

An Efficient Method Based on Lattice Construction and the Genetic Algorithm for Optimization of Large Lennard-Jones Clusters

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Geometric methods for the construction of three structural motifs, the icosahedron, Ino's decahedron, and the complete octahedron, are proposed. On the basis of the constructed lattices and the genetic algorithm, a method for optimization of large size Lennard-Jones (LJ) clusters is presented. Initially, the proposed method is validated by optimization of LJ_{13–309} clusters with the above structural motifs. Results show that the proposed method successfully located all the lowest known minima with an excellent performance; for example, based on Ino's decahedron with 147 lattice sites, the mean time consumed for successful optimization of LJ₇₅ is only 0.61 s (Pentium III, 1 GHz), and the percentage success is 100%. Then, putative global minima of LJ_{310–561} clusters are predicted with the method. By theoretical analysis, these global minima are reasonable, although further verification or proof is still needed.

1. Introduction

Atomic clusters, that is, aggregates of a few to tens of thousands of atoms, are investigated increasingly because they provide a bridge between an atom and the bulk material. In the research of atomic or molecular clusters, the geometric structure with the lowest energy and the dependence of structure on cluster size are particularly complex and intriguing issues. The most stable structure of a cluster is often the geometry with the lowest potential energy. Unfortunately, the task of minimizing the energy of a cluster is notoriously difficult because the number of local minima tends to grow exponentially with cluster size N .¹ For example, when the cluster size is 13, there are at least 988 minima. However, in the case of $N = 100$ there are more than 10^{140} minima.² Therefore, finding the global minimum is a nontrivial (in fact, an NP-hard) problem.

Different algorithms have been applied to solve the global optimization problem, such as the genetic algorithm (GA),^{3–5} simulated annealing (SA),^{6–10} basin-hopping,¹¹ the fast annealing evolutionary algorithm (FAEA)^{12,13}, and the random tunneling algorithm (RTA).¹⁴ Good results have been obtained by the above algorithms for small clusters with atoms less than 116. Nevertheless, when the size of the cluster is large, the problem of optimization of clusters only by search strategies is not fully solved.

The modeling method makes the energetic study easier, which is the reason that it is often used to assess the relative energetic merits of various structural motifs and their dependence on cluster size. Such investigations have been employed in a variety of cluster systems including rare gas clusters,^{15–17} nickel clusters,¹⁸ iron clusters,¹⁹ C60 molecular clusters,²⁰ calcium and strontium clusters,²¹ and so forth. Furthermore, applications of the modeling method in the optimization of clusters have also been reported;^{22–24} for example, Northby²² and Romero²⁴ had successfully investigated the optimal structures of LJ_{13–147} and LJ_{148–309} clusters, respectively.

For Lennard-Jones (LJ) clusters, Farges¹⁵ concluded that, when the size is less than 1600, the stable structures belong to

the icosahedral sequence, but when the size nears the magic numbers of a decahedron or octahedron, their energies may be lower than that of icosahedral structures. For example, the global optimal structures of LJ₃₈ and LJ₇₅ are the truncated octahedron and Marks' decahedron, respectively. All the known structures of Lennard-Jones clusters in the range $13 \leq N \leq 309$ belong to the above structural motifs except for the truncated tetrahedron of the LJ₉₈ cluster. However, the truncated tetrahedron is not energetically competitive for large Lennard-Jones clusters, because it is not sufficiently spherical.²⁵

Geometric construction methods for three structural motifs, the icosahedron, Ino's decahedron, and the complete octahedron, are proposed. In addition, an algorithm for optimization of large size clusters is developed based on the constructed lattice and the genetic algorithm. By optimization of LJ_{13–309} clusters with the three structural motifs, it is found that the proposed method successfully located all the lowest known minima with an excellent performance. Furthermore, putative global minima of Lennard-Jones clusters in the range $309 < N \leq 561$ are predicted with the method. The results indicate that all the clusters are icosahedral motifs, and the structures with an incomplete core occupy a high proportion. Moreover, the proportion of FC (anti-Mackay icosahedron) configurations in the range $309 < N \leq 561$ is low.

2. Method

2.1. Lattice Construction. The structural motifs of Lennard-Jones clusters include the icosahedron, Marks' decahedron, the truncated octahedron, and the truncated tetrahedron. However, because the truncated tetrahedron is not energetically competitive for large size clusters,²⁵ the tetrahedral lattice is not constructed in this work. Marks' decahedron can be derived from Ino's decahedron by notching the sites at the five twinned boundaries, and the truncated octahedron can be obtained by symmetrically truncating some sites at the six vertices from its complete structure. Therefore, geometric methods for the construction of the icosahedron, Ino's decahedron, and the complete octahedron are proposed to study large size clusters.

Ino's decahedron is a multishell structure, and each shell has the same configuration. The inner decahedron, as shown in Figure 1a by the thin solid lines, is a 13-atom core of Ino's

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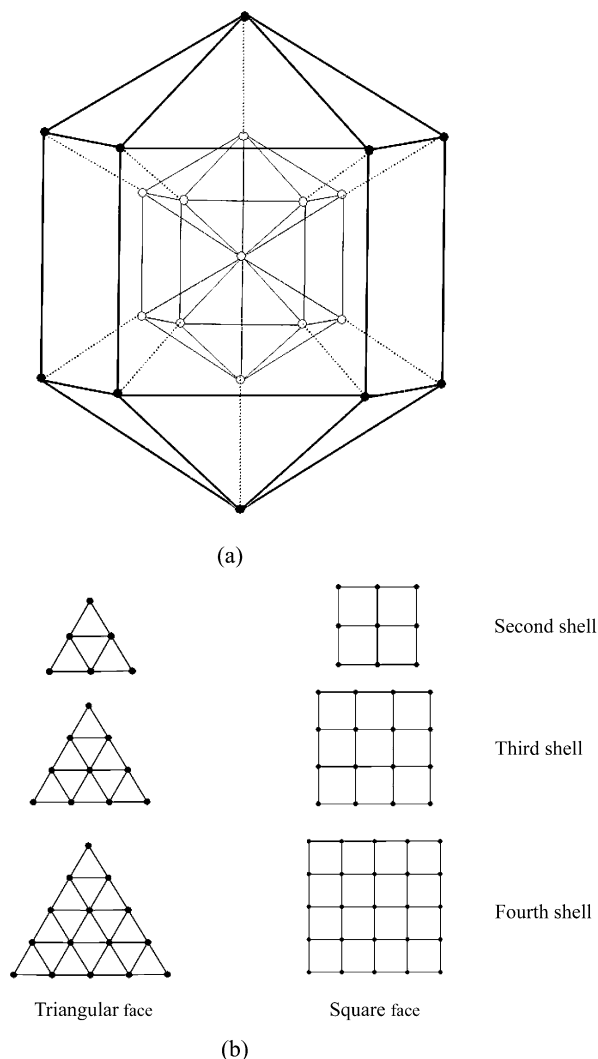


Figure 1. Construction of Ino's decahedron. (a) The construction of vertices, edges, and faces of the second shell. (b) The configurations of the triangular and square faces in different shells.

decahedron, which can be taken from the first decahedral structure of LJ₇₅. The large Ino's decahedron can be constructed from the 13-atom core by the following procedures:

(1) Locate the positions of vertex atoms (lattice sites) of the outer shell. As illustrated in Figure 1a, initially join the central atom with the vertex atoms of the core by lines, then extend them by a length d (d is the distance between the central atom and vertex atom). The ends of the dash lines will determine the positions of the vertex atoms of the outer shell. The positions of the vertex atoms of the n th shell can be determined by further extending the dash lines with length $(n - 1)d$.

(2) Obtain the edges and faces of the outer shell. When the nearest vertices of the same shell are connected, the edges of Ino's decahedron can be obtained. The edges on the same plane will form the triangular and square faces.

(3) Locate the lattice sites on the edges and faces. The lattice sites on the triangular and square faces can be located according to their corresponding configurations, as shown in Figure 1b. The position of each site is defined by the Cartesian coordinates (x, y, z) . The values can be calculated according to the coordinates of the 13-atom core. With this method, Ino's decahedra of any size can be constructed.

The icosahedron is also a multishell structure with two models, that is, the Mackay icosahedron (IC) and the anti-

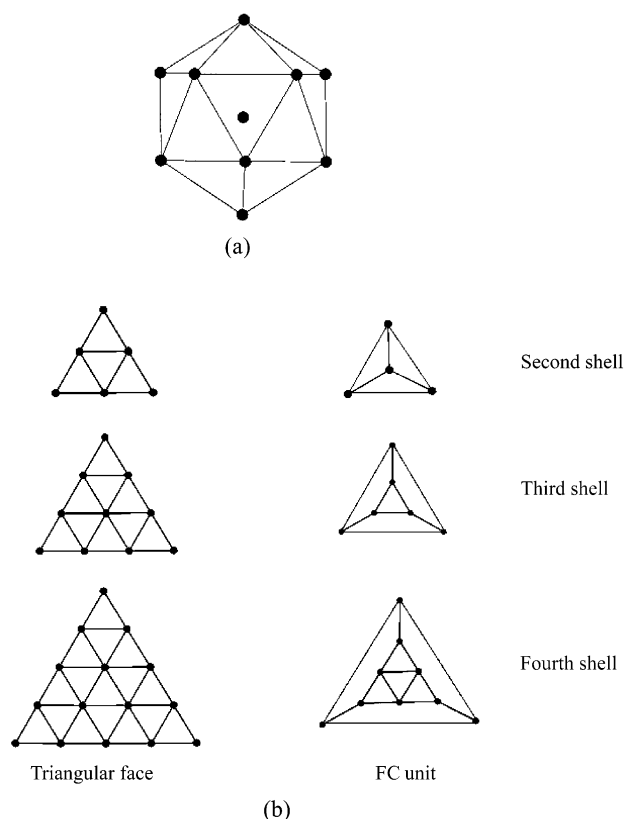


Figure 2. Configuration of the icosahedron. (a) The 13-atom core of the icosahedron. (b) The configurations of the triangular faces and FC units in different shells.

Mackay icosahedron (FC). The core of the FC is the same as that of the IC, but atoms on the face of the outermost shell lie in hexagonally close-packed positions relative to the nearest shell of the Mackay icosahedron.²⁶ The 13-atom core of the icosahedron, as shown in Figure 2a, can be obtained from the known structure of LJ₁₃. The IC and the inner shells of the FC can be constructed using the same method as that used to construct Ino's decahedron. Initially, locate the vertex positions, then, obtain the edges and faces, and finally, locate the lattice sites on the edges and triangular faces according to the corresponding configuration, as shown in Figure 2b. For the outermost shell of the FC, the lattice sites on the faces of the FC unit are located according to the corresponding configuration, as shown in Figure 2b.

The complete octahedron is constructed with a method similar to that used to construct Marks' decahedron as reported in ref 27. Taking the complete octahedron in Figure 3a as an example, it can be decomposed into five different size squares L_k ($k = 1, 2, 3, 4, 5$), as shown in Figure 3b. Here, L_1 is a special square with one atom. Apparently, the complete octahedron can be constructed by putting each square together according to the layout in Figure 3c. If k atoms locate on the edges of the maximal square, the complete octahedron will have $2k - 1$ squares. To construct the complete octahedron, the squares are arranged in the following order: $L_1, L_2, L_3, \dots, L_{k-1}, L_k, L_{k-1}, \dots, L_3, L_2, L_1$. The distance between two nearest atoms and the distance between two squares are taken from the known structure of LJ₃₈.

In order to obtain the optimal lattice with the lowest energy, a limited memory BFGS (L-BFGS) method is performed to optimize the above constructed lattices with the Lennard-Jones pair potential.^{28,29}

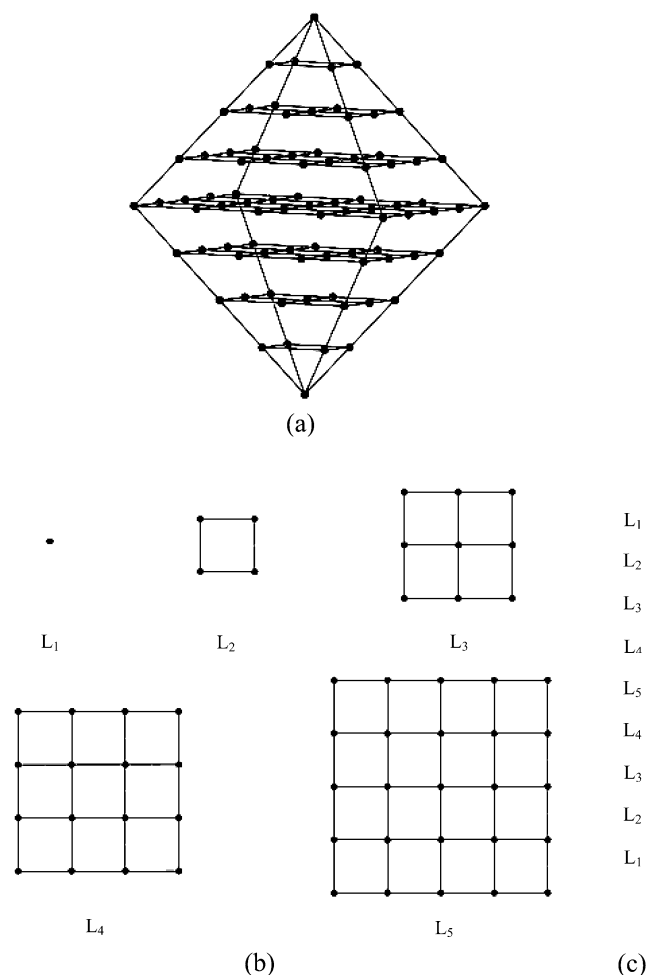


Figure 3. Construction of the complete octahedron. (a) An example of a complete octahedron with 85 atoms. (b) Configurations of different sizes of squares in the complete octahedron. (c) Layout of the complete octahedron.

2.2. Lattice Search with the Genetic Algorithm. Recently, there have been several applications of the genetic algorithm dealing with the problem of obtaining the lowest energy structures of atomic or molecular clusters.^{3–5,30–33} The GA is a stochastic global minimization technique, which is inspired by concepts from Darwin's natural evolution theory. Populations of candidate solutions compete with each other for survival. Through selection, crossover, and mutation operations, the fitter individuals pass their characteristics on to the latter generations. In this way, it can be expected that the fittest individual can be obtained after hundreds or thousands of generations. In the case of the structural optimization of clusters, the "fitness" is the absolute value of the potential energy of the corresponding geometric structure, with lower potential having higher fitness. The structure represented by the individual with the highest fitness is the most stable one. The detailed procedures of the lattice search with GA are represented as follows:

(1) *Initialization.* An initial population with 20 individuals is generated as follows: N atoms are distributed randomly on the constructed lattices including N_s sites. This defines the initial configurations of the Lennard-Jones clusters, which can be represented using gene strings with N_s genes. Each gene corresponds to a lattice site, whose value is 1 if the site is occupied by an atom or 0 if it is not.

(2) *Evaluation.* At first, Cartesian coordinates of each atom in each structure represented by an individual are obtained according to the gene string. Then, by using these coordinates,

the energy can be calculated with the Lennard-Jones pair potential

$$E = 4\epsilon \sum_{i < j} \left[\left(\frac{\sigma}{r_{ij}} \right)^{12} - \left(\frac{\sigma}{r_{ij}} \right)^6 \right] \quad (1)$$

where r_{ij} , ϵ , and $2^{1/6}\sigma$ are the distance between atoms i and j , the pair well depth, and the equilibrium pair separation, and $\epsilon = \sigma = 1$ with reduced units.

(3) *Selection.* Individuals that will serve as parents are chosen randomly from the population, where the probability to be chosen is related to its fitness value.

(4) *Breeding.* The individuals of the next generation are generated by a directed mutation operation. The site occupied by an atom is selected randomly from the set of loosely bound sites that have fewer atoms around them, and the value of the corresponding gene is set to 0. At the same time, a vacant site is selected randomly from the set of tightly bound vacant sites that have more atoms around them, and the value of the corresponding gene is changed to 1. The process is repeated until it reaches a tighter structure. In order to maintain the diversity of population, a relatively high mutation rate of 0.06 is used. On the other hand, the crossover operation as generally used in GAs is not employed because it is difficult to guarantee that the individuals of the next generation contain the correct number of atoms. Therefore, the algorithm used in this work is a simplified GA, instead of a standard GA.

(5) *Local Search with L-BFGS.* Because of the asymmetry of the outer atoms, the force exerting on the core is not symmetric. Consequently, the positions of atoms may deviate slightly from the lattice sites. Therefore, when a minimum occurs, a limited memory BFGS (L-BFGS) will be performed to obtain the optimal structure of the LJ cluster.

(6) *Stopping Criteria.* Two termination conditions are used for the known lowest minima. First, the calculation will terminate when the known lowest minimum is found. Second, if the number of iterations exceeds 500, the calculation will stop. However, in the optimization of large Lennard-Jones clusters in the range of $309 < N \leq 561$, only the second termination condition is used.

In general, the growth of icosahedral and decahedral clusters is from the inner to the outer shell; therefore, the lattice sites of icosahedral and decahedral cores can be fixed in the optimization. However, because the exposed vertex atoms are more unstable than the atoms on faces of the outermost shell as a result of fewer neighbors, the structures with an incomplete core might be good candidates for LJ clusters.³⁴ Therefore, the 12 vertex sites of the inner icosahedron are not fixed. For the decahedron, Marks' decahedron can be derived by notching a different number of atoms from Ino's decahedron. Consequently, in the optimization of LJ_{310–561} clusters, 297 and 147 atoms are fixed on the inner icosahedron without vertices and the inner Ino's decahedron, respectively. The remaining atoms are distributed randomly on the other lattice sites.

3. Results and Discussion

3.1. Validation of the Proposed Method. The proposed method based on the constructed lattices and genetic algorithm is validated by optimization of LJ_{13–309} clusters with icosahedral, decahedral, and truncated octahedral motifs. Results show that the proposed method successfully found all the lowest known minima. Optimization results of some LJ clusters that are generally known as very difficult cases are shown in Table 1.

TABLE 1: Energies and the Mean Optimization Time of Several Lennard-Jones Clusters

motif ^a	<i>N</i>	mean time (s)	succ/runs ^b	energy (ε)	motif	<i>N</i>	mean time (s)	succ/runs	energy (ε)
OCT	38	0.04252	50/50	-173.928 427		192	1.0206	50/50	-1175.697 143
FC	70	0.3823	50/50	-366.892 251		236	48.1858	47/50	-1480.034 326
	159	1.2547	50/50	-951.091 036		238	2.2425	50/50	-1494.438 246
	178	4.2150	50/50	-1079.083 854	IC	88	1.8053	49/50	-479.032 630
DEC	75	0.6076	50/50	-397.492 331		113	4.9660	47/50	-641.794 701
	77	0.5721	50/50	-409.083 516		115	8.7086	44/50	-655.756 305
	102	0.3377	50/50	-569.363 650		169	32.2235	15/50	-1017.611 215
	103	0.2183	50/50	-575.766 130		170	30.3858	17/50	-1024.791 797
	104	0.3295	50/50	-582.086 641		186	7.5346	50/50	-1132.669 966
	189	1.3474	50/50	-1153.637 014		187	7.8091	50/50	-1139.455 696

^a Motifs OCT, FC, DEC, and IC are the truncated octahedron, anti-Mackay icosahedron, Marks' decahedron, and the Mackay icosahedron, respectively. ^b succ/runs means the number of successful runs out of the total runs.

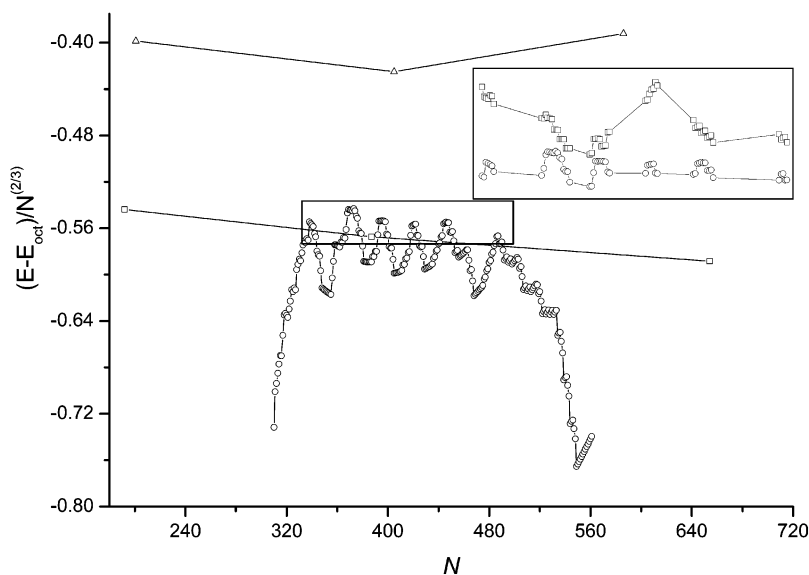


Figure 4. Energy comparison of the most stable truncated octahedral and Marks' decahedral sequence with the icosahedra: the truncated octahedron, Marks' decahedron, and the icosahedron are plotted with Δ , \square , and \circ , respectively. *N* is the number of atoms, *E* is the energy of the cluster with the icosahedron, Marks' decahedron, and the truncated octahedron, and E_{oct} is the fitting energy of the cuboctahedra.

From the Table 1, it can be found that all the energies are in agreement with published results.^{35,36} This indicates that the proposed method based on the constructed lattices and the GA is reliable. The mean optimization time to find the global minimum for the LJ₇₅ based on Ino's decahedral lattice containing 147 sites is around 0.6076 s (Pentium III, 1 GHz), while the mean time by a global optimization method is around 16.7 min (Pentium II, 333 MHz).³⁷ It is evident that the optimization time is shortened significantly. Moreover, the success ratio of 100% (50 out of 50) is higher than that of 5.4% in ref 37. Therefore, the proposed method is a great improvement in the optimization of LJ clusters, especially for clusters with Marks' decahedral and the truncated octahedral configurations because reasonable initial configurations can be generated based on constructed lattices. However, although the proposed method is highly efficient, it cannot find new configurations except for the icosahedral, decahedral, and truncated octahedral motifs because it is a modeling-based optimization method.

3.2. Putative Structures with Global Minima for LJ_{310–561} Clusters. All the clusters in the range $309 < N \leq 561$ are optimized with the method. According to previous works, icosahedral configurations are predominant when the size is less than 1600.¹⁵ Therefore, all the LJ_{310–561} clusters are first optimized based on the icosahedral lattice. Putative lowest energies with icosahedral configurations are listed in Table 2.

In order to ascertain whether Marks' decahedral or the truncated octahedral configurations exist in LJ_{310–561} clusters, the energies of the icosahedra, as listed in Table 2, are compared with the most stable sequences of the truncated octahedron and Marks' decahedron. The comparison is given in Figure 4. Energies of clusters are plotted as $(E - E_{\text{oct}})/N^{2/3}$ versus *N*, where *E* is the energy of Marks' decahedron, the truncated octahedron, and the icosahedron, and E_{oct} is the four-term least-squares fit to the binding energies of face-centered cubic (fcc) cuboctahedra.³⁸ In Figure 4, the line with the triangles is the most stable energy sequence of the octahedron, which can be obtained by comparing the energies of the regular truncated octahedra and the other truncated octahedra without regular hexagonal faces.³⁹ The line with the squares is the energetically most stable sequence of Marks' decahedron, which was reported in ref 27.

From Figure 4, it can be found that the Lennard-Jones clusters in the range $309 < N \leq 561$ with the truncated octahedral motif are not favorable because the line of the icosahedral energy always lies below that of the lowest energy sequence of the truncated octahedron. But the clusters in the rectangle above the line of the Marks' decahedral sequence may be Marks' decahedral configurations. Therefore, they are also optimized based on Ino's decahedral lattice. A comparison between the energies of Marks' decahedra and the icosahedra is shown in

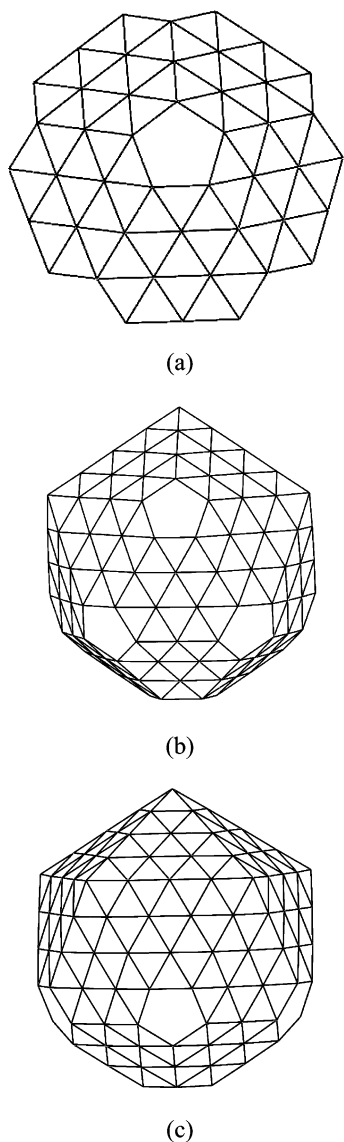


Figure 5. Configurations of the fifth and fourth shells of LJ₃₄₇. (a) The planform of the fifth shell. (b) The front of the fourth shell. (c) The back of the fourth shell.

LJ₃₄₇. The structure of LJ₃₄₇ is shown in Figure 5. The atoms on the outermost shell, as shown in Figure 5a, form a highly symmetric configuration and cap the fourth shell. The vacant sites, as shown in Figure 5b and c, are far from the region where the atoms of the outermost shell lump together. These structural characters had been observed in LJ clusters with atoms less than 309.^{24,34}

From the motif listed in Table 2, it can also be found that LJ_{310–561} clusters prefer FC configurations when the atoms on the outermost shell are fewer because FC sites are more strongly bound to the core. The intershell interaction is the dominant contribution to the energy of clusters. With the increase in the number of atoms on the outermost shell, the intrashell interaction becomes important; the favored structures of the clusters shift to the IC configurations because the IC lattice has a higher surface density than that of the FC lattice.²² The proportion of FC and IC configurations in the range of $309 < N \leq 561$ is calculated and tabulated in Table 3. In order to understand the structural distribution of FC and IC in the range of $309 < N \leq 561$, the results of the former shells are also given. From Table 3, it can be found that the proportion of FC configurations decreases with increasing number of shells, while IC increases.

TABLE 3: Structural Distribution of FC and IC^a

n	atom range	N_n	N_{fc}	N_{ic}	N_{ic}/N_n	N_{fc}/N_n
2	13–55	42	17	24	0.571	0.405
3	56–147	92	23	63	0.685	0.250
4	148–309	162	19	135	0.833	0.117
5	310–561	252	14	238	0.944	0.056

^a n is the number of the icosahedral shell; N_n is the number of sites on the n th shell; N_{fc} and N_{ic} are the number of configurations with FC and IC in each shell.

The reason may be the fact that the intrashell interaction gets more and more important as the lattice sites increase.

4. Conclusion

Geometric methods for the construction of three structural motifs, the icosahedron, Ino's decahedron, and the complete octahedron, are proposed. On the basis of constructed lattices and the genetic algorithm, a global optimization method for large Lennard-Jones clusters is presented and applied to the global optimization of Lennard-Jones clusters. It was shown that the proposed method is highly efficient, and it is a good tool for optimization of large size LJ clusters. With the algorithm, the putative global minima of LJ_{310–561} clusters are predicted. By comparison of the optimization results and analysis of the structures of the LJ clusters, it is shown that all the LJ_{310–561} clusters are icosahedral motifs, and the structures with an incomplete core occupy a high proportion. Moreover, the proportion of FC configurations in the range $309 < N \leq 561$ is low compared with that of the former shells; but further verification or proof is still needed.

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