

## ARTICLES

## Spherical Electron Cloud Hopping Molecular Dynamics Simulation on Dissociative Recombination of Protonated Water

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Dissociative recombination (DR) of  $\text{H}_3\text{O}^+$  with electrons at zero collision energy has been studied by a direct ab initio molecular dynamics method on four low-lying electronic states of the system. Initial conditions for trajectories are determined by a spherical electron cloud hopping (SECH) model, while nonadiabatic effects are considered through a surface hopping scheme. The energies, forces, and nonadiabatic coupling strengths (NACS) used in trajectory propagations are calculated on-the-fly via state-average complete active self-consistent field (CASSCF) theory with full valence electrons. Dynamics results show that the  $\text{H}_3\text{O}^+$  DR is ultrafast and yields diversity of products. Product branching fractions are predicted to be 0.660 for ( $\text{OH} + 2\text{H}$ ), 0.230 for ( $\text{H}_2\text{O} + \text{H}$ ), 0.108 for ( $\text{OH} + \text{H}_2$ ), and 0.002 for ( $\text{O} + \text{H} + \text{H}_2$ ), which are in excellent agreement with the heavy-ion storage ring experimental results. Kinetic energies of the eliminated hydrogen atoms are large and show a bimodal distribution.

## Introduction

Dissociative recombination (DR)<sup>1–6</sup> is a very important process in interstellar clouds, the upper atmosphere of Earth, plasmas, and high-temperature combustion environments. In interstellar mediums, DR is the main ion neutralization pathway, creating many diverse neutral molecules. DR processes also determine the abundances of molecules. Since the early 1990s, experimentalists can more precisely measure both rate coefficients and product branching ratios of DR due to the advent of advanced techniques including crossed and merged beam methods, flowing afterglow techniques, and heavy-ion storage rings. Results commonly show that DR is nonstatistical for product branching ratios. Such a finding provides a solid explanation for the mystery of the anomalous abundance of those less stable molecules in interstellar clouds.<sup>5</sup>

Although several powerful quantum dynamics algorithms have been developed by use of *R*-matrix theory,<sup>7</sup> multichannel quantum defect theory (MQDT),<sup>4,8,9</sup> and time-dependent wave packet (TDWP) methods<sup>10–16</sup> to calculate the DR cross sections, in contrast, theoretical studies on the product branching ratios of DR are rather limited. Only a couple of triatomic molecular ions such as  $\text{H}_3^+$  and  $\text{HCO}^+$  ions<sup>12,17–19</sup> have been investigated by quantum dynamics methods with the prediction of product branching ratios. Nevertheless, the theoretical product branching ratios for DR of  $\text{H}_3^+$  are still controversial; for example, see the most recent summary addressed by Larsson et al.<sup>20</sup> Therefore, it is very challenging to theoretically study the DR product branching ratios of polyatomic systems by quantum dynamics methods. The difficulty arises from the high-dimension problem, the nonadiabaticity, and the large energy span in DR. In addition, a few direct ab initio molecular dynamics simulations have been carried out for  $\text{H}_3\text{O}^+$ ,<sup>21–24</sup>  $\text{HCNH}^+$ ,<sup>25,26</sup> and  $\text{CH}_5^+$ <sup>27</sup> by use of

classical mechanics. Calculations of Tachikawa et al.<sup>21,25,27</sup> were performed on the ground electronic states of molecules, whereas those of Taketsugu and co-workers<sup>22,23,26</sup> were done on a set of adiabatic states, including nonadiabatic effects. However, the initial conditions<sup>21,25,26</sup> for trajectories were prepared according to a vertical attachment approximation of electron to the molecular ions, in which the electron excess energies in DR were released via a radiation process. Therefore, those simulations are not really equivalent to the DR processes but the radiative DR, because the total energy of the system is less by an amount of the excess energy than the initial scattering one in molecular dynamics simulations. In other words, the outcomes might not be comparable to experiments. For instance, the molecular dynamics study<sup>21</sup> of the  $\text{H}_3\text{O}^+$  DR predicted that the  $\text{H}_2\text{O} + \text{H}$  products were most likely, in contradiction to experiments<sup>28–31</sup> which showed that the  $\text{OH} + 2\text{H}$  products dominate. For the DR of  $\text{H}_3\text{O}^+$ , a crucial improvement has been made by Kayanuma et al.,<sup>22</sup> who started trajectories on a Rydberg state that is accidentally degenerate with the energy level of  $e^- + \text{H}_3\text{O}^+$ . As a result, the outcomes are in much better agreement with the experiments.

In order to consider the electron excess energy in DR, recently, I have developed a spherical electron cloud hopping (SECH) model<sup>32</sup> for the initial conditions of molecular dynamics trajectories, where a surface hopping algorithm was used to take the nonadiabaticity into account. The preliminary application for the DR of  $\text{CH}^+$  and  $\text{H}^+$  ( $\text{H}_2\text{O}$ )<sub>3</sub> is promising. In particular, the results demonstrated that it is crucial to include the excess energy of scattered electron in dynamics simulations. In this work, we will apply this SECH molecular dynamics method to revisit the dissociative recombination of  $\text{H}_3\text{O}^+$  at zero collision energy. The current research will focus on the product branching fractions, dissociation dynamics mechanisms, and kinetic energy

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distribution of escaped hydrogen atoms. A comparison with previous theoretical calculations and experiments will be made.

## 2. Computational Methods

DR of  $\text{H}_3\text{O}^+$  was studied by the SECH molecular dynamics (MD) method,<sup>32</sup> which has been implemented in the DuoOrthGT program.<sup>32–35</sup> As the method has been well described in the literature, no more detail will be given here. Briefly, the SECH MD method considers the DR of  $\text{H}_3\text{O}^+$  as two consecutive steps. The first step involves a deep electron capture of the ion, which was treated by the SECH model. The next step is the dissociation of the neutral  $\text{H}_3\text{O}$  radical on its electronic valence states. In calculations, four low-lying doublet electronic states were included. It is worthwhile to mention that the SECH MD method addresses only on the product branching ratios at zero collision energy rather than the scattering cross sections. And Rydberg states of  $\text{H}_3\text{O}$  are considered as loose reactants:  $e^- + \text{H}_3\text{O}^+$ . The  $\text{H}_3\text{O}$  molecule in a Rydberg state might undergo an autoionization process to become  $e^- + \text{H}_3\text{O}^+$ . However, this process would not contribute to the product branching ratios of  $\text{H}_3\text{O}^+$  DR but influence the capture cross sections. Since  $\text{H}_3\text{O}$  in a Rydberg state never directly dissociates into neutral atom or molecular moieties, it is not necessary to include Rydberg states in the SECH MD calculations. On the other hand, the effect of Rydberg states on dynamics is implemented with the initial conditions of trajectories.

The dissociation dynamics were carried out by the quasi-classical trajectory (QCT) approach, only on a single potential energy surface. But the nonadiabaticities are described by the “fewest switches” surface hopping algorithm.<sup>36</sup> The probability of surface hopping from the  $k$ th electronic state to the  $j$ th state within the time step  $\Delta t$  is evaluated by

$$P_{kj}(t) = \frac{2\Delta t}{|c_k|^2} \left\{ \frac{1}{\hbar} \text{Im}(c_k c_j^* V_{jk}) - \text{Re}(c_k c_j^* \tau_{jk}) \right\} \quad (1)$$

where  $V_{ij} = \langle \psi_i(\mathbf{r}; \mathbf{R}) | \hat{H}_e(\mathbf{r}; \mathbf{R}) | \psi_j(\mathbf{r}; \mathbf{R}) \rangle$  are the potential energy matrix elements with  $\mathbf{r}$  and  $\mathbf{R}$  being the electronic and nuclear coordinates, respectively. Without any higher-order coupling as in this case, the potential matrix  $\mathbf{V}$  is diagonal in the adiabatic representation. The nonadiabatic coupling strengths are given by

$$\tau_{kj} = \dot{\mathbf{R}} \cdot \mathbf{d}_{kj} \quad (2)$$

with the atomic velocities  $\dot{\mathbf{R}}$  and the nonadiabatic transition matrix elements

$$d_{ij}(\mathbf{R}) = \langle \psi_i(\mathbf{r}; \mathbf{R}) | \nabla_{\mathbf{R}} \psi_j(\mathbf{r}; \mathbf{R}) \rangle \quad (3)$$

$c_i(t)$  are the complex electronic amplitudes of the total electronic wave function  $\Psi(t)$  ( $= \sum_i c_i(t) \psi_i$ ) in the adiabatic electronic states  $\{\psi_i; k = 1-4\}$ . Surface hopping is invoked when  $P_{ij}(t)$  is greater than a uniform random number generated between 0 and 1. As usual, if surface hopping occurs at time  $t$ , the atomic kinetic energies are then adjusted by scaling the mass-weighted atomic velocities in the direction of the nonadiabatic coupling vector at the hopping position of  $\text{H}_3\text{O}$  to conserve the total energy of the system.

Trajectories are propagated by use of a fourth-order symplectic integrator<sup>37</sup> with a time step  $\Delta t = 0.043$  fs. At the

meantime, the total electronic wave function is computed in the adiabatic representation by solving the time-dependent Schrödinger equation:

$$\dot{c}_k = - \sum_{j=1}^N (iV_{kj}/\hbar + \tau_{kj}) c_j \quad (4)$$

Energies, forces, and transition matrix elements used in trajectories were computed on-the-fly by the state-average complete active self-consistent field (CASSCF) theory,<sup>38</sup> together with a 6-311G(d,p) basis set.<sup>39</sup> The CASSCF method contains seven electrons and six orbitals, that is, a CAS(7,6)/6-311G(d,p) method. The full valence electrons are active in self-consistent field calculations so that all possible dissociation limits of  $\text{H}_3\text{O}$  can be described correctly. In order to save computational times, following the previous work of Taketsugu and co-workers<sup>22,23,26</sup> the surface hopping is limited among the propagating state and its two adjacent states in energy. A propagating state is the electronic state on which the trajectory is running at time  $t$ . Therefore, the propagating state varies with time. Under such a constraint, only the nonadiabatic coupling matrix elements between the propagating state and its two neighboring states are required instead of the whole coupling matrix. This should be a good approximation because those excluded states have a larger energy gap from the propagating state. Due to the large energy gaps, their nonadiabaticities with the propagating state are normally small according to the Massey parameter.<sup>40</sup>

Initial conditions for each trajectory were determined by the SECH model. First, the atomic positions ( $\mathbf{R}$ ) and temporary momenta ( $p_i$ ) of  $\text{H}_3\text{O}^+$  were selected according to the canonical ensemble at temperature  $T = 298$  K, where the orientation, rovibrational energy, and phases of the ion were randomly sampled. At the positions  $\mathbf{R}$ , we then calculated the vertical affinity potentials ( $E_{\text{AP},k}^{\text{V}}$ ) of  $\text{H}_3\text{O}^+$  to the  $k$ th ( $k = 1-4$ ) state of  $\text{H}_3\text{O}$  by

$$E_{\text{AP},k}^{\text{V}} = V^{\text{H}_3\text{O}^+}(\mathbf{R}) - V_k^{\text{H}_3\text{O}}(\mathbf{R}) \quad (5)$$

where  $V^{\text{H}_3\text{O}^+}$  and  $V_k^{\text{H}_3\text{O}}$  are the adiabatic potential energies of  $\text{H}_3\text{O}^+$  and  $\text{H}_3\text{O}$  in the  $k$ th electronic state, respectively. According to the SECH probability  $P_k = \tilde{P}_k / \sum_{i=1}^N \tilde{P}_i$ , of the incident electron hopping from the ion to the  $k$ th electronic state of  $\text{H}_3\text{O}$ , one samples an electronic state  $j$  of  $\text{H}_3\text{O}$  through a uniform random number  $\zeta \in (0, 1)$  and then sets the initial electronic amplitudes as  $c_i(t=0) = \delta_{ij}$  ( $i = 1, \dots, N$ ). The  $j$ th state is selected if the condition

$$\sum_{i=1}^{j-1} P_i < \zeta \leq \sum_{i=1}^j P_i \quad (6)$$

is satisfied. The unnormalized probability is defined as

$$\tilde{P}_j(E_{\text{AP},j}^{\text{V}}) = \begin{cases} g_e / [E_{\text{AP},j}^{\text{V}} - E_{\text{R}}^0 + \varepsilon] & \text{if } E_{\text{AP},j}^{\text{V}} \geq 0 \\ g_e \exp[E_{\text{AP},j}^{\text{V}} / (E_{\text{vib}}^k + \varepsilon)] & \text{if } -E_{\text{vib}}^k \leq E_{\text{AP},j}^{\text{V}} < 0 \\ [\varepsilon - (E_{\text{AP},j}^{\text{V}} - E_{\text{R}}^0)] & \\ 0 & \text{otherwise} \end{cases} \quad (7)$$

with

$$E_{\text{R}}^0 = \min\{0, V^{\text{H}_3\text{O}^+}(\mathbf{R}) - V_{\text{Ry}}^{\text{H}_3\text{O}}(\mathbf{R})\} \quad (8)$$

where  $g_e = 2$  is the electronic statistical factor and  $V_{\text{Ry}}^{\text{H}_3\text{O}}$  is the potential energy of  $\text{H}_3\text{O}$  in the lowest Rydberg state that is not included in the trajectory calculations.  $E_{\text{vib}}^k$  is the vibrational kinetic energy of  $\text{H}_3\text{O}^+$ . This vibrational energy is an upper limit that could help an incident electron hopping to a higher energy state of the neutral molecule from the ionic state. The infinitesimal  $\varepsilon = 10^{-8}$  is used to avoid numerical overflow. In this work, the SECH probability is proportional to the density ( $\rho$ ) of states at an electron excess energy  $E_{\text{AP}}^{\text{X}}$  with an equal width approximation. On the basis of the electron charge ( $q$ ) interaction potential, the classical density of states is  $\rho(E) = q/E$ , where the dynamics of the  $e^- + \text{H}_3\text{O}^+$  (for a given geometry) is considered in a full classical mechanics with a continuum energy. The final result in eq 7 is obtained by using an energy shift owing to the exclusion of Rydberg states in trajectory calculations and by multiplying an exponential factor for the electron tunneling effect in the case with  $E_{\text{AP}}^{\text{X}} < 0$ . Of course, a better SECH probability can be determined by doing quantum scattering calculations.<sup>41,42</sup>

In the SECH model, the excess potential energy  $E_{\text{AP}}^{\text{X}}$  of the incident electron has been converted into the atomic kinetic energy in  $\text{H}_3\text{O}$  as

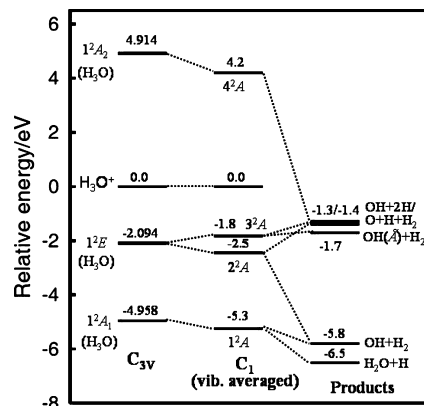
$$E_{\text{kin}}^{\text{H}_3\text{O}} = E_{\text{kin}}^{\text{H}_3\text{O}^+} + E_{\text{AP}}^{\text{X}} \\ = \sum_i \frac{(p_i + t f_i)^2}{2m_i} \quad (9)$$

to conserve the total energy, where  $p_i$  are the old momenta of atoms with masses  $m_i$ .  $t_s$  is a scaling parameter of dimension time. The atomic driving force components  $f_i$  are defined as<sup>32</sup>

$$f_i = f_i^{e-\text{H}_3\text{O}^+}(R_{\text{cM}}^{\text{c}}; \mathbf{R}) - f_i^{\text{H}_3\text{O}}(\mathbf{R}) \quad (10)$$

The term  $f_i^{\text{H}_3\text{O}}(\mathbf{R})$  refers to the atomic forces of  $\text{H}_3\text{O}$  at the positions  $\mathbf{R}$ , while  $f_i^{e-\text{H}_3\text{O}^+}(R_{\text{cM}}^{\text{c}}; \mathbf{R})$  denotes the atomic forces of the  $e^- - \text{H}_3\text{O}^+$  system at both  $\mathbf{R}$  and  $R_{\text{cM}}^{\text{c}}$ .  $R_{\text{cM}}^{\text{c}}$  is a critical distance at which the potential energy of  $\text{H}_3\text{O}$  in the selected state will match the potential energy of the  $e^- - \text{H}_3\text{O}^+$  interaction system (In the case with a negative  $E_{\text{AP}}^{\text{X}}$ , one may flip the standard fractional point charges to be positive to take the repulsive feature in the  $e^- - \text{M}^{+q}$  system into account.) Both forces were computed by the CASSCF method with/without the fractional point charges. For more detail, the reader can refer to ref 32.

As indicated by Kayanuma et al.,<sup>22</sup> the CASSCF method poorly balances the correlation energy between  $\text{H}_3\text{O}^+$  and  $\text{H}_3\text{O}$  because of the different number of electrons. As a result, their relative energy cannot be accurately represented by a consistent CASSCF approach. In order to get better vertical potential energies, instead of the CAS(6,6)/6-311G(d,p)<sup>39</sup> method, we used the CAS(2,2)/6-31G(d) method to compute the potential energy surfaces and forces of both  $\text{H}_3\text{O}^+$  and  $e^- - \text{H}_3\text{O}^+$  systems. The specific combination was selected by comparing the equilibrium geometry of  $\text{H}_3\text{O}^+$  and its vertical affinity potentials with those calculated with a high-level ab initio method CCSD(T)/aug-cc-pVTZ, that is, the coupled-cluster method with



**Figure 1.** Schematic energy diagram for DR of  $\text{H}_3\text{O}^+$ , where the energies are relative to the ground state of the cation. The four electronic states of  $\text{H}_3\text{O}$  involved in dynamics calculations are shown. Please note that the correlation lines linking to the product channels are not complete.

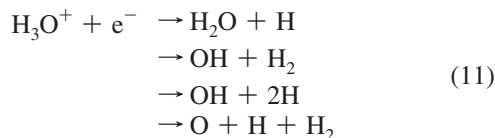
single and double excitation terms and a perturbative triple excitation correction [CCSD(T)]<sup>43</sup> together with the aug-cc-pVTZ basis set of Dunning.<sup>44</sup> Such a modification will substantially improve the energetics of the DR of  $\text{H}_3\text{O}^+$ . In particular, one should notice that the CAS(2,2) method is used only for the initial conditions of  $\text{H}_3\text{O}^+$  while the trajectory calculations are performed by use of the full valence electron CAS(6,7) method. At the beginning of each trajectory, the  $\text{H}_3\text{O}^+$  ion vibrates near its equilibrium structure, where the potential energy surface of CAS(2,2) is as good as that of CCSD(T) except for a vertical energy shift. Therefore, it is a good approach to use the CAS(2,2) method for the initial conditions.

Trajectories were terminated once two fragments has separated apart by  $18.0a_0$ . The fragments were analyzed by the graph theory.<sup>34</sup> The critical nuclear distances used are  $R_{\text{OH}} = 4.5a_0$  and  $R_{\text{HH}} = 3.5a_0$ . All electronic structure calculations were performed with the Molpro program package.<sup>45</sup>

### 3. Results and Discussion

The CAS(2,2)/6-31G(d) method gives an electronic energy of  $-76.30673489$  au for the ground-state  $\text{H}_3\text{O}^+$  ( $X^1A_1$ ) with the equilibrium geometries  $R_{\text{OH}} = 0.9705 \text{ \AA}$  and  $\theta_{\text{HOH}} = 111.7^\circ$  in  $C_{3v}$  symmetry. At the CAS(7,6)/6-311G(d,p) level of theory for  $\text{H}_3\text{O}$ , as shown in Figure 1, the vertical affinity potentials ( $E_{\text{AP}}^{\text{X}}$ ) of  $\text{H}_3\text{O}^+$  to the low-lying doublets of  $\text{H}_3\text{O}$  are 4.958, 2.094, 2.094, and  $-4.914$  eV in order of energy. The second and third electronic states are the doubly degenerate E state. It will split into two A states in  $C_1$  symmetry, where most trajectories are vibrationally sampled. As a result, there are four vibrationally averaged levels. Three of them are shown in Figure 1. In order to check the accuracy of the hybrid CAS approach, we have optimized the equilibrium structure of  $\text{H}_3\text{O}^+$  with the high-level ab initio method CCSD/aug-cc-pVTZ. It gives the equilibrium geometries as  $R_{\text{OH}} = 0.9758 \text{ \AA}$  and  $\theta_{\text{HOH}} = 111.7^\circ$  with a vertical affinity potential of 5.208 eV for the ground state of  $\text{H}_3\text{O}$ . At the CCSD geometry, we have also refined the electronic energy with the CCSD(T)/aug-cc-pVTZ method. The CCSD(T) method predicts a vertical affinity potential ( $\text{H}_3\text{O}^+$ ) of 5.261 eV correspondingly. It clearly shows that the CAS results are in good agreement with the CCSD/CCSD(T) ones for the equilibrium geometry of  $\text{H}_3\text{O}^+$  and its vertical affinity potential to the ground-state  $\text{H}_3\text{O}$ . Therefore, such a combination of CAS methods is an acceptable approach for studying the DR of  $\text{H}_3\text{O}^+$ .

For DR of  $\text{H}_3\text{O}^+$ , there are four open product channels at zero collision energy:<sup>22,23</sup>



where the ( $\text{H}_2\text{O} + \text{H}$ ) and ( $\text{OH} + \text{H}_2$ ) channels are favorable in energy whereas the other two channels are less preferable. In this work, a total of 500 good trajectories were run. Their total energies are conserved within an error of 0.05 eV, or about a 0.4% error bar of total energy. Those bad trajectories, mostly owing to the convergence problem of CAS wave functions, were discarded. Calculated product branching fractions are given in Table 1, together with a comparison with experiments and previous calculations. Results show that the ( $\text{OH} + 2\text{H}$ ) products dominate, although the fractions for the two energy-preferred channels are moderate. Among 500 trajectories, only one case leads to the ( $\text{O} + \text{H} + \text{H}_2$ ) products. Our calculations are in good agreement with experimental results,<sup>28–31</sup> especially the recent heavy-ion storage ring ones.<sup>30,31</sup> These results all show an apparent difference from the flowing afterglow measurements.<sup>3,46</sup> The discrepancy may be caused by the data interpretation that was employed in order to obtain the product branching ratios from two different measurements.<sup>3,46</sup>

The SECH MD study also outperforms the previous theoretical calculations.<sup>21,22</sup> Tachikawa<sup>21</sup> predicted 100% of  $\text{H}_2\text{O} + \text{H}$  in the DR of  $\text{H}_3\text{O}^+$  because only the electronic ground-state of  $\text{H}_3\text{O}$  was included in his MD study and the electron excess energy was totally removed. Indeed, as shown in Figure 2, the excess energy to the ground state (that is equal to the vertical affinity potential of  $\text{H}_3\text{O}^+$ ) is as large as about 5.2 eV. Such an amount of energy is able to break any O–H bond in the  $\text{H}_3\text{O}$  molecule. As expected, vertical affinity potentials become smaller when the resulting neutral molecule is in an excited state. The affinity potentials are about 1.83 and 2.45 eV for the first and second excited states, respectively. Therefore, they can play an important role in molecular dynamics simulations. The importance had been addressed by Kayanuma et al.<sup>22</sup> for the DR of  $\text{H}_3\text{O}^+$ . In order to consider the electron excess energy (partially in general), they started trajectories on one Rydberg state of  $\text{H}_3\text{O}$ . As a result, the product branching ratios were substantially improved as shown in Table 1. Nevertheless, the product branching fraction for  $\text{OH} + 2\text{H}$  was largely overestimated because trajectories had to roll down from the Rydberg state to valence states step-by-step in the MD simulations.<sup>22</sup> Such a DR mechanism may not be true for all DR trajectories of  $\text{H}_3\text{O}^+$ . Most recently, the DR of  $\text{H}_3\text{O}^+$  has been studied by Mann et al.<sup>24</sup> in terms of the  $\text{H}_3\text{O}^+ + \text{Cs}$  reaction. They obtain a dominant channel of  $\text{H}_2\text{O} + \text{H}$  with a minor one of  $\text{OH} + \text{H}_2$ . These results really show an important environment effect on the product branching ratios of  $\text{H}_3\text{O}^+$  DR as previous work.<sup>32,47</sup>

Since hydrogen atoms are the major species in the DR of  $\text{H}_3\text{O}^+$ , it is interesting to analyze their kinetic energy distribution that is displayed in Figure 3. It clearly shows a bimodal distribution. Actually, the bimodal profile reveals two different pathways to produce hydrogen atoms. The fast hydrogen atoms with a kinetic energy larger than 3.5 eV are directly eliminated on the electronic ground state of  $\text{H}_3\text{O}$ . In such a mechanism, the resulting  $\text{H}_2\text{O}$  molecules are vibrationally cold. On the other hand, the first eliminated hydrogen atom could be slow so that the corresponding  $\text{H}_2\text{O}$  moiety is often hot. In some circumstances, the hot  $\text{H}_2\text{O}$  moiety may have enough internal energy to dissociate into  $\text{H} + \text{OH}$ . Such a unimolecular reaction normally produces a vibrationally cold OH radical and a slow

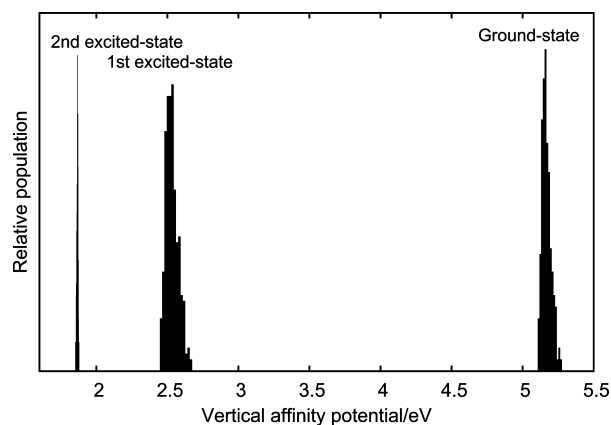
**TABLE 1: Calculated Product Branching Fractions for the Dissociative Recombination of  $\text{H}_3\text{O}^+$  with Zero Kinetic Energy Electrons, Together with a Comparison with Experiments and Previous Theoretical Calculations**

products	this work	calc <sup>22</sup>	calc <sup>21</sup>	expt <sup>31</sup>	expt <sup>30</sup>	expt <sup>29</sup>	expt <sup>3,46</sup>
$\text{H}_2\text{O} + \text{H}$	0.230	0.10	1.0	0.18	0.25	0.33	0.05
$\text{OH} + \text{H}_2$	0.108	0.015	0.0	0.11	0.14	0.18	0.36
$\text{OH} + 2\text{H}$	0.660	0.87	0.0	0.67	0.60	0.48	0.29
$\text{O} + \text{H} + \text{H}_2$	0.002	0.015	0.0	0.04	0.01	0.01	0.30

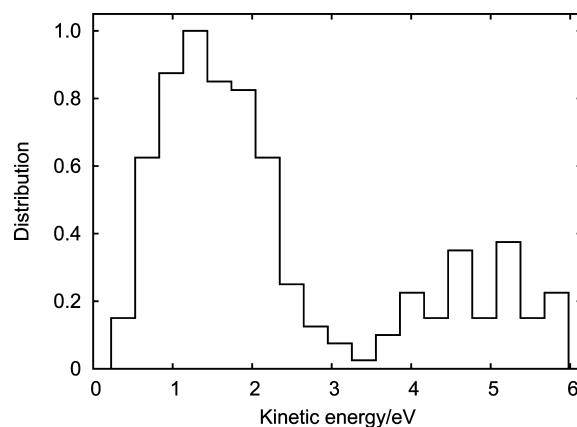
H atom. A typical trajectory is illustrated in Figure 4a. The first H escapes at about 14 fs, and the resulting  $\text{H}_2\text{O}$  is highly vibrationally excited. It has survived for 88 fs and eventually dissociates into OH and H at time  $t = 102$  fs. Compared to the DR of  $\text{H}_3\text{O}^+$  ( $\text{H}_2\text{O}$ )<sub>n</sub> cluster ions,<sup>32,47</sup> the sequential H elimination mechanism rarely happens because of the microsolvation effect.

In addition, it was found that the major peak at 1.5 eV in Figure 3 results from the simultaneous eliminations of two hydrogen atoms on the first excited state of  $\text{H}_3\text{O}$ . A typical trajectory is displayed in Figure 4b. The hydrogen elimination starts at  $t = 11.35$  fs, and the two H atoms fly away with noticeable speeds. Indeed, it is the most important mechanism for the dissociative recombination of  $\text{H}_3\text{O}^+$ . Nearly three-quarters of the hydrogen atoms are formed via this DR mechanism.

In order to clearly demonstrate the DR mechanism in Figure 4b, we have plotted the tag for the propagating state and its

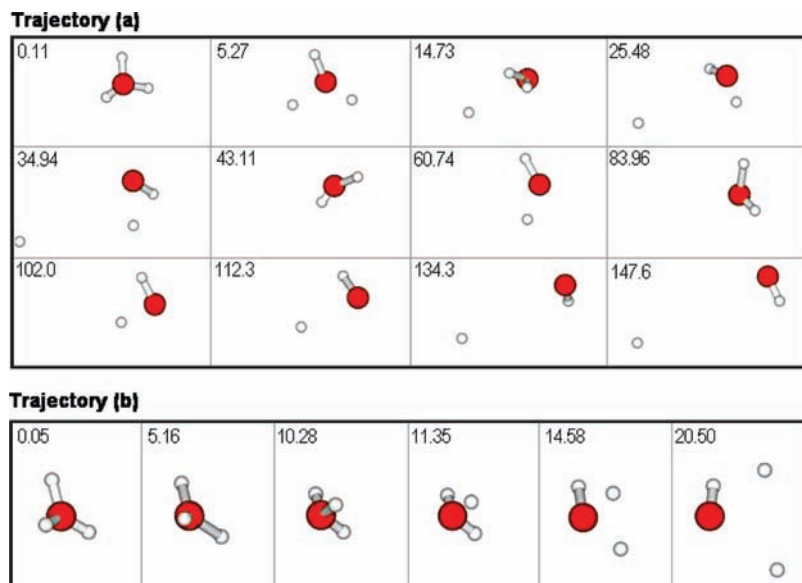


**Figure 2.** Vertical affinity potentials ( $E_{\text{AP}}^{\text{V}}$ ) of the  $\text{H}_3\text{O}^+$  ion to the ground or excited states of  $\text{H}_3\text{O}$  calculated with the 500 trajectories whose initial geometries were sampled according to the vibrational normal modes of  $\text{H}_3\text{O}^+$  at room temperature. The third excited state of  $\text{H}_3\text{O}$  always lies above the ground state of  $\text{H}_3\text{O}^+$  at those geometries.

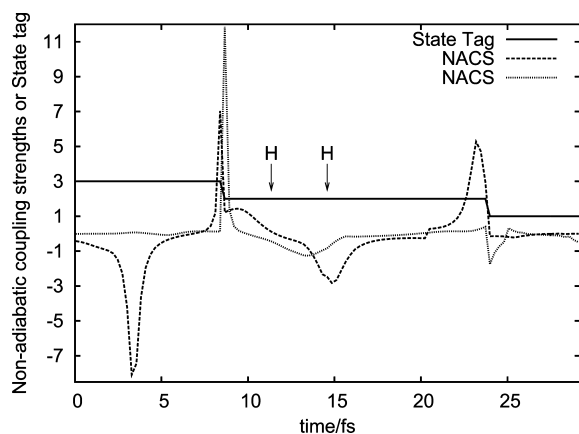


**Figure 3.** Distribution of kinetic energies of eliminated hydrogen atoms in DR of  $\text{H}_3\text{O}^+$  with electrons.



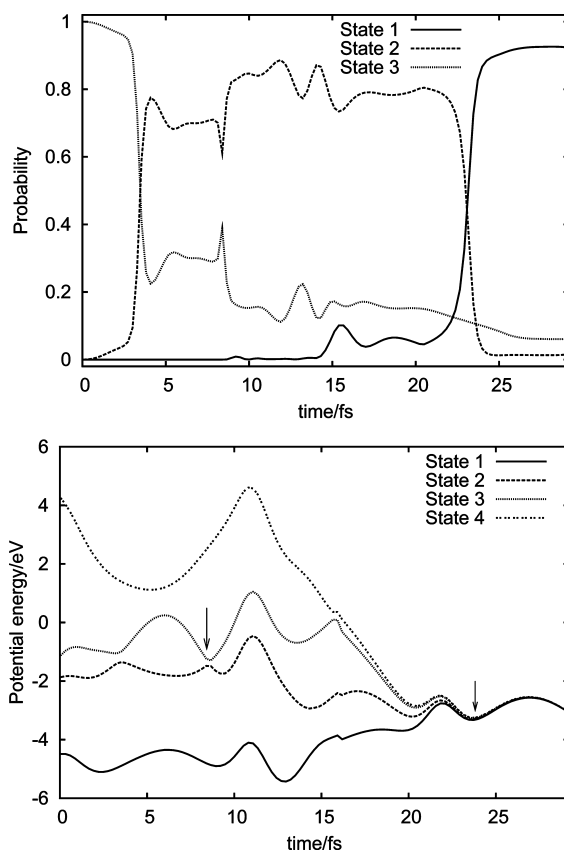


**Figure 4.** Two typical trajectories for the  $\text{H}_3\text{O}^+ + \text{e}^- \rightarrow \text{OH} + 2\text{H}$  reaction, where the time in femtoseconds is shown in each snapshot.



**Figure 5.** Tag of propagating state (solid line) and its nonadiabatic coupling strengths (dashed lines) with two adjacent states for trajectory b of Figure 4, leading to the  $\text{OH} + 2\text{H}$  products. The coupling states for NACSs will vary with the change of the propagating state as  $t$ .

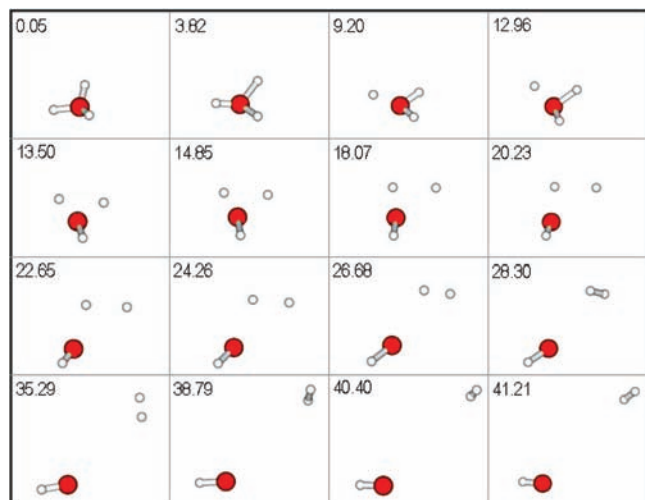
nonadiabatic coupling strengths (NACS) with two adjacent states as a function of time in Figure 5. Similarly, Figure 6 shows the populations ( $|c_k(t)|^2$ ) and the potential curves [ $V_{kk}(\mathbf{R})$ ] of four adiabatic states. The state tag begins with the electronic ground state (i.e., state 1), then followed by the excited states in the order of energy. From Figure 5, one can see that the trajectory is initially propagated on the second excited state (i.e., state 3). At time  $t = 8.4$  fs, the trajectory jumps onto the first excited state due to strong NACS. On the first excited state, two hydrogen atoms are then eliminated at nearly the same time (11.4 and 14.6 fs) indicated by the arrows. At time  $t = 23.8$  fs, another surface hopping from the first excited state to the ground state occurs. However, this hopping is trivial as the system has already reached the dissociative limit of  $\text{OH} + \text{H} + \text{H}$ , which can be seen from the degenerate potential curves (labeled by the arrow at 23.8 fs) in Figure 6. The plot of potential curves also indicates that the first surface hopping happens when the second excited state has been close to the first excited state. The repulsive feature of the first excited state beginning at  $t = 11.4$  fs (just after the first trajectory surface hopping) really reveals that a large amount of energy will be released in translational energy. This feature is consistent with the kinetic energy distribution of eliminated hydrogen atoms discussed



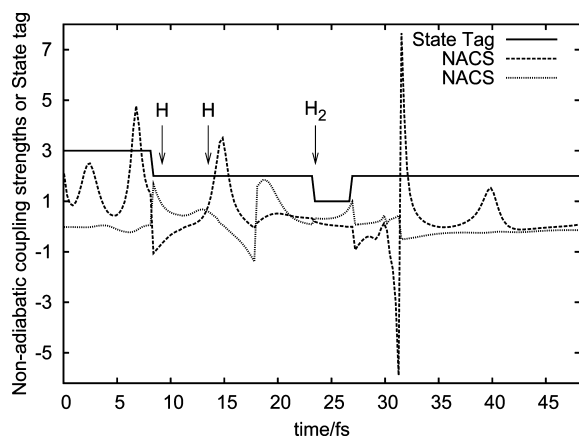
**Figure 6.** State populations (upper panel) and potential energies (lower panel) of four electronic states as a function of time for trajectory b of Figure 4. The two arrows denote the times when trajectory surface hopping occurs.

above. Furthermore, the trajectory surface hopping events are also demonstrated by the time evolution of state probabilities in Figure 6.

It is very interesting to study the mechanism of formation of  $\text{H}_2$  in products since the hydrogen atoms in  $\text{H}_3\text{O}$  are covalently bound with oxygen and are well separated from each other. A typical trajectory for the  $\text{H}_3\text{O}^+ + \text{e}^- \rightarrow \text{OH} + \text{H}_2$  reaction is shown in Figure 7. One can see that the  $\text{H}_2$  molecule is formed after the two hydrogen atoms have broken their covalent bonds



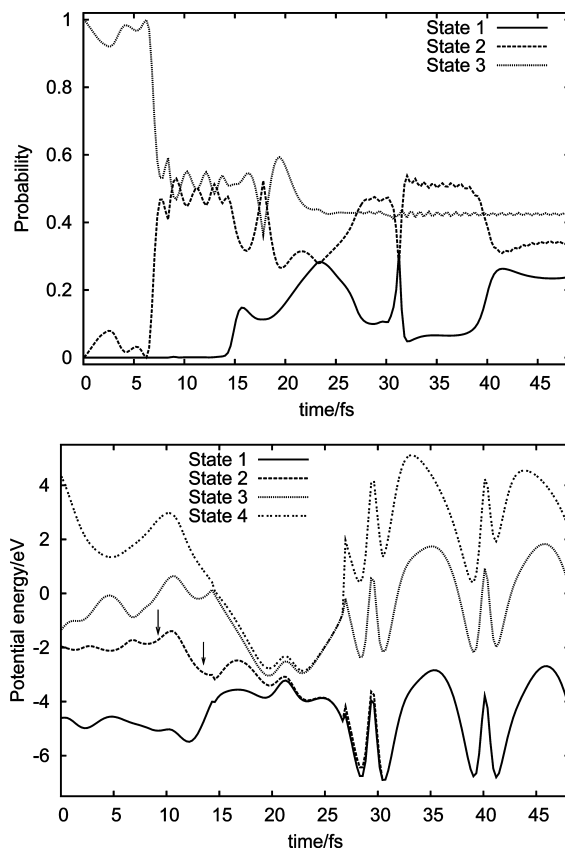
**Figure 7.** Typical trajectory for the  $\text{H}_3\text{O}^+ + e^- \rightarrow \text{OH} + \text{H}_2$  reaction, where the time in femtoseconds is shown in each snapshot.



**Figure 8.** Tag of propagating state (solid line) and its nonadiabatic coupling strengths (dashed lines) with two adjacent states for the trajectory to the  $\text{OH} + \text{H}_2$  products in Figure 7.

with the oxygen. In particular, these two hydrogen atoms should be eliminated on the same side and escape in the same direction with similar velocities. Under these circumstances, the nuclear distance between the two hydrogen atoms will not increase with time during the dissociation course. As a result, the two hydrogens have a chance to form a  $\text{H}_2$  molecule by using their radical–radical attractive forces at large distances from the OH residue. Otherwise, the products would be  $\text{OH} + 2\text{H}$  instead of  $\text{OH} + \text{H}_2$ . Therefore, the formation of the  $\text{H}_2$  product is mainly determined by the dynamics conditions. This may also imply that the product branching fraction for  $\text{OH} + \text{H}_2$  is not large because the dynamic effects are more or less coincident.

Owing to the requirement of simultaneous elimination of two hydrogen atoms for forming  $\text{H}_2$ , the  $\text{OH} + \text{H}_2$  products are produced only on excited states, especially on the first excited state. This has been clearly illustrated by the propagating state and the strong and complicated nonadiabatic coupling strengths versus time in Figure 8. The remarkable nonadiabatic effects are also reflected in the state populations shown in the upper panel of Figure 9. The three low-lying states are substantially mixed as a function of time. Furthermore, the potential curves in Figure 9 show that the dissociation asymptote of the  $\text{OH} + \text{H}_2$  products is approached at time  $t = 22.5$  fs. At longer times, the doubly degenerate states are due to the  $X^2\Pi$  state of OH, and the severe oscillations of potentials are created by the vibrationally hot  $\text{H}_2$ . The third state correlates to the first excited



**Figure 9.** State populations (upper panel) and potential energies (lower panel) of four electronic states as a function of time for the trajectory in Figure 7. The two arrows refer to the times for hydrogen eliminations.

state ( $A^2\Sigma$ ) of OH, while the highest one corresponds to the  $B^1\Sigma_u^+$  state of  $\text{H}_2$ . The hot  $\text{H}_2$  products are characteristic because they are formed at relatively large nuclear distances during the dissociation process. From the 55 trajectories forming  $\text{H}_2$ , we can estimate an average vibrational energy of 3.2 eV for  $\text{H}_2$ . But a reliable distribution of vibrational energies could not be obtained because of the small number of trajectories.

Finally, all trajectories demonstrate that DR of  $\text{H}_3\text{O}^+$  with electrons is ultrafast and finishes within 30 fs.

#### 4. Summary

Dissociative recombination of  $\text{H}_3\text{O}^+$  with electrons at zero collision energy has been revisited by use of a spherical electron cloud hopping (SECH) molecular dynamics (MD) method. In the calculations, the direct ab initio MD approach was employed, where the energies, forces, and nonadiabatic coupling strengths used in trajectories were evaluated by the state-average complete active self-consistent field theory CAS(7,6) with the 6-311G(d,p) basis set. Nonadiabatic effects were treated with a surface hopping algorithm. Results show that the electron excess energy plays a crucial role in determining the product branching ratios for DR of  $\text{H}_3\text{O}^+$ . The calculated product branching ratios are in good agreement with recent experimental results. Our results also outperform previous molecular dynamics studies. The DR mechanisms have been investigated in detail for both the  $\text{OH} + 2\text{H}$  and the  $\text{OH} + \text{H}_2$  products. It was found that the role of the first excited state of  $\text{H}_3\text{O}$  is prominent in DR of  $\text{H}_3\text{O}^+$ . In addition, the SECH MD method is applicable for studying the electron attachment of neutral molecules.<sup>48,49</sup>

In this work, molecular dynamics calculations were carried out by the surface hopping method. Some important quantum

effects such as quantum interference, tunneling, and leakage of zero-point energies may not be well described. To alleviate those problems, the ab initio multiple spawning trajectory method of Martinez et al.<sup>50,51</sup> can be used. Second, in order to study the energy dependence of DR product branching ratios, one has to know the energy-dependent electronic widths of those accessible electronic states of the neutral molecule for a DR system. The initial transition probabilities could be strongly affected by the widths, especially at the resonance scattering energies. They can be calculated by a quantum dynamics method<sup>7</sup> for a given geometry of the cation. Such a work is in progress.

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