$	rank sorting (SAM1)	FM:sym	HF/4-31G $\Delta E$ kJ/mol	SAM1 $\Delta\Delta H_f$ kJ/mol	PM3 $\Delta\Delta H_f$ kJ/mol	AM1 $\Delta\Delta H_f$ kJ/mol	MNDO $\Delta\Delta H_f$ kJ/mol	MM $\Delta\Delta H_f$ kJ/mol	HMO band/ $\beta$
157	122:C <sub>2</sub>	214.1	189.8	180.0	188.9	187.9	142.3	0.095	182	111:D <sub>2</sub>	365.1	339.2	298.3	323.4	330.3	187.4	0.109
158	62:C <sub>1</sub>	203.8	193.2	175.5	188.6	178.5	123.1	0.115	183	48:C <sub>2</sub>	387.1	365.7	327.7	356.1	355.0	210.1	0.215
159	37:C <sub>1</sub>	188.6	193.3	176.1	185.3	176.2	122.2	0.187	184	110:C <sub>2v</sub>	378.1	388.5	334.8	375.8	385.0	181.0	0.302
160	71:C <sub>1</sub>	203.9	197.0	174.5	189.3	178.7	125.1	0.032	185	148:D <sub>2h</sub>	393.5	393.1	340.5	380.9	391.4	188.7	0.347
161	58:C <sub>1</sub>	191.7	200.1	196.3	198.3	188.0	128.7	0.082	186	187:D <sub>6d</sub>	488.8	424.3	370.6	395.8	357.6	218.6	0
162	52:C <sub>2</sub>	205.4	203.3	186.5	197.4	189.6	129.8	0.127	187	33:D <sub>3h</sub>	495.7	520.6	446.5	501.3	516.6	222.3	0.632
163	81:C <sub>s</sub>	215.5	206.0	183.2	194.6	173.8	125.1	0.02									

Figure 1. Six selected cage structures of C<sub>96</sub> IPR isomers.

K. There is, however, a structure 145:C<sub>1</sub> with a steady increase (though rather modest) that eventually overcomes 183:D<sub>2</sub> from 2200 K. As shown in Figure 2, there are also some small but nonnegligible relative populations for two C<sub>1</sub> structures (143:C<sub>1</sub> and 175:C<sub>1</sub>). Figure 3 indicates some relative concentrations for lots of minor isomers. Next to 143:C<sub>1</sub> and 175:C<sub>1</sub>, the local relative populations related to fullerene-formation temperatures in Figure 3 can be read as such a sequence: 146:C<sub>s</sub>, 176:C<sub>2</sub>, 172:C<sub>1</sub>, 182:C<sub>2</sub>, and so on. Clearly enough, two higher-symmetry species 185:D<sub>6d</sub> (ranked the second-lowest energy at both SAM1 and PM3 levels) and 109:D<sub>2</sub> (the third-lowest-energy isomer at both SAM1 and PM3 levels) remain very small fractions (each having a maximum of ~0.2%), and another higher-symmetry species 3:D<sub>3d</sub>, which is located relatively high in energy above the system's ground state (122 (B3LYP); 94 (HF); 83 (SAM1) in kJ/mol, respectively), is completely unfavorable and negligible at all temperatures.

Achiba et al. have recently reported their <sup>13</sup>C NMR observations<sup>21</sup> for C<sub>96</sub> fullerenes. According to their results, the isomeric

TABLE 2: Relative Energies and HOMO–LUMO Gaps<sup>a</sup> for Low-Energy C<sub>96</sub> IPR Isomers

FM:sym	B3LYP/6-31G	HOMO–LUMO	HF/4-31G	SAM1
183:D <sub>2</sub>	0.0	1.62	0.0	0.0
181:C <sub>2</sub>	4.8	1.43	54.9	73.6
144:C <sub>1</sub>	5.8	1.60	38.9	63.6
145:C <sub>1</sub>	9.8	1.46	31.4	51.3
182:C <sub>2</sub>	13.7	1.38	71.4	73.2
146:C <sub>s</sub>	19.5	1.58	50.8	73.7
142:C <sub>2</sub>	26.3	1.52	56.5	68.4
176:C <sub>2</sub>	28.4	1.53	41.2	47.7
130:C <sub>1</sub>	30.6	1.54	67.2	58.2
47:C <sub>1</sub>	35.6	1.56	62.4	61.8
116:C <sub>1</sub>	36.1	1.38	62.7	63.8
132:C <sub>2</sub>	36.4	1.61	53.4	65.3
175:C <sub>1</sub>	36.5	1.35	79.7	78.9
185:D <sub>6d</sub>	42.7	1.03	34.9	18.3
143:C <sub>1</sub>	42.7	1.39	69.0	77.9
127:C <sub>2</sub>	42.9	1.51	38.9	49.1
128:C <sub>1</sub>	50.1	1.81	46.9	63.7
43:C <sub>1</sub>	51.5	1.45	45.9	50.2
131:C <sub>1</sub>	54.4	1.58	67.2	78.5
125:C <sub>1</sub>	55.6	1.55	72.7	79.4
14:C <sub>2</sub>	55.9	1.15	38.9	49.2
109:D <sub>2</sub>	57.7	1.67	44.0	46.1
102:C <sub>1</sub>	61.0	1.78	56.6	69.3
107:C <sub>1</sub>	64.1	1.80	54.2	64.0
82:C <sub>2</sub>	66.8	1.29	81.8	77.2
104:C <sub>1</sub>	70.2	1.40	64.7	81.9
96:C <sub>2</sub>	79.3	1.31	58.4	67.9
5:C <sub>1</sub>	93.8	1.88	61.0	73.5
1:D <sub>2</sub>	120.2	2.24	83.4	73.2
3:D <sub>3d</sub>	122.1	2.17	93.5	75.5

<sup>a</sup> Relative potential energy (0 K)  $\Delta E$  (kJ/mol) (B3LYP/6-31G, HF/4-31G, and SAM1); HOMO–LUMO gap (eV) at the B3LYP/6-31G level of theory.

abundance should decrease along a sequence for the top 10 species: C<sub>1</sub> (four isomers), C<sub>2</sub> (three isomers), C<sub>s</sub>, D<sub>2</sub>, and D<sub>3d</sub> (though no concentration ratio is yet given and further development is, in principle, possible). As shown in Figures 2 and 3, the HF/4-31G evaluations of the equilibrium isomeric composition at some moderate temperatures related to fullerene formation predict the 10 most-populated species in a comparable stability sequence: C<sub>2</sub>, C<sub>1</sub>, D<sub>2</sub>, C<sub>1</sub>(× 3), C<sub>s</sub>, C<sub>2</sub>, C<sub>1</sub>, and C<sub>2</sub>. It is obvious that the computed data match the observed data quite well (only with one exception of D<sub>3d</sub>). Although no method can really reproduce the complete 10-membered observed set, the achieved theory–experiment correspondence on such a large IPR fullerene system, C<sub>96</sub>, is nontrivial and encouraging. Actually, it is turned out that the 181:C<sub>2</sub> structure becomes the second lowest in energy in the B3LYP/6-31G treatment (as shown in Table 2); other species (144:C<sub>1</sub>, 145:C<sub>1</sub>, 175:C<sub>1</sub>) also have lower B3LYP/6-31G separation energy over that of the ground state 183:D<sub>2</sub>. Overall, the B3LYP/6-31G energetics does not favor the 3:D<sub>3d</sub> isomer. Generally, the remaining discrepancy between experiment and computation can be resolved by the further improvement of computations and their juxtaposition with a refined experimental finding if possible. There are obviously several degrees of freedom to examine that may

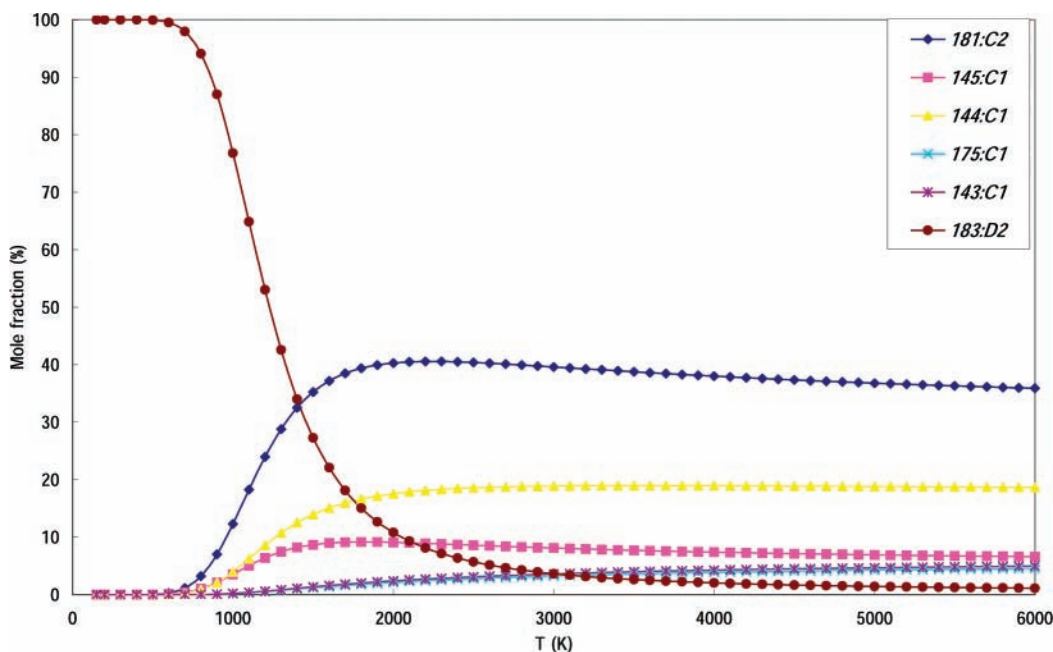


Figure 2. Relative concentrations of the most important C<sub>96</sub> IPR isomers—global view.

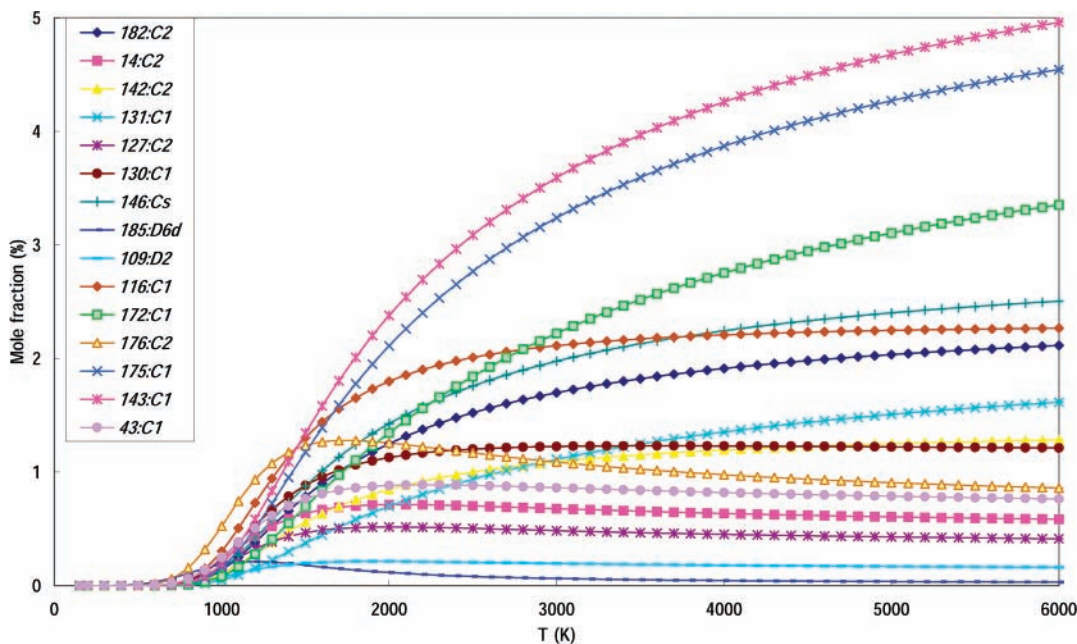


Figure 3. Relative concentrations of some less-populated C<sub>96</sub> IPR isomers—local view.

enhance the quality of the computed terms. In principle, such developments are only a question of available computing power.

## Conclusions

In this paper, the complete IPR set<sup>38</sup> of fullerene C<sub>96</sub> has been, for the first time, investigated systematically by various theoretical chemistry approaches. Seven different levels of theory agree in predicting the 183:D<sub>2</sub> structure as the lowest-energy species among 187 IPR isomers. Our study indicates that the isomeric separation energies can in some cases be quite method-sensitive and vary dramatically with different methods in relative energy ordering. The HF/4-31G evaluations of the equilibrium isomeric composition predict some lower-symmetry species (181:C<sub>2</sub> and 144:C<sub>1</sub>) to be more thermodynamically stable than 183:D<sub>2</sub> at higher temperatures. The computational results of entropy effects suggest that at least the four major abundant isomers (C<sub>2</sub>, C<sub>1</sub>,

D<sub>2</sub>, and C<sub>1</sub>) should be assigned preferentially in the experiment if sufficient amounts of pure fullerene samples are available and agree reasonably with the preliminary experimental observation.<sup>21</sup>

Our results provide more evidence that C<sub>96</sub> belongs to the family of isomeric fullerenes with a substantial entropy-contribution role. As entropy effects in the isomeric fullerene system are taken into account, the stability behavior of the entropy–enthalpy interplay can be explored, and myriad thermodynamically favored isomers are preferentially shown. The reported considerable thermal effects on the C<sub>96</sub> IPR relative stabilities result from the complex interplay between rotational, vibrational, and potential energy terms and chirality factors. Our treatment, however, deals with the inter-isomeric thermodynamic equilibrium that may exist in experiment. There may also be another interpretation, viz., that although the inter-isomeric

equilibrium is not yet reached the relative nonequilibrium isomeric concentrations do not differ significantly from the equilibrium concentration. It is still difficult to clarify the degree to which this presumption is satisfied in each particular experiment. Some experiments<sup>39,40</sup> indicate that such equilibrium requires sufficiently high He pressures and high temperatures in the process of fullerene formation. Moreover, there may be some as-yet unexplored catalytic effects<sup>41</sup> involved.

There is a subsequent, more general (and also more important) task: relative stabilities of carbon cages with different dimensions or sizes (i.e., nonisomeric fullerenes with distinct stoichiometries). In the most general form, this relative-stability problem can be treated as a complex kinetic scheme described by a very large number of kinetic differential equations. Obviously, further exploration of the general rules behind the relative stabilities of isomeric and nonisomeric fullerenes is to be expected.

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