# Structure and Properties of Fe<sub>4</sub> with Different Coverage by C and CO

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The electronic and geometrical structure of neutral and singly charged Fe<sub>4</sub>C<sub>2</sub>, Fe<sub>4</sub>C(CO), Fe<sub>4</sub>(CO)<sub>2</sub>, Fe<sub>4</sub>C<sub>2</sub>-CO, Fe<sub>4</sub>C(CO)<sub>2</sub>, Fe<sub>4</sub>C<sub>3</sub>, and Fe<sub>4</sub>(CO)<sub>3</sub> are studied using density functional theory with a generalized gradient approximation. It is found that the Fe<sub>4</sub>C<sub>2</sub> and Fe<sub>4</sub>C<sub>2</sub>(CO) species possess two isomers with separated and dimerized carbon atoms. The latter isomers are lower in total energy by ~0.3 eV. The Fe<sub>4</sub>C<sub>3</sub> species possess three isomers corresponding to: a C<sub>2</sub> dimer and one separated carbon atom (the lowest energies), a C<sub>3</sub> trimer (intermediate energies), and three separated carbon atoms (the highest energies). The lowest energy dissociation channel corresponds to the loss of CO, except for Fe<sub>4</sub>(CO)<sub>2</sub> and Fe<sub>4</sub>C(CO)<sub>2</sub><sup>+</sup>, where the loss of carbon dioxide is the lowest. The computed total energies are used to estimate the energetics of the Boudouard-like disproportionation reactions, Fe<sub>4</sub>C<sub>n</sub>(CO)<sub>m</sub> + CO → Fe<sub>4</sub>C<sub>n+1</sub>(CO)<sub>m-1</sub> + CO<sub>2</sub>. It is found that the most exothermic reaction in the series is Fe<sub>4</sub>C(CO)<sup>+</sup> + CO → Fe<sub>4</sub>C<sub>2</sub><sup>+</sup> + CO<sub>2</sub> (by ~0.3 eV).

### Introduction

Carbon single-walled nanotubes (SWNTs) exhibit many unique and useful physical and chemical properties<sup>1</sup> and have been proposed for use in various technological applications such as sensors, composite materials, hydrogen storages, and computer memories. Carbon nanotube manufacturing methods include laser vaporization of metal-doped carbon electrodes,<sup>2</sup> chemical vapor deposition of carbon-containing species such as C<sub>2</sub>H<sub>4</sub>, CH<sub>4</sub>, C<sub>2</sub>H<sub>5</sub>OH, etc.,<sup>3</sup> on supported metal catalysts,<sup>4</sup> and the high-pressure CO (HiPco) process.<sup>5</sup> In the latter process, SWNTs grow from CO feedstock under high-pressure, hightemperature conditions. Catalyzing iron clusters are formed in situ by thermal decomposition of iron pentacarbonyl, Fe(CO)5, and SWNTs are believed to nucleate and grow via the CO Boudouard disproportionation reaction:  $CO + CO \rightarrow C_{SWNT}$ + CO<sub>2</sub>. The HiPco process is continuous and allows production of SWNTs in large amounts.<sup>6</sup> However, the growth mechanisms are not well understood. Chemical reaction models<sup>7,8</sup> with reaction rates derived from literature predicted a growth rate, which is  $\sim 1$  order of magnitude higher than the measured one, regardless of the nucleation rate.

To obtain some insight into the formation of the iron catalyst and on the carbon nucleation, one may resort to theoretical chemistry methods. Schaefer et al.<sup>9</sup> tested a number of methods including Hartree–Fock (HF), density functional theory with generalized gradient approximation (DFT-GGA), and hybrid HF-DFT approaches for the homonuclear 3d-metal dimers and concluded that the results of DFT-GGA calculations are most consistent with experimental data. Using different DFT-GGA methods, we performed calibration calculations for monocarbides, MC,<sup>10</sup> monocarbonyls, MCO,<sup>11</sup> and homonuclear 3dmetal dimers<sup>12</sup> and found that different DFT-GGA methods produce rather similar results. Our DFT-GGA computations of clusters Fe<sub>n</sub>, Fe<sub>n</sub><sup>-</sup>, and Fe<sub>n</sub><sup>+13</sup> as well as Fe<sub>n</sub>O and Fe<sub>n</sub>O<sup>14</sup> ( $n \le 6$ ) have shown good agreement with experimental data. Therefore, one could anticipate that the DFT-GGA would yield reliable results for the interactions of iron clusters with different species.

Our DFT-GGA study of neutral and singly charged clusters  $Fe_nC^{15}$  and  $Fe_nCO^{16}$  ( $n \le 6$ ), allowed us to estimate the  $Fe_n-C$  and  $Fe_n-CO$  binding energies along with the energetics of the  $Fe_nCO + CO \rightarrow Fe_nC + CO_2$  Boudouard reactions. It was found that the reactions with n = 4 and n = 6 are slightly exothermic. The results of our computations are in good agreement<sup>16</sup> with experimental data available for FeCO and Fe<sub>2</sub>CO, which lends support to the reliability of computations for other species.

The Fe<sub>3</sub><sup>+</sup>, Fe<sub>4</sub><sup>+</sup>, and Fe<sub>5</sub><sup>+</sup> clusters are known<sup>17</sup> to catalyze the growth of benzene from ethylene and cyclopropane in a low-pressure gas-phase process. Recent DFT-GGA studies<sup>18,19</sup> found that neutral Fe<sub>4</sub> is capable of catalyzing the benzene growth as well.

Our present work is aimed at a DFT-GGA study of the Fe<sub>4</sub>, Fe<sub>4</sub><sup>-</sup>, and Fe<sub>4</sub><sup>+</sup> clusters with different C and CO coverage. Computed total energies of the ground-state clusters are used to estimate the energetics of CO disproportionation reactions, Fe<sub>4</sub>C<sub>n</sub>(CO)<sub>m</sub><sup>0/-/+</sup> + CO  $\rightarrow$  Fe<sub>4</sub>C<sub>n+1</sub>(CO)<sub>m-1</sub><sup>0/-/+</sup> + CO<sub>2</sub> ( $n + m \leq 3$ ). We report optimized geometries, harmonic vibration frequencies, and fragmentation energies of all computed species as well as adiabatic electron affinities (EA) and ionization energies (IE) of the neutral clusters.

## **Computational Details**

The Gaussian 98 program<sup>20</sup> was used. We have used the  $6-311+G^*$  basis set:<sup>21-24</sup> (15s11p6d1f)/[10s7p4d1f] for Fe and (12s6p1d)/[5s4p1d] for C and O. Our previous study<sup>13</sup> of bare iron clusters showed that results obtained using many of the DFT-GGA methods included in Gaussian 98 are rather similar; however, the BPW91 vibrational frequencies appear to be less

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Figure 1. Geometrical structures and excess spin densities at atoms of the ground states of Fe<sub>4</sub>, Fe<sub>4</sub>CO, Fe<sub>4</sub>CO, and their ions. Bond lengths are in angstroms.

sensitive to the integration quality than some of the other functionals. On this ground, we choose the BPW91 method, where the exchange-correlation functional is composed of the Becke exchange<sup>25</sup> and the Perdew-Wang correlation.<sup>26</sup>

The geometry of each neutral  $Fe_4C_n(CO)_m$  was optimized without imposing any symmetry constraints beginning with 12 unpaired electrons, which is the number in the ground-state clusters  $Fe_4C^{15}$  and  $Fe_4CO$ ,<sup>16</sup> while the starting number of unpaired electrons in the ions was chosen as the number of unpaired electrons in the corresponding ground-state neutrals plus one. Optimizations were performed for all lower numbers of unpaired electrons and for higher numbers until their further increase results in the states whose total energies are above dissociation asymptote. Each geometry optimization was followed by harmonic vibrational frequency calculations (computed using analytical second derivatives), to confirm that the optimized geometry corresponds to a minimum.

Our reported electron affinities and ionization energies are computed as the differences in total electronic energies corrected for the zero-point vibrational energies (ZPVEs) and correspond to adiabatic values. Fragmentation energies, computed as the differences in total energies of a species and their decay constituents, are corrected for the ZPVEs. We compute atomic spin densities using both Mulliken<sup>27</sup> and natural atomic orbital<sup>28,29</sup> approaches. Generally, the values obtained in the both approaches are nearly the same. The numbers given in the figures are obtained using Mulliken analysis.

#### **Geometrical Structures**

We classify the states by 2S, which is the number of majority ( $\alpha$  or spin-up) electrons minus the number of minority ( $\beta$  or spin-down) electrons, or  $2S = N^{\dagger} - N^{\downarrow}$ . The previously optimized ground states of Fe<sub>4</sub>,<sup>13</sup> Fe<sub>n</sub>C,<sup>15</sup> Fe<sub>n</sub>CO,<sup>16</sup> and their ions are presented in Figure 1 for comparison purposes. Given in the figure are the bond lengths (in Å) and the excess spin densities, which are computed using the Mulliken population analysis. The ground-state total energies of the corresponding neutral clusters are taken as zero. Energy shifts of the anions correspond to the adiabatic electron affinities taken with the opposite sign, and the energy shifts of the cations correspond to the adiabatic ionization energies. As seen in Figure 1, the carbon atom is three-coordinate while CO is two-coordinate. Attachment of either C or CO reduces the difference in the number of spin-up and spin-down electrons with respect to those in the bare iron clusters Fe<sub>4</sub> and Fe<sub>4</sub><sup>-</sup> while this number is the same in  $Fe_4^+$ ,  $Fe_4C^+$ , and  $Fe_4CO^+$ .

The second carbon atom may attach to an Fe<sub>3</sub> face and decrease the 2*S* difference by 2 or dimerize with the first carbon



Figure 2. Geometrical structures and excess spin densities at atoms of the ground and lowest excited states of  $Fe_4C_2^-$ ,  $Fe_4C_2$ , and  $Fe_4C_2^+$ .

atom without changing the number of unpaired electrons (compare Figures 1 and 2). The isomers with dimerized carbon correspond to the ground states of  $Fe_4C_2$ ,  $Fe_4C_2^-$ , and  $Fe_4C_2^+$ , which are  $\sim 0.3$  eV lower than the species with two separate carbon atoms. Excited states include a 3<sup>1</sup>, antiferrimagnetic branch ("3<sup>1</sup>1<sup></sup>" means that there are three spin-up and one spindown excess spin densities at the Fe sites) initiated by a neutral 2S = 4 state. In the bare Fe<sub>4</sub> cluster,<sup>30</sup> the 3<sup>1</sup>/<sub>4</sub>-antiferrimagnetic states have 4, 6, or 8 unpaired electrons, while the  $2^{12}$ -type possesses 0 or 2 unpaired electrons. However, the antiferrimagnetic states of Fe<sub>4</sub> are above the ground ferromagnetic state by at least 1 eV, while the gap decreases to 0.71 eV in Fe<sub>4</sub>C<sub>2</sub> and to only 0.34 eV in  $Fe_4C_2^+$ . Significant elongations of Fe-Fe bond lengths with respect to those in the ground-state structures are observed in antiferrimagnetic states of  $Fe_4C_2$  and  $Fe_4C_2^+$ . while no appreciable geometric change is found for  $Fe_4C_2^{-}$ .

Attachment of CO to Fe<sub>4</sub>C, Fe<sub>4</sub>C<sup>-</sup>, and Fe<sub>4</sub>C<sup>+</sup> does not reduce their differences in the number of spin-up and spin-down electrons as is seen in Figure 3. Carbon monoxide attaches to a single Fe atom in the ground states of Fe<sub>4</sub>C(CO) and Fe<sub>4</sub>C(CO)<sup>-</sup>, while it is two-coordinate in Fe<sub>4</sub>C(CO)<sup>+</sup>. In both ground and excited states, the separated carbon atom is bound to a face of the Fe<sub>4</sub> cluster.

In the ground-state  $Fe_4(CO)_2$  and  $Fe_4(CO)_2^+$  species, both COs are three-coordinate, while one CO is one-coordinate in the ground-state anion (see Figure 4). The lowest excited states have various geometrical shapes, and the energy separation with the ground states is rather small. This variety may be related to low binding energies of carbon monoxide. Comparing Figures

1 and 4, one finds that the 2S values in the ground-state  $Fe_4$ -(CO)<sub>2</sub> species are the same as in the corresponding  $Fe_4CO$  species.

Like the  $Fe_4C_2$  species, the neutral and singly charged  $Fe_4C_2$ -(CO) species possess two isomers in one of which two carbons are dimerized and in the other isomer the carbon atoms are separated (see Figure 5). The number of spin-up and spin-down electrons of the two isomers  $Fe_4C_2(CO)$  is the same as those of the analogous isomers  $Fe_4C_2$ . This is also true for  $Fe_4C_2^-$  with two separated carbons and  $Fe_4C_2^+$  with a  $C_2$  dimer. For  $Fe_4C_2^$ with a  $C_2$  dimer, adding a CO decreases the 2S value by 2, while for Fe<sub>4</sub>C<sub>2</sub><sup>+</sup> with separated carbon atoms, the difference decreases by 4 when CO attaches (compare Figures 2 and 5). The latter state of  $Fe_4C_2(CO)^+$  is a  $3^{\uparrow}1$ -antiferrimagnetic state that is 0.27 eV above the ground state, while a ferromagnetic state with the same 2S as that of ground-state  $Fe_4C_2^+$  is by 0.42 eV above the ground state. As is seen from Figure 5, carbon monoxide is one-coordinate in the isomers with separated carbon atoms and is two-coordinate in the isomers with dimerized carbon.

Attachment of carbon atom to  $Fe_4(CO)_2$  results in the decrease of the 2*S* value in ground-state  $Fe_4C(CO)_2$  by 4 with respect to that in ground-state  $Fe_4(CO)_2$ . In addition, one CO group becomes one-coordinate (compare Figures 4 and 6). The 2*S* value decreases by 2 in ground-state  $Fe_4C(CO)_2^-$  with respect to that in ground-state  $Fe_4(CO)_2^-$ , and a three-coordinate CO group becomes two-coordinate. The lowest state of  $Fe_4C(CO)_2^+$ has 2S = 5; i.e., it has the same 2*S* as the lowest state of  $Fe_4C_2^-$ (CO)<sup>+</sup> with separated carbons. This state is a 3<sup>1</sup>1<sup>+</sup>-antiferrimagnetic state and is nearly degenerate in total energy with a



Figure 3. Structures of the ground and lowest excited states of  $Fe_4C(CO)^-$ ,  $Fe_4C(CO)$ , and  $Fe_4C(CO)^+$ .



Figure 4. Structures of the ground and lowest excited states of Fe<sub>4</sub>(CO)<sub>2</sub><sup>-</sup>, Fe<sub>4</sub>(CO)<sub>2</sub>, and Fe<sub>4</sub>(CO)<sub>2</sub><sup>+</sup>.

2<sup>↑</sup>2↓-antiferromagnetic 2S = 1 state. The lowest ferromagnetic state of the cation with 2S = 12 is above the ground state by 0.18 eV.

The difference in the number of spin-up and spin-down electrons is reduced by 2 in ground-state  $Fe_4(CO)_3$  with respect to ground-state  $Fe_4(CO)_2$  (compare Figures 4 and 7). The



Figure 5. Structures of the ground and lowest excited states of  $Fe_4C_2(CO)^-$ ,  $Fe_4C_2(CO)$ , and  $Fe_4C_2(CO)^+$ .

ground-state  $Fe_4(CO)_3^-$  anion has the geometrical structure similar to the excited state  $Fe_4(CO)_3$ , and its 2*S* is also reduced by 2 with respect to ground-state  $Fe_4(CO)_2^-$ . A 2*S* = 9 excited anion state is higher by 0.26 eV and shows all three types of CO coordination. The ground-state  $Fe_4(CO)_3^+$  cation has the same 2S as ground-state  $Fe_4(CO)_2^+$ . All its CO groups are onecoordinate and two of them share the same Fe vertex. The lowest excited state of the cation is higher by 0.11 eV and has one three-coordinate and two two-coordinate CO groups.

Attachment of the third carbon atom to Fe<sub>4</sub>C<sub>2</sub> produces three major isomers with three separated carbon atoms (the top panel in Figure 8), a C<sub>3</sub> trimer (the middle panel), and a C<sub>2</sub> dimer and one separated (the bottom panel) carbon atom. Note that the energy separation between three isomers is rather large, and two upper isomers of Fe<sub>4</sub>C<sub>3</sub><sup>+</sup> have the opposite order with respect to that in Fe<sub>4</sub>C<sub>3</sub> and Fe<sub>4</sub>C<sub>3</sub><sup>-</sup>. Ground-state Fe<sub>4</sub>C<sub>3</sub> has the same 2*S* as ground-state Fe<sub>4</sub>C<sub>2</sub>, while the lowest isomer of Fe<sub>4</sub>C<sub>3</sub><sup>+</sup>, the lowest isomer with the separate carbon atoms is 3<sup>†</sup>1<sup>↓</sup>-antiferrimagnetic. For Fe<sub>4</sub>C<sub>3</sub><sup>+</sup>, the lowest isomer with the separate carbon atoms is 2*S* = 1 and has a 2<sup>†</sup>2<sup>↓</sup>-antiferrimagnetic coupling; this state is above the cation ground state by only 0.39 eV.

# Vibrational Frequencies, Electron Affinities, and Ionization Energies

Vibrational frequencies of  $Fe_4C_n(CO)_{3-n}$  clusters may be divided in three groups roughly corresponding to Fe–Fe, Fe– C, and FeC–O vibrations. Vibrational frequencies of the groundstate Fe<sub>4</sub> cluster are<sup>13</sup> 104, 125, 200, 201, 228, and 347 cm<sup>-1</sup>, those of the ground-state Fe<sub>4</sub>C cluster are<sup>16</sup> 125, 187, 191, 223, 245, 290, 349, 521, and 693 cm<sup>-1</sup>, and those of ground-state Fe<sub>4</sub>CO are<sup>15</sup> 37, 65, 119, 140, 210, 245, 255, 312, 317, 360, 402, and 1753 cm<sup>-1</sup>. It is seen that carbon attachments increase vibrational frequencies of the cluster, while CO attachment introduces small frequencies of the CO wagging modes as well as a large C–O frequency. The frequency of 1753 cm<sup>-1</sup> of the attached CO is significantly decreased with respect to the gasphase frequency of CO: the BPW91/6-311+G\*  $\omega_e$  value is 2127 cm<sup>-1</sup> versus experimental<sup>31</sup>  $\omega_e = 2158$  cm<sup>-1</sup>.

As is seen from Tables 1 and 2, the presence of separated carbon atoms in  $Fe_4C_m(CO)_n$  clusters manifests itself in the appearance of bands at 650–700 cm<sup>-1</sup>, while dimerization of carbons in  $Fe_4C_2$ ,  $Fe_4C_2CO$ , and  $Fe_4C_3$  leads to the bands at 1421, 1483, and 1477 cm<sup>-1</sup>, respectively, which are essentially lower than the gas-phase vibrational frequency of  $C_2$  ( $^{1}\Sigma_g^{+}$ :



Figure 6. Structures of the ground and lowest excited states of  $Fe_4C(CO)_2^-$ ,  $Fe_4C(CO)_2$ , and  $Fe_4C(CO)_2^+$ .



Figure 7. Structures of the ground and lowest excited states of  $Fe_4(CO)_3^-$ ,  $Fe_4(CO)_3$ , and  $Fe_4(CO)_3^+$ .

experimental<sup>31</sup> and BPW91  $\omega_e$  values are 1854.7 and 1843 cm<sup>-1</sup>, respectively). The C-O vibrational frequencies have a rather large splitting of 105  $\text{cm}^{-1}$  in Fe(CO)<sub>3</sub>, see Table 2.

eV. For comparison, our EA and IE of the bare Fe4 cluster

computed at the same level of theory are<sup>13</sup> 1.76 (experiment<sup>32</sup>

respectively. As seen in Table 3, there is no drastic change upon attachment of CO or C to the corresponding precursor cluster As shown in Table 3, Fe<sub>4</sub>C<sub>2</sub> possesses the smallest (1.38 eV) either in the EA or IE value. EA and  $Fe_4(CO)_3$  the largest EA (2.22 eV). Fe<sub>4</sub>CO has the **Thermodynamic Stability** smallest IE of 6.05 eV, while Fe<sub>4</sub>C<sub>3</sub> has the largest IE of 6.98

Fragmentation energies of the neutral and charged Fe<sub>4</sub>C<sub>m</sub>- $(CO)_n$  clusters are presented in Table 4. The loss of CO falls in

 $1.72 \pm 0.08 \text{ eV}$ ) and 5.71 eV (experiment<sup>33</sup> 6.4  $\pm$  0.10 eV),



**Figure 8.** Structures of the ground and lowest excited states of  $Fe_4C_3^-$ ,  $Fe_4C_3$ , and  $Fe_4C_3^+$ .

TABLE 1:	Vibrational Frequencies of	Ground-State Neutra	als $Fe_4C(CO)$ ,	$Fe_4(CO)_2$ , F	$e_4C_2CO, Fe_4$	C(CO) <sub>2</sub> , and Th	eir Ions
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freq		Fe <sub>4</sub> C(CO)			Fe <sub>4</sub> (CO) <sub>2</sub>			Fe <sub>4</sub> C <sub>2</sub> CO			Fe <sub>4</sub> C(CO) <sub>2</sub>	
$(cm^{-1})$	0	—	+	0	_	+	0	_	+	0	_	+
$\omega_1$	49	30	61	110	38	75	84	65	49	49	48	38
$\omega_2$	54	33	72	118	55	93	92	81	61	59	57	55
$\omega_3$	79	79	120	140	101	99	127	119	75	104	78	60
$\omega_4$	126	169	124	164	107	134	176	146	122	135	98	117
$\omega_5$	184	187	173	190	165	135	184	150	171	157	122	121
$\omega_6$	202	193	183	207	166	177	210	197	193	174	166	155
$\omega_7$	226	233	196	218	223	183	234	223	209	212	182	174
$\omega_8$	319	287	260	226	234	211	274	246	275	251	223	195
$\omega_9$	384	333	316	227	234	217	289	300	288	261	240	239
$\omega_{10}$	395	350	329	254	306	235	324	324	304	321	306	262
$\omega_{11}$	431	396	408	284	316	244	334	334	327	354	366	309
$\omega_{12}$	472	410	429	308	334	273	373	362	355	384	371	337
$\omega_{13}$	485	507	477	315	401	315	382	413	415	396	397	376
$\omega_{14}$	705	693	666	403	413	370	450	429	432	414	402	392
$\omega_{15}$	1892	1810	1921	404	424	393	519	473	456	424	419	397
$\omega_{16}$				424	538	421	548	531	596	445	493	412
$\omega_{17}$				1686	1608	1757	1483	1504	1411	510	502	467
$\omega_{18}$				1705	1818	1782	1658	1698	1937	561	553	579
$\omega_{19}$										702	675	776
$\omega_{20}$										1700	1620	1780
$\omega_{21}$										1919	1801	2011

the intervals (1.30-1.76 eV), (1.49-2.24 eV), and (0.95-1.67 eV) for the neutral, negatively charged, and positively charged series, respectively. The next decay channel corresponds to the loss of CO<sub>2</sub> in species containing two and three carbon monoxides: 1.66 eV in Fe<sub>4</sub>(CO)<sub>2</sub>, 1.60 eV in Fe<sub>4</sub>C(CO)<sub>2</sub>, and 1.99 eV in Fe<sub>4</sub>(CO)<sub>3</sub>. For Fe<sub>4</sub>(CO)<sub>2</sub>, the loss of CO<sub>2</sub> is less endothermic than the loss of CO. The CO binding energy decreases if a cluster has attached carbon atom(s): 1.71 eV in

Fe<sub>4</sub>CO, 1.30 eV in Fe<sub>4</sub>C(CO), 1.52 eV in Fe<sub>4</sub>C(CO)<sub>2</sub>, and 1.53 eV in Fe<sub>4</sub>C<sub>2</sub>(CO). Attachment energies of C are significantly larger than the CO binding energies: 7.06 (Fe<sub>4</sub>C),<sup>11</sup> 6.61 [Fe<sub>4</sub>C-(CO)], 6.39 [Fe<sub>4</sub>C(CO)<sub>2</sub>], 6.45 (Fe<sub>4</sub>C<sub>2</sub>), and 6.60 eV in (Fe<sub>4</sub>C<sub>3</sub>).

## **Catalytic Ability of Iron Clusters**

The energies of Boudouard disproportionation reactions  $Fe_4C_n(CO)_m + CO \rightarrow Fe_4C_{n+1}(CO)_{m-1} + CO_2$  computed as the

TABLE 2: Vibrational Frequencies of Ground-State Fe<sub>4</sub>C<sub>2</sub>, Fe<sub>4</sub>C<sub>3</sub>, Fe<sub>4</sub>(CO)<sub>3</sub>, and Their Ions

		$Fe_4C_2$			$Fe_4C_3$			Fe <sub>4</sub> (CO) <sub>3</sub>	
freq (cm <sup>-1</sup> )	0	_	+	0	_	+	0	_	+
$\omega_1$	79	96	30	122	72	71	56	39	35
$\omega_2$	131	130	150	149	127	136	67	42	47
$\omega_3$	187	186	202	167	189	165	105	48	52
$\omega_4$	204	207	209	200	211	199	129	61	66
$\omega_5$	229	235	215	235	246	217	147	79	71
$\omega_6$	283	257	268	294	278	280	157	127	84
$\omega_7$	315	312	314	302	297	314	173	150	147
$\omega_8$	344	329	341	328	317	340	184	180	161
$\omega_9$	366	353	447	354	349	378	195	203	194
$\omega_{10}$	449	431	501	359	402	418	221	221	205
$\omega_{11}$	561	520	617	418	426	479	228	234	230
$\omega_{12}$	1421	1397	1314	507	482	517	264	258	285
$\omega_{13}$				565	529	597	320	325	302
$\omega_{14}$				694	690	726	332	355	335
$\omega_{15}$				1477	1487	1421	337	382	338
$\omega_{16}$							390	396	349
$\omega_{17}$							393	427	368
$\omega_{18}$							403	436	390
$\omega_{19}$							411	466	410
$\omega_{20}$							445	503	436
$\omega_{21}$							497	527	472
$\omega_{22}$							1675	1695	1914
$\omega_{23}$							1712	1840	1939
$\omega_{24}$							1780	1865	1974

TABLE 3: Adiabatic Electron Affinities and Ionization Energies of  $Fe_4C_n(CO)_m$ 

	Fe <sub>4</sub> CO	$Fe_4C_2$	Fe <sub>4</sub> C(CO)	$Fe_4(CO)_2$	Fe <sub>4</sub> C <sub>2</sub> CO	$Fe_4C(CO)_2$	$Fe_4C_3$	Fe <sub>4</sub> (CO) <sub>3</sub>
EA <sub>ad</sub> , eV	1.95	1.38	1.66	1.69	2.08	1.96	1.89	2.22
IE <sub>ad</sub> , eV	6.05	6.49	6.84	6.68	6.76	6.84	6.98	6.63

TABLE 4: Computed Fragmentation Energies (in eV) of Neutral and Charged Fe<sub>4</sub>CO, Fe<sub>4</sub>C<sub>2</sub>, Fe<sub>4</sub>C(CO)<sub>2</sub>, Fe<sub>4</sub>C(CO)<sub>2</sub>, Fe<sub>4</sub>C<sub>2</sub>CO, Fe<sub>4</sub>C<sub>3</sub>, and Fe<sub>4</sub>(CO)<sub>3</sub>

neutral		anion		cation		
channel	$\Delta E_{\rm tot}$	channel	$\Delta E_{ m tot}$	channel	$\Delta E_{\rm tot}$	
$Fe_4CO \rightarrow Fe_4 + CO$	1.76	$Fe_4CO^- \rightarrow Fe_4^- + CO$	1.93	$Fe_4CO^+ \rightarrow Fe_4^+ + CO$	1.41	
$\rightarrow$ Fe <sub>3</sub> CO + Fe	3.04	$\rightarrow$ Fe <sub>3</sub> CO <sup>-</sup> + Fe	3.41	$\rightarrow$ Fe <sub>3</sub> CO <sup>+</sup> + Fe	3.35	
$Fe_4C(CO) \rightarrow Fe_4C + CO$	1.30	$Fe_4C(CO)^- \rightarrow Fe_4C^- + CO$	1.68	$Fe_4C(CO)^+ \rightarrow Fe_4C^+ + CO$	0.95	
$\rightarrow$ Fe <sub>4</sub> CO + C	6.61	$\rightarrow$ Fe <sub>4</sub> CO <sup>-</sup> + C	6.32	$\rightarrow$ Fe <sub>4</sub> CO <sup>+</sup> + C	5.82	
$\rightarrow$ Fe <sub>4</sub> C <sub>2</sub> + O	6.03	$\rightarrow$ Fe <sub>4</sub> C <sub>2</sub> <sup>-</sup> + O	6.31	$\rightarrow$ Fe <sub>4</sub> C <sub>2</sub> <sup>+</sup> + O	5.69	
$\rightarrow$ Fe <sub>4</sub> O + C <sub>2</sub>	7.03	$\rightarrow$ Fe <sub>4</sub> O <sup>-</sup> + C <sub>2</sub>	7.10	$\rightarrow$ Fe <sub>4</sub> O <sup>+</sup> + C <sub>2</sub>	7.17	
$Fe_4(CO)_2 \rightarrow Fe_4CO + CO$	1.74	$Fe_4(CO)_2^- \rightarrow Fe_4CO^- + CO$	1.49	$Fe_4(CO)_2^+ \rightarrow Fe_4CO^+ + CO$	1.13	
$\rightarrow$ Fe <sub>4</sub> C + CO <sub>2</sub>	1.66	$\rightarrow$ Fe <sub>4</sub> C <sup>-</sup> + CO <sub>2</sub>	2.07	$\rightarrow$ Fe <sub>4</sub> C <sup>+</sup> + CO <sub>2</sub>	1.47	
$\rightarrow$ Fe <sub>4</sub> C <sub>2</sub> + O <sub>2</sub>	6.62	$\rightarrow$ Fe <sub>4</sub> C <sub>2</sub> <sup>-</sup> + O <sub>2</sub>	6.93	$\rightarrow$ Fe <sub>4</sub> C <sub>2</sub> <sup>+</sup> + O <sub>2</sub>	6.44	
$\rightarrow$ Fe <sub>4</sub> O <sub>2</sub> + C <sub>2</sub>	6.96	$\rightarrow$ Fe <sub>4</sub> O <sub>2</sub> <sup>-</sup> + C <sub>2</sub>	7.26	$\rightarrow$ Fe <sub>4</sub> O <sub>2</sub> <sup>+</sup> + C <sub>2</sub>	7.08	
$Fe_4C(CO)_2 \rightarrow Fe_4C(CO) + CO$	1.52	$Fe_4C(CO)_2^- \rightarrow Fe_4C(CO)^- + CO$	1.82	$Fe_4C(CO)_2^+ \rightarrow Fe_4C(CO)^+ + CO$	1.52	
$\rightarrow$ Fe <sub>4</sub> C <sub>2</sub> + CO <sub>2</sub>	1.60	$\rightarrow$ Fe <sub>4</sub> C <sub>2</sub> <sup>-</sup> + CO <sub>2</sub>	2.17	$\rightarrow$ Fe <sub>4</sub> C <sub>2</sub> <sup>+</sup> + CO <sub>2</sub>	1.26	
$\rightarrow$ Fe <sub>4</sub> (CO) <sub>2</sub> + C	6.39	$\rightarrow$ Fe <sub>4</sub> (CO) <sub>2</sub> <sup>-</sup> + C	6.65	$\rightarrow$ Fe <sub>4</sub> (CO) <sub>2</sub> <sup>+</sup> + C	6.23	
$\rightarrow$ Fe <sub>4</sub> C <sub>3</sub> + O <sub>2</sub>	6.41	$\rightarrow$ Fe <sub>4</sub> C <sub>3</sub> <sup>-</sup> + O <sub>2</sub>	6.48	$\rightarrow$ Fe <sub>4</sub> C <sub>3</sub> <sup>+</sup> + O <sub>2</sub>	6.55	
$Fe_4C_2CO \rightarrow Fe_4C_2 + CO$	1.53	$Fe_4C_2CO^- \rightarrow Fe_4C_2^- + CO$	2.24	$Fe_4C_2CO^+ \rightarrow Fe_4C_2^+ + CO$	1.26	
$\rightarrow$ Fe <sub>4</sub> C <sub>3</sub> + O	6.12	$\rightarrow$ Fe <sub>4</sub> C <sub>3</sub> <sup>-</sup> + O	6.32	$\rightarrow$ Fe <sub>4</sub> C <sub>3</sub> <sup>+</sup> + O	6.34	
$\rightarrow$ Fe <sub>4</sub> C(CO) + C	6.68	$\rightarrow$ Fe <sub>4</sub> C(CO) <sup>-</sup> + C	7.13	$\rightarrow$ Fe <sub>4</sub> C(CO) <sup>+</sup> + C	6.76	
$\rightarrow$ Fe <sub>4</sub> CO + C <sub>2</sub>	6.79	$\rightarrow$ Fe <sub>4</sub> CO <sup>-</sup> + C <sub>2</sub>	6.93	$\rightarrow$ Fe <sub>4</sub> CO <sup>+</sup> + C <sub>2</sub>	6.10	
$Fe_4(CO)_3 \rightarrow Fe_4(CO)_2 + CO$	1.63	$Fe_4(CO)_3^- \rightarrow Fe_4(CO)_2^- + CO$	2.16	$Fe_4(CO)_3^+ \rightarrow Fe_4(CO)_2^+ + CO$	1.67	
$\rightarrow$ Fe <sub>4</sub> C(CO) + CO <sub>2</sub>	1.99	$\rightarrow$ Fe <sub>4</sub> C(CO) <sup>-</sup> + CO <sub>2</sub>	2.55	$\rightarrow$ Fe <sub>4</sub> C(CO) <sup>+</sup> + CO <sub>2</sub>	2.19	
$\rightarrow$ Fe <sub>4</sub> C <sub>2</sub> (CO) + O <sub>2</sub>	6.72	$\rightarrow$ Fe <sub>4</sub> C <sub>2</sub> (CO) <sup>-</sup> + O <sub>2</sub>	6.85	$\rightarrow$ Fe <sub>4</sub> C <sub>2</sub> (CO) <sup>+</sup> + O <sub>2</sub>	6.83	
$Fe_4C_2 \rightarrow Fe_4C + C$	6.45	$Fe_4C_2^- \rightarrow Fe_4C^- + C$	6.61	$Fe_4C_2^+ \rightarrow Fe_4C^+ + C$	6.44	
$\rightarrow$ Fe <sub>4</sub> + C <sub>2</sub>	7.02	$\rightarrow$ Fe <sub>4</sub> <sup>-</sup> + C <sub>2</sub>	6.63	$\rightarrow$ Fe <sub>4</sub> <sup>+</sup> + C <sub>2</sub>	6.25	
$Fe_4C_3 \rightarrow Fe_4 + C_3$	5.95	$Fe_4C_3^- \rightarrow Fe_4^- + C_3$	6.06	$Fe_4C_3^+ \rightarrow Fe_4^+ + C_3$	4.70	
$\rightarrow$ Fe <sub>4</sub> C + C <sub>2</sub>	6.54	$\rightarrow$ Fe <sub>4</sub> C <sup>-</sup> + C <sub>2</sub>	7.16	$\rightarrow$ Fe <sub>4</sub> C <sup>+</sup> + C <sub>2</sub>	6.06	
$\rightarrow$ Fe <sub>4</sub> C <sub>2</sub> + C	6.60	$\rightarrow$ Fe <sub>4</sub> C <sub>2</sub> <sup>-</sup> + C	7.10	$\rightarrow$ Fe <sub>4</sub> C <sub>2</sub> <sup>+</sup> + C	6.12	

differences in total energies of the reactants and products corrected for the corresponding ZPEs are presented in Table 5. As is seen, carbon nucleation is endothermic for all the neutrals except Fe<sub>4</sub>CO and the corresponding energies are nearly independent of the Fe<sub>4</sub> coverage. Among the ions, there are three exothermic reactions involving Fe<sub>4</sub>C(CO)<sub>2</sub><sup>+</sup> (-0.01 eV), Fe<sub>4</sub>C(CO)<sub>2</sub><sup>-</sup> (-0.06 eV), and Fe<sub>4</sub>C(CO)<sup>+</sup> (-0.26 eV), and the

dependence on coverage is more pronounced than in the neutrals. On the whole, the computed energies of different channels are rather small. The largest energy among all species computed is 0.74 eV, which corresponds to the  $Fe_4(CO)_3^- + CO \rightarrow Fe_4C(CO)_2^- + CO_2$  channel.

One may wonder what is energetically preferable to first add all of the CO molecules and then strip the oxygens or to stepwise

TABLE 5: Energetics of the CO<sub>2</sub> Formation in Neutral and Ionic Channels<sup>a</sup>

neutral		anion		cation	
channel	$\Delta E_{\rm tot}$	channel	$\Delta E_{\rm tot}$	channel	$\Delta E_{\rm tot}$
$Fe_4CO + CO \rightarrow Fe_4C + CO_2$	-0.08	$Fe_4CO^- + CO \rightarrow Fe_4C^- + CO_2$	0.58	$Fe_4CO^+ + CO \rightarrow Fe_4C^+ + CO_2$	0.34
$Fe_4C(CO) + CO \rightarrow Fe_4C_2 + CO_2$	0.08	$Fe_4C(CO)^- + CO \rightarrow Fe_4C_2 + CO_2$	0.36	$Fe_4C(CO)^+ + CO \rightarrow Fe_4C_2^+ + CO_2$	-0.26
$Fe_4(CO)_2 + CO \rightarrow Fe_4C(CO) + CO_2$	0.36	$Fe_4(CO)_2^- + CO \rightarrow Fe_4C(CO)^- + CO_2$	0.39	$Fe_4(CO)_2^+ + CO \rightarrow Fe_4C(CO)^+ + CO_2$	0.52
$Fe_4C(CO)_2 + CO \rightarrow Fe_4C_2(CO) + CO_2$	0.07	$Fe_4C(CO)_2^- + CO \rightarrow Fe_4C_2(CO)^- + CO_2$	-0.06	$Fe_4C(CO)_2^+ + CO \rightarrow Fe_4C(CO)^+ + CO_2$	-0.01
$Fe_4C_2CO + CO \rightarrow Fe_4C_3 + CO_2$	0.17	$Fe_4C_2CO^- + CO \rightarrow Fe_4C_3^- + CO_2$	0.37	$Fe_4C_2CO^+ + CO \rightarrow Fe_4C_3^+ + CO_2$	0.39
$Fe_4(CO)_3 + CO \rightarrow Fe_4C(CO)_2 + CO_2$	0.47	$Fe_4(CO)_3^- + CO \rightarrow Fe_4C(CO)_2^- + CO_2$	0.74	$Fe_4(CO)_3^+ + CO \rightarrow Fe_4C(CO)_2^+ + CO_2$	0.67

<sup>a</sup> All values are in eV.

add one CO and next strip the oxygen atom before adding another CO molecule. Comparing the energetics of channels presented in (1)-(3), one may conclude that (3), the stepwise approach, is more favorable.

$$Fe_4(CO)_2 + CO \rightarrow Fe_4C(CO) + CO_2 \qquad 0.36 \text{ eV} \quad (I)$$
$$+ CO \rightarrow Fe_4C_2 + CO_2 \qquad 0.08 \text{ eV}$$

$$Fe_4(CO)_3 + CO \rightarrow Fe_4C(CO)_2 + CO$$
 0.47 eV (2)

 $Fe_4(CO) + CO \rightarrow Fe_4C + CO_2 -0.08 \text{ eV}$  (3)

 $Fe_4C+CO \rightarrow Fe_4C(CO)$  -1.30 eV

$$Fe_4C(CO) + CO \rightarrow Fe_4C_2 + CO_2$$
 0.08 eV

 $Fe_4C_2+CO \rightarrow Fe_4C_2(CO)$  -1.53 eV

 $Fe_4C_2(CO) + CO \rightarrow Fe_4C_3 + CO_2$  0.17 eV

#### Conclusion

The results of our DFT-GGA computations on neutral and singly negatively and positively charged  $Fe_4C_n(CO)_m$  species allow one to draw several conclusions:

(i) Geometries of ground and excited states of neutral and charged species are rather different in the  $Fe_4C(CO)$ ,  $Fe_4(CO)_2$ ,  $Fe_4C(CO)_2$ , and  $Fe_4(CO)_3$  series.

(ii) Two isomers of the  $Fe_4C_2$  series correspond to two separated carbon atoms and to a  $C_2$  dimer, while  $Fe_4C_3$  species has three isomers corresponding to three separated carbon atoms, to one  $C_2$  dimer and one separated carbon atom, and to a  $C_3$ trimer. In both series, the lowest energy states correspond to the species with a  $C_2$  dimer.

(iii) Adding C reduces the difference in the number of spinup and spin-down electrons (2*S*) of a species if there is no carbon dimerization, while adding CO does not lead often to any change in 2*S*.

(iv) Antiferromagnetic states corresponding to small 2S numbers may approach closely ferromagnetic states with larger 2S numbers, especially in cations. For example, an antiferromagnetic state of Fe<sub>4</sub>C<sub>3</sub> with 2S = 1 is above the ground state of this cation with 2S = 9 by 0.39 eV. This is in contrast with Fe<sub>4</sub>, where antiferromagnetic states were found at energies exceeding 1 eV.

(v) The less endothermic channels correspond to the loss of carbon monoxide except for  $Fe_4(CO)_2$  and  $Fe_4C(CO)_2^+$ , where loss of  $CO_2$  is the least endothermic dissociation path.

(vi) Energies of Boudouard CO disproportionation reactions  $\operatorname{Fe}_4C_n(\operatorname{CO})_m^{0/-/+} + \operatorname{CO} \rightarrow \operatorname{Fe}_4C_{n+1}(\operatorname{CO})_{m-1}^{0/-/+} + \operatorname{CO}_2(n + m \le 3)$ , are relatively small ranging from -0.26 eV (Fe<sub>4</sub>C-(CO)<sup>+</sup>) to +0.74 eV (Fe<sub>4</sub>(CO)<sub>3</sub><sup>-</sup>).

Acknowledgment. This work was partly supported by NASA Ames Research Center through Contract NAS2-99092 to Eloret Corp. to G.L.G. This work was also supported in part by the Army High Performance Computing Research Center (AHPCRC) under the auspices of the Department of the Army, Army Research Laboratory (ARL) under Cooperative Agreement DAAD19-01-2-0014. The content of which does not necessarily reflect the position or the policy of the government, and no official endorsement should be inferred. We thank Dr. Norbert Müller for providing us with the new version of Ball&Stick software<sup>34</sup> used for plotting individual geometrical structures presented in the figures.

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