

Short Communication

# Torsional vibration analyses of a damped shafting system using tapered shaft element

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## Abstract

The objective of this paper is to present a *tapered shaft element* such that the torsional vibration characteristics of a damped shafting system can be easily determined with effect of continuous non-uniformity of the shaft cross-sections being considered. To this end, the shape functions of the tapered shaft element are firstly derived. Then, the stiffness and mass matrices of the last shaft element are determined by means of the Lagrange's equations. To confirm the reliability of the presented theory, the numerical results obtained from the presented technique are compared with those obtained from the existing literature and good agreement is achieved. Finally, the torsional vibration analysis of a hybrid (tapered) shaft, composed of multiple uniform and tapered shaft segments and carrying multiple disks, is performed to show the applicability of the presented technique.

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## 1. Introduction

Torsional vibration characteristics are important information for shafting system designers. Thus, many researchers have studied the relating problems. For example, Chen [1] has investigated the torsional vibrations of a cylinder with varying cross-section and adhesive masses. Aleyaasin et al. [2] have used the transfer matrix method to perform the flexural vibration analysis of a rotor mounted on fluid film bearings. Koser and Pasin [3] have studied the torsional vibrations of the drive shafts and mechanisms by means of analytical approach. Wu and Chen [4] have presented a technique to replace a gear-branched system with an equivalent straight-gear system and then used the last model incorporated with the finite element method to study the torsional vibration characteristics of the gear-branched system. Khulief and Mohiuddin [5] have investigated the torsional dynamic behaviour of a rotor-bearing system using finite element method and modal reduction technique. Qing and Cheng [6] have studied the coupled torsional and lateral vibrations of rotor-shaft systems using finite element method. Nelson and Mcvaugh [7] and Zorzi and Nelson [8] have performed the lateral vibration analyses of a rotor-bearing system. Wu and Yang [9], Al-Bedoor [10] and Mohiuddin and Khulief

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[11] have investigated the torsional and lateral vibration of shafting system with transfer matrix method and Lagrangian dynamics method.

From review of the foregoing existing literature, one finds that only Refs. [1,11] have considered the effect of continuous non-uniformity of shaft cross-sections. In Ref. [1], the formulations were developed based on the analytical method, thus, they cannot be easily used to perform the torsional vibration analysis of a damped hybrid (tapered) shaft, composed of multiple uniform and tapered shaft segments and carrying multiple disks (cf. Fig. 5). In Ref. [11], the shape functions for the conventional *uniform* shaft element are used to derive the stiffness and mass matrices of a conical shaft element. To improve the drawbacks of some existing literature concerned, this paper presents the theory concerning a *tapered shaft element*, so that the torsional vibration characteristics of a damped shafting system can be easily obtained with continuous non-uniformity of the shaft cross-sections being considered. In which, the shape functions of the *tapered shaft element* are firstly derived and then its stiffness and mass matrices are determined by means of the Lagrange’s equations. For validation, the numerical results obtained from the presented technique are compared with the existing literature and good agreement is achieved.

**2. Shape functions of a tapered shaft element**

Fig. 1 shows an arbitrary tapered shaft element. In which,  $\textcircled{i}$  and  $\textcircled{k}$  are respectively the numberings for the two nodes of the shaft element,  $T_i, \theta_i$  and  $d_i$  denote the external torque, twist angle and shaft diameter at node  $\textcircled{i}$ , respectively, while  $T_k, \theta_k$  and  $d_k$  denote the corresponding ones at node  $\textcircled{k}$ , respectively. Besides,  $\ell$  is total length of the tapered shaft element and  $G$  is shear modulus of the shaft material. For the tapered shaft element shown in Fig. 1, the twist angle  $\theta(x)$  of the cross-section at position  $x$  is given by

$$\theta(x) = \theta_i + \phi(x), \tag{1}$$

where  $\phi(x)$  is the relative twist angle between the cross-section at position  $x$  and that at node  $\textcircled{i}$ . It is given by [12]

$$\phi(x) = \int_0^x \frac{(T_k - T_i)}{GI(\tilde{x})} d\tilde{x} = \frac{(T_k - T_i)}{G} \int_0^x \frac{1}{I(\tilde{x})} d\tilde{x}. \tag{2}$$

In Eq. (2),  $I(\tilde{x})$  represents the polar moment of inertia of the cross-sectional area about  $x$ -axis for the cross-section at position  $\tilde{x}$  given by

$$I(\tilde{x}) = I_0 \left[ 1 + \alpha \left( \frac{\tilde{x}}{\ell} \right) \right]^4, \quad I_0 = \frac{\pi d_i^4}{32}, \quad \alpha = \frac{d_k - d_i}{d_i}. \tag{3}$$

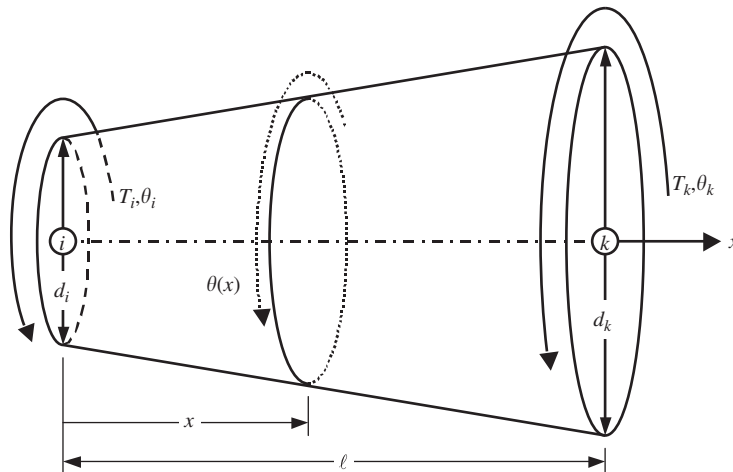


Fig. 1. Mathematical model of a tapered shaft element.

From Eq. (1), one has the twist angle at position  $x = \ell$  to be  $\theta(\ell) = \theta_i + \phi(\ell) = \theta_k$ , thus,

$$\frac{\theta_k - \theta_i}{\phi(\ell)} = 1. \tag{4}$$

Introducing Eq. (4) into Eq. (1) leads to

$$\theta(x) = \theta_i + \frac{\phi(x)}{\phi(\ell)}(\theta_k - \theta_i) = N_i(x)\theta_i + N_k(x)\theta_k. \tag{5}$$

The values of  $\phi(x)$  and  $\phi(\ell)$  appearing in the last equation are determined by Eqs. (2) and (3), and substituting them into Eq. (5) yields:

$$N_i(x) = 1 - \zeta, \quad N_k(x) = \zeta, \tag{6}$$

with

$$\zeta = \frac{\phi(x)}{\phi(\ell)} = \frac{d_k^3[(d_i x - d_k x)^2 + 3d_i \ell(d_i \ell - d_i x + d_k x)]x}{(d_k^2 + d_i d_k + d_i^2)(d_i \ell - d_i x + d_k x)^3}. \tag{7}$$

According to definition,  $N_i(x)$  and  $N_k(x)$  appearing in Eq. (5) and respectively defined by Eqs. (6) and (7) represent the shape functions of the tapered shaft element shown in Fig. 1.

### 3. Stiffness and mass matrices of the tapered shaft element

In this section, the stiffness and mass matrices of the tapered shaft element are derived from the following Lagrange's equations [13]:

$$\frac{\partial}{\partial t} \left( \frac{\partial U}{\partial \dot{\theta}_\lambda} \right) - \frac{\partial U}{\partial \theta_\lambda} + \frac{\partial V}{\partial \theta_\lambda} = T_\lambda \quad (\lambda = i, k), \tag{8}$$

where  $U$  is kinetic energy and  $V$  is strain energy of the tapered shaft element (cf. Fig. 1) given by

$$U = \frac{1}{2} \int_0^\ell \rho I(x) \dot{\theta}^2(x) dx, \quad V = \frac{1}{2} \int_0^\ell G I(x) \theta'^2(x) dx, \tag{9}$$

where the overhead dot ( $\dot{\cdot}$ ) and the prime ( $'$ ) represent differentiations with respect to time  $t$  and axial coordinate  $x$ , respectively.

Substituting Eq. (5) into Eq. (9), and then introducing the resulting expressions for  $U$  and  $V$  into Eq. (8), one obtains

$$\begin{aligned} & \left[ \rho \int_0^\ell I(x) N_i^2(x) dx \right] \ddot{\theta}_i + \left[ \rho \int_0^\ell I(x) N_i(x) N_k(x) dx \right] \ddot{\theta}_k \\ & + \left[ G \int_0^\ell I(x) N_i'^2(x) dx \right] \theta_i + \left[ G \int_0^\ell I(x) N_i'(x) N_k'(x) dx \right] \theta_k = T_i, \end{aligned} \tag{10}$$

$$\begin{aligned} & \left[ \rho \int_0^\ell I(x) N_i(x) N_k(x) dx \right] \ddot{\theta}_i + \left[ \rho \int_0^\ell I(x) N_k^2(x) dx \right] \ddot{\theta}_k \\ & + \left[ G \int_0^\ell I(x) N_i'(x) N_k'(x) dx \right] \theta_i + \left[ G \int_0^\ell I(x) N_k'^2(x) dx \right] \theta_k = T_k. \end{aligned} \tag{11}$$

Substituting Eqs. (3) and (6) into Eqs. (10) and (11) gives

$$[m]\{\ddot{\theta}\} + [k]\{\theta\} = \{T\}, \tag{12}$$

where

$$\{\ddot{\theta}\} = [\ddot{\theta}_i \quad \ddot{\theta}_k]^T, \quad \{\theta\} = [\theta_i, \theta_k]^T, \quad \{T\} = [T_i \quad T_k]^T, \tag{13}$$

$$[k] = \frac{3G\pi d_i^3 d_k^3}{32\ell(d_i^2 + d_i d_k + d_k^2)} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix}, \tag{14}$$

$$[m] = \frac{\rho\ell\pi}{320(d_i^2 + d_i d_k + d_k^2)^2} \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix}, \tag{15}$$

$$\begin{aligned} A_{11} &= 2d_i^5(d_i^3 + 6d_i d_k^2 + 3d_i^2 d_k + 5d_k^3), & A_{12} &= A_{21} = 3d_i^3 d_k^3(d_i^2 + 3d_i d_k + d_k^2), \\ A_{22} &= 2d_k^5(5d_i^3 + 3d_i d_k^2 + 6d_i^2 d_k + d_k^3), \end{aligned} \tag{16}$$

Eqs. (14) and (15), respectively represent the stiffness and mass matrices of the tapered shaft element.

#### 4. Torsional vibration analyses of the damped tapered shafting system

##### 4.1. Equations of motion of the damped tapered shafting system

For free vibration of a damped multiple degree-of-freedom (dof) shafting system (cf. Figs. 4 and 5), its equations of motion take the form:

$$[\bar{M}^*]\{\ddot{\theta}\} + [\bar{C}^*]\{\dot{\theta}\} + [\bar{K}^*]\{\theta\} = \{0\}, \tag{17}$$

where  $\{\ddot{\theta}\}$ ,  $\{\dot{\theta}\}$  and  $\{\theta\}$ , respectively, represent the angular acceleration, velocity and displacement vectors, while  $[\bar{M}^*]$ ,  $[\bar{C}^*]$  and  $[\bar{K}^*]$ , respectively, represent the overall mass, damping and stiffness matrices of the entire shafting system given by

$$[\bar{M}^*] = [\bar{M}] + [J], \quad [\bar{K}^*] = [\bar{K}], \quad [\bar{C}^*] = [\bar{C}] + [C], \tag{18}$$

where

$$\bar{M}_{ij}^* = \bar{M}_{ij}, \quad \bar{K}_{ij}^* = \bar{K}_{ij}, \quad \bar{C}_{ij}^* = \bar{C}_{ij} \quad (i = 1 \text{ to } n; j = 1 \text{ to } n), \tag{19}$$

except

$$\bar{M}_{s_i s_i}^* = \bar{M}_{s_i s_i} + J_i \quad (i = 1 \text{ to } n_d), \quad \bar{C}_{s_j s_j}^* = \bar{C}_{s_j s_j} + c_j \quad (j = 1 \text{ to } n_c). \tag{20}$$

In the last expressions,  $[\bar{M}]$ ,  $[\bar{C}]$  and  $[\bar{K}]$ , respectively, represent the overall mass, damping and stiffness matrices of the bare tapered shaft itself (i.e., the shaft without any disk and rotational damper attached),  $n_d$  and  $n_c$ , respectively represent the total number of the disks and rotational dampers attached to the shafting system;  $n$  represents the total dofs of the entire shafting system;  $J_i$  and  $c_j$ , respectively represent the mass moment of inertia of the  $i$ th disk and damping coefficient of the  $j$ th rotational damper, while the subscript  $s_i$  and  $s_j$  represent the numberings for the dofs of the  $i$ th disk and  $j$ th rotational damper, respectively.

##### 4.2. Eigenvalues and eigenvectors of the damped tapered shafting system

In order to determine the free vibration characteristics of the damped shafting system, the original  $n \times n$  equations of motion, Eq. (17), are transformed into the  $2n \times 2n$  ones [14,15]:

$$[\tilde{M}]\{\dot{\theta}\} + [\tilde{K}]\{\theta\} = \{0\}, \tag{21}$$

where

$$[\tilde{M}] = \begin{bmatrix} [0]_{n \times n} & [\bar{M}^*]_{n \times n} \\ [\bar{M}^*]_{n \times n} & [\bar{C}^*]_{n \times n} \end{bmatrix}_{2n \times 2n}, \quad [\tilde{K}] = \begin{bmatrix} -[\bar{M}^*]_{n \times n} & [0]_{n \times n} \\ [0]_{n \times n} & [\bar{K}^*]_{n \times n} \end{bmatrix}_{2n \times 2n} \tag{22}$$

$$\{\theta\} = [\{\dot{\theta}\} \quad \{\theta\}]_{1 \times 2n}^T, \quad \{\dot{\theta}\} = [\{\ddot{\theta}\} \quad \{\dot{\theta}\}]_{1 \times 2n}^T, \tag{23}$$

For free vibration, the vector  $\{\tilde{\theta}\}$  takes the form:

$$\{\tilde{\theta}\} = \{\Phi\} e^{\Omega t}, \tag{24}$$

where  $\{\Phi\}$  is the eigenvector (or the amplitude of  $\{\tilde{\theta}\}$ ),  $\Omega$  is the eigenvalue and  $t$  is time.

The substitution of Eq. (24) into Eq. (21) leads to

$$([\tilde{K}] + \Omega_i[\tilde{M}])\{\Phi\}_i = \{0\} \quad (i = 1 \text{ to } 2n). \tag{25}$$

Eq. (25) is a standard eigenvalue problem and can be solved using the subroutine EISPACK [16].

### 5. Property matrices of a uniform shaft element

Since a *uniform* shaft is the special case of a *tapered* shaft, one of the reasonable ways for confirming the reliability of the presented approach is to compare the natural frequencies of a tapered shafting system with the corresponding ones of a uniform one. The stiffness and mass matrices of the uniform shaft element are given by [17]:

$$[k] = \frac{G\pi d_s^4}{32\ell} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix}, \quad [m] = \frac{\rho\ell\pi d_s^4}{32} \begin{bmatrix} \frac{1}{3} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{3} \end{bmatrix}, \tag{26}$$

where  $d_s$  is the diameter for cross-sections of the uniform shaft element.

### 6. Numerical results and discussions

#### 6.1. Reliability of presented theory and developed computer program

Fig. 2(a) shows an undamped *uniform* clamped-free shaft carrying a disk at its free end. For convenience, the last system is called *U-shaft* here. The physical properties for the *U-shaft* are: shear modulus  $G = 8.01 \times 10^{10} \text{ N/m}^2$ , mass density  $\rho_s = 7820 \text{ kg/m}^3$ , shaft diameter  $d_s = 0.041 \text{ m}$ , total shaft length  $\ell_s = 1.8 \text{ m}$ , and mass moment of inertia of disk  $J = 3.904 \times 10^{-3} \text{ kg m}^2$ . All the physical properties for the *tapered* shafting system shown in Fig. 2(b) are exactly the same as those for the *U-shaft* except that the diameters of the cross-sections at the *left* end and *right* end of the tapered shaft are  $d_\ell$  and  $d_r$ , respectively. For convenience, the last shafting system is called *T-shaft* here. It is obvious that the eigenvalues of *T-shaft* will be close to those of *U-shaft* if the total length and total mass of *T-shaft* are exactly the same as those of *U-shaft*, and the average value of both  $d_\ell$  and  $d_r$  is close to that of  $d_s$ . In other words, the smaller the value of  $|d_\ell - d_r|$ , the smaller the differences between the eigenvalues of *T-shaft* and *U-shaft*. Five sets of  $d_\ell$  and  $d_r$  are investigated in this subsection: (a)  $d_\ell = d_r = 0.041 \text{ m}$ , (b)  $d_\ell = 0.042 \text{ m}$  and  $d_r = 0.03999 \text{ m}$ , (c)  $d_\ell = 0.043 \text{ m}$  and  $d_r = 0.03898 \text{ m}$ , (d)  $d_\ell = 0.044 \text{ m}$  and  $d_r = 0.03792 \text{ m}$  and (e)  $d_\ell = 0.045 \text{ m}$  and  $d_r = 0.03686 \text{ m}$ . Note that the last five sets of diameters for the *T-shaft* are determined based on the assumption that the total length and

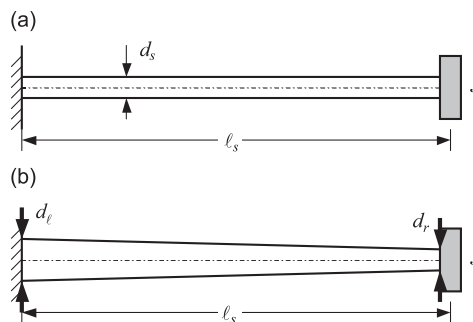


Fig. 2. A clamped-free shaft carrying a disk at its free end: (a) *uniform* shaft (*U-shaft*) and (b) *tapered* shaft (*T-shaft*).

Table 1  
The first five eigenvalues,  $\bar{\omega}_j = \bar{\omega}_{jR} \pm \bar{i}\bar{\omega}_{jI}$  ( $j = 1$  to 5), of a clamped-free *T-shaft* and a clamped-free *U-shaft*

| Methods                                  | Diameters (m) |         | Eigenvalues, $\bar{\omega}_j = \bar{\omega}_{jR} \pm \bar{i}\bar{\omega}_{jI}$ ( $j = 1$ to 5) (rad/s) |                                       |  |  |  |
|--|---------------|---------|--|---------------------------------------|--|--|--|
|  | $d_\ell$      | $d_r$   | $\bar{\omega}_1$   | $\bar{\omega}_2$                      | $\bar{\omega}_3$                       | $\bar{\omega}_4$                       | $\bar{\omega}_5$                       |
| Tapered shaft element ( <i>T-shaft</i> ) | 0.045         | 0.03686 | $-3.49\text{E}-8 \pm 1573.39 \bar{i}$  | $5.30\text{E}-7 \pm 5957.49 \bar{i}$  | $-7.41\text{E}-7 \pm 11370.22 \bar{i}$ | $1.06\text{E}-6 \pm 16904.89 \bar{i}$  | $8.95\text{E}-7 \pm 22482.24 \bar{i}$  |
|  | 0.044         | 0.03792 | $-6.08\text{E}-8 \pm 1569.11 \bar{i}$  | $-1.22\text{E}-6 \pm 5985.84 \bar{i}$ | $-6.84\text{E}-7 \pm 11386.53 \bar{i}$ | $3.60\text{E}-7 \pm 16916.08 \bar{i}$  | $2.44\text{E}-7 \pm 22490.71 \bar{i}$  |
|  | 0.043         | 0.03898 | $1.98\text{E}-7 \pm 1560.61 \bar{i}$   | $3.70\text{E}-7 \pm 6018.53 \bar{i}$  | $9.76\text{E}-7 \pm 11405.86 \bar{i}$  | $-1.23\text{E}-7 \pm 16929.44 \bar{i}$ | $1.15\text{E}-6 \pm 22500.87 \bar{i}$  |
|  | 0.042         | 0.03999 | $6.48\text{E}-7 \pm 1547.02 \bar{i}$   | $2.07\text{E}-6 \pm 6053.29 \bar{i}$  | $-3.16\text{E}-7 \pm 11427.06 \bar{i}$ | $2.41\text{E}-7 \pm 16944.13 \bar{i}$  | $-8.84\text{E}-7 \pm 22512.12 \bar{i}$ |
|  | 0.041         | 0.041   | $2.20\text{E}-7 \pm 1529.83 \bar{i}$   | $1.5\text{E}-6 \pm 6091.67 \bar{i}$   | $6.03\text{E}-7 \pm 11451.04 \bar{i}$  | $9.62\text{E}-7 \pm 16960.96 \bar{i}$  | $1.20\text{E}-6 \pm 22524.89 \bar{i}$  |
| Uniform shaft element ( <i>U-shaft</i> ) | 0.041         | 0.041   | $2.20\text{E}-7 \pm 1529.83 \bar{i}$   | $1.5\text{E}-6 \pm 6091.67 \bar{i}$   | $6.03\text{E}-7 \pm 11451.04 \bar{i}$  | $9.62\text{E}-7 \pm 16960.96 \bar{i}$  | $1.20\text{E}-6 \pm 22524.89 \bar{i}$  |
| Analytical method [15]                   | 0.041         | 0.041   | 1529.74  | 6090.81                               | 11445.82                               | 16943.64                               | 22483.79                               |

Table 2  
The first five eigenvalues,  $\bar{\omega}_j = \bar{\omega}_{jR} \pm \bar{i}\bar{\omega}_{jI}$  ( $j = 1$  to 5), of a free–free tapered shaft

| Methods               | Diameters (m) |         | Eigenvalues, $\bar{\omega}_j = \bar{\omega}_{jR} \pm \bar{i}\bar{\omega}_{jI}$ ( $j = 1$ to 5) (rad/s) |                                       |  |  |                                       |
|-----------------------|---------------|---------|--|---------------------------------------|--|--|---------------------------------------|
|                       | $d_\ell$      | $d_r$   | $\bar{\omega}_1$   | $\bar{\omega}_2$                      | $\bar{\omega}_3$                       | $\bar{\omega}_4$                       | $\bar{\omega}_5$                      |
| Tapered shaft element | 0.041         | 0.05125 | $1.12\text{E}-6 \pm 5670.15 \bar{i}$   | $6.47\text{E}-7 \pm 11219.16 \bar{i}$ | $-2.64\text{E}-6 \pm 16803.15 \bar{i}$ | $-1.39\text{E}-6 \pm 22405.64 \bar{i}$ | $5.01\text{E}-7 \pm 28026.28 \bar{i}$ |
| Ref. [1]              |               |         | 5666.77  | 11208.49                              | 16777.57                               | 22353.34                               | 27932.46                              |
| Ref. [11]             |               |         | 5670.14  | 11219.15                              | 16803.12                               | 22405.57                               | 28026.19                              |

total mass of *T-shaft* are exactly the same as those of *U-shaft*. Table 1 shows the first five eigenvalues,  $\bar{\omega}_j = \bar{\omega}_{jR} \pm \bar{i}\bar{\omega}_{jI}$  ( $j = 1$  to 5), for *U-* and *T-shaft*. From the table, one sees that the imaginary parts of the eigenvalues,  $\bar{\omega}_{jI}$ , of *T-shaft* and *U-shaft* with  $d_\ell = d_r = 0.041 \text{ m} = d_s$  are very close to the corresponding ones obtained from the analytical method. Besides, the smaller the value of  $|d_\ell - d_r|$ , the smaller the differences between the imaginary parts of the eigenvalues,  $\bar{\omega}_{jI}$ , of *T-shaft* and *U-shaft*. Moreover, the real parts of the eigenvalues,  $\bar{\omega}_{jR}$ , for the last two systems are very small and negligible due to the fact that  $[\bar{C}^*] = [0]$ . In view of the last reasonable results, it is believed that the presented theory regarding *tapered shaft element* should be reliable.

In addition, a free–free tapered shaft with diameters of cross-sections at its left end  $d_\ell = 0.041 \text{ m}$  and right end  $d_r = 0.041(1 + 0.25) = 0.05125 \text{ m}$  is also studied here. The total length, shear modulus and mass density of the free–free tapered shaft are exactly the same as those of the foregoing clamped-free *T-shaft*. Table 2 shows the first five eigenvalues,  $\bar{\omega}_j = \bar{\omega}_{jR} \pm \bar{i}\bar{\omega}_{jI}$  ( $j = 1$  to 5), of the free–free tapered shaft. It is seen that the imaginary parts of the eigenvalues,  $\bar{\omega}_{jI}$ , obtained from the presented *tapered shaft element* are very close to the corresponding ones obtained from Refs. [1] and [11]. The last results further confirm the reliability of the presented *tapered shaft element*.

### 6.2. Influence of non-uniformity of the shaft cross-sections

The shafting system investigated here is an undamped free–free tapered shaft carrying a disk at its mid-length, as shown in Fig. 3. The physical properties of the shafting system are: total shaft length  $\ell_s = 2.4 \text{ m}$ , diameter of left end  $d_\ell = 0.041\text{--}0.045 \text{ m}$ , diameter of right end  $d_r = 0.04497\text{--}0.04096 \text{ m}$  (cf. Table 3) and mass moment of inertia of the disk  $J = 4.583 \times 10^{-3} \text{ kg m}^2$ . The shear modulus and mass density of the current tapered shaft are exactly the same as those of the *T-shaft* (or *U-shaft*) studied in the last subsection. The torsional vibration analyses with five sets of diameters,  $d_\ell$  and  $d_r$ , are conducted (cf. Table 3): (a)  $d_\ell = 0.041 \text{ m}$  and  $d_r = 0.04497 \text{ m}$ , (b)  $d_\ell = 0.042 \text{ m}$  and  $d_r = 0.04399 \text{ m}$ , (c)  $d_\ell = d_r = 0.043 \text{ m}$ , (d)  $d_\ell = 0.044 \text{ m}$  and

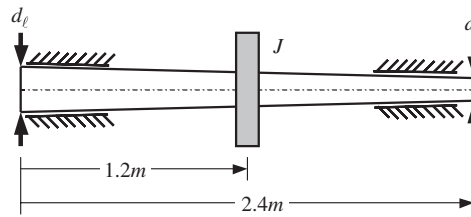


Fig. 3. An undamped free-free tapered shaft carrying a disk at its mid-length.

Table 3

The first five eigenvalues,  $\bar{\omega}_j = \bar{\omega}_{jR} \pm i\bar{\omega}_{jI}$  ( $j = 1$  to  $5$ ), of a free-free tapered shaft carrying a disk at its mid-length, as shown in Fig. 3

| Diameters (m) |         | Eigenvalues, $\bar{\omega}_j = \bar{\omega}_{jR} \pm i\bar{\omega}_{jI}$ ( $j = 1$ to $5$ ) (rad/s) |                          |                           |                           |                           |
|---------------|---------|---|--------------------------|---------------------------|---------------------------|---------------------------|
| $d_l$         | $d_r$   | $\bar{\omega}_1$  | $\bar{\omega}_2$         | $\bar{\omega}_3$          | $\bar{\omega}_4$          | $\bar{\omega}_5$          |
| 0.041         | 0.04497 | $7.41E-7 \pm 4189.94 i$   | $1.68E-6 \pm 5698.64 i$  | $1.63E-7 \pm 12581.13 i$  | $9.73E-7 \pm 13289.91 i$  | $-1.66E-7 \pm 21006.86 i$ |
| 0.042         | 0.04399 | $5.64E-7 \pm 4189.96 i$   | $1.85E-7 \pm 5714.73 i$  | $7.94E-8 \pm 12581.14 i$  | $7.93E-8 \pm 13301.26 i$  | $5.56E-7 \pm 21006.88 i$  |
| 0.043         | 0.04300 | $2.06E-7 \pm 4189.87 i$   | $1.24E-6 \pm 5712.06 i$  | $5.03E-7 \pm 12581.12 i$  | $-3.05E-7 \pm 13299.95 i$ | $-8.22E-8 \pm 21006.85 i$ |
| 0.044         | 0.04199 | $9.13E-7 \pm 4189.96 i$   | $1.45E-7 \pm 5714.79 i$  | $4.62E-7 \pm 12581.14 i$  | $4.69E-7 \pm 13301.29 i$  | $9.39E-7 \pm 21006.84 i$  |
| 0.045         | 0.04096 | $-4.39E-7 \pm 4190.19 i$  | $-8.11E-8 \pm 5723.16 i$ | $-1.05E-8 \pm 12581.22 i$ | $-3.59E-7 \pm 13305.38 i$ | $8.35E-7 \pm 21006.90 i$  |

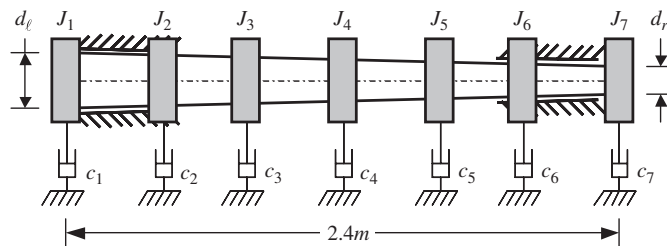


Fig. 4. A damped tapered shaft carrying seven equally spaced identical disks.

$d_r = 0.04199$  m and (e)  $d_l = 0.045$  m and  $d_r = 0.04096$  m. The last five sets of diameters are determined based on the assumption that the total length and total mass of the tapered shaft remain unchanged. Table 3 shows the first five eigenvalues,  $\bar{\omega}_j = \bar{\omega}_{jR} \pm i\bar{\omega}_{jI}$  ( $j = 1$  to  $5$ ), of the shafting system shown in Fig. 3. From the table, one sees that the small variation of the cross-sections of the tapered shaft will influence the eigenvalues,  $\bar{\omega}_j = \bar{\omega}_{jR} \pm i\bar{\omega}_{jI}$ , of the entire shafting system to some degree even if the total length and total mass of the tapered shaft remain unchanged.

### 6.3. Influence of damping coefficient

Fig. 4 shows a free-free tapered shaft carrying seven equally spaced identical disks. The physical properties of the tapered shaft are exactly the same as those of the example studied in the last subsection except that the diameters of the left end and right end are  $d_l = 0.044$  m and  $d_r = 0.04199$  m, respectively. Besides, the mass moment of inertia of each disk is identical and given by  $J_i = 2.427 \times 10^{-2}/7$  kg m<sup>2</sup> ( $i = 1-7$ ). Furthermore, the damping coefficients  $c_i$  ( $i = 1-7$ ) are taken to be 2.73, 5.46 or 8.19 N s/m (cf. Table 4).

Table 4 shows the influence of damping coefficients,  $c_i$  ( $i = 1-7$ ), on the first five eigenvalues of the shafting system,  $\bar{\omega}_j = \bar{\omega}_{jR} \pm i\bar{\omega}_{jI}$  ( $j = 1$  to  $5$ ). For comparison, the first five eigenvalues of the shafting system with damping effects neglected (i.e.,  $c_i = 0$ ) are also shown in the final row of Table 4. From the 3rd row to the 5th row of the table, one sees that the influence of damping coefficients  $c_i$  on the natural frequencies,  $\bar{\omega}_{jI}$ , is much

Table 4

The first five eigenvalues,  $\bar{\omega}_j = \bar{\omega}_{jR} \pm i\bar{\omega}_{jI}$  ( $j = 1$  to  $5$ ), of a damped free–free tapered shaft carrying seven equally spaced identical disks, as shown in Fig. 4

| Damping coefficients, $c_d$ (N s/m) | Eigenvalues, $\bar{\omega}_j = \bar{\omega}_{jR} \pm i\bar{\omega}_{jI}$ ( $j = 1$ to $5$ ) (rad/s) |                          |                         |                          |                          |
|-------------------------------------|---|--------------------------|-------------------------|--------------------------|--------------------------|
|                                     | $\bar{\omega}_1$  | $\bar{\omega}_2$         | $\bar{\omega}_3$        | $\bar{\omega}_4$         | $\bar{\omega}_5$         |
| 2.73                                | $-3.24E2 \pm 1748.48 i$   | $-3.29E2 \pm 3474.72 i$  | $-3.53E2 \pm 5053.05 i$ | $-3.43E2 \pm 6410.28 i$  | $-3.50E2 \pm 7461.85 i$  |
| 5.46                                | $-6.49E2 \pm 1655.93 i$   | $-6.58E2 \pm 3427.97 i$  | $-6.71E2 \pm 5019.74 i$ | $-6.86E2 \pm 6382.81 i$  | $-7.00E2 \pm 7437.27 i$  |
| 8.19                                | $-9.74E2 \pm 1488.53 i$   | $-9.87E2 \pm 3348.52 i$  | $-1.01E3 \pm 4963.69 i$ | $-1.03E3 \pm 6336.74 i$  | $-1.05E3 \pm 7396.10 i$  |
| 0.0                                 | $1.29E-8 \pm 1778.23 i$   | $-3.35E-8 \pm 3490.16 i$ | $2.52E-8 \pm 5064.09 i$ | $-9.22E-8 \pm 6419.41 i$ | $-1.01E-8 \pm 7470.03 i$ |

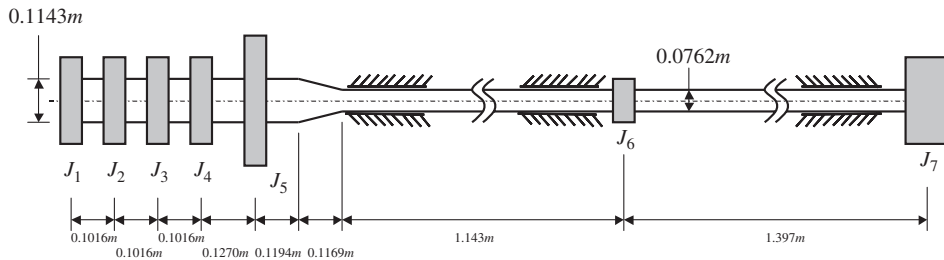


Fig. 5. Transmission shaft of a generator.

Table 5

The first five eigenvalues,  $\bar{\omega}_j = \bar{\omega}_{jR} \pm i\bar{\omega}_{jI}$  ( $j = 1$  to  $5$ ), of a generator transmission shaft, as shown in Fig. 5, and the corresponding ones of the associated bare shaft

| Systems                      | Eigenvalues, $\bar{\omega}_j = \bar{\omega}_{jR} \pm i\bar{\omega}_{jI}$ ( $j = 1$ to $5$ ) (rad/s) |                          |                          |                           |                         |
|------------------------------|---|--------------------------|--------------------------|---------------------------|-------------------------|
|                              | $\bar{\omega}_1$  | $\bar{\omega}_2$         | $\bar{\omega}_3$         | $\bar{\omega}_4$          | $\bar{\omega}_5$        |
| Generator transmission shaft | $-1.19E-9 \pm 510.41 i$   | $1.65E-8 \pm 2845.85 i$  | $-3.32E-8 \pm 6211.09 i$ | $5.63E-8 \pm 7617.05 i$   | $5.18E-9 \pm 9320.98 i$ |
| Bare shaft <sup>a</sup>      | $-1.39E-8 \pm 2420.46 i$  | $-7.65E-8 \pm 5964.36 i$ | $5.23E-9 \pm 9705.35 i$  | $-1.03E-7 \pm 13392.74 i$ | $7.47-8 \pm 16319.06 i$ |

<sup>a</sup>The bare shaft refers to the shaft without carrying any disks.

smaller than that on the decay ratios,  $\bar{\omega}_{jR}$  (i.e., the real parts of the eigenvalues). For this reason, the damping coefficients will have significant influence on the forced vibration responses of the shafting system.

#### 6.4. Eigenvalues of a hybrid (tapered) shaft carrying three disks

To show applicability of the presented tapered shaft element for an engineering problem, a generator transmission shaft as shown in Fig. 5, is investigated in this subsection. The given data for the current shaft are: shear modulus  $G = 8.01 \times 10^{10}$  N/m<sup>2</sup>, mass density  $\rho_s = 7820$  kg/m<sup>3</sup>, and mass moments of inertia of the disks  $J_1 = J_2 = J_3 = J_4 = 0.0308$  kg m<sup>2</sup>,  $J_5 = 1.4322$  kg m<sup>2</sup>,  $J_6 = 0.0279$  kg m<sup>2</sup>,  $J_7 = 0.4895$  kg m<sup>2</sup>. Table 5 shows the first five eigenvalues of transmission shaft,  $\bar{\omega}_j = \bar{\omega}_{jR} \pm i\bar{\omega}_{jI}$  ( $j = 1$  to  $5$ ). From the table, it can be seen that attachment of disks to the transmission shaft significantly influences the eigenvalues of the shafting system.



## 7. Conclusions

This paper presents a new *tapered shaft element* such that the torsional vibration characteristics of a damped shafting system can be easily determined with the continuous non-uniformity of cross-sections of the shaft being considered. The presented technique can be applied to the torsional vibration analysis of a hybrid shaft (i.e., a shaft composed of multiple *uniform* and *tapered* shaft segments) carrying multiple disks. Based on the presented numerical results and discussions, it has been found that the non-uniformity of cross-sections of the tapered shaft, and the damping coefficients have significant influences on the vibration characteristics of a shafting system.

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