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## The Variation of Substituent Resonance Effects with Electron Demand

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The Hammett substituent constants for a number of substituents have been resolved into constant inductive  $(\sigma_{\rm I})$  and variable resonance  $(\sigma_{\rm R})$  contributions. Variations in  $\sigma_{\rm R}$  have been interpreted in terms of changes in the demand for  $\pi$  electrons made on substituents and an empirical scale of 'electron demand' has been set up. The relationship has been tested using reactivity data, and its applications discussed.

In recent years there has been a number of attempts to resolve the electronic effects of substituents in aromatic systems into independent inductive and resonance contributions.<sup>1-3</sup> The most successful of these approaches has been the dual substituent parameter (DSP) treatment of Ehrenson, Brownlee, and Taft,<sup>3</sup> who assume that resonance and inductive effects are totally independent and who use a modified form [equation (1)] of the Hammett equation, in which the terms P and P<sub>0</sub> refer to the property of interest expressed in energy

$$P - P_o = \rho_I \sigma_I + \rho_R \sigma_R \tag{1}$$

units—usually  $\log K$  (or k) and  $\log K_o$  (or  $k_o$ ). The validity of such a separation was tested by Ehrenson, Brownlee, and Taft, using equation (1), on a large body of data. The results were considered to justify the assumption that  $\sigma_{\rm I}$  was dependent solely on the nature of the substituent, but to show that  $\sigma_R$  varied in a nonlinear fashion according to the demands made on the substituent. Such variation is to be expected because the ability of a substituent to donate or accept  $\pi$  electrons cannot be limitless. Ehrenson et al. chose to overcome this difficulty by offering a number of  $\sigma_R$  scales ( $\sigma_{R^0}$ ,  $\sigma_{R(BA)}$ ,  $\sigma_{R}^{+}$  and  $\sigma_{R(A)}^{-}$ ) and suggested that the scale most suitable for the system under study be selected, the choice being the one which gave the best statistical orrelation. In their study,  $\rho_{\rm I}^{meta}$  and  $\rho_{\rm I}^{para}$  were assumed to be independent; data involving metasubstituents were treated separately from data for parasubstituents. Superficially this is advantageous because the equation can be applied to systems in which the susceptibility of the measured property to inductive and resonance effects is very different-perhaps even in opposition—but it does introduce the serious problem of deciding whether the results of such correlations are meaningful. Discrepancies which really arise because  $\sigma_{\rm R}$  is not permitted values between or beyond a few fixed points are minimised by changes in the values of  $\rho_R$  and  $\rho_I$ . The result is serious enough if  $\rho_R$  alone is varied, but when  $\rho_{\rm I}$  is adjusted as well it is hard to justify the claim that the electronic effect has been resolved into inductive and resonance contributions. The problem is likely to be most serious for reactions of the  $\sigma_{R}^{+}$  type since the difference between the actual  $\sigma_R$  and  $\sigma_{R}^{+}$  may be quite large. In view of this the results obtained from the DSP treatment in cases where  $\rho_{\rm I}$  is substantially different from  $\rho_R$  must be treated with caution.

It seemed to us that these difficulties inherent in the DSP treatment might be overcome by discarding the

assumption of a limited range of discrete values for  $\sigma_R$  and allowing it to be a continuous function of the  $\pi$  electron demand on the substituent. This paper describes the derivation of this function—the relationship between  $\sigma_R$  and electron demand—and examines the utility of the approach to the analysis of substituent effects.

## DISCUSSION

The concept of 'electron demand' made on a substituent is most conveniently considered by looking at the effect on the substituent of the total aromatic system plus attached groups and reaction site. This is the reverse of the normal viewpoint, in which the effect of the substituted aryl group on the reaction site is considered, but it confers several advantages. There need be no distinction between effects operating from the meta- or para-positions, or between aromatic and aliphatic systems. The reaction or equilibrium studied, or the spectroscopic measurement made, becomes simply the probe that measures the extent of  $\pi$  electron demand on the substituent (the term 'electron demand' will be used to include situations in which the substituent accepts  $\pi$  electrons, where demand may be considered to be numerically negative). The extent to which a substituent donates or attracts  $\pi$  electrons will depend on the strength of this demand.

In setting up our scale of electron demand we have made two key assumptions. The first is that the relationship between  $\sigma_R$  and electron demand is independent of the source of the demand. The second is that this relationship is of the same mathematical form for all substituents. Both are reasonable if the  $\pi$  interaction between the substituent and the rest of the molecule is always of the same type; the second may be questioned in some circumstances—for example when d orbitals are involved—but such cases arise infrequently. The first assumption allows us to define the relationship between  $\sigma_R$  and electron demand for one situation and apply it to all others and, for no other reason than the amount of data available, we chose to attempt to define the relationship using the benzenoid system and the Hammett equation.

The Determination of  $\sigma_R$  Values.—The usual approach to calculating  $\sigma_{para}$  values using the original, one-substituent parameter version of the Hammett equation, is to calculate  $\rho$  for the reaction based on the meta substituents and then to assume that  $\rho_{para} = \rho_{meta}$ . That  $\sigma_{meta}$  is independent of the nature of the reacting

side-chain to within normal error limits is generally accepted; that  $\rho_{para} = \rho_{meta}$  is arguable. There is some evidence to suggest that  $\rho_{\rm I}(para)/\rho_{\rm I}(meta) \sim 1.12$  and therefore some support for assuming a similar value for  $\rho_{para}/\rho_{meta}$ . However, in calculating  $\sigma_{\rm R}$  values in this paper it has been assumed that  $\rho_{meta} = \rho_{para}$ ; any resulting systematic deviations in  $\sigma_{\rm R}$  will be taken care of by the empirical nature of the calculations. The substituent constant derived will be unresolved ( $\sigma_{\rm I} + \sigma_{\rm R}$ ), from which  $\sigma_{\rm R}$  is obtained by subtracting the value of  $\sigma_{\rm I}$ .

The choice of suitable  $\sigma_I$  values presents some difficulty. Most of the data used in setting up the scale of electron demand (see later) are based on spectroscopic measurements in carbon tetrachloride, deuteriochloroform or dimethyl sulphoxide, whereas most of the  $\sigma_I$  values available have been derived from kinetic or equilibrium measurements in weakly protic solvents such as water, alcohols, or mixed aqueous—organic systems. Recent work has shown that good Hammett correlations for *meta*-substituents in non-polar solvents may require  $\sigma$  constants significantly different from normal; the discrepancies appear too high to arise from resonance effects operating from the *meta*-position.<sup>5</sup>

to that suggested by their  $\sigma_{meta}$  values. On the basis of the  $\sigma_{meta}$  data for Me<sub>2</sub>SO and CCl<sub>4</sub> solvents, the literature values of +0.56 and +0.65 have been retained for these substituents for dimethyl sulphoxide and +0.64 and +0.74 used for carbon tetrachloride. Table 1 lists the  $\sigma_{\rm I}$  values used in this work; it is unfortunate but unavoidable that no series exists by which their validity can be tested.

Constructing a Scale of Electron Demand.—We have assumed that  $\sigma_R$  is a continuous function of electron demand from  $\sigma_R = 0$  (no demand) asymptotically approaching  $\sigma_R = \sigma_R^{\infty}$  with increasing demand. As a preliminary electron demand scale we used  $\sigma_R$  for the NMe<sub>2</sub> group, on the grounds that such a powerful electron donor will show approximately linear variation in  $\sigma_R$  with electron demand over the normal range of reactivities. (The most powerful common +R group, O<sup>-</sup>, we rejected because its behaviour is strongly solvent dependent). No common —R substituent is sufficiently powerful to be suitable as a preliminary measure of electron demand and for this reason we limited our initial study to +R substituents. Figure 1 shows  $\sigma_R$ values for a selection of +R groups plotted against σ<sub>R</sub>(NMe<sub>2</sub>) for six systems; this family of curves could

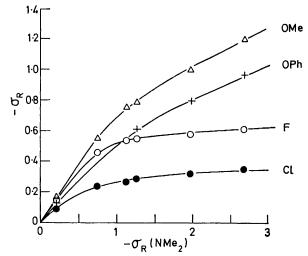
 $\label{eq:Table 1} Table \ 1$  Values  $^a$  of  $\sigma_I$  used in the resolution of substituent constants

Substituent:	$NMe_2$	OH	OMe	OPh	F	Cl	$\mathbf{Br}$	I	Me	OAc	CN	$NO_2$	Ac	$CO_2R$
$\sigma_{\rm I}({\rm CCl_4})$	0.12		0.24	0.38	0.50	0.46	0.44	0.39	-0.04	0.29	0.64	0.74	$0.18^{d}$	
$\sigma_{I}(Me_{2}SO)$	0.06	$0.12^{b}$	0.24	0.38	0.50	0.46	0.44	0.39	-0.04	$0.29$ $^{\circ}$	0.56	0.65		
$\sigma_{\mathbf{I}}(\mathbf{H_2O})$	0.06	$0.29^{\ b}$	0.27	0.38	0.50	0.46	0.44	0.39	-0.04	$0.29$ $^{\circ}$	0.56	0.65	0.28	0.30

<sup>a</sup> Derived as described in the text. <sup>b</sup> An estimate based on a comparison of  $\sigma_{meta}$  for the OH and OMe groups. <sup>c</sup> The value of  $\sigma_{\rm I}$  is assumed to be solvent independent (see Discussion). <sup>d</sup> An estimate based on Taft's <sup>19</sup>F work.<sup>5</sup> Unreliable (cf. NO<sub>2</sub> and CN).

This suggests that literature values of  $\sigma_I$  may not necessarily apply in non-polar solvents, and for this reason we have compiled a list of  $\sigma_{\Gamma}$  values by comparing the literature values for protic media with the data of Taft and his co-workers on 19F chemical shifts in metafluorobenzenes 6 and with the  $\sigma_{meta}$  values reported in ref. 5. The <sup>19</sup>F chemical shift values for Me, Ph, OPh, Br, and F substituents show little solvent dependence and an excellent correlation with literature  $\sigma_I$  values. The OMe group  $(\sigma_{I(H_2O)} = 0.27)$  gave an acceptable correlation, but a value of 0.24 was better for nonaqueous systems; this is consistent with the lower value of  $\sigma_{meta}$  for this substituent observed in CCl<sub>4</sub> and Me<sub>2</sub>SO. For the important NMe<sub>2</sub> substituent the <sup>19</sup>F data suggest that in CCl<sub>4</sub> a value of 0.12 would be suitable, but as there is no way of estimating the figure for dimethyl sulphoxide the literature value of 0.06 has been used. No reliable  $\sigma_I$  for the OAc substituent exists in the literature, but the <sup>19</sup>F data support a value of 0.29 in carbon tetrachloride. Taft does not report data for the Cl and I substituents but in view of the solvent independence of the values for Br and F the literature  $\sigma_I$ values should apply satisfactorily in non-polar solvents. The <sup>19</sup>F chemical shift data for the CN and NO<sub>2</sub> groups do not correlate well with  $\sigma_{I}$ , even in solvents such as methanol, and the deviations are in the opposite direction

be used to estimate  $\sigma_R$  for any substituent in an analogous system provided  $\sigma_R$  for one substituent is known. In practice it would be more useful to fit the data to a suitable mathematical function. If this can be done successfully for enough systems it justifies the assumption that the relationship between  $\sigma_R$  and demand is in-



Plot of  $-\sigma_R vs. -\sigma_R(NMe_2)$  for OMe, OPh, F, and Cl substituents

dependent of the source of the demand, and it also makes the method more generally useful.

We chose to adopt  $\delta^{13}C_{\beta}$  for the styrene series (I; Y=Z=H) as that in which the substituent X experiences unit electron demand, because the <sup>13</sup>C n.m.r. chemical shifts at  $C_{\beta}$  in these series constitute the most precise data set available to include the NMe<sub>2</sub> group.<sup>7,8</sup> (For a few substituents these chemical shifts are not available and other data were used.) Trial curve-

fitting showed a logarithmic relationship between  $\sigma_R$  and electron demand to be the most satisfactory. Re-

$$\epsilon_{\scriptscriptstyle +} = \log[1-(\sigma_{\scriptscriptstyle R}/\sigma_{\scriptscriptstyle R}{}^{\infty})]/\log[1-(\sigma_{\scriptscriptstyle R}{}^{\rm H}/\sigma_{\scriptscriptstyle R}{}^{\infty})] \quad (2)$$

$$\sigma_{R} = \sigma_{R}^{\infty} \left[ 1 - \left( \frac{\sigma_{R} - \sigma_{R}^{H}}{\sigma_{R}^{\infty}} \right)^{\epsilon_{+}} \right] \tag{3}$$

presenting electron demand by  $\varepsilon_+$  (for  $\pi$  electron flow towards the side chain), equation (2) satisfies the con-

ditions  $\sigma_R=0$  at  $\epsilon_+=0$ ,  $\sigma_R=\sigma_R^H$  at  $\epsilon_+=1$  and  $\sigma_R\longrightarrow\sigma_R^\infty$  at  $\epsilon_+\longrightarrow\infty$ . [Equation (3) is the more convenient form for calculating  $\sigma_R$  values.] An initial  $\epsilon_+$  scale derived from the expression  $\epsilon_+=\sigma_R(NMe_2)/\sigma_R^H$  gave curves that were not strictly logarithmic. These curves were constrained to logarithmic form and a mean  $\epsilon_+$  scale derived from this. Table 2 gives the 'best-fit'

 $\begin{array}{c} \text{Table 2} \\ \text{Calculated values of } \sigma_R{}^H \text{ and } \sigma_R{}^\infty \text{ for } + R \text{ substituents} \end{array}$ 

NMe, $-1.13$ $-12$	
OH $-0.84$ $-1.$	<b>58</b>
OMe $-0.75$ $-1.$	24
OPh $-0.57$ $-1$	02
F $-0.53$ $-0.53$	61
C1 $-0.28$ $-0.$	35
Br $-0.23$ $-0.6$	31
I $-0.17$ $-0$	31
OAc $-0.20$ $-0.8$	38
Me $-0.19$ $-0.19$	33

values of  $\sigma_R^H$  and  $\sigma_R^\infty$  for each data set; Table 3 shows the excellent agreement between experimental values for  $\sigma_R$  and the values obtained from equation (2), and the best-fit  $\epsilon_+$  values for each system. The only serious

 $TABLE \ 3$  Experimental values of  $\sigma_R$  used to evaluate  ${}^{\alpha}$   $\sigma_R^{H}$  and  $\sigma_R^{\infty}$ , and calculated  $\sigma_R$  and  $\epsilon_+$  values from equation (2)

		$\mathbf{NMe_2}$	OH	OMe	OPh	$\mathbf{F}$	Cl	Br	I	OAc	Me
Reaction (1)	$-\sigma_{\mathbf{R}}(\mathbf{expt.})$	2.68	1.49	1.20	0.97	0.61	0.35	0.31	0.29	0.36	0.32
$\epsilon_{+}=3.7$	$-\sigma_{\mathbf{R}}(\text{calc.})$	3.68	1.48	1.20	0.97	0.61	0.35	0.31	0.29	0.36	0.32
Reaction (2)	$-\sigma_{\mathbf{R}}(\mathbf{expt.})$	1.97	1.16	1.00	0.80	0.58	0.32	0.27	0.26	0.30	0.26
$\epsilon_{+}=1.8$	$-\sigma_{\mathbf{R}}(\text{calc.})$	1.97	1.18	1.01	0.79	0.59	0.33	0.28	0.24	0.33	0.26
Reaction (3) b	$-\sigma_{\mathbf{R}}(\mathbf{expt.})$	1.26		0.79	0.61	0.55	0.29	0.25	0.18	0.22	0.23
$\epsilon_{+} = 1.12$	$-\sigma_{\mathbf{R}}(\text{calc.})$	1.26		0.80	0.61	0.55	0.29	0.24	0.18	0.22	0.20
Reaction (4)	$-\sigma_{\mathbf{R}}(\mathbf{expt.})$	1.13		0.76		$0.54$ $^{c}$	0.27	0.22	0.15		0.19
$\epsilon_{+}=1.00$	$-\sigma_{\mathbf{R}}(\text{calc.})$	1.13		0.75		0.53	0.28	0.23	0.19		0.19
Reaction (5)	$-\sigma_{\mathbf{R}}(\mathbf{expt.})$	0.75		0.55		0.46	0.24	0.20			0.12
$\epsilon_+ = 0.66$	$-\sigma_{\mathbf{R}}(\text{calc.})$	0.76		0.57		0.45	0.23	0.18			0.14
Reaction (6)	$-\sigma_{\mathbf{R}}(\mathbf{expt.})$		0.17	0.15		0.16	0.09	0.05		0.03	0.03
$\varepsilon_+ = 0.15$	$-\sigma_{\mathbf{R}}(\text{calc.})$		0.17	0.16		0.16	0.08	0.05		0.04	0.04

<sup>&</sup>lt;sup>a</sup> The data used in the evaluation are based on the following reaction series: Reaction (1)  $\delta^{13}C_{\beta}$  for  $\beta$ ,  $\beta$ -dicyanostyrenes in Me<sub>2</sub>SO. Reaction (2)  $\delta^{13}C_{\beta}$  for  $\beta$ -nitrostyrenes in Me<sub>2</sub>SO. Reaction (3)  $\delta^{13}C_{\beta}$  for stilbenes in CDCl<sub>3</sub>. Reaction (4)  $\delta^{13}C_{\beta}$  for styrenes in CCl<sub>4</sub>. Reaction (5)  $\delta^{13}C_{\beta}$  for  $\beta$ ,  $\beta$ -dimethylstyrenes in CCl<sub>4</sub>. Reaction (6) mean  $\sigma_{meta}$  values based on reactions 1—5. b Unpublished data. It has been assumed that  $\sigma_{I}(\text{CDCl}_{3}) = \sigma_{I}(\text{CCl}_{4})$ . The data reported by Reynolds and his co-workers for this substituent are in error. This value is based on a re-measurement ( $\delta^{13}C_{\beta} = 113.01 \text{ p.p.m.}$ ).

Table 4 Comparison of calculated  $\sigma_R$  values with those derived from  $\sigma$  scales based on reactivity data  $^a$ 

		$NMe_2$	OH	OMe	OPh	$\mathbf{F}$	Cl	Br	I	OAc	Me
$\sigma_{R}^{+}$ (ref. 10) <sup>a</sup>	$-\sigma_{R}(\text{expt.})$	1.76	1.21	1.05	0.88	0.57	0.35	0.29	0.24	$0.37^{\ b}$	0.27
$\epsilon_{+}=2.0$	$-\sigma_{\mathbf{R}}(\text{calc.})$	2.15	1.23	1.05	0.82	0.60	0.34	0.29	0.25	0.30	0.27
$\sigma_{\mathbf{R}}$ (ref. 9) $a$	$-\sigma_{\mathbf{R}}(\mathbf{expt.})$	0.89 °	0.66	0.54	$0.70^{-d}$	0.44	0.23	0.21	0.12 *	$0.13^{f}$	0.13
$\epsilon_{+}=0.67$	$-\sigma_{\mathbf{R}}(\text{calc.})$	0.77	0.63	0.57	0.44	0.45	0.23	0.19	0.13	0.15	0.14
$\sigma_{\mathbf{R}^n}$ (ref. 11) $\sigma$	$-\sigma_{\mathbf{R}}(\mathbf{expt.})$	0.30	0.41	0.36	0.29	0.32	0.17	0.14	0.08		0.06
$\epsilon_{+}=0.38$	$-\sigma_{\mathbf{R}}(\mathbf{calc.})$	0.44	0.40	0.37	0.29	0.33	0.16	0.13	0.08	0.09	0.09
$\sigma_{\mathbf{R}}(meta)$ (ref. 9)	$\sigma = \sigma_{\mathbf{R}}(\mathbf{expt.})$	0.21	0.17	0.15	0.13	0.16	0.09	0.05	0.04		0.03
$\epsilon_{+}=0.15$	$-\sigma_{\mathbf{R}}(\text{calc.})$	0.18	0.17	0.16	0.12	0.16	0.08	0.06	0.04	0.04	0.04
$\sigma_{\mathbf{R}}^+$ (ref. 3) $\sigma$	$-\sigma_{\mathbf{R}}(\mathbf{expt.})$	1.75		1.02	0.87	0.57	0.36	0.30	0.25		0.27
$\epsilon_{+}=2.0$	$-\sigma_{\mathbf{R}}(\mathbf{calc.})$	2.15	1.23	1.05	0.82	0.60	0.34	0.29	0.25	0.30	0.27
$\sigma_{R(BA)}$ (ref. 3) $\sigma$	$-\sigma_{\mathbf{R}}(\mathbf{expt.})$	0.83		0.61	0.58	0.45	0.23	0.19	0.16		0.11
$\epsilon_{+}=0.73$	$-\sigma_{\mathbf{R}}(\text{calc.})$	0.84	0.67	0.61	0.46	0.47	0.24	0.20	0.14	0.16	0.14
$\sigma_{\mathbf{R}}^{\mathbf{o}}$ (ref. 3) $a, g$	$-\sigma_{\mathbf{R}}(\mathbf{expt}.)$	0.52		0.45	0.34	0.34	0.23	0.19	0.16		0.11
$\epsilon_{+}=0.45^{'}$	$-\sigma_{\mathbf{R}}(\mathrm{calc.})'$	0.52	0.46	0.42	0.31	0.37	0.18	0.14	0.09	0.11	0.10

<sup>&</sup>lt;sup>a</sup> The σ scales used as a source of  $\sigma_R$  values were the  $\sigma^+$  scale of H. C. Brown, <sup>10</sup> the σ scale of McDaniel and Brown, <sup>9</sup> the  $\sigma^n$  scale of Hoefnagel and Wepster, <sup>11</sup> and the  $\sigma_R^+$ ,  $\sigma_{R(BA)}$  and  $\sigma_R^0$  scales of Ehrenson, Brownlee, and Taft, <sup>3</sup> <sup>b</sup> P. B. D. de la Mare, personal communication; based on the solvolysis of p-acetoxyphenyldimethylcarbinyl chloride in 90% aqueous acetone. <sup>c</sup> Based on a p $K_a$  with no correction for zwitterion. Hoefnagel and Wepster <sup>11</sup> suggest that  $\sigma = -0.7$  ( $\sigma_R = -0.76$ ) would be a better value. <sup>a</sup> Comparison with the methoxy-value suggests that this value is in serious error in spite of the reported reliability of the p $K_a$  (±0.02). <sup>c</sup> R. A. Robinson and K. P. Ang, *J. Chem. Soc.*, 1959, 2314. <sup>f</sup> Reference 13, O. Oxner and J. Lakomy, Coll. Czech. Chem. Comm., 1970, **35**, 1371. <sup>g</sup> The authors claim that for the Cl, Br, I, and Me substituents  $\sigma_R^0$  is not statistically different from  $\sigma_{R(BA)}$ .

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discrepancy in Table 3 is the difference between the experimental and calculated  $\sigma_R(NMe_2)$  values for the  $\beta,\beta$ -dicyanostyrene series. Of all the compounds for which data are available, p-dimethylamino- $\beta,\beta$ -dicyanostyrene will show the greatest resonance interaction between the substituent and side-chain. The extent of this electron transfer may be so great that the nature of the side-chain is changed and its electron-withdrawing power diminished, so that our assumption that  $\epsilon_+$  is in dependent of the nature of the substituent has broken down in this instance.

We tested our approach by deriving  $\varepsilon_+$  and  $\sigma_R$  values for the most reliable extensive data sets in the literature. These are the  $\sigma$  values of McDaniel and Brown for the ionisation of benzoic acids,  $^{9}$  the  $\sigma^{+}$  values of Brown and Okamoto, the on values of Hoefnagel and Wepster, 11 and the  $\sigma^0$  values of Yukawa et al. The  $\sigma_{R(BA)}$ ,  $\sigma_{R}^0$ , and σ<sub>R</sub><sup>+</sup> values <sup>3</sup> of Ehrenson, Brownlee, and Taft were also used since although these are based on reaction series for which  $\lambda \neq 1$ , the DSP relationship should be sufficiently general to handle situations for which  $\lambda = 1$ . The results (Table 4) show that agreement between  $\sigma_R$ values calculated by our method and values derived from the literature is generally good; obvious discrepancies (e.g. for NMe<sub>2</sub> and OPh) can be accounted for in terms of the limited and scattered data for these groups.

The success of this approach for +R groups suggested to us that  $\sigma_R^H$  and  $\sigma_R^\infty$  values might be derived from reactivity data for substituents for which suitable n.m.r. data were not available—in particular -R substituents. Parameters for these, calculated mainly from literature reactivity data, are listed in Table 5. The  $\varepsilon_-$  scale for  $\pi$  electron-withdrawing groups was placed on the same scale as the  $\varepsilon_+$  scale by constraining it to pass through the point  $\sigma_R = \sigma_R^n$  at  $\varepsilon_- = 0.38$ ; it was pleasing to find that with reasonable values for  $\sigma_R^\infty$  the curve also passes through  $\sigma_R = \sigma_R(meta)$  at  $\varepsilon_- = 0.15$ . The  $\sigma_R^H$  and  $\sigma_R^\infty$  values for -R substituents (Table 5) are less reliable than those for +R substituents (Table 2)

 $\begin{array}{c} \text{Table 5} \\ \sigma_R \text{ Values for } -R \text{ substituents} \end{array}$ 

o <sub>R</sub> var	400 101	it bubblile	CITED	
	$NO_2$	CH₃CO	$\mathbf{C}\mathbf{N}$	$CO_2R$
$\sigma_R{}^H$	0.28	0.41	0.25	0.29
$\sigma_{\mathbf{R}}^{\infty}$	0.55	0.54	0.40	0.41
$\sigma_{\mathbf{R}}^{-}$ (A) $^{a}$	0.55	0.54	0.40	0.41
$\sigma_{\mathbf{R}}^{-}$ (P) $^{b}$	0.55	0.54	$0.30^{h}$	$0.32^{h}$
σR (styrenes) c	0.39	0.47	0.27	
$\varepsilon_{-}=1.7$	0.39	0.49	0.32	
$\sigma_{\mathbf{R}}$ (nitrostyrenes) <sup>d</sup>	0.28		0.25	
$\varepsilon_{-}=1.0$	0.28		0.25	
σ <sub>R</sub> (dicyanostyrenes) σ	0.20		0.20	
$\epsilon_{-}=0.65$	0.20		0.19	
$\sigma_{\mathbf{R}}$ (BA) $f$	0.13	0.22	0.14	0.16
$\epsilon_{-}=0.38$	0.13	0.23	0.12	0.15
$\sigma_{\mathbf{R}}$ (meta) $^{g}$	0.06	0.10	0.00	0.07
$\varepsilon_{-} = 0.1$	0.06	0.10	0.06	0.07

<sup>a</sup> Based on  $pK_a$  values of anilinium ions in  $H_2O$  at 25 °C. <sup>b</sup> Based on  $pK_a$  values of phenols in  $H_2O$  at 25 °C. <sup>c</sup> Reaction (4), Table 3. <sup>d</sup> Reaction (2), Table 3. <sup>e</sup> Reaction (1), Table 3. <sup>f</sup> Calculated from  $\sigma$  scale of McDaniel and Brown. <sup>g</sup> <sup>g</sup> Reference 9. The  $\sigma_R$  value for the cyano-substituent is unreliable. <sup>h</sup> The reason for these deviations is unknown but some type of saturation effect may be involved.

and they could not be tested; they have been calculated from the most reliable data available and no reactions for which results have been reported require  $\sigma_R$  values between  $\sigma_R{}^n$  and  $\sigma_R{}^\infty$ . However, comparison of the calculated and experimental values in Table 5 gives an indication of the goodness of fit.

The results presented so far gave us confidence that the parameters  $\varepsilon_+$  and  $\varepsilon_-$  are a satisfactory measure of  $\pi$ -electron demand at the site generating the demand. In the following section the application of these parameters is considered.

The Variable Resonance Parameter Equation.—Equation (3) may be written as a dual substituent parameter equation with three unknowns  $\rho_{\rm I}$ ,  $\rho_{\rm R}$ , and  $\epsilon$  [equation (4)].

$$P_{i}-P_{o}=\rho_{I}\sigma_{I}+\rho_{R}\sigma_{R}^{\infty} \boxed{1-\left(\frac{\sigma_{R}^{\infty}-\sigma_{R}^{H}}{\sigma_{R}^{\infty}}\right)^{\epsilon}} \tag{4}$$

We refer to this as the Variable Resonance Parameter (VRP) equation, which allows calculation of the  $\pi$ electron demand  $\varepsilon_{+}$  and  $\varepsilon_{-}$ . With a suitable body of reliable data equation (4) can be used with a non-linear regression computer programme to evaluate  $\rho_{\rm I}$ ,  $\rho_{\rm R}$ , and  $\varepsilon$ , and the  $\varepsilon$  values so obtained provide a single-parameter estimate of electron demand. In the DSP treatment, demand must be judged in terms of both  $\lambda (= \rho_I/\rho_R)$  for the system and the type of  $\sigma_R$  required to obtain a correlation. The need for a multiple regression analysis can be avoided if some simplifying assumptions are made. The data in Table 4 suggest that the assumption  $\rho_{\rm I} \sim \rho_{\rm R}$  may well hold for all reactivity data in benzenoid systems; if  $\rho$  can be evaluated independently then a table of  $\varepsilon$  vs.  $\sigma_R$  generated at intervals of 0.01 in  $\varepsilon$  allows rapid evaluation of the best-fit ε value. The independent evaluation of  $\rho$  requires either that  $\rho_{meta} = \rho_{para}$  or that  $\rho$  is the same for +R and -R substituents. In many situations both are good approximations, particularly for simple reactions of benzene derivatives, and they provide a useful cross-check. The resulting approximate values for ρ can be used to give improved values by iteration if required. In cases where  $\rho_I$  and  $\rho_R$  are significantly different and independent evaluation of either is not possible, equation (4) must be evaluated by regression analysis or the DSP equation employed.

Reactions of simple benzenoid systems will fall into a small number of classes distinguished by the magnitude of their  $\varepsilon_{\perp}$  and  $\varepsilon_{-}$  values. Of the systems in which substituent and reaction site are meta, most will have  $\varepsilon_{+} = \varepsilon_{-} = 0.15$ ; when a third group is present which can interact directly with the substituent (e.g. in the ionisation of 3-X-naphthols 12 and naphthylamines 13) this may no longer be true. Reactions usually described as  $\sigma^{o}$  or  $\sigma^{n}$  type will have  $\varepsilon_{+} = \varepsilon_{-} = 0.38$ . Reactions for which  $\varepsilon_{+} = 0.38$ ,  $\varepsilon_{-} > 0.38$ , will be those usually referred to as  $\sigma^-$  or partial  $\sigma^-$ , and those with  $\epsilon_+ > 0.38$ ,  $\varepsilon_{-}=0.38$  will cover the spectrum  $\sigma^{n}$  to  $\sigma^{+}$  and beyond, including the reactions of the benzoic acids and their derivatives. Reactions for which neither  $\varepsilon_{+}$  nor  $\varepsilon_{-}$  have values of 0.15 or 0.38 will be rare in benzenoid systems but will include p $K_a$  values of 4-X-pyridinium ions, <sup>13</sup>C n.m.r. chemical shifts of 4-X-styrenes and all reactions of non-benzenoid compounds.

TABLE 6

Comparison of experimental and calculated  $pK_a$  values for the ionisation of 4-substituted pyridinium ions in water at 25 °C

Substituent	$pK_a(expt)$ <sup>a</sup>	$pK_a(DSP)$ b	$\mathrm{p}K_{\mathrm{a}}(\mathrm{VRP})$ $^{\mathfrak{c}}$
H	5.21	5.21	5.21
$NMe_2$	9.59 d	9.60	8.57
OMe	6.58	6.57	6.59
C1	3.83	3.83	3.84
$\mathbf{Br}$	3.75	3.77	3.73
I	4.01 6	3.89	4.55
$\mathbf{M}\mathbf{e}$	6.03	6.09	6.09
$CO_2R$	3.49	3.30	3.47
Ac	3.51	3.35	3.53
$^{ m CN}$	1.86	2.00	1.85
$NO_2$	1.39	1.94	1.37

<sup>a</sup> Data are those of Fischer, Galloway, and Vaughan <sup>14</sup> unless otherwise noted. <sup>b</sup> Calculated from the equation  $pK_a^{\mathbf{x}} = pK_a^{\mathbf{x}} - 5.104$   $\sigma_{\mathbf{i}} - 2.081$   $\sigma_{\mathbf{R}}^{\mathbf{x}}$ . <sup>c</sup> For  $+\mathbf{R}$  substituents  $\rho = 5.5$ ,  $\varepsilon_{+} = 0.58$ ; for  $-\mathbf{R}$  substituents  $\rho = 6.0$ ,  $\varepsilon_{-} = 0$ . <sup>d</sup> Measured by F. Cruege, G. Girault, S. Coustal, J. Lascombe, and P. Rumpf, Bull. Soc. Chim. France, 1970, 3889. Unpublished result of E. Spinner at 20 °C.

The assessment of electron demand in terms of the VRP parameters  $\varepsilon_{+}$  and  $\varepsilon_{-}$  can give additional insight into the nature of the system under study. For example, a DSP analysis 3 of the  $pK_a$  values of 4-X-pyridinium ions (water at 25 C°) <sup>14</sup> assigned the system as  $\sigma^+$  type,  $\rho_{\rm I} = 5.153, \rho_{\rm R} = 2.688, f = 0.06.$  Other workers <sup>14</sup> have described the same data as  $\sigma_{BA}$  for +R substituents and  $\sigma_I$  for -R groups. The results of our VRP analysis (Table 6) support the second view; for +R substituents  $\rho=5.5$  and  $\epsilon_+=0.58,$  and for -R substituents  $\rho=6.0$  and  $\epsilon_-=0.~$  The low value for  $\epsilon_-$  can be accounted for by assuming that -R substituents almost completely suppress the resonance form which places a positive charge on the aza-nitrogen in both the protonated and unprotonated derivative. We believe that the difference of almost one pK unit between the experimental value for the p-NMe<sub>2</sub> derivative and our calculated value arises from the saturation effect already noted for  $\delta^{13}C_{\beta}$  for  $\beta$ -dimethylamino- $\beta$ ,  $\beta$ -dicyanostyrene.

Conclusion.—The  $\varepsilon_+$  and  $\varepsilon_-$  parameters of the VRP treatment provide a convenient measure of electron demand which allows ready comparison between systems. Where the property being measured is

sensitive to the resonance effect of both +R and -Rsubstituents, application of the DSP treatment may result in the effect passing unnoticed as a rather poor correlation. The VRP approach, which treats +R and -R substituents independently, deals with this situation well but for each type of substituent the data must cover a more restricted range with a consequent loss of precision in derived parameters. The VRP equation does not easily accommodate situations where  $\rho_{\rm I}$  is clearly different from  $\rho_{\rm R}$ ; the DSP treatment on the other hand will give misleading  $\lambda$  values in situations where no one of its  $\sigma_R$  scales is satisfactory. At the present time suitable data are not available to allow us to apply the VRP treatment to non-benzenoid systems. Preliminary studies we have done suggest that in such systems  $\rho$  values for +R and -R substituents differ, but the range of substituents available is too limited for us to be confident of the results. Satisfactory study of any such system will require data for the +R groups OMe, Me, F, Cl, and Br, with NMe, if possible; for -Rsubstituents -NO<sub>2</sub>, -CN, and -Ac would constitute the minimum set. Others should be included as their  $\sigma_R^H$ and  $\sigma_R^{\infty}$  values are evaluated.

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