



# ON THE ANALYSIS OF VISCOELASTIC MATERIALS FOR ACTIVE CONSTRAINED LAYER DAMPING TREATMENTS

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This paper is concerned with the investigations of viscoelastic material (VEM) effects on active constrained layer (ACL) based structures. Specific interests are on how the VEM parameters will influence the passive damping ability, the active action authority, and their combined effect in an ACL configuration. Using a beam example, the study has identified the VEM parameter regions that will provide the best active–passive hybrid actions. The results of this research can be used to develop guidelines to synthesize ACL structures that will outperform both the purely passive and active systems.

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## 1. BACKGROUND

Active constrained layer (ACL) damping treatments generally consist of a piece of viscoelastic damping material (VEM) sandwiched between an active piezoelectric layer and the host structure. Such systems have been studied by various researchers [1–18]. It has been recognized that the active piezoelectric action in an ACL configuration will enhance the viscoelastic layer damping ability by increasing its shear angle during operation [6]. That is, the ACL can enhance the system damping when compared to a structure with traditional passive constrained layers (PCL). On the other hand, Van Nostrand [13] mentioned that the active actions will be degraded by the passive constrained layer. Bailey *et al.* [2] also stated that it is more effective to apply the piezoelectric materials directly on the structure (purely active case without the viscoelastic layer). Liao and Wang [18] recently illustrated that the viscoelastic layer will reduce the direct control authorities from the active source to the host structure, due to the reduction of transmissibility. Therefore, under some conditions, the ACL configuration could require more control effort while achieving less vibration reduction when compared to a purely active system (zero VEM thickness).

## 2. PROBLEM STATEMENT AND OBJECTIVE

From the above discussion, one can conclude that the overall performance of the ACL treatment, comparing it to a purely active configuration, depends on the combined effect of two factors. That is, (1) how much passive damping increment and (2) how much active action reduction are caused by adding the viscoelastic layer. The significance of this combined effect is of course very much dependent on the VEM properties. Therefore, to synthesize an ACL-based structure that can outperform both the purely passive and active systems, a thorough analysis on how the viscoelastic materials will influence the passive

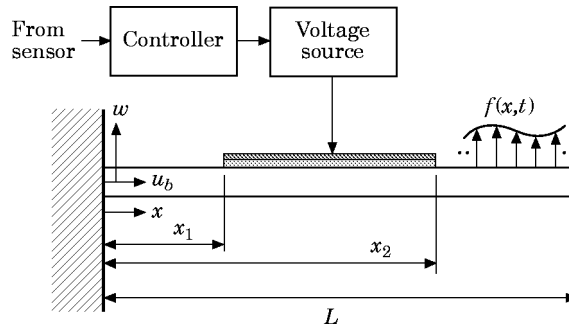


Figure 1. Cantilever beam with partially covered active constrained layer actuator: ▨, piezoelectric layer; ▤, viscoelastic layer.

damping and active action authority is needed. This effort will provide more understanding and physical insight to the problem, and further provide guidelines to ACL designers. Despite its importance, such a task had yet to be done. The goal of this research is to perform such an analysis and identify the important VEM parameters that will affect the aforementioned two factors, the passive damping ability and the active control authority, and their combined effects on structural controls.

### 3. SYSTEM DESCRIPTION

For the purpose of discussion, a cantilever beam with a partially covered active constrained layer is used to illustrate the concept (Figure 1). The piezoelectric cover sheet is connected to an external voltage source as the control input.

A finite element model has been developed for the system based on the following assumptions: (1) The rotatory inertia is negligible. The shear deformations in the piezoelectric layer and the beam are negligible. (2) The transverse displacement  $w(x, t)$  is assumed to be the same for all layers. (3) Young's modulus of the VEM is negligible compared to those of the elastic and piezoelectric materials. (4) Linear theories of elasticity, viscoelasticity, and piezoelectricity are used. (5) There is perfect continuity at the interfaces, and no slip occurs between the layers. (6) The applied voltage is assumed uniform along the beam. (7) The density and thickness are uniform over the beam.

#### 3.1. KINEMATICS RELATIONSHIPS

The geometry and deformation of the sandwich beam is shown in Figure 2. Let the axial displacements of the neutral axis of the piezoelectric layer, the VEM, and the beam be  $u_c$ ,  $u_s$ , and  $u_b$ , respectively. The subscripts  $c$ ,  $s$ , and  $b$  refer to the piezoelectric constraining layer, the viscoelastic shear layer (VEM), and the beam, respectively. Here,  $w$  denotes the

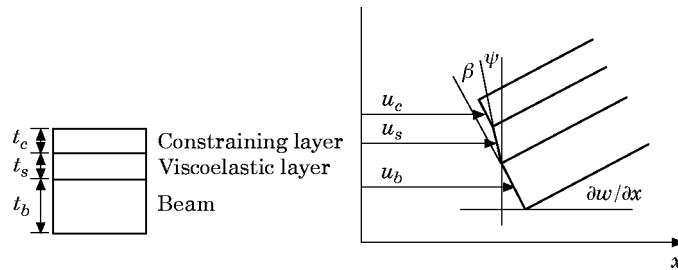


Figure 2. The geometry and deformation of a sandwich beam.

transverse displacement. A full list of symbols appears in the Appendix. From Figure 2, the shear strain  $\beta$  of the VEM is

$$\beta = \partial w / \partial x - \psi, \quad (1)$$

where  $\psi$  is the rotational angle of the VEM layer. For perfect bonding conditions, one can further derive the kinematics relations

$$u_s = u_b - (t_b/2) (\partial w / \partial x) - (t_s/2) \psi, \quad u_c = u_b - (t_b + t_c)/2 (\partial w / \partial x) - t_s \psi. \quad (2, 3)$$

### 3.2. ENERGY OF BEAM WITH ACL TREATMENTS

For one-dimensional structures with uni-axial loading, the constitutive equation of the piezoelectric materials [19] can be written as

$$\begin{bmatrix} \tau \\ E \end{bmatrix} = \begin{bmatrix} C_{11}^D & -h_{31} \\ -h_{31} & \beta_{33}^S \end{bmatrix} \begin{bmatrix} \varepsilon \\ D \end{bmatrix}, \quad (4)$$

where  $D$  is the electrical displacement (charge/area in the beam vertical direction),  $E$  is the electrical field (voltage/length along the vertical direction),  $\varepsilon$  is the mechanical strain in the  $x$  direction, and  $\tau$  is the mechanical stress in the  $x$  direction.  $C_{11}^D$  is the elastic stiffness,  $\beta_{33}^S$  is the dielectric constant, and  $h_{31}$  is the piezoelectric constant. Based on the above constitutive equation, and assuming  $D$  is constant along the piezoelectric layer thickness for thin materials, one can derive the potential energies of the piezoelectric layer and the beam to be

$$\begin{aligned} E_p^c = \frac{1}{2} \int_{V_c} (\tau \varepsilon + ED) \, dV = \frac{1}{2} \int_0^L \left[ C_{11}^D A_c \left( \frac{\partial u_c}{\partial x} \right)^2 + C_{11}^D I_c \left( \frac{\partial^2 w}{\partial x^2} \right)^2 \right. \\ \left. - 2A_c h_{31} D \left( \frac{\partial u_c}{\partial x} \right) + A_c \beta_{33}^S D^2 \right] [\mathbf{H}(x - x_1) - \mathbf{H}(x - x_2)] \, dx, \end{aligned} \quad (5)$$

$$E_p^b = \frac{1}{2} \int_0^L \left[ E_b A_b \left( \frac{\partial u_b}{\partial x} \right)^2 + E_b I_b \left( \frac{\partial^2 w}{\partial x^2} \right)^2 \right] dx, \quad (6)$$

where  $I_c$  and  $I_b$  are the moments of inertia about the neutral axis of the piezoelectric layer and the beam, respectively.  $\mathbf{H}$  is the Heaviside's unit function. The other parameters are defined in the nomenclature.

The kinetic energies of the beam, the VEM layer, and the cover sheet ( $T_b$ ,  $T_s$ ,  $T_c$  respectively) are represented as

$$T_b = \frac{1}{2} \int_0^L \rho_b A_b \left[ \left( \frac{\partial u_b}{\partial t} \right)^2 + \left( \frac{\partial w}{\partial t} \right)^2 \right] dx, \quad (7)$$

$$T_s = \frac{1}{2} \int_0^L \left[ \rho_s A_s \left[ \left( \frac{\partial u_s}{\partial t} \right)^2 + \left( \frac{\partial w}{\partial t} \right)^2 \right] \right] [\mathbf{H}(x - x_1) - \mathbf{H}(x - x_2)] \, dx, \quad (8)$$

$$T_c = \frac{1}{2} \int_0^L \left[ \rho_c A_c \left[ \left( \frac{\partial u_c}{\partial t} \right)^2 + \left( \frac{\partial w}{\partial t} \right)^2 \right] \right] [\mathbf{H}(x - x_1) - \mathbf{H}(x - x_2)] \, dx. \quad (9)$$

All parameters used in the above equations are defined in the nomenclature.

### 3.3. VIRTUAL WORK

For one-dimensional systems, the constitutive equation for viscoelastic materials can be represented in the Stieltjes integral form [20]

$$\tau(x, t) = G \circ \beta \equiv \int_{-\infty}^t G(t - \tau) \frac{\partial \beta}{\partial \tau}(x, \tau) d\tau, \quad (10)$$

where  $G(t)$  is the relaxation function of VEM (the stress response to a unit-step strain input). This stress relaxation represents energy loss from the material, hence damping. The virtual work done by the viscoelastic layer is therefore

$$\delta W_s = - \int_0^L A_s (G \circ \beta) \delta \beta [H(x - x_1) - H(x - x_2)] dx. \quad (11)$$

The virtual work done by the applied voltage is

$$\delta W_e = \int_0^L bV(t) \delta D [H(x - x_1) - H(x - x_2)] dx. \quad (12)$$

The virtual work done by the external disturbance force is

$$\delta W_d = \int_0^L f(x, t) \delta w(x, t) dx. \quad (13)$$

### 3.4. FINITE ELEMENT MODEL

The finite element method (FEM) [21] is used to convert energy and work equations (5–13) into forms of global nodal displacements through matrix assembly of the elements. The local shape functions are chosen to be cubic polynomial in  $x$  (for transverse displacement  $w$ ) and linear polynomial in  $x$  (for axial displacement  $u$ , and shear angle  $\beta$ ). During the discretization process, the Golla–Hughes–McTavish (GHM) method [22, 23] is employed to analyze the Stieltjes integral in the time domain [17, 18]. The detailed development of the model can be found in reference [24]. The total number of elements used in the analysis presented in this paper is ten. This includes a combination of two (left plain beam region), five (ACL sandwich beam region), and three (right plain beam region), as illustrated in Figure 3. The elements are of equal length within each region.

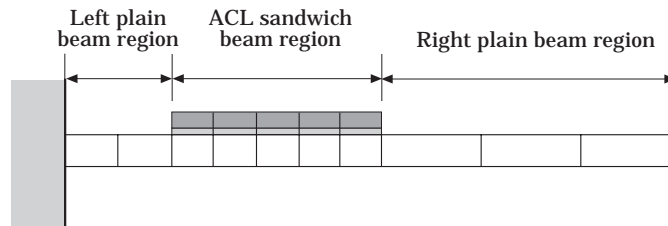


Figure 3. Finite element model of beam with partially covered ACL (constraining layer identification as in Figure 1).

Using Hamilton's principle, one can construct

$$\int_{t_1}^{t_2} [\delta T_b + \delta T_s + \delta T_c - \delta E_p^b - \delta E_p^c + \delta W_s + \delta W_c + \delta W_d] dt = 0. \quad (14)$$

With the kinematics relations (1–3), one can derive the discretized *time-domain* model:

$$\mathbf{M}\ddot{\mathbf{q}} + \mathbf{C}\dot{\mathbf{q}} + \mathbf{K}\mathbf{q} = \mathbf{f}_c + \mathbf{f}_d, \quad (15)$$

where  $\mathbf{q}$ ,  $\dot{\mathbf{q}}$ , and  $\ddot{\mathbf{q}}$  are vectors of nodal displacement, velocity, and acceleration.  $\mathbf{M}$ ,  $\mathbf{C}$ , and  $\mathbf{K}$  are the mass, damping, and stiffness matrices which are symmetric. In addition,  $\mathbf{f}_c$  and  $\mathbf{f}_d$  are vectors representing the control and disturbance forces, respectively. In the above formulation, the internal structural damping is also included via Rayleigh damping, which is of the form  $\mathbf{C}_b = \hat{a}\mathbf{M}_b + \hat{b}\mathbf{K}_b$ . Here,  $\mathbf{M}_b$  and  $\mathbf{K}_b$  are submatrices of  $\mathbf{M}$  and  $\mathbf{K}$ , respectively, from which the parts corresponding to the GHM dissipation co-ordinates are excluded. Constants  $\hat{a}$  and  $\hat{b}$  can be determined from experiments [21].

The discretized equation can be placed in the standard state-space form:

$$\dot{\mathbf{x}} = \mathbf{A}\mathbf{x} + \mathbf{B}\mathbf{u} + \hat{\mathbf{B}}\mathbf{u}_d, \quad \mathbf{y} = \mathbf{C}_o\mathbf{x}, \quad (16, 17)$$

where  $\mathbf{A}$  is the system matrix,  $\mathbf{B}$  is the control matrix,  $\hat{\mathbf{B}}$  is the disturbance matrix,  $\mathbf{C}_o$  is the output matrix, and  $\mathbf{u}_d$  is the disturbance input vector. The state vector  $\mathbf{x}$  and control input  $\mathbf{u}$  are defined by

$$\mathbf{x} = [\mathbf{q}^T \quad \dot{\mathbf{q}}^T]^T, \quad \mathbf{u} = V(t). \quad (18, 19)$$

By removing the VEM layer parameters, a model for the purely active configuration with similar procedures has also been derived [24].

### 3.5. EXPERIMENTAL VALIDATION

To validate the finite element models described in the previous section, laboratory experiments have been performed. Figure 4 illustrates the experimental setup. Two cantilever beam specimens are constructed: one is an ACL-based structure, the other is a purely active configuration (direct application of the piezoelectric layer on the beam without the VEM). Other than the VEM layer, both specimens have the same materials and dimensions. The actuator layers are bonded to the aluminium beams (261.6 mm × 12.7 mm × 2.286 mm) at 27 mm from the fixed end. The piezoelectric cover sheet (101.6 mm × 12.7 mm × 0.762 mm) is made of PZT ceramics (PKI 502). A well-known viscoelastic material, 3M ISD 112, is used for the damping layer (101.6 mm × 12.7 mm × 0.254 mm).

To test their open loop frequency responses, the beams are excited by the actuators with white noise inputs. The beam vibrations are measured using a non-contact displacement

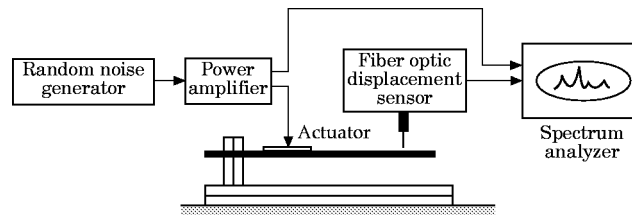


Figure 4. Schematic of experimental setup.

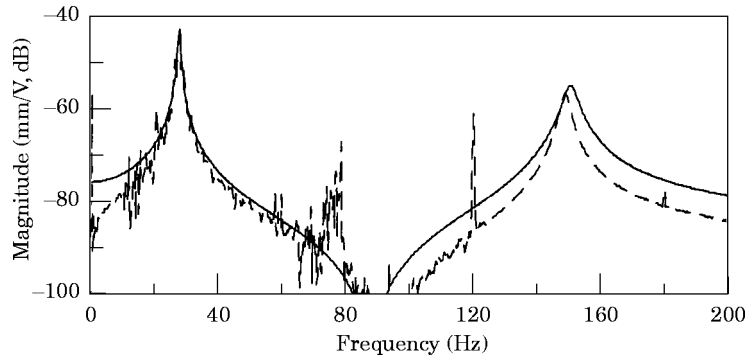


Figure 5. Frequency response of ACL system: —, FEM; - - -, experiment.

probe (PHILTEC, Model A88N1) located 2 mm from the free end, and processed through a signal analyzer (HP 35665A).

Figure 5 shows the ACL-based structure's frequency response function (measured displacement over input voltage). The frequency response of the purely active configuration is illustrated in Figure 6. Analytical results obtained from the finite element models are also shown in the figures. The system parameters used in this study are summarized in Table 1. It is illustrated that the analytical predictions match the experimental data closely. These experiments demonstrate the validity of the models.

#### 4. ANALYSIS AND DISCUSSIONS

To evaluate the active-passive hybrid actions, an analysis has been carried out on the beam structure model described in the previous section. The system parameter values used are given in Table 1 unless stated otherwise. In the following sections, the GHM parameters will first be related to the physical properties of the viscoelastic materials, and then the significance of these variables on the system's passive damping ability, active action authority, and their combined effect discussed.

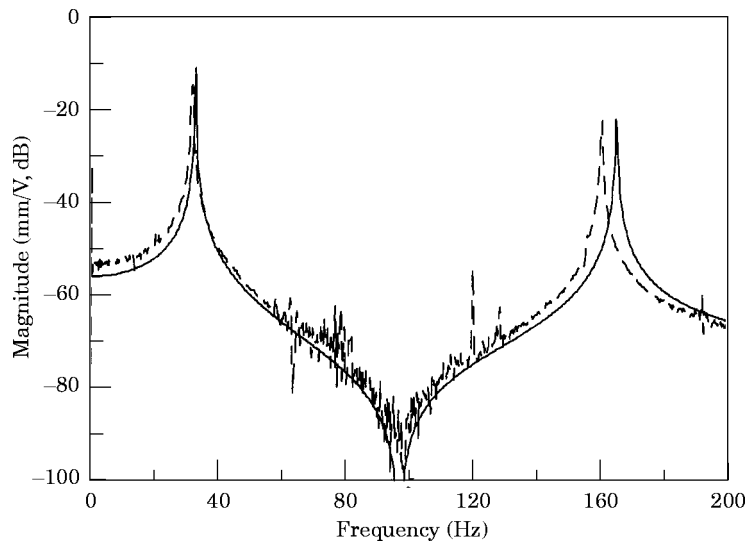


Figure 6. Frequency response of purely active system: Key as for Figure 5.

TABLE 1  
System parameters

$\hat{a}$	0.64	$R$	1	$\kappa$ (Pa)	$5 \times 10^5$
$b$ (mm)	12.7	$t_b$ (mm)	2.286	$\beta_{33}^s$ (V <sup>2</sup> /N)	$3.94 \times 10^7$
$\hat{b}$	$1.2 \times 10^{-6}$	$t_c$ (mm)	0.762	$\rho_b$ (kg/m <sup>3</sup> )	2700
$C_{11}^D$ (N/m <sup>2</sup> )	$7.40 \times 10^{10}$	$t_s$ (mm)	0.25	$\rho_c$ (kg/m <sup>3</sup> )	7600
$E_b$ (N/m <sup>2</sup> )	$7.1 \times 10^{10}$	$x_1$ (mm)	27	$\rho_s$ (kg/m <sup>3</sup> )	1250
$h_{31}$ (V/m)	$-6.73 \times 10^8$	$x_2$ (mm)	128.6	$\hat{\omega}$ (rad/s)	10 000
$L$ (mm)	261.6	$\alpha$	6.0	$\hat{\zeta}$	4.0

4.1. A DISCUSSION ON GHM PARAMETERS

The GHM method [22, 23] represents the material modulus function as a series of mini-oscillator terms in the Laplace domain:

$$s\tilde{G}(s) = \kappa \left[ 1 + \sum_{r=1}^n \alpha_r \frac{s^2 + 2\hat{\zeta}_r \hat{\omega}_r s}{s^2 + 2\hat{\zeta}_r \hat{\omega}_r s + \hat{\omega}_r^2} \right]. \tag{20}$$

The factor  $\kappa$  corresponds to the equilibrium value of the modulus—the final value of the relaxation function  $G(t)$ . Each mini-oscillator term is a second order rational function involving three positive constants  $\{\alpha_r, \hat{\omega}_r, \hat{\zeta}_r\}$ . These constants govern the shape of the modulus function over the complex  $s$ -domain.

Consider a single-term GHM expression:

$$s\tilde{G}(s) = \kappa \left[ 1 + \alpha \frac{s^2 + 2\hat{\zeta} \hat{\omega} s}{s^2 + 2\hat{\zeta} \hat{\omega} s + \hat{\omega}^2} \right]. \tag{21}$$

This modulus function, when evaluated along the imaginary axis of the  $s$ -plane, yields the complex modulus

$$G^*(\omega) \equiv i\omega\tilde{G}(i\omega) = G_1(1 + i\eta). \tag{22}$$

With equations (21) and (22), the shear (storage) modulus  $G_1(\omega)$  and material loss factor  $\eta(\omega)$  can be derived as

$$G_1(\omega) = \kappa \left[ 1 + \alpha \frac{\omega^4 + (4\hat{\zeta}^2 - 1)\hat{\omega}^2\omega^2}{\omega^4 + 2(2\hat{\zeta}^2 - 1)\hat{\omega}^2\omega^2 + \hat{\omega}^4} \right], \tag{23}$$

$$\eta(\omega) = \alpha \frac{2\hat{\zeta}\hat{\omega}^3\omega}{(1 + \alpha)\omega^4 + [4(1 + \alpha)\hat{\zeta}^2 - 1]\hat{\omega}^2\omega^2 + \hat{\omega}^4}. \tag{24}$$

Figures 7 and 8 illustrate the relations among the shear modulus, loss factor and the GHM parameters. Figure 7 shows the shear modulus for different  $\kappa$  over the frequency range from 1 to 10 000 rad/s. Note that the shear modulus is proportional to  $\kappa$ . Figure 8 shows the effects of  $\alpha$  on the loss factor and shear modulus. It is interesting to note that larger  $\alpha$  corresponds to larger loss factor and shear modulus, while the effect changes with frequency.

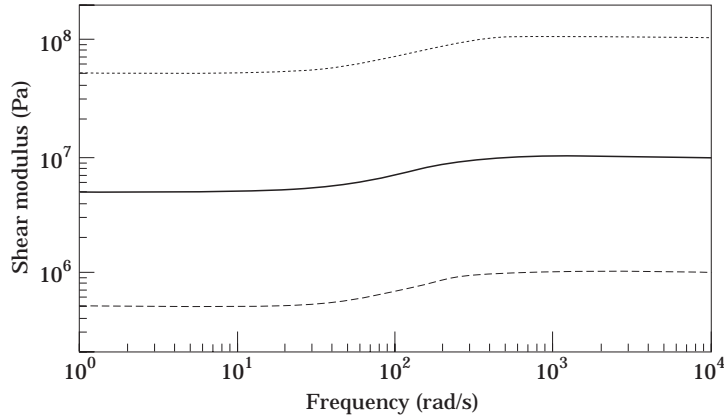


Figure 7. The shear modulus for different  $\kappa$  ( $\alpha = 1$ ,  $\hat{\omega} = 1000$  rad/s):  $k$  values;  $\cdots$ ,  $5 \times 10^7$ ;  $\text{—}$ ,  $5 \times 10^6$ ;  $\text{---}$ ,  $5 \times 10^5$  Pa.

#### 4.2. PERFORMANCE ( $J_1$ ) AND CONTROL EFFORT ( $J_2$ ) INDEXES

For broadband excitation considerations, one can assume the external disturbance to be a zero-mean white noise process:

$$\mathbf{E}[\mathbf{u}_d(t)] = 0, \quad \mathbf{E}[\mathbf{u}_d(t)\mathbf{u}_d^T(\tau)] = \mathbf{U}_d(t) \delta(t - \tau). \quad (25, 26)$$

The system response will consist of a state vector with zero mean and a variance given by the solution ( $\mathbf{P}_1$ ) to the Lyapunov equation

$$\mathbf{A}\mathbf{P}_1 + \mathbf{P}_1\mathbf{A}^T + \hat{\mathbf{B}}\mathbf{U}_d\hat{\mathbf{B}}^T = 0, \quad (27)$$

where

$$\mathbf{P}_1 = \mathbf{E}[\mathbf{x}(t)\mathbf{x}^T(t)]. \quad (28)$$

The output covariance matrix can be written as

$$\mathbf{W} = \mathbf{E}[\mathbf{y}\mathbf{y}^T] = \mathbf{E}\{\mathbf{C}_o \mathbf{x} [\mathbf{C}_o \mathbf{x}]^T\} = \mathbf{E}\{\mathbf{C}_o \mathbf{x}\mathbf{x}^T \mathbf{C}_o^T\} = \mathbf{C}_o \mathbf{E}[\mathbf{x}\mathbf{x}^T] \mathbf{C}_o^T = \mathbf{C}_o \mathbf{P}_1 \mathbf{C}_o^T. \quad (29)$$

In this research, covariance response to white noise is observed. A random disturbance with variance ( $2.5 \times 10^{-5}$ ) is applied to the beam at the free end and the output  $\mathbf{y}$  is chosen to reflect the beam tip displacement.

To investigate the system characteristics, one defines the standard deviations of the output vibration amplitude and required voltage as  $J_1$  and  $J_2$ , respectively. Here,  $J_1$  is an index representing the vibration suppression performance (the less  $J_1$ , the better the performance) and  $J_2$  is an index representing the required control effort.

#### 4.3. PASSIVE DAMPING ABILITY

For the purely passive case ( $V(t) = 0$ ), define  $J_1 = J_{1P}$ . One then defines  $J_{1P0}$  to be the  $J_{1P}$  value for a case without VEM damping (by removing the GHM dissipation co-ordinates, but still keeping the VEM stiffness modulus) and  $J_{1Pd}$  to be the  $J_{1P}$  value with VEM damping. That is,  $J_{1P0}$  and  $J_{1Pd}$  are obtained with the same system static stiffness for given parameters. One then defines an index to quantify the VEM passive damping ability:

$$I_p = [(J_{1P0} - J_{1Pd})/J_{1P0}]100. \quad (30)$$

Here,  $(J_{1P0} - J_{1Pd})$  can be viewed as vibration amplitude reduction due to contributions mainly from the VEM damping. The  $I_p$  values versus  $\kappa$  and  $\alpha$  are shown in Figure 9. For



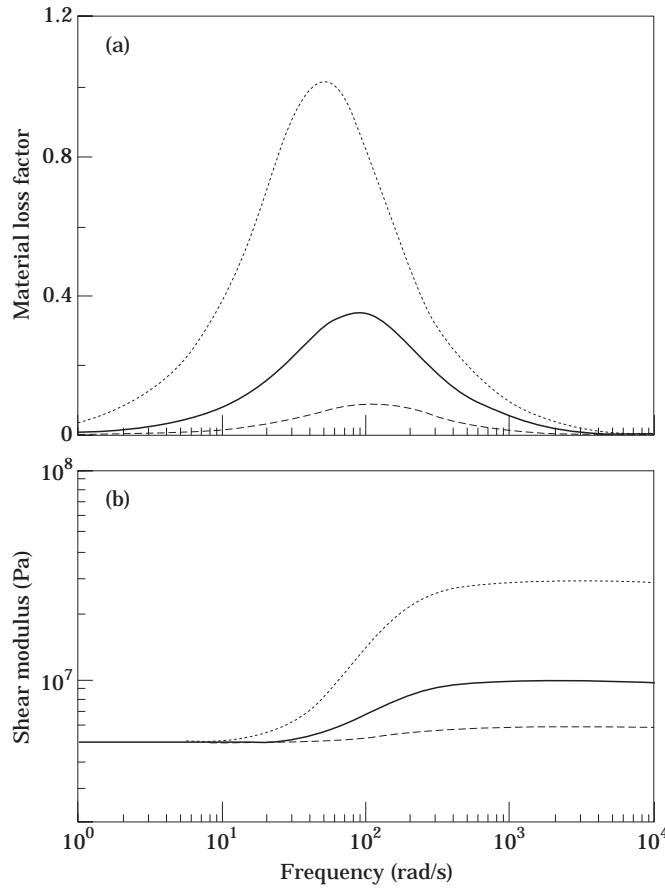


Figure 8. (a) The material loss factor for different  $\alpha$  ( $\dot{\omega} = 1000$  rad/s), (b) the shear modulus for different  $\alpha$  ( $\kappa = 5 \times 10^6$  Pa,  $\dot{\omega} = 1000$  rad/s):  $\alpha$  values;  $\cdots$ , 5;  $\text{—}$ , 1.0;  $\text{- - -}$ , 0.2.

a specific  $\alpha$ , there exists an optimal  $\kappa$  value for maximum  $I_p$ . For a specific  $\kappa$ , the  $I_p$  increases with increasing  $\alpha$ . The major reason is that larger  $\alpha$  corresponds to larger loss factor of the VEM and thus provides larger system damping.

The  $I_p$  values versus  $\kappa$  and  $t_s$  are shown in Figure 10. Given a specific  $t_s$ , there again exists an optimal  $\kappa$  value corresponding to a maximum  $I_p$ . For a specific  $\kappa$ , the  $I_p$  first

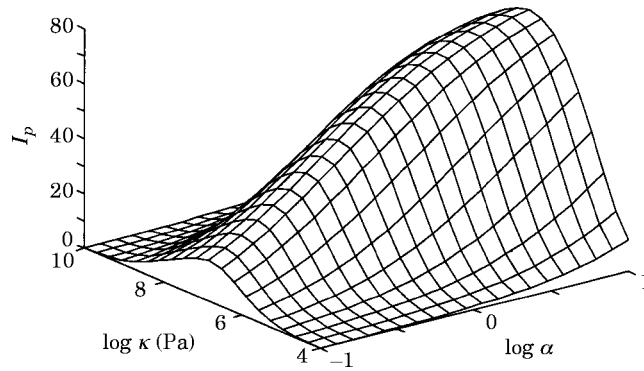
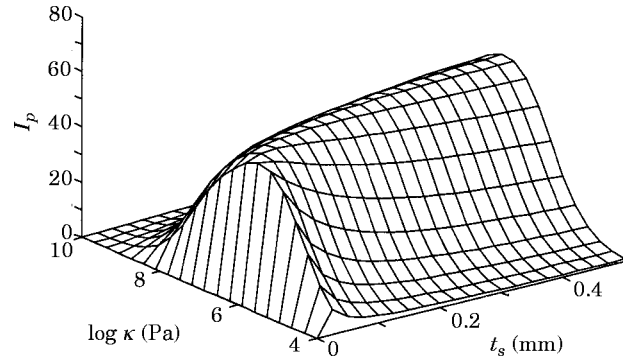


Figure 9.  $I_p$  versus  $\kappa$  and  $\alpha$  ( $t_s = 0.25$  mm)

Figure 10.  $I_p$  versus  $\kappa$  and  $t_s$  ( $\alpha = 1$ ).

increases as  $t_s$  increases from zero (without VEM layer), but will start to decrease with  $t_s$  for sufficiently large  $t_s$ . In other words, for given material properties, there exists an optimal VEM thickness corresponding to the maximum passive system damping. These observations are consistent with those in previous PCL studies [25, 26].

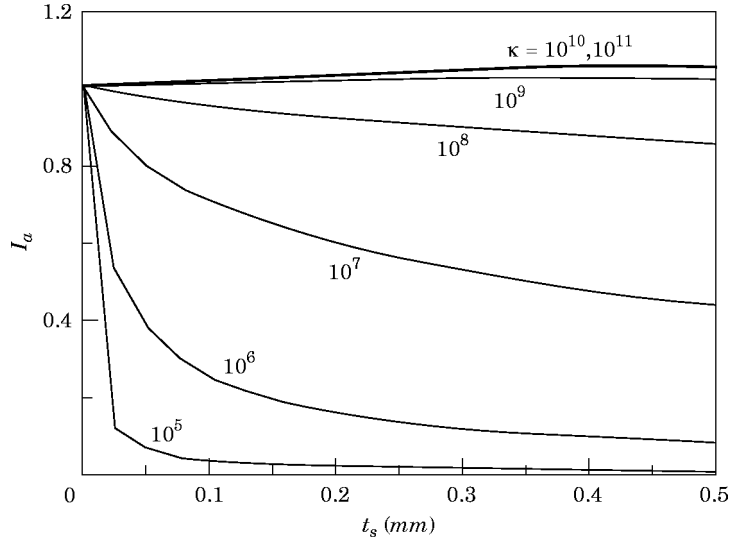
#### 4.4. ACTIVE ACTION AUTHORITY

To examine the active action authority of various configurations, the structure is statically deformed by the actuator with DC voltage input. The beam deflection at the free end is further transferred to an equivalent point load (transmitted force) by multiplying it by the equivalent beam stiffness at the tip. Given the same input voltage, larger transmitted force indicates higher authority of the active action. The transmitted force per input voltage is then normalized with respect to that of the purely active system, which is defined to be  $I_a$ . Here,  $I_a$  is an index to quantify the active action authority, which is expressed as

$$I_a = (X_V/X_F)/(X_V/X_F)_{PA}, \quad (31)$$

where  $X_V$  is the static beam deflection at the free end when unit DC voltage is applied to the actuator, and  $X_F$  is the beam tip deflection when a unit static force in the transverse direction is applied to the beam at the free end. The subscript  $PA$  refers to the purely active system.

The  $I_a$  values for different  $\kappa$  are plotted against  $t_s$  in Figure 11. It should be noted that the purely active system is the case with  $t_s = 0$ . From the figure, one sees that  $I_a$  increases with increasing  $\kappa$  for the same  $t_s$  (same offset). This shows that the VEM shear modulus (proportional to  $\kappa$ ) is a key factor on the active action authority of the ACL configuration. It is also illustrated that the  $I_a$  value for typical  $\kappa$ 's ( $\kappa < 10^8$  Pa) reduces significantly as  $t_s$  increases from 0. This phenomenon indicates that the active action is degraded by the VEM layer. The reason is that the soft viscoelastic layer reduces the direct control authorities being transmitted (transmissibility) from the piezoelectric actuator to the host structure. For such cases, the active action authority decreases with increasing VEM thickness even though the offset (the distance between the neutral axis of the beam and that of the piezoelectric cover sheet) is increased. When  $\kappa$  is very large ( $\kappa \geq 10^9$  Pa, beyond the value of typical VEMs), the active action authority can be higher than that of the purely active configuration ( $t_s = 0$ ) due to the "offset" effects. The active action authority does not vary with  $\alpha$  because only the static beam deflection is considered for  $I_a$ .


 Figure 11.  $I_a$  versus  $t_s$ , with different  $\kappa$ .

#### 4.5. CONTROL LAW DEVELOPMENT

The Popov–Belevitch–Hautus (PBH) rank tests [27] are applied to check the system' controllability characteristics. Using the parameters in Table 1, both the purely active and the ACL-based structures satisfy the conditions in the PBH tests. This implies both systems are controllable for the given configurations.

For the purpose of fair comparison among different cases, the optimal control theory [28, 29] is used to determine the active control gains. To examine the system response under broadband excitation, a stochastic regulator problem is formulated. The average cost is given as

$$J_S = \lim_{t_f \rightarrow \infty} \frac{1}{t_f} E \left[ \int_0^{t_f} (\mathbf{y}^T \mathbf{Q} \mathbf{y} + \mathbf{u}^T \mathbf{R} \mathbf{u}) dt \right]. \quad (32)$$

Here,  $E[\ ]$  is the expectation operator,  $\mathbf{Q}$  and  $\mathbf{R}$  are the semi-positive-definite and positive-definite weighting matrices on the outputs and control inputs, respectively. Since one wants to focus on the actuator characteristics in this paper, full-state feedback is assumed. The control law is given by

$$\mathbf{u} = -\mathbf{K}_c \mathbf{x} \quad (33)$$

with control gain  $\mathbf{K}_c = \mathbf{R}^{-1} \mathbf{B}^T \mathbf{P}$ . Here,  $\mathbf{P}$  satisfies the algebraic Riccati equation

$$\mathbf{A}^T \mathbf{P} + \mathbf{P} \mathbf{A} - \mathbf{P} \mathbf{B} \mathbf{R}^{-1} \mathbf{B}^T \mathbf{P} + \mathbf{C}_o^T \mathbf{Q} \mathbf{C}_o = 0. \quad (34)$$

The closed-loop system thus becomes

$$\dot{\mathbf{x}} = (\mathbf{A} - \mathbf{B} \mathbf{K}_c) \mathbf{x} + \hat{\mathbf{B}} \mathbf{u}_d = \mathbf{A}_{cl} \mathbf{x} + \hat{\mathbf{B}} \mathbf{u}_d. \quad (35)$$

#### 4.6. ACTIVE–PASSIVE HYBRID ACTIONS

From the discussions in sections 4.3 and 4.4, one sees that the VEM parameters can have significant influence on both the passive damping ability and active action authority of the ACL treatments. The major interest now is to study the VEM parametric effect on the

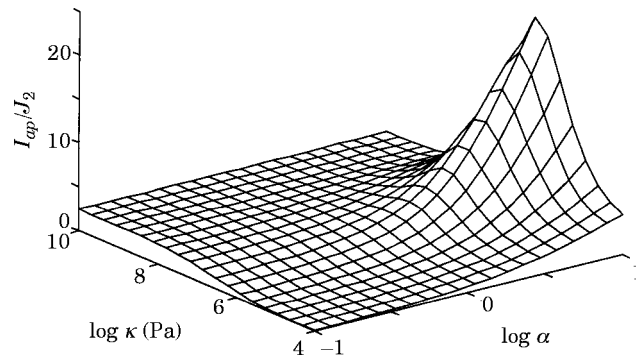


Figure 12.  $I_{ap}/J_2$  versus  $\kappa$  and  $\alpha$  for  $Q = 10^9$  ( $t_s = 0.25$  mm).

overall system performance combining the active and passive actions. To investigate this, one defines an index  $I_{ap}$ ,

$$I_{ap} = [(J_{1p0} - J_1)/J_{1p0}]100. \quad (36)$$

Here,  $(J_{1p0} - J_1)$  can be viewed as the vibration amplitude reduction due to contributions from the combined active-passive hybrid actions.  $(I_{ap}/J_2)$  thus represents the vibration suppression ability per control effort, which indicates the effectiveness or efficiency of the active-passive hybrid actions (closed loop system).

The  $I_{ap}/J_2$  values versus  $\kappa$  and  $\alpha$  for three different weightings are plotted in Figures 12–14. One sees that  $I_{ap}/J_2$  decreases as  $Q$  (demand on vibration suppression capability) increases, given the same  $\kappa$  and  $\alpha$ . With small or medium  $Q$  (indicating less demand on performance), the overall index  $I_{ap}/J_2$  is affected by both the passive damping ability and active action transmissibility. Therefore,  $I_{ap}/J_2$  has maximum values in the regions corresponding to large  $\alpha$  and certain optimal  $\kappa$  (see Figures 12 and 13). With large  $Q$  (indicating high demand on performance), it is shown that the larger  $\kappa$  the better (Figure 14). This indicates that the passive damping ability from the VEM is not large enough to make any major contributions to the result. In other words,  $I_{ap}/J_2$  is dominated by the active action effect such that the higher the transmissibility (active action authority) the better.

The  $I_{ap}/J_2$  values versus  $\kappa$  and  $t_s$  for two different weightings are plotted in Figures 15 and 16. With smaller  $Q$  (Figures 15), the overall index  $I_{ap}/J_2$  is dominated by both the passive damping and active action authority (transmissibility) effects, which has maximum

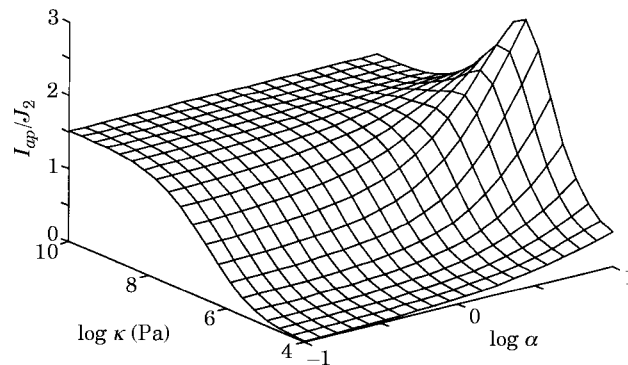


Figure 13.  $I_{ap}/J_2$  versus  $\kappa$  and  $\alpha$  for  $Q = 10^{10}$  ( $t_s = 0.25$  mm).

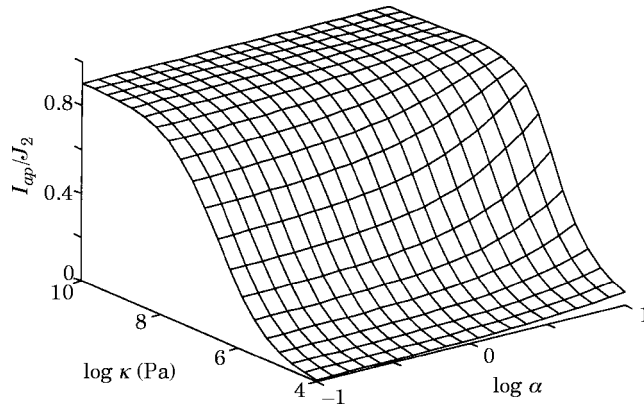


Figure 14.  $I_{ap}/J_2$  versus  $\kappa$  and  $\alpha$  for  $Q = 10^{11}$  ( $t_s = 0.25$  mm).

values in the range corresponding to certain optimal  $\kappa$  and  $t_s$  values. It should be noted that the line corresponding to  $t_s = 0$  represents the purely active case. One can see that the  $I_{ap}/J_2$  in the optimal range is larger than the active case  $I_{ap}/J_2$ , which indicates that the ACL design could outperform the purely active structure. However, the ACL system could also be worse than the purely active case if one is not careful (the range in which the ACL  $I_{ap}/J_2$  surface is lower than the zero VEM thickness line). On the other hand,

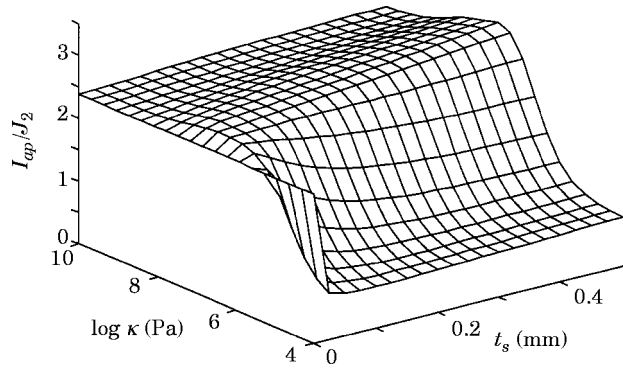


Figure 15.  $I_{ap}/J_2$  versus  $\kappa$  and  $t_s$  for  $Q = 10^9$  ( $\alpha = 1$ ).

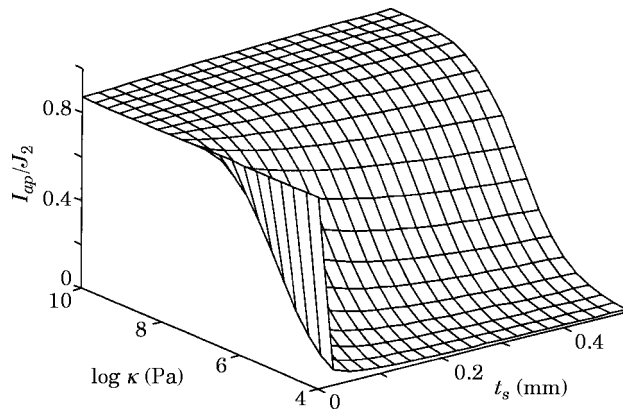


Figure 16.  $I_{ap}/J_2$  versus  $\kappa$  and  $t_s$  for  $Q = 10^{11}$  ( $\alpha = 1$ ).

one needs significantly large  $\kappa$  to outperform the purely active case with higher  $Q$  (Figure 16). This is again because that the passive damping effect is not significant enough, and the results are dominated by the active action. Therefore, the transmissibility becomes the major issue.

#### 4.7. SUMMARY

From the analysis presented in this paper, some guidelines for ACL designs are summarized in the following paragraphs.

(1) It is desirable to let the VEM loss factor (directly related to the  $\alpha$  value) be as large as possible to obtain good passive damping abilities and active-passive hybrid actions in ACL designs.

(2) The VEM shear modulus can be categorized as having three regions: low, medium, and high. In the low shear modulus region (e.g.,  $\kappa < 10^5$  Pa in the given example), both the passive damping ability and active action authority (transmissibility) are low for the ACL structure, and therefore the overall performance of the active-passive hybrid system is poor. One should avoid using VEM in this region while designing ACL structures.

(3) To obtain large vibration reduction with high demand on performance (little concern of control effort), high active gains (high weighting on performance) are usually used. In such cases, one could select VEM with high shear modulus (e.g.,  $10^8$  Pa  $< \kappa$  in the given example) to achieve high transmissibility of the active actions. However, the differences between the ACL and the purely active configurations might not be very obvious (Figure 16). Another point worth noting is that when  $\kappa$  becomes too large, the passive VEM damping could become ineffective (Figure 9), and the ACL's fail-safe ability (which is a desirable feature of such active-passive hybrid structures) could be significantly reduced.

(4) To achieve good vibration reduction performance with significant constraints on control effort, the active gain values (weighting on performance) are usually limited. This is the best scenario for applying ACL, where the benefits of both the passive damping and active action could be obtained. In this case, one should choose the VEM shear modulus in the medium region (e.g.,  $10^5$  Pa  $< \kappa < 10^8$  Pa in the given example). Generally, this is the most useful region for ACL designs. Also in such designs, there exists an optimal VEM thickness which corresponds to the maximum hybrid active-passive actions in ACL systems. Usually, this optimal thickness value is small compared to those of the main structure and the piezoelectric layer, which makes such configurations quite achievable.

#### 5. CONCLUSION

From the results presented in this paper, it is clear that several additional factors need to be considered in an ACL design versus a classical PCL design. These extra factors include the control gains and the VEM transmissibility. This study has identified the VEM parameter regions that will provide satisfactory transmissibility of the active actions, and have overall results outperforming both purely passive and active systems. While this investigation is helpful in setting up guidelines for ACL designers, it also illustrates that the VEM design space is more limited for ACL than PCL. Also, since VEM properties vary significantly with temperature and age, an original effective design with sufficient transmissibility could become much less effective as operating condition changes. Based on these arguments, it will be desirable if one can develop means to reduce the VEM effect on active action transmissibility, while retaining the passive damping ability in the ACL. This could increase the design space for VEM selections, and enhance the ACL's overall

active-passive combined performance and robustness. A new idea has recently been developed [24, 30, 31] for such purposes, which could be a good direction for future research.

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## APPENDIX: NOMENCLATURE

<b>A</b>	open-loop system matrix	<b>Q</b>	weighting matrix on output
$A_b, A_c, A_s$	cross sectional area of beam, piezoelectric layer, and VEM, respectively	<b>q</b>	displacement vector
<b>A<sub>cl</sub></b>	closed-loop system matrix	<b>R</b>	weighting matrix on control input
<b>B</b>	control matrix	$t$	time
<b><math>\hat{B}</math></b>	disturbance matrix	$t_b, t_c, t_s$	thickness of beam, piezoelectric layer, and VEM, respectively
$b$	width for beam, piezoelectric layer, and VEM	<b>u</b>	control input
<b>C</b>	damping matrix	<b>u<sub>d</sub></b>	disturbance input vector
<b>C<sub>b</sub></b>	Rayleigh damping matrix	$u_b, u_c, u_s$	axial displacement of beam, piezoelectric layer, and VEM, respectively
<b>C<sub>o</sub></b>	output matrix	$V$	applied voltage
$C_{11}^D$	Young's modulus of piezoelectric materials with open circuit	<b>W</b>	output covariance matrix
$D$	electrical displacement	$w$	beam transverse displacement
$E$	electric field	$x$	position co-ordinate along beam length
$E_b$	Young's modulus of beam	<b>x</b>	state vector
$E[]$	expectation operator	$x_1$	left end of ACL
<b>f<sub>c</sub></b>	control vector	$x_2$	right end of ACL
<b>f<sub>d</sub></b>	disturbance force vector	<b>y</b>	output vector
$G$	relaxation function of VEM	$\alpha$	weighting on GHM dissipation co-ordinate
$G^*$	complex modulus of VEM	$\beta$	shear strain of VEM
$G_1$	shear modulus of VEM	$\beta_{33}^s$	dielectric constant of piezoelectric materials
$h_{31}$	piezoelectric constant	$\varepsilon$	mechanical strain of piezoelectric materials
$I_a$	index of active action authority	$\zeta$	damping factor in GHM dissipation co-ordinate
$I_{ap}$	effectiveness index of active-passive hybrid actions	$\eta$	material loss factor of VEM
$I_b, I_c$	moment of inertia of beam and piezoelectric layer	$\kappa$	final value of $G(t)$
$I_p$	index for passive damping ability	$\rho_b, \rho_c, \rho_s$	mass density of beam, piezoelectric layer, and VEM, respectively
$J_1$	index of vibration control performance	$\tau$	mechanical stress
$J_2$	index of required control effort	$\psi$	rotational angle in VEM
$J_s$	cost rate	$\hat{\omega}$	natural frequency in GHM dissipation co-ordinate
<b>K</b>	stiffness matrix		
<b>K<sub>c</sub></b>	control gains		
$L$	beam length		
<b>M</b>	mass matrix		