

## Kinetic Isotope Effects and Aliphatic Diazo-compounds. Part VII.† The Decomposition of Diazomalonate Ions

By **W. John Albery,\* Christopher W. Conway, and John A. Hall**, Physical Chemistry Laboratory, South Parks Rd., Oxford

Results are reported for the variation of the rate of decomposition of ethyl diazomalonate (EDM) and diazomalonate anions with pH and for the deuterium solvent isotope effect for EDM. The shape of the pH profile is explained by successive protonations of the anions. Ethyl diazoacetate is observed as an intermediate in the decomposition of EDM. The degree of proton transfer in the EDM transition state is deduced from the solvent isotope effect and the results together with those for other aliphatic diazo-compounds are discussed in terms of the Marcus theory.

KING and his co-workers<sup>1,2</sup> studied the decomposition in aqueous solution of the two diazomalonate ions EDM<sup>-</sup> and DM<sup>2-</sup>. Whereas aliphatic diazo-compounds normally decompose by acid catalysis, they found general

† Part VI, ref. 14.

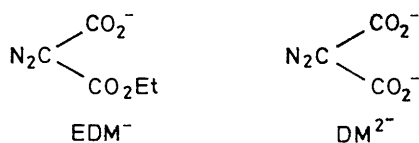
<sup>1</sup> C. V. King, P. Kulka, and A. Mebane, *J. Amer. Chem. Soc.*, 1950, **72**, 1906.

*base* catalysis for the decomposition of DM<sup>2-</sup>. This surprising feature and the complex variation of their reaction rates with pH have led to the authoritative<sup>3</sup>

<sup>2</sup> C. V. King and P. Kulka, *J. Amer. Chem. Soc.*, 1952, **74**, 3128.

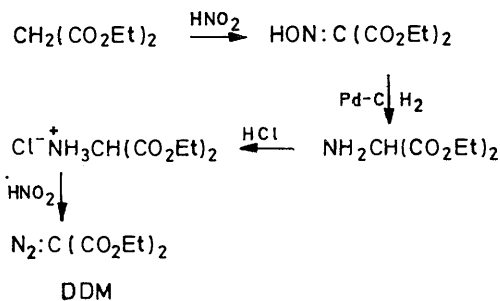
<sup>3</sup> R. P. Bell, 'The Proton in Chemistry,' Chapman and Hall, London, 1973, p. 171.

pronouncement that 'no satisfactory interpretation of the behaviour has yet been given'.



#### EXPERIMENTAL

The diazomalonnate salts were prepared from hydrolysis of diethyl diazomalonnate (DDM) which was itself prepared, using standard procedures,<sup>4-6</sup> according to Scheme 1. Salts



SCHEME 1

containing  $\text{EDM}^-$  and  $\text{DM}^{2-}$  were not isolated but the ions were prepared for each kinetic run by hydrolysing DDM in 0.15M-NaOH at 25° for 14 min, and for at least 8 h respectively. The rate constant for hydrolysis of DDM to  $\text{EDM}^-$  is  $14 \times 10^3 \text{ l mol}^{-1} \text{ s}^{-1}$  and of  $\text{EDM}^-$  to  $\text{DM}^{2-}$   $0.25 \times 10^3 \text{ l mol}^{-1} \text{ s}^{-1}$ .

To initiate a kinetic run 40 cm<sup>3</sup> of the hydrolysed solution was brought to the required pH using a Radiometer TTT IC titrator for pH values >2 and by adding a calculated quantity of  $\text{HClO}_4$  for pH values <2. The reaction was followed for a 2 cm<sup>3</sup> sample on a Cary 14 recording spectrophotometer at 250 nm. At certain pH values the reaction consumes  $\text{H}^+$  and it can be followed on the Radiometer pH stat. Initial concentrations were *ca.*  $10^{-6}\text{M}$  for the spectrophotometer and *ca.*  $2 \times 10^{-6}\text{M}$  for the pH stat method. The concentration of  $\text{H}^+$  for each run was determined by titration for pH values <3 and otherwise by the observed pH value. The pH meter was calibrated with a standard solution of  $\text{H}^+$  ( $10^{-3}\text{M}$ ) of the same ionic strength as the reaction mixture. For many of the spectrophotometric runs a further classical technique was used;<sup>7</sup> after the run was over a small quantity of ethyl diazoacetate was added and the kinetics of its decomposition followed. All kinetic runs were carried out at 25.0°.

#### RESULTS AND DISCUSSION

When  $\text{EDM}^-$  is decomposed at pH values >3 the optical density,  $\text{OD}_t$ , passes through a maximum obeying a rate law of form (1) where  $k_1 < k_2$ . For the following

$$\text{OD}_t - \text{OD}_\infty = A e^{-k_1 t} - B e^{-k_2 t} \quad (1)$$

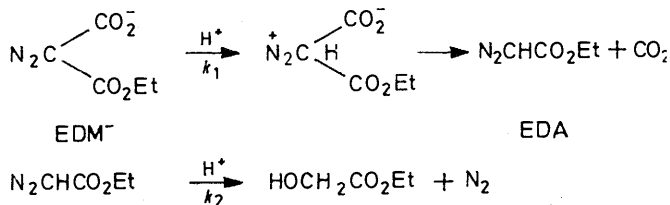
three reasons we believe that this behaviour is caused by the formation of an intermediate which is ethyl diazoacetate (EDA).

<sup>4</sup> A. J. Zambito and E. E. Howe, *Org. Synth.*, 1960, **40**, 21.

<sup>5</sup> W. H. Hartung, J. H. A. Beaton, and G. Cocolas, *Org. Synth.*, 1960, **40**, 24.

<sup>6</sup> E. B. Womack and A. B. Nelson, *Org. Synth.*, 1944, **24**, 56.

First, King *et al.*<sup>1</sup> found that  $\text{CO}_2$  was released as well as  $\text{N}_2$  and this can be explained by Scheme 2. Secondly, throughout the reaction the shape of the spectrum is characteristic of an aliphatic diazo-compound and furthermore the ratio of extinction coefficients found from equation (3) agrees with the ratio for known samples of EDA and  $\text{EDM}^-$ . Thirdly, when EDA is added to the



SCHEME 2

same solution in which the  $\text{EDM}^-$  is decomposed the rate constant for its decomposition,  $k_{\text{EDA}}$ , is in reasonable agreement with  $k_2$ .

Because  $k_1$  and  $k_2$  differ only by a factor of two, in order to obtain reliable values of  $k_1$  we have assumed that  $k_2 = k_{\text{EDA}}$ . Values of  $k_{\text{EDA}}$  are found by decomposing EDA in the same reactant solution. Then it can be shown that equation (2) applies giving the ratio (3)

$$y = \exp(k_{\text{EDA}} t) [\text{OD}_t - \text{OD}_\infty] = A \exp(k_{\text{EDA}} - k_1) t - B \quad (2)$$

$$\epsilon_I / \epsilon_{\text{EDM}^-} = B(k_{\text{EDA}} - k_1) / [(\text{OD}_0 - \text{OD}_\infty) k_1] \quad (3)$$

where  $\epsilon_I$  is the extinction coefficient of the intermediate. When plotted by the Swinbourne method<sup>8</sup> values of  $y$  give good straight lines. From the gradient we obtain

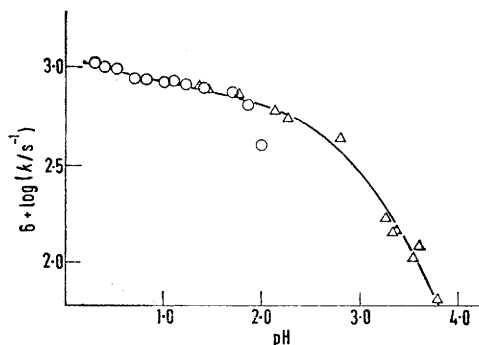


FIGURE 1 Variation of  $\log k_1$  for  $\text{EDM}^-$  with pH: O, data from ref. 1;  $\Delta$ , data from this work. The solid line is calculated from equation 4 and the values in Table 1

values for  $k_{\text{EDA}} - k_1$  and hence  $k_1$ . Where the straight line crosses  $y = x$  we also obtain a value of  $B$  and hence the ratio of the extinction coefficients.

In all other solutions good first-order kinetics were observed. Figure 1 shows the variation of  $\log k_1$  with pH for  $\text{EDM}^-$ . Our and King's data (apart from one of his points) obey an equation of form (4) where  $\alpha$  is *ca.*

$$k_1 = k_3 10^{\alpha[\text{H}^+]} / (K_1^{-1} + [\text{H}^+]^{-1}) \quad (4)$$

<sup>7</sup> J. N. Brønsted and C. E. Teeter, *J. Phys. Chem.*, 1924, **28**, 579.

<sup>8</sup> P. Swinbourne, *J. Chem. Soc.*, 1960, 2731.

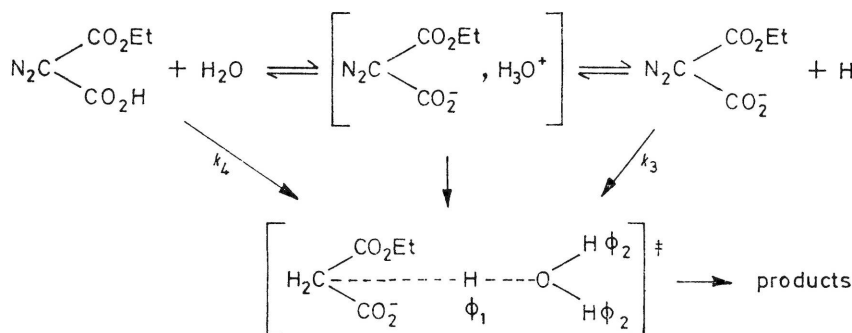
TABLE 1  
Equilibrium and rate constants

Dissociation constants	$pK_n$	Rate constants			
				$3 + \log - (k_n/l \text{ mol}^{-1} \text{ s}^{-1})$	
HEDM	$K_1$	2.80	$\text{H}^+ + \text{EDM}^-$	$k_3$	2.68
$\text{H}_2\text{DM}$	$K_2$	0.96	$\text{H}^+ + \text{HDM}^-$	$k_5$	1.34
HDM <sup>-</sup>	$K_3$	6.4 <sup>a</sup>	$\text{H}^+ + \text{DM}^{2-}$	$k_6$	5.8
	$K_3$	6.0 <sup>b</sup>			
					$6 + \log - (k_n/\text{s}^{-1})$
	$K_3$	6.0 <sup>c</sup>	$\text{H}_2\text{O} + \text{HEDM}$	$k_4 = k_3 K_1$	2.88
			$\text{H}_2\text{O} + \text{H}_2\text{DM}$	$k_5 K_2$	3.37
			$\text{H}_2\text{O} + \text{HDM}^-$	$k_6 K_3$	2.37

<sup>a</sup> From kinetic measurements in Figure 4 and equation (7).

<sup>b</sup> From pH stat titration curve. <sup>c</sup> From kinetic measurements in acetic acid buffers<sup>1</sup> and equation (9).

0.2 and describes a salt effect for King's results at ionic strengths greater than 0.2M. The values of the other



SCHEME 3

constants (at our ionic strength of 0.15M- $\text{NaClO}_4$ ) are given in Table 1. The form of equation (4) can be explained by Scheme 3. In this Scheme  $k_4$  and  $k_3$  are the observed rate constant at low and high pH respectively. Providing that the two processes go through the same transition state, then equation (5) applies. The

$$k_4 = k_3 K_1 \quad (5)$$

reversible protonation of EDM<sup>-</sup> in Scheme 3 is confirmed by the observation that the u.v. spectrum of a solution of EDM<sup>-</sup> taken to pH 2 shows a more pronounced maximum which is similar to that of uncharged DDM; on adding base the spectrum of EDM<sup>-</sup> is recovered.

We have further investigated the transition state in Scheme 3 by studying the solvent isotope effect at pH *ca.* 2. After correcting for the small  $\text{H}^+$  term we obtain the results given in Table 2. The reactant fractionation for

TABLE 2

Isotopic results for LEDM and $\text{L}_2\text{O}$	
$k_1/k_0 = 0.170 \pm 0.003$	$k_{\frac{1}{2}}/k_0 = 0.51 \pm 0.01$
$\phi_1 = 0.27 \pm 0.02$	$\phi_2 = 0.79 \pm 0.04$

the  $k_4$  process can be assumed to have fractionation factors of unity since the reactants are  $\text{L}_2\text{O}$  and  $\text{RCO}_2\text{L}$ .<sup>9</sup> Thus for this system we can calculate the curvature

<sup>9</sup> W. J. Albery, 'Proton-transfer Reactions,' Chapman and Hall, London, 1975, p. 285.

parameter  $\gamma$ <sup>10</sup> from equation (6). In equation (6) and Table 2 the subscripts refer to the atom fractions of D in

$$\gamma = \frac{4 \ln(k_{\frac{1}{2}}^2/k_0 k_1)}{[\ln(k_1/k_0)]^2} = 0.54 \pm 0.06 \quad (6)$$

the solvent. One advantage of reaching the transition state from  $\text{L}_2\text{O}$  and EDM<sup>-</sup> is that one does not need to know  $l$ , the fractionation factors for  $\text{L}_3\text{O}^+$ , in order to calculate  $\gamma$ . Figure 2 shows a plot of  $\gamma$ <sup>10</sup> to find the different possible transition states. We can argue first that a transition state with only a single proton in flight (A) or transition states with three or more protons simultaneously in flight are ruled out, secondly that a transition state [intersection (C)] with one proton in flight and a medium effect of *ca.* 0.6 would fit the data but such a large medium effect is unlikely, thirdly that a transition state [intersection (C)] with two protons

simultaneously in flight ( $\phi$  *ca.* 0.4) is possible but for other diazo-compounds<sup>11</sup> such transition states have not

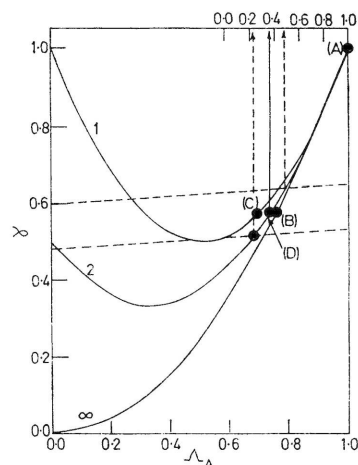


FIGURE 2 Plot of  $\gamma$  for EDM<sup>-</sup> transition state with fractionation of the form  $(1 - x + \phi_A x)(1 - x + \phi_B x)$ ,<sup>b</sup> where  $\Lambda_A = \ln \phi_A / \ln(k_1/k_0)$ . Each parabola is labelled with the value of  $b$ , and each intersection is a possible transition state. The zero on the  $\alpha_{\text{L}_3\text{O}^+}$  scale is calculated for  $b = 2$  and  $\phi_B = \phi_A = 0.69$

been found and therefore finally that the transition state of Scheme 3 [intersection (D)] is the most likely. The

<sup>10</sup> Ref. 9, p. 273.

<sup>11</sup> Ref. 9, p. 289.

point where the curvature parameter  $\gamma$  crosses the parabola corresponding to the 1:2 transition state is determined by the relative magnitudes of the effects of the L in flight and the two Ls left behind. In Table 2 we report values of the fractionation factors for this transition state; the values confirm the interpretation. For this type of transition state the degree of proton transfer  $\alpha_{L_2O^+}$  can be calculated from<sup>12</sup>  $\phi_2 = l^{-\alpha_{L_2O^+}}$ . By calculating the maximum secondary solvent isotope

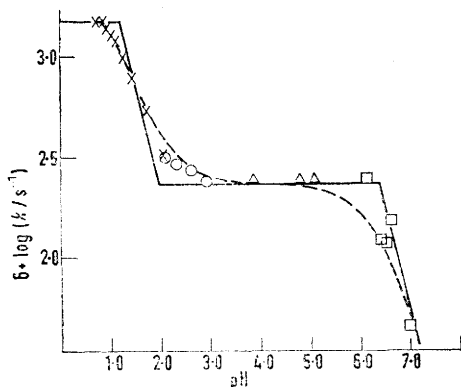
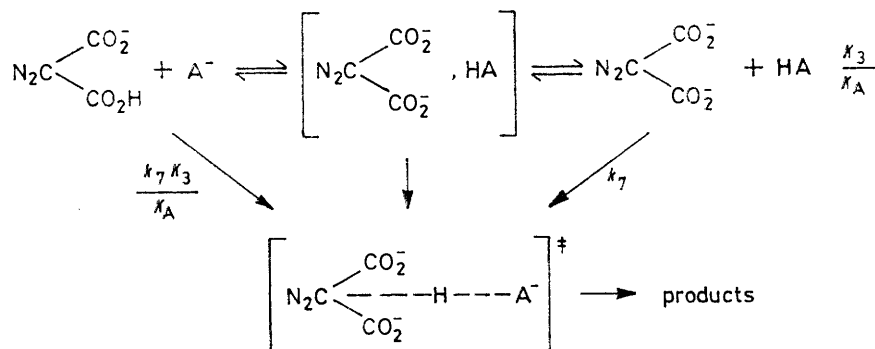


FIGURE 3 Variation of  $\log k$  for  $DM^{2-}$  with pH:  $\times$ , data from ref. 1;  $\Delta$ , data extrapolated to zero buffer concentration from ref. 2;  $\circ$ , spectrophotometric data from this work;  $\square$ , pH stat data from this work. The broken line is calculated from equation 7 and the values in Table 1

effect ( $\phi_2 = l = 0.69$ ) we can set up a scale in Figure 2 to read off directly  $\alpha_{L_2O^+}$ .

Hence the solvent isotope effect data support Scheme 3 and suggest that a transition state of the type shown is the most probable. The reason for the pH profile in Figure 1



SCHEME 4

is that the protonation of  $EDM^-$  on the carboxylate group stabilises the compound; the  $\text{CO}_2\text{H}$  and  $\text{CO}_2\text{Et}$  groups are better able to conjugate with the adjacent diazo-group than  $\text{CO}_2^-$ . However the protonated  $EDM^-$  carries with it the seed of its own destruction; water molecules transfer the proton from the  $\text{CO}_2\text{H}$  onto the adjacent carbon atom.

The same pattern is found for  $DM^{2-}$ . Figure 3 shows the pH profile for this compound. Now there are two  $\text{CO}_2^-$  groups and so the pattern found for  $EDM^-$  is

<sup>12</sup> A. J. Kresge, *Pure Appl. Chem.*, 1964, **8**, 243.

repeated twice over. The reactions to which the rate constants and equilibrium constants refer and their

$$\begin{aligned}
 k &= \frac{k_5[\text{H}^+] + k_6K_3}{K_2^{-1}[\text{H}^+] + 1 + K_3[\text{H}^+]^{-1}} \\
 &\approx \frac{k_5}{K_2^{-1} + [\text{H}^+]^{-1}} + \frac{k_6}{K_3^{-1} + [\text{H}^+]^{-1}} \quad (7)
 \end{aligned}$$

values (in 0.15M- $\text{NaClO}_4$ ) are given in Table 1. If the Radiometer titrator is used to titrate  $DM^{2-}$  with acid a titration curve corresponding to that of a weak acid with a  $pK$  of *ca.* 6.0 is found; this is in reasonable agreement with  $K_3$ . We can now understand the base catalysis found by King.<sup>2</sup> Ignoring small terms from the  $k_5$  process we write equation (8) for an acid HA, which has a dissociation constant  $K_A$ . The  $k_7$  term corresponds

$$k = \frac{k_6 + k_7K_A^{-1}[\text{A}^-]}{K_3^{-1} + [\text{H}^+]^{-1}} = \frac{k_6 + k_7[\text{HA}]}{K_3^{-1}[\text{H}^+] + 1} \quad (8)$$

to a transition state formed from either HA and  $DM^{2-}$  or from  $\text{A}^-$  and  $HDM^-$  as shown in Scheme 4. When  $[\text{H}^+] \gg K_3 = 10^{-6}$  then  $k$  will increase linearly with  $[\text{A}^-]$ ; this explains the base catalysis found by King.<sup>2</sup> Some of his data<sup>1</sup> for acetic acid do not satisfy this condition and rearranging equation (8) we obtain (9).

$$\frac{k_6 + k_7K_A^{-1}[\text{A}^-]}{k} = \frac{1}{K_3} \left( 1 + \frac{K_3[\text{A}^-]}{K_A[\text{HA}]} \right) \quad (9)$$

For experiments at constant  $[\text{A}^-]$ , the ratio of the gradient to the intercept of a plot of  $k^{-1}$  against  $[\text{HA}]^{-1}$  gives the third value of  $K_3$  in Table 1. This determination is in reasonable agreement with the other values thus confirming the interpretation.

Dissociation constants for diazocarboxylic acids cannot usually be measured since their salts decompose before they protonate. For our compounds the diazo-group has no dramatic acid strengthening or weakening effect. However, the dibasic diazomalonic acid shows an unusually large  $\Delta pK$  of 5 units compared for instance with malonic acid ( $\Delta pK$  3); this is probably caused by the extensive delocalisation of the  $\pi$  electrons, the consequent planarity, and a rather stable hydrogen bond in  $HDM^-$ .

The rate constants for the  $\text{H}_2\text{O}$  reactions depend upon

two features, the stability of the diazo-compound and the acidity of the relevant  $\text{CO}_2\text{H}$  group. Also unlike the rate constants for the  $\text{H}_3\text{O}^+$  reaction they cannot be compared

TABLE 3  
Data for  $\text{N}_2\text{CR}^1\text{R}^2$

No.	$\text{R}^1$	$\text{R}^2$	$\log(k/\text{l mol}^{-1} \text{s}^{-1})^a$	$\phi_1$	$\alpha_{\text{L}_3\text{O}^+}$	$\alpha_{\text{B}}$
1	H <sup>b</sup>	$\text{CO}_2^-$	4.8	0.24	0.30	0.51
2	$\text{CO}_2^-$	$\text{CO}_2^-$	2.8			0.73
3	Me <sup>c</sup>	$\text{CO}_2\text{Et}$	1.3	0.22	0.29	0.59
4	Me <sup>c</sup>	COMe	-0.1	0.22	0.27	0.61
5	$\text{CO}_2\text{Et}$	$\text{CO}_2^-$	-1.7	0.27	0.36	

<sup>a</sup> Rate constant for  $\text{H}_3\text{O}^+$  catalysed reaction. <sup>b</sup> M. M. Kreevoy and D. E. Konasewich, *J. Phys. Chem.*, 1970, **74**, 4464. <sup>c</sup> Ref. 13.

with rate constants for other diazo-compounds. Similarly the general base catalysis<sup>2</sup> of  $\text{HDM}^-$  with  $\beta$  0.27<sup>1</sup> should rather be considered as general acid

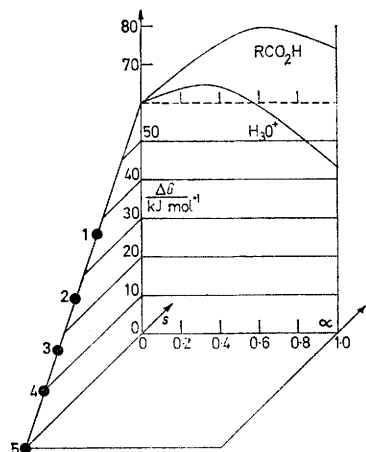
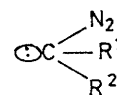


FIGURE 4 Free energy broken down into that from the proton transfer ( $\alpha$ ) and that from the 'solvation' change ( $s$ ) for the different diazo-compounds numbered in Table 3 and for  $\text{H}_3\text{O}^+$  and a carboxylic acid catalyst. The free energies on the left-hand side are the desolvated encounter complex  $[\text{HA}, \text{S}]$ ; the actual proton transfers obey the Marcus theory. In calculating<sup>14</sup> this simplified picture the following values have been assumed:  $w_{\text{B},0}/\text{kJ mol}^{-1} = 10$  for  $\text{RCO}_2\text{H}$  and 18 for  $\text{H}_3\text{O}^+$ ,  $\lambda/\text{kJ mol}^{-1} = 48$ ,  $\alpha_{\text{L}_3\text{O}^+} = 0.33$ , and  $\alpha_{\text{B}} = 0.65$

catalysis of  $\text{DM}^{2-}$  ( $k_7$ ) with a Bronsted coefficient  $\alpha_{\text{B}}$  of 0.73.

The results for the diazomalonates are compared with results for other diazo-compounds in Table 3. The new

data agree with earlier conclusions<sup>12</sup> in that first  $\phi_1$ , the primary fractionation factor describing the proton in flight, is similar in size for the different diazo-compounds, and secondly the degree of proton transfer when the catalyst is  $\text{L}_3\text{O}^+$  ( $\alpha_{\text{L}_3\text{O}^+}$ ) is smaller than when the catalyst is  $\text{RCO}_2\text{H}$  ( $\alpha_{\text{B}}$ ). This difference is in the direction predicted by the Marcus theory.<sup>13</sup> For these compounds the change of acid catalyst from  $\text{H}_3\text{O}^+$  to acetic acid reduces the rate by *ca.*  $10^{-2}$  and increases  $\alpha$  from 0.3 to 0.6. On the other hand for the same catalyst,  $\text{H}_3\text{O}^+$ , the change from diazoacetate anion to ethyl diazomalonate anion reduces the rate by over six orders of magnitude but hardly affects  $\alpha_{\text{L}}$ . This asymmetry of behaviour can be explained<sup>14</sup> by the type of free energy surface shown in Figure 4. The  $s$  co-ordinate describes the effect of solvation and bond changes on the basicity of the diazo-compound. At the front of the diagram it starts as a very weak base. If it travelled all the way to the back



(A)

of the diagram it would be a strong base with structure (A). It can be shown from the Marcus theory that proton transfers will be most rapid between bases of equal strength. Thus the more stable the diazo-compound the more it has to travel uphill until the thermodynamics for the proton transfer are roughly in balance. There is then a relatively small barrier to proton transfer (5–20  $\text{kJ mol}^{-1}$ ) and the different diazo-compounds exhibit similar characteristics ( $\phi_1$ ,  $\alpha_{\text{L}_3\text{O}^+}$ , and  $\alpha_{\text{B}}$ ) as they move along the proton transfer co-ordinate across the diagram. This separation into the movement along two different co-ordinates is an oversimplification but it emphasises the main feature of proton transfer to these aliphatic diazo-compounds; the change of catalysing acid affects the profile on the proton transfer co-ordinate, whereas the change of diazo-compound affects the position on the solvation co-ordinate.

[5/1519 Received, 31st July, 1975]

<sup>13</sup> R. A. Marcus, *J. Phys. Chem.*, 1968, **72**, 891.

<sup>14</sup> W. J. Albery, A. N. Campbell-Crawford, and J. S. Curran, *J.C.S. Perkin II*, 1972, 2206.